

# System 101

Maël Auzias

ENSIBS - UBS

March 2016



Figure: [sys-101.auzias.net](http://sys-101.auzias.net)

# Course details

## Objectives

- ▶ How do *computers* work?
- ▶ What are they made of?
- ▶ What is an OS?



## Course details



### Evaluation

- ▶ Short test at the end of each practice
- ▶ Final exam (1 hour)
- ▶ All equal weighting

### Material

- ▶ Slides available at [sys-101.auzias.net](https://sys-101.auzias.net) (github too)
- ▶ **To read:** Modern Operating System - Andrew Tanenbaum. ISBN-13: 978-0133591620

# Presentation Outline

What is an OS?

OS Concepts

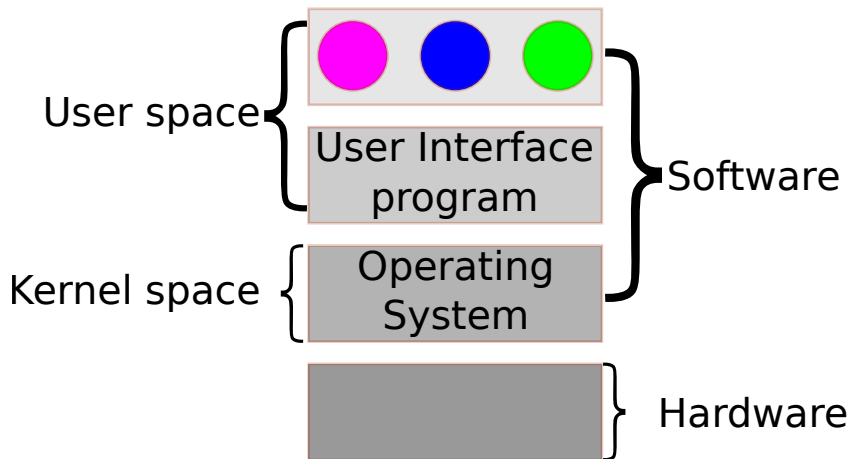
Processes and Thread

System Calls

Memory Management

File System

## Operating System



# Operating System

## Two basic unrelated functions

- ▶ provide application programmers a clean abstract set of resources,
- ▶ manage hardware resources.

## Customers

OS real customers are **programs developpers**, not end users of theses developped programs.

# The OS as an API provider

## Abstraction challenge

- ▶ Hardware design, as well made as it can be, only offer awkward and ugly interface to communicate.
- ▶ Instruction set, memory organization, I/O, bus structure are not user friendly.
- ▶ Programmers need not to worry about all of that thanks to the **abstraction level** provided by OS.

# The OS as the resource manager

## Resource challenge

- ▶ Orderly allocation of the processors, memories, I/O devices for all the programs competing for them.
- ▶ Software resources (files, DB, network access) are also managed by the OS.
- ▶ Multiplexing:
  - ▶ Time multiplexing (CPU, printer),
  - ▶ Space multiplexing (RAM, disk).



## The OS history

1945-55 First generation: vaccum tubes

1955-65 Second generation: Transistors and Batch Systems

1965-80 Third generation: ICs<sup>1</sup> and Multiprogramming<sup>2</sup>

1980-now Fourth generation: Personal Computers

future Fifth generation: any suggestion?

---

<sup>1</sup>Integrated Circuit

<sup>2</sup>several programs running at once

# The OS Zoo

**Mainframe** Thousands of disks and millions gigabytes of data (high end web servers, servers for business-to-business transactions). These OS are focused on executing many jobs at once.

**Server** Multiple users served at once through a network, they provide print/file/web services.

**Multiprocessor** Multiple CPUs are hosted into one system (also called, according to what and how they share it: parallel computers, multicomputers, or multiprocessors).

**Personal Computers** Usually used for game, spreadsheet, word processing and web browsing (laptop, desktop).

## The OS Zoo

**Handheld** Small computers offering telephony, address book, web apps. They are becoming more and more sophisticated and blurring the difference between personal computers and handheld computers.

