

Lecture 2: Closest Pair Problem

We revisit the algorithm paradigm of *Divide and Conquer*. You might have seen simple algorithmic problems based on this paradigm in your previous course - merge sort, quick sort, product of two n bit numbers, counting inversions. We shall now consider some advanced problems which are solved using divide and conquer paradigm. The first problem is the following geometric problem.

Problem: Compute the closest pair of points from a given set P of n points in x - y plane.

For sake of simplicity, we shall just compute the distance between the closest pair of point. Let $x(p)$ and $y(p)$ denote respectively the x -coordinate and y -coordinate of a point $p \in P$. There is a trivial $O(n^2)$ time algorithm for this problem. We shall now design an $O(n \log n)$ time algorithm for this problem based on divide and conquer strategy. The algorithm uses the following tools.

Tools needed

1. **A geometric fact :**

In a unit square, if there are more than 4 points, then there must be at least 2 points at distance less than 1.

As a simple corollary, if there is a unit square has some points such that the closest pair among them is at distance at least 1, then there can be at most 4 points in the square.

2. **The purpose of data structures:**

If an algorithm requires execution a single operation multiple times on a given data, it makes sense to organize the data into a suitable data structure such that each operation can be performed efficiently.

An $O(n \log^2 n)$ time algorithm

In an interactive manner, through a question-answer session, we designed Algorithm 1 (see next page) for computing distance between the closest pair of points. Please see Figure 1 for some notations used in the algorithm.

If $T(n)$ denote the time complexity of the algorithm. The following recurrence captures the asymptotic behavior of $T(n)$.

$$T(n) = cn \log n + 2T(n/2)$$

The solution of this recurrence is $T(n) = O(n \log^2 n)$.

There are two components of the algorithm that contribute to $O(n \log n)$ term in the recurrence. First is the line 14 which sorts L' . Second component is the line 16 where we follow a procedure similar to the binary-search of $y(q)$ in sorted array L' to compute the points (at most 8) in δ -rectangle of a point $q \in R'$. In order to accomplish these tasks in $O(n)$ time, and hence achieve $O(n \log n)$ time complexity for the problem, we get inspiration from merge-sort (ponder over it).

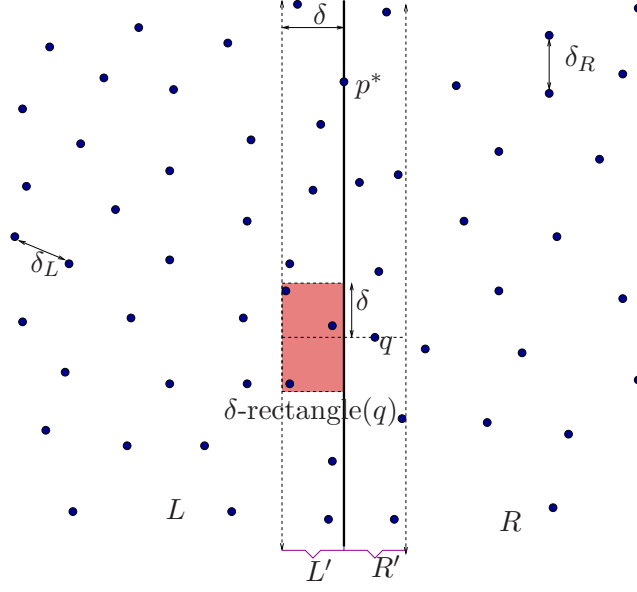


Figure 1: Initially $\delta = \min(\delta_L, \delta_R)$. Note that $\delta\text{-rectangle}(q)$ will have ≤ 8 points from L' .

Algorithm 1: Closest-pair(P)

```

1 if  $|P| = 1$  then
2    $\text{return } \infty$ 
3 else
4   if  $|P| = 2$  then
5      $\text{return}$  the distance between the 2 points in  $P$ 
6   else
7      $p^* \leftarrow x\text{-median}(P)$ ;
8      $(L, R) \leftarrow \text{Split-according-to-x-coordinates}(P, p^*)$ ;
9      $\delta_L \leftarrow \text{Closest-pair}(L)$ ;
10     $\delta_R \leftarrow \text{Closest-pair}(R)$ ;
11     $\delta \leftarrow \min(\delta_L, \delta_R)$ ;
12     $L' \leftarrow \{p \in L \mid x(p) \geq x(p^*) - \delta\}$ ;
13     $R' \leftarrow \{p \in R \mid x(p) \leq x(p^*) + \delta\}$ ;
14    Sort  $L'$  in increasing order of  $y$ -coordinate;
15    foreach each point  $q \in R'$  do
16      Compute all-points of  $L'$  present in  $\delta\text{-rectangle}$  of  $q$ ;
17      Compute distance from  $q$  to each of at most 8 points in  $\delta\text{-rectangle}$  of  $q$ ;
18      update  $\delta$  if needed;
19    end
20     $\text{return } \delta$ ;
21  end
22 end

```

Improving the time complexity to $O(n \log n)$

Here is the sketch of the $O(n \log n)$ time algorithm for computing distance of the closest pair of points from P .

Algorithm 2: Closest-pair(P)

```
1 if  $|P| = 1$  then
2   | return  $(\infty, P)$ 
3 else
4   | if  $|P| = 2$  then
5   |   ...;
6   | else
7   |    $p^* \leftarrow x\text{-median}(P)$ ;
8   |    $(L, R) \leftarrow \text{Split-according-to-x-coordinates}(P, p^*)$ ;
9   |    $(\delta_L, L) \leftarrow \text{Closest-pair}(L)$ ;
10  |    $(\delta_R, R) \leftarrow \text{Closest-pair}(R)$ ;
11  |    $\delta \leftarrow \min(\delta_L, \delta_R)$ ;
12  |    $L' \leftarrow \{p \in L \mid x(p) \geq x(p^*) - \delta\}$ ;
13  |    $R' \leftarrow \{p \in R \mid x(p) \leq x(p^*) + \delta\}$ ;
14  |   // Note that  $L'$  and  $R'$  are sorted here;
15  |   while  $L' \neq \emptyset$  and  $R' \neq \emptyset$  do
16  |     ...;
17  |     ...;
18  |     ...;
19  |   end
20  |    $P \leftarrow y\text{-merge}(L, R)$ ;
21  |   return  $(\delta, P)$ ;
22  | end
23 end
```

As a homework, do the following exercises.

Exercise 1: Fill all the details of Algorithm 2. All it requires is your knowledge of merge sort and understanding of Algorithm 1.

Exercise 2: Some student pointed out that the algorithm may be simplified by first sorting P along x -axis and keeping it in an array A and sorting P along y -axis and keeping it in another array B . Convince yourself that sorting P along y -axis initially is not helpful in the algorithm.

Exercise 3: How will you extend the algorithm to compute closest-pair of n points in 3-dimensional space ?

Remark: As far as deterministic algorithms are concerned, $O(n \log n)$ is the best they can achieve for the closest-pair problem. However, there exists an equally simple but randomized algorithm for this problem that takes expected (average) $O(n)$ time.