

System 101

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Figure: sys-101.auzias.net

Course details

Objectives

- ▶ How do *computers* work?
- ▶ What are they made of?
- ▶ What is an OS?



Course details



Evaluation

- ▶ Short test at the end of each practice
- ▶ Final exam (1 hour)
- ▶ All equal weighting

Material

- ▶ Slides available at sys-101.auzias.net (github too)
- ▶ **To read:** Modern Operating System - Andrew Tanenbaum. ISBN-13: 978-0133591620

Presentation Outline

What is an OS?

OS Concepts

Processes and Thread

System Calls

Memory Management

File System

Operating System



Operating System

Two basic unrelated functions

- ▶ provide application programmers a clean abstract set of resources,
- ▶ manage hardware resources.

Customers

OS real customers are **programs developpers**, not end users of theses developped programs.

The OS as an API provider

Abstraction challenge

- ▶ Hardware design, as well made as it can be, only offer awkward and ugly interface to communicate.
- ▶ Instruction set, memory organization, I/O, bus structure are not user friendly.
- ▶ Programmers need not to worry about all of that thanks to the **abstraction level** provided by OS.

The OS as the resource manager

Resource challenge

- ▶ Orderly allocation of the processors, memories, I/O devices for all the programs competing for them.
- ▶ Software resources (files, DB, network access) are also managed by the OS.
- ▶ Multiplexing:
 - ▶ Time multiplexing (CPU, printer),
 - ▶ Space multiplexing (RAM, disk).

The OS history

1945-55 First generation: vacuum tubes

1955-65 Second generation: Transistors and Batch Systems

1965-80 Third generation: ICs¹ and Multiprogramming²

1980-now Fourth generation: Personal Computers

future Fifth generation: any suggestion?

¹Integrated Circuit

²several programs running at once

The OS Zoo

Mainframe Thousands of disks and millions gigabytes of data (high end web servers, servers for business-to-business transactions). These OS are focused on executing many jobs at once.

Server Multiple users served at once through a network, they provide print/file/web services.

Multiprocessor Multiples CPUs are hosted into one system (also called, according to what and how they share it: parallel computers, multicomputers, or multiprocessors).

Personal Computers Usually used for game, spreadsheet, word processing and web browsing (laptop, desktop).

The OS Zoo

Handheld Small computers offering telephony, address book, web apps. They are becoming more and more sophisticated and blurring the difference between personal computers and handheld computers.

Embedded Microwave ovens, (non-smart) TV and swatches, (not connected) cars, Bluray readers... They usual do not allow user-installed softwares.

Sensor Node Usually small and simple to run on constraint devices with little RAM/ROM and battery life (TinyOS).

RTS³ Industrial process control, avionics, military...

Smart Card Credit card.

³Real-Time System

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OS Concepts

Overview

- ▶ Processes,
- ▶ Address spaces,
- ▶ Files,
- ▶ Input/Output,
- ▶ Permissions.

Processes

A process is a program being executed.

Each process:

- ▶ has an address space (**core image**),
- ▶ has a register (program counter and stack pointer),
- ▶ has a list of open files,
- ▶ has a list of related processes,
- ▶ and all the details needed to run a program.

Process

OS management of Processes

- ▶ Execute,
- ▶ Save execution state (file pointers list, number of bytes to be read next) in a **process table**⁴,
- ▶ Stop.

A process corresponds to its **core image** and its **process table entry**.

⁴Array or linked list

Child Process

Process life

- ▶ A **system call** starts a process.
- ▶ Binary code is executed.
 - ▶ The process can create other processes, called **child processes** (and so on – tree).
 - ▶ Processes can communicate together using **IPC** means⁵.
- ▶ The OS may send **alarm** signal (interruption) to the process.
- ▶ The process executes a **system call** to terminates itself.

⁵Inter Process Communication

Users Process

Process life

- ▶ **UID**⁶ is a unique number assigned to each system user.
- ▶ Every process started has the UID of the user who started it.
- ▶ Every child process has the UID of its parent.
- ▶ One UID is called the super-user. The super-user has all permission.
- ▶ Users may also be members of groups. Each group has a **GID**.

⁶User Identification

Address Space

An address space is a memory location (from 0 to some maximum) and contains:

- ▶ executable program,
- ▶ program's data,
- ▶ program's stack.

Address Space

- ▶ Physical memory,
- ▶ Virtual memory (swap).

File

OS hide all peculiar disk operations to offer abstracted model of device-independent file management.

- ▶ System calls are required to:
 - ▶ Create, remove, read and write files; create and remove directories.
- ▶ File system also match a tree structure.

File

- ▶ Path
 - ▶ Absolute: from the root directory, starting with /
 - ▶ Relative: from the current directory⁷, starting with "." or a directory name.
- ▶ Several files may have the same name.
- ▶ Each file has a unique absolute path (and an infinity of relative ones).
- ▶ Mounted file system, merging trees.