**Embedded** Microwave ovens, (non-smart) TV and swatches, (not connected) cars, Bluray readers... They usual do not allow user-installed softwares.

**Sensor Node** Usually small and simple to run on constraint devices with little RAM/ROM and battery life (TinyOS).

**RTS<sup>3</sup>** Industrial process control, avionics, military...

**Smart Card** Credit card.

---

<sup>3</sup>Real-Time System

# Presentation Outline

What is an OS?

OS Concepts

Processes and Thread

System Calls

Memory Management

File System

# OS Concepts

## Overview

- ▶ Processes,
- ▶ Address spaces,
- ▶ Files,
- ▶ Input/Output,
- ▶ Permissions.

# Processes

A process is a program being executed.

## Each process:

- ▶ has an address space (**core image**),
- ▶ has a register (program counter and stack pointer),
- ▶ has a list of open files,
- ▶ has a list of related processes,
- ▶ and all the details needed to run a program.

# Process

## OS management of Processes

- ▶ Execute,
- ▶ Save execution state (file pointers list, number of bytes to be read next) in a **process table**<sup>4</sup>,
- ▶ Stop.

A process corresponds to its **core image** and its **process table entry**.

---

<sup>4</sup>Array or linked list

## Child Process

### Process life

- ▶ A **system call** starts a process.
- ▶ Binary code is executed.
  - ▶ The process can create other processes, called **child processes** (and so on – tree).
  - ▶ Processes can communicate together using **IPC** means<sup>5</sup>.
- ▶ The OS may send **alarm** signal (interruption) to the process.
- ▶ The process executes a **system call** to terminates itself.

---

<sup>5</sup>Inter Process Communication



## Users Process

### Process life

- ▶ **UID**<sup>6</sup> is a unique number assigned to each system user.
- ▶ Every process started has the UID of the user who started it.
- ▶ Every child process has the UID of its parent.
- ▶ One UID is called the super-user. The super-user has all permission.
- ▶ Users may also be members of groups. Each group has a **GID**.

---

<sup>6</sup>User Identification

## Address Space

An address space is a memory location (from 0 to some maximum) and contains:

- ▶ executable program,
- ▶ program's data,
- ▶ program's stack.

## Address Space

- ▶ Physical memory,
- ▶ Virtual memory (swap).

## File

OS hide all peculiar disk operations to offer abstracted model of device-independent file management.

- ▶ System calls are required to:
  - ▶ Create, remove, read and write files; create and remove directories.
- ▶ File system also match a tree structure.

# File

- ▶ Path
  - ▶ Absolute: from the root directory, starting with /
  - ▶ Relative: from the current directory<sup>7</sup>, starting with "." or a directory name.
- ▶ Several files may have the same name.
- ▶ Each file has a unique absolute path (and an infinity of relative ones).
- ▶ Mounted file system, merging trees.

---

<sup>7</sup>each process has a current working directory. A system call allows process to change their working directory

## Special Files

I/O devices are abstracted to be used through same system calls as files do.

- ▶ Devices:
  - ▶ Block files,
  - ▶ Character files.
  - ▶ Special files are kept in `/dev`.
- ▶ Pipe:
  - ▶ IPC mean,
  - ▶ a special system call needs to be performed to know it's not a real file.

# Tree

- ▶ Both process and file are structured as tree.
- ▶ Process tree are usually not very deep, unlike file trees.
- ▶ Process hierarchy are usually short-lived (minutes or less) while directories may exist for years.
- ▶ Ownership and protection differs too.

## Permissions

**u g o : user group other**

- ▶ Three 3-bit fields
  - ▶ read
  - ▶ write
  - ▶ execute
- ▶ **rwX rwx rwx** do-what-ever-you-want-file
- ▶ **rwX rwx r-x** web-file
- ▶ **rw- rwx rwx** virus
- ▶ **r-x — —** personal-backup.tgz

Right	File	Directory
r	can read	can list files
w	can write	can add/delete files
x	can execute	can go through

Figure: Permissions meaning



## Boot procedure

The BIOS<sup>8</sup> contains the procedures to read the keyboard, write to the screen, perform I/O on disk. Held in RAM, OS can modify it when bugs are found.

