

MATH 593 - Multilinear Algebra

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1 The Tensor Algebra

Definition 1.1 (Multilinear). Let R be a commutative ring, and M_1, \dots, M_n, N be R -modules. A map $\varphi : M_1 \times \dots \times M_n \rightarrow N$ is **multilinear** if for all $i \in \llbracket 1, n \rrbracket$, for all $x_j \in M_j$ for $j \neq i$, the map $\varphi(x_1, \dots, x_{i-1}, -, x_{i+1}, \dots, x_n) : M_i \rightarrow N$ is R -linear.

Remark 1.1. Via performing induction on n , it can be shown that for a multilinear map $f : M_1 \times \dots \times M_n \rightarrow N$, with the tensor map $\varphi : M_1 \times \dots \times M_n \rightarrow M_1 \otimes_R \dots \otimes_R M_n$ (which is multilinear), there exists a R -linear map $g : M_1 \otimes_R \dots \otimes_R M_n \rightarrow N$ s.t. $g \circ \varphi = f$.

Definition 1.2 (Tensor Algebra). Let M be a fixed R -module. Define $T^0(M) := R, T^1(M) = M$; and for $n \geq 2$, define $T^n(M) := \underbrace{M \otimes_R \dots \otimes_R M}_{n \text{ times}}$. Then the **tensor algebra** is defined as

$$T(M) := \bigoplus_{i \geq 0} T^i(M) = R \oplus M \oplus (M \otimes_R M) \oplus \dots$$

Remark 1.2. Since for all i , $T^i(M)$ has an R -module structure, $T(M)$ is also an R -module.

Proposition 1.1. $T(M)$ also has an R -algebra structure.

Proof. It suffices to define multiplication for each summand of $T(M)$ and check that it is well-defined. Define

$$\alpha_{ij} : T^i(M) \times T^j(M) \rightarrow T^{i+j}(M), \quad (a_1 \otimes \dots \otimes a_i, b_1 \otimes \dots \otimes b_j) \mapsto (a_1 \otimes \dots \otimes a_i \otimes b_1 \otimes \dots \otimes b_j)$$

This is indeed well-defined, as by applying the universal property of tensor product for i times gives the desired map. Notice that for the case where $i = 0$ or $j = 0$ this is just scalar multiplication, this is just scalar multiplication. \square

Remark 1.3. This can be extended to a map $T(M) \times T(M) \rightarrow T(M)$, which makes $T(M)$ a ring. The map is given by for $x = \bigoplus_{i \geq 0} x_i, y = \bigoplus_{j \geq 0} y_j$, the multiplication is defined as $x \cdot y = \bigoplus_{i,j \geq 0} \alpha_{i,j}(x_i, y_j)$, with $1 \in T^0(M) = R$. Moreover, the inclusion $R = T^0(M) \hookrightarrow T(M)$ is a ring homomorphism which makes $T(M)$ an R -algebra.

Remark 1.4. Notice that this differs from the polynomial ring in that it is not commutative (in terms of the direct summands). Therefore, the terms in $\bigoplus_{i,j} \alpha_{i,j}$ cannot be collected into one. $T^n = M \otimes \dots \otimes M$ has a basis given by $x_{i_1} \otimes \dots \otimes x_{i_n}$, where $i_k \in \llbracket 1, d \rrbracket$ for all $k \in \llbracket 1, n \rrbracket$. Therefore, for $n \geq 2$, $T^n(M)$ is not commutative.

Proposition 1.2 (Universal Property of $T(M)$). Consider the (forgetful) functor $F : {}_R \underline{\text{Alg}} \rightarrow {}_R \underline{\text{Mod}}, M \mapsto T(M)$. Let M be an R -module and S an R -algebra. If $f : M \rightarrow S$ is a morphism of R -modules, then there exists a unique morphism of R -algebras $g : T(M) \rightarrow S$ s.t. $g|_{T^1(M)} = f$, i.e. $g \circ F = f$:

$$\begin{array}{ccc} M & \xhookrightarrow{F} & T(M) \\ & \searrow f & \downarrow g \\ & & S \end{array}$$

Proof. Apply the universal property of tensor product and direct sum.