⁷each process has a current working directory. A system call allows process to change their working directory

Special Files

I/O devices are abstracted to be used through same system calls as files do.

- ▶ Devices:
 - ▶ Block files,
 - ▶ Character files.
 - ▶ Special files are kept in `/dev`.
- ▶ Pipe:
 - ▶ IPC mean,
 - ▶ a special system call needs to be performed to know it's not a real file.

Tree

- ▶ Both process and file are structured as tree.
- ▶ Process tree are usually not very deep, unlike file trees.
- ▶ Process hierarchy are usually short-lived (minutes or less) while directories may exist for years.
- ▶ Ownership and protection differs too.

Permissions

u g o : user group other

- ▶ Three 3-bit fields
 - ▶ read
 - ▶ write
 - ▶ execute
- ▶ **rwX rwX rwX** do-what-ever-you-want-file
- ▶ **rwX rwX r-X** web-file
- ▶ **rw- rwX rwX** virus
- ▶ **r-X — —** personal-backup.tgz

Right	File	Directory
r	can read	can list files
w	can write	can add/delete files
x	can execute	can go through

Figure: Permissions meaning

Boot procedure

The BIOS⁸ contains the procedures to read the keyboard, write to the screen, perform I/O on disk. Held in RAM, OS can modify it when bugs are found.

1. The BIOS is started,
2. The BIOS checks how much RAM is available,
3. The BIOS verifies if keyboard, mouse (and other basic devices) are correctly installed and responding,
4. The BIOS scans PCI and ISA⁹ buses to detect attached devices,
 - 4.1 If new devices are found since last boot, these new devices are configured.

⁸Basic Input Output System: low level I/O software system program present on the parentboard

⁹Industry Standard Architecture

Boot procedure

5. The BIOS determines the boot device (floppy? CD-ROM? DVD? USB? ...?) by using a list of devices stored in CMOS memory,
6. The program contained at the first sector of the boot device is executed,
 - 6.1 It usually examines the partition table at the end of the boot sector to determine which partition is active.
 - 6.2 A secondary boot loader is read from that partition.
 - 6.3 This loader reads the operation system from the active partition and starts it.
7. The OS queries the BIOS to get configuration details,
8. The OS checks the driver of each device,
9. The OS loads all these modules into the kernel,
10. The OS initializes its table, creates background processes and starts up a login program.

Presentation Outline

What is an OS?

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File System

Processes and Thread

Overview

- ▶ Why processes are so important?
- ▶ What differences between processes and threads?

Processes

- ▶ Most important abstraction,
- ▶ Turn single-CPU into multiple virtual CPU
- ▶ Enable pseudo concurrent operations (pseudoparallelism),
- ▶ Without, modern computing could not exist.

Processes

Processes

- ▶ are instance of executing program
- ▶ include current values of
 - ▶ program counter,
 - ▶ registers,
 - ▶ variables.
- ▶ have their own virtual CPU (multiprogramming) – considerations about time management, RTS.

The student partying (a fictional analogy)

- ▶ The student, at a home party, makes a cocktail.
 - ▶ Student: CPU, recipe: program, drinks: data, glasses: resource, action: process.
- ▶ While pouring the last ingredient her/his phone rang and s/he answers.
 - ▶ Student: CPU, phone-skill: program, phone: resource, phone call details: data, action: process.

A process is an activity having a program, input, output and a state. OS uses scheduling algorithm to determines when to stop/start which process.

Processes

Creation

- ▶ System initialization,
- ▶ Process creation done by a running process,
- ▶ User request,
- ▶ Initiation of a batch jobs.

Termination

- | | |
|----------------|------------------------------|
| ▶ Voluntary | ▶ Involuntary |
| ▶ Normal exit, | ▶ Fatal error, |
| ▶ Error exit. | ▶ Killed by another process. |

Process states

State

- a. Running,
- b. Ready,
- c. Blocked.

Transition

1. Scheduler pick another process.
2. Scheduler pick this process.
3. Input available.
4. Input required.

Threads

Threads

1. Processes within a process.
2. Enable to decompose big task into multiple sequential smaller tasks ..
3. .. while **sharing** a memory space.
4. Easier, faster, to create and destroy than processes as they are lighter.

Web browser example with multiple threads.

Threads

Concurrent programming: race condition (example)

- ▶ Thread S, and N, respectively correspond to the South, and North, gate in a Park.
- ▶ They count the current number of visitors:
 - ▶ When a visitor enters, the counter is incremented by one.
 - ▶ When a visitor leaves, the counter is decremented by one.
- ▶ Both threads share a common variable, the counter.
- ▶ They run on the same computer and, thus, share a sole CPU.
- ▶ To increment a variable at least three operations are required:
 1. Read the variable.
 2. Compute the incrementation.
 3. Write the new value of the variable.

What could go wrong?