1. The BIOS is started,
2. The BIOS checks how much RAM is available,
3. The BIOS verifies if keyboard, mouse (and other basic devices) are correctly installed and responding,
4. The BIOS scans PCI and ISA<sup>9</sup> buses to detect attached devices,
  - 4.1 If new devices are found since last boot, these new devices are configured.

---

<sup>8</sup>Basic Input Output System: low level I/O software system program present on the parentboard

<sup>9</sup>Industry Standard Architecture

## Boot procedure

5. The BIOS determines the boot device (floppy? CD-ROM? DVD? USB? ...?) by using a list of devices stored in CMOS memory,
6. The program contained at the first sector of the boot device is executed,
  - 6.1 It usually examines the partition table at the end of the boot sector to determine which partition is active.
  - 6.2 A secondary boot loader is read from that partition.
  - 6.3 This loader reads the operation system from the active partition and starts it.
7. The OS queries the BIOS to get configuration details,
8. The OS checks the driver of each device,
9. The OS loads all these modules into the kernel,
10. The OS initializes its table, creates background processes and starts up a login program.

# Presentation Outline

What is an OS?

OS Concepts

Processes and Thread

System Calls

Memory Management

File System

# Processes and Thread

## Overview

- ▶ Why processes are so important?
- ▶ What differences between processes and threads?

# Processes

- ▶ Most important abstraction,
- ▶ Turn single-CPU into multiple virtual CPU
- ▶ Enable pseudo concurrent operations (pseudoparallelism),
- ▶ Without, modern computing could not exist.

# Processes

## Processes

- ▶ are instance of executing program
- ▶ include current values of
  - ▶ program counter,
  - ▶ registers,
  - ▶ variables.
- ▶ have their own virtual CPU (multiprogramming) – considerations about time management, RTS.

## The student partying (a fictional analogy)

- ▶ The student, at a home party, makes a cocktail.
  - ▶ Student: CPU, recipe: program, drinks: data, glasses: resource, action: process.
- ▶ While pouring the last ingredient her/his phone rang and s/he answers.
  - ▶ Student: CPU, phone-skill: program, phone: resource, phone call details: data, action: process.

A process is an activity having a program, input, output and a state. OS uses scheduling algorithm to determines when to stop/start which process.

# Processes

## Creation

- ▶ System initialization,
- ▶ Process creation done by a running process,
- ▶ User request,
- ▶ Initiation of a batch jobs.

## Termination

- |                |                              |
|----------------|------------------------------|
| ▶ Voluntary    | ▶ Involuntary                |
| ▶ Normal exit, | ▶ Fatal error,               |
| ▶ Error exit.  | ▶ Killed by another process. |



## Process states

### State

- a. Running,
- b. Ready,
- c. Blocked.

### Transition

1. Scheduler pick another process.
2. Scheduler pick this process.
3. Input available.
4. Input required.

# Threads

## Threads

1. Processes within a process.
2. Enable to decompose big task into multiple sequential smaller tasks ..
3. .. while **sharing** a memory space.
4. Easier, faster, to create and destroy than processes as they are lighter.

Web browser example with multiple threads.

# Threads

## Concurrent programming: race condition (example)

- ▶ Thread S, and N, respectively correspond to the South, and North, gate in a Park.
- ▶ They count the current number of visitors:
  - ▶ When a visitor enters, the counter is incremented by one.
  - ▶ When a visitor leaves, the counter is decremented by one.
- ▶ Both threads share a common variable, the counter.
- ▶ They run on the same computer and, thus, share a sole CPU.
- ▶ To increment a variable at least three operations are required:
  1. Read the variable.
  2. Compute the incrementation.
  3. Write the new value of the variable.

What could go wrong?

# Presentation Outline

What is an OS?

OS Concepts

Processes and Thread

System Calls

Memory Management

File System

# System calls

## System operations

- ▶ Read file, create directory or a process, modify permissions ..
- ▶ .. results in a system call.