Define $f_n : \underbrace{M \times \cdots \times M}_{n \text{ times}} \rightarrow S$, where $f_n(x_1, \dots, x_n) = f(x_1) \cdots f(x_n)$. This is clearly multilinear, which implies that there exists a unique R -linear map $g_n : T^n(M) \rightarrow S$ s.t. $g_n(x_1 \otimes \cdots \otimes x_n) = f(x_1) \cdots f(x_n)$. Apply the universal property of direct sum on the superscript gives that there exists a unique R -linear map $g : T(M) \rightarrow S$ s.t. $g|_{T^n(M)} = g_n$. Check the followings:

- g is a morphism of R -algebras. Since it is already a morphism of R -modules, it suffices to check that this definition is compatible with multiplication. For $x = \bigoplus_{i=0}^n x_i, y = \bigoplus_{j=0}^m y_j$, this gives

$$g(x \cdot y) = g(x_0 \otimes \cdots \otimes x_n \otimes y_0 \otimes \cdots \otimes y_m) = \prod_{i=0}^n f(x_i) \cdot \prod_{j=0}^m f(y_j) = g(x_0 \otimes \cdots \otimes x_n) \cdot g(y_0 \otimes \cdots \otimes y_m) = g(x) \cdot g(y)$$

- g is the unique morphism of R -algebras $T(M) \rightarrow S$, s.t. $g|_{T^1(M)} = f$. This is clear as defining $g|_{T^1(M)}$ gives the map on $g|_{T^n(M)}$ for all n , as by the definition of the multiplication. Furthermore, the map restricted to $T^0(M)$ is given by the associated morphism with the R -algebra S . Both of which are uniquely determined.

□

Remark 1.5. This makes T a functor, which maps from R -modules to R -algebras. For all R -linear maps $f : M \rightarrow N$, there exists a unique morphism of R -algebras $T(f)$ s.t. the following diagram commutes: This makes T a functor as it preserves

$$\begin{array}{ccc} M & \xrightarrow{f} & N \\ \downarrow & & \downarrow \\ T(M) & \xrightarrow{T(f)} & T(N) \end{array}$$

compositions. Further this is the left adjoint of the forgetful functor G which only regards S as an R -module, i.e. we have the following isomorphism

$$\text{Hom}_{R\text{-Alg}}(T(M), S) \simeq \text{Hom}_R(M, G(S))$$

as by the universal property of tensor algebra the morphism from $T(M)$ to S is uniquely defined by the map $f : M \rightarrow S$.

Definition 1.3 (Graded Ring). A ring R is a **graded ring** if it comes with a decomposition $R = \bigoplus_{i \geq 0} R_i$ as abelian groups; and multiplication satisfies the relation $R_p \cdot R_q \subseteq R_{p+q}$ for all $p, q \geq 0$.

Remark 1.6. Consider the subring $R \subseteq R_0$. If R_0 lies in the center of R , i.e. $R_0 \subseteq \{a \in R \mid ab = ba \forall b \in R\}$, then R becomes an R_0 -algebra; and the decomposition $R = \bigoplus_{i \geq 0} R_i$ is a direct sum of R_0 -modules.

Example 1.1. Consider the following examples of graded rings:

1. The tensor algebra $T(M)$ is a graded ring, where $R_0 = T^0(M) = R$
2. The multivariate polynomials $S = R[x_1, \dots, x_n]$ is a graded ring, where $S_d = \bigoplus_{\{i_1, \dots, i_d \mid \sum_k i_k = d\}} R x_1^{i_1} \cdots x_d^{i_d}$.

Definition 1.4 (Homogeneous). If $R = \bigoplus_{i \geq 0} R_i$ is a graded ring, the elements of R_n are **homogeneous of degree n** .

Definition 1.5 (Morphism of Graded Rings). If R and S are graded rings, then a morphism of graded rings $f : R \rightarrow S$ is a ring homomorphism s.t. $f(R_i) \subseteq S_i$ for all i . Such definition gives the result that graded rings form a category.

Definition 1.6 (Homogeneous Ideal). If R is a graded ring, and $I \subseteq R$ an ideal. I is a **homogeneous ideal** if $I = \bigoplus_{i \geq 0} (I \cap R_i)$. Equivalently, for all $f \in I$, for all $f_i \in R_i$ s.t. $f = \sum_{i=0}^d f_i$, then $f_j \in I$ for all j .

Remark 1.7. If further I is two-sided, then $R/I = \bigoplus_{i \geq 0} (R_i / (R_i \cap I))$ as a direct sum of abelian groups. In this case, R/I is a graded ring, and the quotient $\pi : R \rightarrow R/I$ is a morphism of graded rings.

Proposition 1.3. *Let R be a graded ring, and $I \subseteq R$ an ideal. Then I is homogeneous if and only if it can be generated by homogeneous elements.*

Proof. Show implication in two directions:

\Rightarrow : Since I is homogeneous, there exists ideals $I_k \subseteq R_k$ s.t. $I = \bigoplus_{k \geq 0} I_k$. Then it is generated by the generating sets of I_k , which are all homogeneous.

