AN12604

Implement second bootloader on i.MXRT10xx series

Rev. 0 — June 2020 Application Note

1 Introduction

Although i.MX RT series already supports ROM boot by UART or USB to upgrade the firmware, but still there is customized requirement to upgrade firmware in On-The-Air(OTA) or other use case. It requires to implement second boot loader instead ROM boot loader. This document intends to introduce one example to illustrate how to implement second boot loader on i.MX RT1050, i.MX RT1020, and i.MX RT1060 part based on XIP flash.

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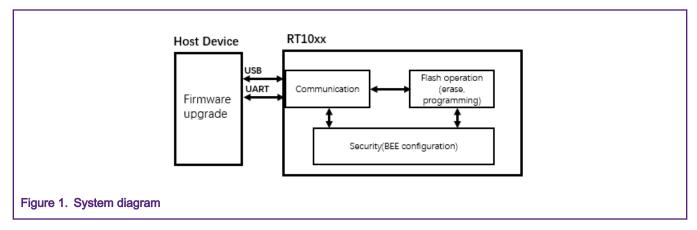
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2 Overview

Second boot loader manages the upgrade of the firmware. Generally it includes three parts, flash operation, communication, and security management. Second boot loader may be XIP(eXecute-In-Place) or NON-XIP depending upon customer application case and memory size on the system. As for NON-XIP, it means to run boot loader in internal memory or external memory; it must consume memory space for boot loader that is a limitation for the case with limited memory space.

This document introduces one common example to implement second boot loader based on XIP. It describes how to implement flash operation, communicate with host device by UART and USB. It also presents an example to show how to implement security management, which program the encrypted image and boot with BEE configuration to implement on-the-fly.

See the system diagram in Figure 1.

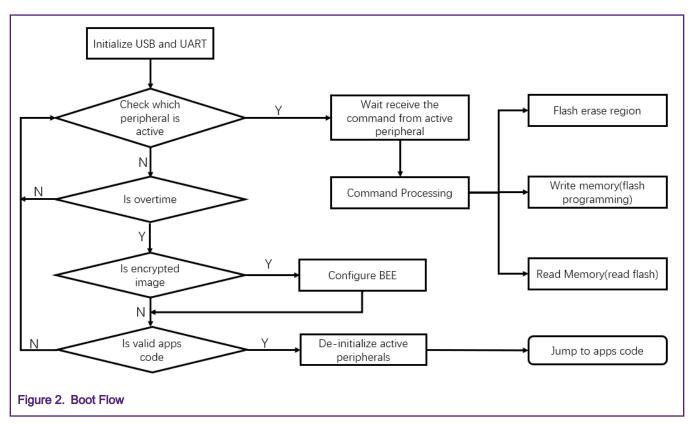


3 Second Boot loader implement

Here is an example to introduce how to implement XIP second boot loader in i.MX RT1050. Refer to IMXRT1050 EVKB Board Hardware User's Guide to rework board boot by QSPI flash.

For software architecture, it contains three sessions, flash operation, communication, and BEE configuration. Boot flow is shown in Figure 2.





After power-on, it first initializes the USB and LPUART1, and then checks which peripheral is active. Meanwhile, detects if it is greater than default TIMEOUT value(default is 10S for debug project, and 5S for release project). User can also change this setting in file "bootloader_config.h", as shown in Figure 3.

```
#if DEBUG
       #define BL DEFAULT PERIPHERAL DETECT TIMEOUT 10000
       #else
       #define BL DEFAULT PERIPHERAL DETECT TIMEOUT 5000
       #endif // DEBUG
       // The bootloader will check this address for the application vector tabl
       #if !defined(BL APP VECTOR TABLE ADDRESS)
       #define BL APP VECTOR TABLE ADDRESS (0x10000+0x60000000)
       #endif
       #define BL PARAMETERS ADDRESS
                                             (0xF000+0x60000000)
       #endif // __BOOTLOADER_CONFIG_H_
       #define FLASH VALID START
                                                BL APP VECTOR TABLE ADDRESS
       #define FLASH VALID END
                                                BL FLEXSPI AMBA BASE+8*1024*1024
Figure 3. BootLoader default parameters
```

3.1 Flash operation

i.MX RT support FlexSPI to interface QSPI, Octal and hyper flash. Here we take QSPI as an example to show how to implement flash erasing and programming on XIP. As ROM initializes FlexSPI and QSPI flash configuration on boot phase, it is not required

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to initialize FlexSPI once more. By default it do not support flash erasing and programming operations, It only initialize LUT to support fast read command by ROM, so the user has to update LUT to support more commands as per customer requirements. To get stable operation, follow the below guidelines:

- · Disable interrupt and prefetch buffer before doing any FlexSPI operation. To abort on-going prefetching, set SWRESET bit in register MCR0 to enable FlexSPI software reset. For example, update LUT.
- · Allocate all the code of operating FlexSPI to internal or external RAM, say updating LUT, flash erase and program API function.
- After flash erasing and programming complete, set SWRESET bit to enable FlexSPI software reset.