# System calls

## System calls procedure

1. Switch from the program, within the user space, into the kernel space by executing a TRAP instruction.
2. The program starts the execution at a **fixed** address in the kernel space.
3. The kernel code then examine the system call number and execute the matching system call handler.
4. The system call handler execute its code and then returns the control to the program at the instruction following the TRAP instruction.
  - ▶ unless the system call blocks (i.e., waiting for an input on the keyboard).
5. The program is put back into user space and continues.

## Main system calls: Process management

Call	Description
<code>pid = fork()</code>	Create a child process (identical to the parent)
<code>pid = waitpid(pid, &amp;stat, opt)</code>	Wait for a child to terminate
<code>s = execve(name, argv, envp)</code>	Execute a program
<code>exit(status)</code>	Terminate a process and return status

Figure: Process management

## Main system calls: File management

Call	Description
<code>fd = open(file, flags)</code>	Open (or create) a file
<code>close(fd)</code>	Close a file
<code>n = read(fd, buff, nbytes)</code>	Read data from a file into a buffer
<code>n = write(fd, buff, nbytes)</code>	Write data from a buffer into a file
<code>p = lseek(fd, offset, whence)</code>	Reposition the pointer within the file
<code>s = stat(name, buff)</code>	Get file status

Figure: File management



## Main system calls: Directory and file system management

Call	Description
<code>s = mkdir(name, mode)</code>	Create a directory
<code>s = rmdir(name)</code>	Delete a directory
<code>s = link(oldpath, newpath)</code>	Make a new name for a file
<code>s = unlink(path)</code>	Delete a name and possibly the file it refers to
<code>s = mount(s, t, fst, f, d)</code>	Mount a filesystem
<code>s = umount(target)</code>	Unmount a filesystem

Figure: Directory and file system management

## Main system calls: Miscellaneous management

Call	Description
<code>s = chdir(dirname)</code>	Change the working directory
<code>s = chmod(name, mode)</code>	Change a file's protection bits
<code>s = kill(pid, signal)</code>	Send a signal to a process
<code>seconds = time(&amp;seconds)</code>	Get the elapsed time since 01/01/70

Figure: Miscellaneous

# Presentation Outline

What is an OS?

OS Concepts

Processes and Thread

System Calls

**Memory Management**

File System

# Memory Management

## System operations



..



..

# Presentation Outline

What is an OS?

OS Concepts

Processes and Thread

System Calls

Memory Management

File System

# File System

## Challenges

- ▶ How to store very large amount of data?
- ▶ How to store it after the process has been killed?
- ▶ How to allow multiple processes to access it concurrently?

# File System

## Two operations

- ▶ `read_block(block_number)`
- ▶ `write_block(block_number)`

# File System

## Two operations

- ▶ `read_block(block_number)`
- ▶ `write_block(block_number)`

## How to, with these two, ..

- ▶ Find information?
- ▶ Keep a user from reading another user's data?
- ▶ Know which blocks are free?



## File Abstraction

OSes abstract processor into process, physical memory into virtual address space and file system into files.

Processes (Threads), address space and files are the three most important abstractions that an Operation System offers.

# Files

## Naming rules

- ▶ Different rules for different OS.
- ▶ Names length varies from 1 to 255 characters.
- ▶ Case-sensitive (UNIX), or not (MS-DOS).
- ▶ Extension consideration.

# Files

## File Structure

1. Unstructured sequence of byte (any meaning is imposed by the user procces). Offers the maximum flexibility.<sup>10</sup>
2. Fixed length-records each with internal structure (80 characters records, 132 char for line printer).<sup>11</sup>
3. Tree records, each files has a key value, tree sorted according to these values.<sup>12</sup>

---

<sup>10</sup>UNIX, MS-DOS, Windows

<sup>11</sup>Not used anymore

<sup>12</sup>Still used in some commercial data processing

# Files

## File Types

- ▶ Regular files (ASCII or binary files).
- ▶ Names length varies from 1 to 255 characters.
- ▶ Case-sensitive (UNIX), or not (MS-DOS).
- ▶ Extension consideration.

I hope you liked it and learnt something new !



Figure: [sys-101.auzias.net](http://sys-101.auzias.net)