# GSAS USE

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#### Please open README.pdf to see the formulas

This is an extension to the *GSAS-II* Rietveld package *GSAS\_USE* (Bayesian Statistics Approach to Accounting for Unknown Systematic Errors), written and maintained by Anton Gagin (anton.gagin@nist.gov, av.gagin@gmail.com.)

GSAS\_USE addresses the effects of systematic errors in Rietveld refinements. The errors are categorized into multiplicative, additive, and peak-shape types. Corrections for these errors are incorporated into using a Bayesian statistics approach, with the corrections themselves treated as nuisance parameters and marginalized out of the analysis. Structural parameters refined using the proposed method represent probability-weighted averages over all possible error corrections. See Gagin, A. & Levin, I. (2015). Accounting for Unknown Systematic Errors in Rietveld Refinements: A Bayesian Statistics Approach. J. Appl. Cryst. 48, 1201-1211 for details.

The current version has been tested with *GSAS-II* version 0.2.0, revision 1884. For details of the *GSAS-II* package, see Toby, B. H. & Von Dreele, R. B. (2013). *J. Appl. Cryst.* **46**, 544-549, or visit their website.

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## Installation

To apply this patch, place the *patchSystErrors* folder in your *GSAS-II* local folder and run \_\_\_ap-ply\_patch\_\_\_.py, or print

```
execfile('__apply_patch__.py')
```

in a python command line interpreter. If everything correctly, the following message will be displayed

```
### based on Diff, Match and Patch Library
### http://code.google.com/p/google-diff-match-patch/
### by Neil Fraser
### Copyright 2006 Google Inc.

This script will patch your current version of the GSAS-II package
Begin [y/n]?
```

Type y and follow the instructions.

Folder *originalOld* contains some of the original *GSAS-II* source files under revision 1852. Folder *modifiedOld* contains our modification of these files. The script copies the source files from your current revision of *GSAS-II* into the *originalNew* folder. Before applying the patch please ensure that the local folder with *GSAS-II* contains the original *GSAS-II*-files and not the modified versions! \_\_apply\_patch\_\_.py calculates the patch from the difference between the files in the *originalOld* and *modifiedOld* folders, applies this patch to the files in the *originalNew* folder, and writes the results to the *modifiedNew* folder (as well as to the *GSAS-II* local folder.)

To restore the original *GSAS-II*-files, run \_\_\_restore\_original\_\_\_.py.

To update patch, run \_\_\_update\_patch\_\_\_.py.

## Usage

After the patch has been applied, start GSAS-II normally. In **Controls** menu specify the correction parameters. If several histograms are refined simultaneously, list these parameters, separated by commas, in the order corresponding to the order of the histograms. If you wish to the same value of the parameter for all the histograms, enter a single number. Set  $E\_mu$ ,  $E\_beta$  or s to zero, if you do not want to apply a particular correction (multiplicative, additive, or peak-shape.)

If you select  $Estimate\ optimal\ k\_mu$ ?, the  $Prior\ factor\ k\_mu$  field will be set to optimal. The same is true for the  $Estimate\ optimal\ k\_beta$ ? and  $Prior\ factor\ k\_beta$  fields. Deselecting  $Estimate\ optimal\ k$ ? will restore the previous value in  $Prior\ factor\ k$ .

If you click on *Correlation length l\_delta* field, the *estimate it as FWHM* / field will be set to **none**, and vice versa. The same is true for the fields *Stdev sigma\_delta* and *estimate it as l\_delta*/.

To start a Bayesian-corrected refinement, select Calculate/Refine in the *GSAS-II* data tree window. To see refinement results, select Data/Open .lst file or Data/Compare standard and Bayesian fits.

## Description

• The multiplicative correction  $\mu(x)$  is approximated by a set of  $E\_mu$  cubic spline functions  $\phi_j^{(\mu)}(x)$ 

$$\mu(x) = \sum_{j=1}^{E_{\mu}} \left( 1 + c_j^{(\mu)} \right) \phi_j^{(\mu)}(x),$$

where  $c_j^{(\mu)}$  are the spline coefficients. Spline-knot positions are selected equidistantly. The scaling parameter  $k\_mu$  reflects the strength of the restriction on closeness of the multiplicative correction to unity. It can be estimated by the program from the residual of a standard fit (no corrections), if *Estimate optimal*  $k\_mu$ ? is selected.