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System calls

System operations

- ▶ Read file, create directory or a process, modify permissions ..
- ▶ .. results in a system call.

System calls

System calls procedure

1. Switch from the program, within the user space, into the kernel space by executing a TRAP instruction.
2. The program starts the execution at a **fixed** address in the kernel space.
3. The kernel code then examine the system call number and execute the matching system call handler.
4. The system call handler execute its code and then returns the control to the program at the instruction following the TRAP instruction.
 - ▶ unless the system call blocks (i.e., waiting for an input on the keyboard).
5. The program is put back into user space and continues.

Main system calls: Process management

Call	Description
<code>pid = fork()</code>	Create a child process (identical to the parent)
<code>pid = waitpid(pid, &stat, opt)</code>	Wait for a child to terminate
<code>s = execve(name, argv, envp)</code>	Execute a program
<code>exit(status)</code>	Terminate a process and return status

Figure: Process management

Main system calls: File management

Call	Description
<code>fd = open(file, flags)</code>	Open (or create) a file
<code>close(fd)</code>	Close a file
<code>n = read(fd, buff, nbytes)</code>	Read data from a file into a buffer
<code>n = write(fd, buff, nbytes)</code>	Write data from a buffer into a file
<code>p = lseek(fd, offset, whence)</code>	Reposition the pointer within the file
<code>s = stat(name, buff)</code>	Get file status

Figure: File management

Main system calls: Directory and file system management

Call	Description
<code>s = mkdir(name, mode)</code>	Create a directory
<code>s = rmdir(name)</code>	Delete a directory
<code>s = link(oldpath, newpath)</code>	Make a new name for a file
<code>s = unlink(path)</code>	Delete a name and possibly the file it refers to
<code>s = mount(s, t, fst, f, d)</code>	Mount a filesystem
<code>s = umount(target)</code>	Unmount a filesystem

Figure: Directory and file system management

Main system calls: Miscellaneous management

Call	Description
<code>s = chdir(dirname)</code>	Change the working directory
<code>s = chmod(name, mode)</code>	Change a file's protection bits
<code>s = kill(pid, signal)</code>	Send a signal to a process
<code>seconds = time(&seconds)</code>	Get the elapsed time since 01/01/70

Figure: Miscellaneous

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Memory Management

System operations



..



..

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File System

Challenges

- ▶ How to store very large amount of data?
- ▶ How to store it after the process has been killed?
- ▶ How to allow multiple processes to access it concurrently?

File System

Two operations

- ▶ `read_block(block_number)`
- ▶ `write_block(block_number)`

File System

Two operations

- ▶ `read_block(block_number)`
- ▶ `write_block(block_number)`

How to, with these two, ..

- ▶ Find information?
- ▶ Keep a user from reading another user's data?
- ▶ Know which blocks are free?

File Abstraction

OSes abstract processor into process, physical memory into virtual address space and file system into files.

Processes (Threads), address space and files are the three most important abstractions that an Operation System offers.

File Naming

Naming rules

- ▶ Different rules for different OS.
- ▶ Names length varies from 1 to 255 characters.
- ▶ Case-sensitive (UNIX), or not (MS-DOS).
- ▶ Extension consideration.

Files Structure

File Structure

1. Unstructured sequence of byte (any meaning is imposed by the user procces). Offers the maximum flexibility.¹⁰
2. Fixed length-records each with internal structure (80 characters records, 132 char for line printer).¹¹
3. Tree records, each files has a key value, tree sorted according to these values.¹²

¹⁰UNIX, MS-DOS, Windows

¹¹Not used anymore

¹²Still used in some commercial data processing

Files Types

File Types

- ▶ Regular files (ASCII or binary files).
- ▶ Names length varies from 1 to 255 characters.
- ▶ Case-sensitive (UNIX), or not (MS-DOS).
- ▶ Extension consideration.

Binary File Example

Executable binary example:

- ▶ header,
 - ▶ Magic-number (identifying the file as executable),
 - ▶ Size of the various pieces of the file,
 - ▶ Address at which execution starts
 - ▶ Various flags.
- ▶ text,
- ▶ data,
- ▶ relocation bits,
- ▶ symbol table (for debugging purposes).

Binary File Example

Archive example (collection of library procedures compiled but not linked):

- ▶ header (telling its name),
- ▶ Creation date,
- ▶ Owner,
- ▶ Protection code,
- ▶ Size.

Files Extension and OS

OS details

- ▶ An OS must at least recognize its own executable file type (they usually recognized more than this one type),
- ▶ TOPS-20 checked the creation date of executable file, sought for its source file, and recompile it if the source file was updated.
- ▶ *make* program reproduce this behavior, file extensions are mandatory.
- ▶ Strong mandatory file extensions make an OS unsuable (e.g., all extension file output produced are ".dat" – impossible to copy a file!)

Files Access

OS details

► An

I hope you liked it and learnt something new !



Figure: sys-101.auzias.net