All the flash API function can be found in file "flexspi_nor_flash_ops.c", and for i.MX RT1060, also can call the ROM API to implement flash operation.

ROM provides the entry address(0x0020001c) for all API tree, it need to initialize API tree. One example as below:

g_bootloaderTree = (bootloader_api_entry_t *)*(uint32_t *)0x0020001c;

An snippet for API entry is as below:

```
typedef struct
    const uint32 t version;
                                                            //! Bootloader version number
    const char *copyright;
                                                            //!< Bootloader Copyright
    void (*runBootloader) (void *arg);
                                                            //! Function to start the bootloader executing
    const uint32 t *reserved0;
                                                            //!< Reserved
    const flexspi nor driver interface t *flexSpiNorDriver; //!< FlexSPI NOR Flash API
    const uint32 t *reserved1;
                                                          //!< Reserved
    const clock driver interface t *clockDriver;
    const rtwdog driver interface t *rtwdogDriver;
    const wdog driver interface t *wdogDriver;
    const uint32 t *reserved2;
} bootloader_api_entry_t;
Figure 4. ROM API Entry
```

User can call appropriate API by this API entry. For details refer to attached example of "rt1060_rom_api.zip".

3.2 Communication

This boot loader currently supports UART and USB for boot, default support LPUART1, and band rate is 115200 bps, available to use host tool "blhost.exe" to get image upgrade, to simplify the operation, remove some commands and supported commands list as below:

- get property
- · write memory
- · flash erase region
- · read memory

For detail protocols introduction, refer to doc MCUX Flashloader Reference Manual and Kinetis blhost User's Guide.pdf".

Boot pins is as below:

Table 1. Boot Pins

| Periphera | l Instance | IO Func | ALT | PAD |
|-----------|------------|------------|-----|---------------|
| LPUART | 1 | lpuart1.TX | 2 | GPIO_AD_B0_12 |

Table continues on the next page...

Table 1. Boot Pins (continued)

| | | lpuart1.RX | 2 | GPIO_AD_B0_13 |
|------|-------------|-------------|-------------|---------------|
| LICD | USB_OTG1_DP | - | USB_OTG1_DP | |
| 036 | USB 1 | USB_OTG1_DN | - | USB_OTG1_DN |

User may change UART instance and pin mux as below.

• Navigate the file "peripherals_MIMXRT1052.C" to change instance number.

```
//! @brief Peripheral array for MIMXRT1051.
const peripheral descriptor t g peripherals[] = {
#if BL CONFIG LPUART 1
    // LPUART1
    {.typeMask = kPeripheralType UART,
     .instance = 1,
     .pinmuxConfig = uart pinmux config,
     .controlInterface = &g lpuartControlInterface,
     .byteInterface = &g_lpuartByteInterface,
     .packetInterface = &g framingPacketInterface },
#endif // BL CONFIG LPUART 1
```

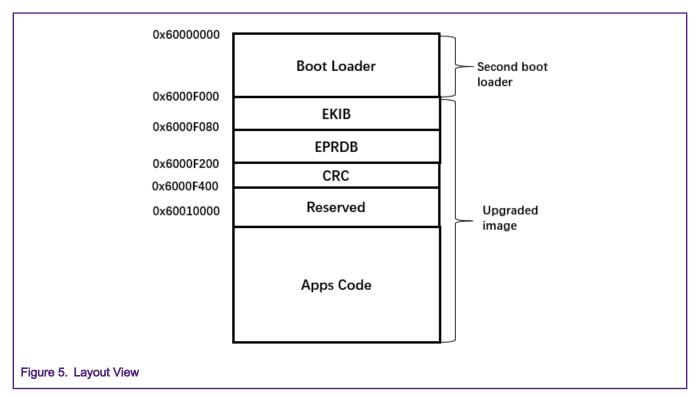
For example, available to change LPUART instance to 2 or others.

Here ".pinmuxconfig" specify the pin mux configuration function for LPUART. To change pin mux settings, navigate this function to modify it.

3.3 Security management

The second bootloader support to upgrade the encrypted or raw image. To encrypt image by default it uses SW_GP2 as key, and decrypted on-the-fly by configure BEE region1, and reserve BEE region0 for second boot loader, available to select OTPMK/ SNVS as boot loader key, or use the same key(SW_GP2) for second boot loader. The image layout is shown in Figure 5.