• The additive correction is approximated using a set of  $E\_beta$  cubic spline functions  $\phi_j^{(\beta)}(x)$ 

$$\beta(x) = \sum_{j=1}^{E_{\beta}} c_j^{(\beta)} \phi_j^{(\beta)}(x).$$

The scaling parameter  $k\_beta$  reflects the strength of the smoothness restriction on the additive correction.

• A diffraction profile is corrected by varying x-coordinates of the individual points of a diffraction curve. A probability of each 'move'  $\delta x$  is calculated as

$$p(\delta x) \propto \exp\left(-\frac{1}{2}\delta x^T \Sigma_{\delta}^{-1} \delta x\right),$$

where the covariance matrix  $\Sigma_{\delta}^{-1}$  is defined as

$$\Sigma_{ij}^{(\delta)} = \sigma_{\delta}^2 \exp\left(-\frac{1}{2} \left(\frac{x_i - x_j}{l_{\delta}}\right)^2\right).$$

The scaling parameters  $sigma\_delta$  and  $l\_delta$  describe the standard deviation for the correction and correlation length for the point coordinates, respectively.  $l\_delta$  can be estimated from the characteristic FWHM values for the diffraction peaks (which depend on x) as FWHM/p1, where p1 can be any real number. For a multi-phase refinement, if estimated from the FWHM,  $l\_delta$  is calculated as a an average weighted by the number of peaks for all the phases.

 $sigma\_delta$  can be estimated from the  $l\_delta$  value(s) as  $l\_delta/p2$ , where p2 can be any real number.

To reduce the computational complexity (e.g. one may get an out-of-memory error for extremely large histograms) and speed the calculations, the fitted x-range is divided into s independent segments.

- The iterative procedure works as follows:
- a standard fit is performed
  - a Bayesian-corrected fit is performed
  - the optimal corrections are calculated and applied to the experimental data
  - a Bayesian-corrected fit is repeated

The second Bayesian-corrected fit is prone to overfitting becouse it uses the same correction parameters as have been already applied to the data. Therefore, we advise to limit the use of the iterative option to cases of large systematic errors.

- If your select run sampler for MCMC? the patch will do the following:
  - perform a standard fit
  - call the  $\it emcee$  library and run the Goodman & Weare's Affine Invariant MCMC sampler
  - perform a Bayesian-corrected fit to obtain the final estimations

Results of the MCMC sampler will be saved in a text file and as a picture in a project folder. Prior to using this feature make sure that *emcee* library is installed.

#### Example

- Download the example files for a 'Combined X-ray/CW-neutron refinement of PbSO4' from the *GSAS-II* tutorial. Perform the refinements as desscribed in the tutorial.
- Deselect all the refinable parameters except for the structural variables which include 3 lattice parameters, 11 sets of atomic coordinates, and 5 isotropic atomic displacement parameters. MAKE SURE to deselect Background and Histogram scale factor!
- For this example we want to correct all three types of errors. Set the Number of knots E\_mu to

15, 20

(more splines are selected for the XRD data because it shows the worse residual). These number of knots can be increased up to

#### 30, 45

but this will take longer to calculate. Set  $Priot\ factor\ k\_mu$  to

#### 1, 1

• Set Number of knots E\_beta to

#### 15, 20

and select *Estimate optimal k\_beta?* 

• Set Number of blocks s to

#### 8,8

To estimate correlation lengths  $l\_delta$  and standard deviations  $sigma\_delta$ , type

#### 1.5

in the estimate it as FWHM / and

#### 2.0

in the estimate it as l\_delta / fields, respectively.

• Select Calculate/Refine in the GSAS-II data tree window. The program will perform a standard least-squares fit followed by a Bayesian-corrected fit. The results will be saved in the **projectName.lst** file. The details of the Bayesian fit will be stored in the **projectName\_cor\_iHist.txt** files, where **iHist** is the histogram number.

## What the patch does

- constant-wavelength and time-of-flight data
- single- and multi-phase systems
- automatic selection of the optimal values for the prior parameters  $k\_mu$  and  $k\_beta$
- use of FWHM(x) dependence to estimate  $l\_delta$
- Bayesian-corrected fits
- calculations of the most plausible (optimal) corrections
- plots relevant residuals and histograms
- runs a MCMC sampler