\Leftarrow : If I can be generated by homogeneous elements, then for all $x \in R$ there exists a decomposition

$$x = \sum_{r \in R} c_r r = \sum_{i \geq 0} \sum_{r \in R_i} c_r r_i$$

where only finitely many c_r s can be non-zero, and $r_i \in I$. Collecting all the terms in the inner summation gives $x = \sum_{i \geq 0} c_i r_i$ for $r_i \in I_i \subseteq R_i$, which satisfies the definition of homogeneous ideals. □

Remark 1.8. It is not necessary (and also not true) that all the homogeneous elements must (can) have the same degree. For example, it is completely valid to have $R \cdot (I \cap R_0) \subsetneq I \cap R_1$, which prevents any homogeneous generating set of the same degree from existing.

2 Exterior and Symmetric Algebra

Definition 2.1 (Symmetric, Alternating Maps). *Let R be a commutative ring, with M and N R -modules. Let $\varphi : M^n \rightarrow N$ be a multilinear map. It is defined to be:*

- i) **Symmetric**, if for all $\sigma \in S_n$, and for all $x_1, \dots, x_n \in M$, $\varphi(x_1, \dots, x_n) = \varphi(x_{\sigma(1)}, \dots, \varphi(x_{\sigma(n)}))$.
- ii) **Alternating**, if $\varphi(x_1, \dots, x_n) = 0$ whenever $x_i = x_j$ for $i \neq j$. This is equivalent to stating that φ is **skew-symmetric**, where $\varphi(x_1, \dots, x_i, \dots, x_j, \dots, x_i, \dots, x_n) = -\varphi(x_1, \dots, x_j, \dots, x_i, \dots, x_n)$ for all $i < j$.

Remark 2.1. Since all elements in S_n (symmetric group) are generated by transpositions, to show that a map is symmetric it suffices to show the equality for all transpositions.

Definition 2.2 (Exterior Algebra). *Let T be the tensor algebra, with J_n an T^n -submodule generated by $\{x_1 \otimes \dots \otimes x_n \mid x_i = x_j \ \forall i \neq j\}$. Define $\Lambda^n(M) := T^n(M)/J_n$; and the **exterior algebra** $\Lambda(M) := \bigoplus_{n \geq 0} \Lambda^n(M)$. The algebra structure is inherited from that of the tensor algebra.*

Remark 2.2. Consider the ideal $J := \bigoplus_{i \geq 2} J_n \subseteq T(M)$. Here only summands with degree greater than or equal to 2 are taken into consideration as the definition “alternating” makes no sense for the lower degree cases. This is a two-sided ideal as tensor product is R -balanced; and each summand is an element in J_n for some n . It is further homogeneous, as by definition. In this notation the exterior algebra can also be expressed as $\Lambda(M) := T(M)/J$.

Remark 2.3. The equivalence class of $x_1 \otimes \dots \otimes x_n$ in $\Lambda(M)$ is often denoted as $x_1 \wedge \dots \wedge x_n$, i.e. the wedge product.

Proposition 2.1 (Universal Property of $\Lambda^n(M)$). *The map $\varphi : M^n \rightarrow \Lambda^n(M)$, $(x_1, \dots, x_n) \mapsto x_1 \wedge \dots \wedge x_n$ is an alternating multilinear map; and for all alternating multilinear map $\psi : M^n \rightarrow P$, there exists a unique R -linear map $f : \Lambda^n(M) \rightarrow P$ s.t. $f \circ \varphi = \psi$*

Proof. This follows directly from the definition of the exterior algebra, and the universal property of tensor product. \square

Example 2.1. If $g : M \rightarrow P$ is an R -linear map, then this gives an R -linear map $\Lambda^n g : \Lambda^n(M) \rightarrow \Lambda^n(P)$ for all n , s.t. $x_1 \wedge \dots \wedge x_n \mapsto g(x_1) \wedge \dots \wedge g(x_n)$. The construction implies that combining all $\Lambda^n g$ s gives a morphism of graded R -algebra.