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It reserves the address space from 0x60000000 to 0x6000f000 for second boot loader, and address space from 0x6000f000 to 0x6000f400 is for configuration parameters of encrypted image. This is similar with ROM EKIB and EPRDB, EKIB encrypted by SW_GP2 and EPRDB encrypted by KIB with AES128 CBC mode.

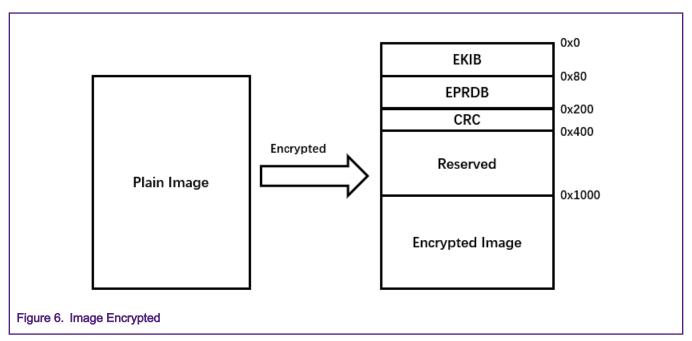
After detect timeout, second boot loader tries to check if image is valid or not, if image is encrypted image, try to decrypt EKIB and EPRDB, and then configure BEE region 1 with specified setting by PRDB, and reserve BEE region 0 for boot loader encryption.

NOTECustomer must burn the SW_GP2 and set fuse "BEE_KEY1_SEL" to "0b11", this is to enable BEE region 1 key from SW_GP2.

4 Generate Encrypt Image

As second boot loader supports to program the encrypted the image and boot up, so it needs one tool to convert the plain image to encrypted image. A simple diagram, and image layout is below:

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The description of encrypted image layout is as below:

Table 2. Encryption image parameters layout

| Offset | Description |
|-------------------|---|
| 0x0000 - 0x003 | Tag, must equal to 0xEA5CA5A5 |
| 0x4 - 0x23 | Encrypted Key Info Block(EKIB) |
| 0x24 – 0x7f | Reserved |
| 0x80 - 0x17F | Encrypted Protection Region Descriptor Block(EPRDB) |
| 0x180 - 0xFFF | Reserved |
| 0x200 – 0x400 CRC | Save image CRC value for check |
| 0x400 - 0xFFF | Reserved |

Key Info Block(KIB) consist of 128-bit AES Key and 128-bit Initial Vector.

Table 3. Key Info Block

| Offset | Field | Description |
|-------------|----------------|--|
| 0x00 - 0x0f | AES-128 KEY | 128-bit AES KEY used for EPRDB decryption |
| 0x10 - 0x1f | Initial Vector | 128-bit Initial Vector used for EPRDB decryption |

Protection Region Descriptor Block (PRDB) is a 128 byte crypto block using AES-ECB mode and the AES Key provisioned in eFUSE. The plaintext of PRDB is shown in Table4.

Table 4. Protection Region Descriptor Block

| Offset | Field | Description |
|------------------|----------|---|
| 0x000 - 0x003 | tagl | Must equal to 0x5F474154 (ASCII: "TAG_") |
| 0x004 - 0x007 | tagh | Must equal to 0x52444845 (ASCII: "EHDR") |
| 0x008 - 0x00b | Version | [31:24] 'V' [23:16] Major version [15:08] Minor version [07:00] Bug fix |
| 0x00c - 0x00f | Reversed | Must equal to 0x56010000 for i.MX RT Family |

Table 4. Protection Region Descriptor Block (continued)

| 0x010-0x04 f | encrypt_region_info | Information for encrypted region | | | | |
|-----------------|---------------------|----------------------------------|------------------|---|-------------------------|----------------------------|
| | | Offset | Field | Description | | |
| | | 0x00 - 0x03 | Start | Absolute sta | rt address of encr | ypted region, must be 4 |
| | | 0x04 - 0x07 | End | Absolute end address of encrypted region, must be 4 K aligned | | vpted region, must be 4 KB |
| | | 0x08 - | Mode | AES encrypt | tion mode | |
| | | 0x0b | | 0 - AES-ECE | 3 (128) | |
| | | | | 1 - AES-CTF Encrypted X | | commend mode for |
| | | 0x0c - 0x0f | lock_option | Region Lock | options | |
| | | | | 0 - All regions are unlocked | | |
| | | | | 3 - BEE regi | ons 1 are locked. | |
| | | 0x10 - 0x1f | Counter | AES-CTR C | ounter, Valid only | if mode is 1 |
| | | | | Only the upp | oer 96bits are conf | figurable, the lower 32bit |
| | | | | Note: | | |
| | | | | During encry | ption and decrypt | ion, the nonce/is |
| | | | | 127:32 | 31:28 | 27:0 |
| | | | Counter [127:32] | 0 | FAC Region Start [31:4] | |
| | 0x20-0x3f | Reserved | Reserved fo | r future use. | | |
| 0x050-0x0F F | Reserved | Reserved fo | or future use | | | |

CRC block is to check the code integrity of programming to flash, second boot loader will make CRC check before jumping to user code, if failed, will stay in boot load image.

Table 5. CRC Block

| Offset | Field | Description |
|-------------|-------|--------------------------|
| 0x00 - 0x04 | Tag | Must equal to 0xccea5a5a |

Table continues on the next page...

Table 5. CRC Block (continued)

| 0x04 - 0x07 | CRC value | Final CRC results |
|-------------|------------|-----------------------------------|
| 0x08 – 0x0b | Byte count | Number of bytes for CRC processed |

Attached zip file with the document provides one tool "image_generate.exe". To encrypt image, detail arguments introduction is described as below:

Table 6. Arguments View

| ifile | Input file path | |
|--------------|---|---|
| ofile | Output file path | |
| base_addr | base address of input file | |
| region_key | hex string | |
| region_arg | [start, length, permission],, [start, length, permission] | start, length, and permission are integer variables |
| region_lock | 0/1/2 | 0/1/2 |
| use_zero_key | 0/1 | 0 - KIB and Nonce/Counter[1/2/3] are generated randomly |
| | | 1 - KIB and Nonce/Counter[1,2,3] = 0 |
| | | |
| kib_key | hex string | |
| kib_iv | hex string | |
| region_iv | hex string | |

Below is example to generate the encrypted image by "image_generate.exe" tool:

image_generate.exe ifile=iled_blinky.bin ofile=iled_blinky_encrypt.bin base_addr=0x60010000 region_key=00112233445566778899aabbccddeeff region_arg=1,[0x60010000,0xF000,0]

5 Run Boot Loader

To program the encrypted image to flash, second boot loader must first fuse SW_GP2 to i.MXRT10xx(part RT1020/RT1050/ RT1060), and fuse "BEE KEY1 SEL" to "0b11" to enable SW GP2 as BEE region1 key selection.

Get the attached package, and then build second boot loader to program the code to flash by IDE or others tools. Attached code support to program to flash by IAR directly.

To update image follow the below steps:

1. Prepare one apps image for upgrade, it must modify the linker file to get interrupt vector address to be 0x60010000. Refer to attached linker file, below is snippet linker file.

```
define symbol m_interrupts_start = 0x60010000;
define symbol m_interrupts_end = 0x600103FF;

define symbol m_text_start = 0x60010400;
define symbol m_text_end = 0x63FFFFFF;

define symbol m_data_start = 0x20000000;
define symbol m_data_end = 0x2001FFFF;
```

Also, remove the XIP information by "XIP_BOOT_HEADER_ENABLE = 0".

- 2. Program the second boot loader to flash by IDE or other tools.
- 3. Generate the encrypted image by attached tools "image generate.exe " with below command:

image_generate.exe ifile=iled_blinky.bin ofile=iled_blinky_encrypt.bin base_addr=0x60010000 region_key=00112233445566778899aabbccddeeff region_arg=1,[0x60010000,0xF000,0]

This is optional, user can also directly program the plain image to flash.

4. Plug in USB cable, and try to run command in sequence.

USB command as below:

- blhost.exe -u -- get-property 1
- blhost.exe -u -- flash-erase-region 0x6000f000 0x10000
- blhost.exe -u -- write-memory 0x6000f000 iled_blinky_encrypt.bin

UART command as below:

- blhost.exe -p COM7,115200 -- get-property 1
- blhost.exe -p COM7,115200 -- flash-erase-region 0x6000f000 0x10000
- blhost.exe -p COM7,115200 -- write-memory 0x6000f000 iled blinky encrypt.bin

With above command, it can erase specified flash space and program the image file to flash.

NOTE

For encrypted image, start address of image is 0x6000f000, for raw image, start address is 0x60010000.

Also user can check flash contents by read-memory command. For detailed information on how to use blhost, refer to "blhost User's Guide.pdf".

5. After successfully write image to flash, reset MCU, and then it works, see the LED blinking, and print the message on serial terminal.

6 Conclusion

This document introduces one way to implement second boot loader based on XIP flash, which is helpful to implement second boot loader based customized applications.

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7 Revision history

Table 7. Revision history

| Revision number | Date | Substantive changes |
|-----------------|---------|---------------------|
| 0 | 06/2020 | Initial release |

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