Proposition 2.2. *If (x_1, \dots, x_d) is a system of generators of M , then $\Lambda^n(M)$ is generated as an R -module by $\{x_{i_1} \wedge \dots \wedge x_{i_n} \mid 1 \leq i_1 < \dots < i_n \leq d\}$. In particular, for all $n > d$, $\Lambda^n(M) = 0$, which implies that $\Lambda(M)$ is a finitely generated R -module.*

Proof. For the cases where $n \leq d$, the result follows from the fact that the tensor of several modules is generated by the tensor of the generators of the corresponding modules; and multilinear maps into the tensor product is alternating. \square

Proposition 2.3. *M is a free, finitely generated R -module, with basis (x_1, \dots, x_d) . Then for all $n \leq d$, $\Lambda^n(M)$ is free with basis given by $\{x_{i_1} \wedge \dots \wedge x_{i_n} \mid 1 \leq i_1 < \dots < i_n \leq d\}$. Its rank is $\binom{d}{n}$.*

Proof. By the previous proposition, we only need to show that all the elements are linearly independent over R . Since the tensor product is commutative, fix the representation for e_I for $I \subseteq \{1, \dots, d\}$ to be $e_I = x_{i_1} \wedge \dots \wedge x_{i_n}$ for $i_1 < \dots < i_n$.

Now consider the I s respectively. For a fixed I , define $\bar{I} := \{1, \dots, d\} \setminus I$. By the fact that maps into the exterior algebra is alternating, we have

$$0 = e_{\bar{I}} \wedge \sum_{|J|=n} a_J e_J = \sum_{|J|=n} a_J (e_{\bar{I}} \wedge e_J) = a_I (x_1 \wedge \dots \wedge x_n) \quad (*)$$

We now seek to prove that $a_I = 0$ for all I , via apply a transformation into R . Consider the map $\psi : M^d \rightarrow R$ s.t. $\psi(u_1, \dots, u_d) = \det(a_{ij})$, where $u_i = \sum_{j=1}^n a_{ij} x_j$, which is multilinear and alternating by construction. Then, by the universal property of exterior algebra, there exists a map $f : \Lambda^d(M) \rightarrow R$ s.t. $\psi(u_1, \dots, u_d) = f(u_1 \wedge \dots \wedge u_d)$. In particular, $f(x_1 \wedge \dots \wedge x_d) = 1$. Applying f to $(*)$ gives $a_I = 0$, which gives as a consequence the linear independence. \square

Definition 2.3 (Symmetric Algebra). *Define I as the two-sided ideal generated by elements in the form of $\{x \otimes y - y \otimes x \mid x, y \in M\}$. The **symmetric algebra** $S(M) := T(M)/I$. This is a commutative R -algebra.*

Remark 2.4. By construction I is generated by homogeneous elements of degree 2, which is a homogeneous ideal (with $I_0 = I_1 = \{0\}$). This gives an alternative expression for the symmetric algebra

$$S(M) = \bigoplus_{n \geq 0} \frac{T^n(M)}{I \cap T^n(M)}$$

which indicates that this is a graded ring. The denominator $I \cap T^n(M)$ is often denoted $S^n(M)$ or $\text{Sym}^n(M)$.

Proposition 2.4 (Universal Property of $S(M)$). *$S(M)$ is a commutative R -algebra; and we have an inclusion $M \hookrightarrow S(M)$ which gives an isomorphism $M \simeq S^1(M)$. If S is a commutative R -algebra, and $\beta : M \rightarrow S$ is a R -linear map, then there exists a unique R -algebra homomorphism $f : S(M) \rightarrow S$ s.t. $f \circ \alpha = \beta$.*

Proof. By the universal property of $T(M)$, there exists a unique R -algebra homomorphism $\tilde{\beta} : T(M) \rightarrow S$ s.t. $\tilde{\beta}|_M = \alpha$. Since S is commutative, $I \subseteq \ker(\tilde{\beta})$. By the universal property of quotient, there exists a unique morphism $\beta : S(M) \rightarrow S$ s.t. $\beta|_M = \alpha$. \square

Example 2.2. Let M be a free R -module, with basis x_1, \dots, x_n . The above universal property gives the isomorphisms where S is a commutative R -algebra:

$$\{R\text{-algebra homomorphisms } S(M) \rightarrow S\} \simeq \{R\text{-linear maps } M \rightarrow S\} \simeq \{\text{maps } \{x_1, \dots, x_n\} \rightarrow S\}$$

which implies that $S(M)$ satisfies the universal property of multivariate polynomials, i.e. we have the isomorphism $S(M) \simeq R[x_1, \dots, x_n]$.

Example 2.3. Let $\varphi : M^p \rightarrow T^p(M) \rightarrow S^p(M)$ be a symmetric multilinear map. For every symmetric multilinear map $\psi : M^p \rightarrow N$, there exists a unique R -linear map $f : S^p(M) \rightarrow N$ s.t. $\psi = f \circ \varphi$. This can be proved similarly using the universal property of quotient rings.

3 Symmetric, Alternating and Hermitian Forms

4 The Spectral Theorem