# openGA

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his user documentation provides guidelines for using openGA, a free C++ library for Genetic Algorithm (GA) based optimization. This library is potential to run GA in single objective, multi-objective and interactive modes. OpenGA is highly flexible to customizations of users and avoides many limitations which MAT-LAB is currently suffering. The crossover and mutation operations are entirely under the control of the designer. Genes are not required to be presented via a vector. The evaluation of chromosomes are performed via multithreading implementation by default and the user can reject a chromosome after its evaluation.

#### Introduction

#### Motivation and aims

Despite currently there are some available C++ based GA libraries, the following raised my motivation to write this new GA library

• This code is aiming for GA problems when cost functions are expensive in terms of calculation. A thread pool is used to increase the speed of GA for chromosome evaluations.

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- Separation of middle computation and final cost. Unfortunately, in many applications, the chromosomes need to run heavy simulations and they have to store valuable additional information into the cost beyond the final cost(s). This library, opens the hand of the designers to write their customized middle costs. Then, converting them to the final cost at the last stage.
- Rejection of chromosomes after computation. Another problem of many GA libraries including MATLAB is that they have a nonlinear condition, while the condition is not known unless heavy computation is performed. This computation can be no longer used for evaluation. In this GA library, the user code is able to reject a chromosome even after its heavy evaluation computation. In another term, the nonlinear condition can be mixed with evaluation.
- Flexible crossover and mutation. One of the problem of many GA libraries including MATLAB is to perform naive crossover and mutation. In this library, performing crossover and mutation is totally up to the opinion of the user.

#### User side code

The user side codes consist of the following

**Settings:** population, maximum generation number, etc.

**Genes definition:** chromosome data structure.

**Middle cost definition:** A temporary variable storing the results of related simulations. However, it needs to be finalized to be used as final objective(s).

**Mapping genes:** Generating genes from a given randomization function.

**Evaluations:** Genes are evaluated and converted into middle costs.

**Mutation function:** A function for custom genetic mutations.

**Crossover function:** A function for custom genetic crossover.

**Total fitness:** A function to summarize the middle cost to the final cost.

**Report generation:** A function to show/store the results of each generation.

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#### Requirements

Installing the following libraries are necessary for using this GA library

- Boost Library (http://www.boost.org/users/download/)
- Armadillo Matrix Library (http://arma.sourceforge.net/download.html)

```
Compiler options:
-03 -s -DNDEBUG -DARMA_NO_DEBUG -std=c++11 -pthread
```

Linker options:

-pthread -lboost\_system -lboost\_thread -lboost\_chrono

# **Settings**

The main setting is related to the problem mode which provides the following options:

- GA\_MODE::SOGA: Single objective genetic algorithm
- GA\_MODE:: IGA: Interactive (single objective) genetic algorithm
- GA\_MODE::NSGA\_III: Multi-objective genetic algorithm (Nondominated sorting GA III)

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	SO	IG	MO	Type	Default
problem_mode	<b>√</b>	<b>≯</b>	<b>○</b>	enum class	SOGA
multi_threading	<b>√</b>	_	<b>V</b>	bool	true
N_threads	<b>√</b>	_	<b>V</b> ✓	int	CPU cores
verbose	<b>√</b>	<b>√</b>	<b>V</b>	bool	false
population	<b>√</b>	<b>V</b>	\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \	uint	50
generation_max	<b>√</b>	<b>√</b>	<b>√</b>	int	100
calculate_SO_total_fitness	<b>√</b>	\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \	\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \	function	nullptr
calculate_IGA_total_fitness	\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \	- -	_	function	nullptr
calculate_MO_objectives	_	-	- -	function	nullptr
distribution	<u> </u>	_	<b>V</b>	function	nullptr
_objective_reductions	_	_	*	Tunction	nunpu
map_genes	<b>/</b>	<b>√</b>	<b>√</b>	function	nullptr
eval_genes	<b>√</b>	_	<b>√</b>	function	nullptr
eval_genes_IGA	_	<b>√</b>	_	function	nullptr
mutate	<b>√</b>	<b>√</b>	<b>√</b>	function	nullptr
crossover	<b>√</b>	<b>√</b>	<b>√</b>	function	nullptr
SO_report_generation	<b>√</b>	<b>√</b>	-	function	nullptr
MO_report_generation	-	-	<b>√</b>	function	nullptr
$\operatorname{custom\_refresh}$	<b>√</b>	-	<b>√</b>	function	nullptr
elite_count	<b>√</b>	<b>√</b>	-	int	5
crossover_fraction	<b>√</b>	<b>√</b>	<b>√</b>	double	0.7
mutation_fraction	<b>√</b>	<b>√</b>	<b>√</b>	double	0.3
idle_delay_us	<b>√</b>	<b>√</b>	<b>√</b>	long	1000
tol_stall_average	<b>√</b>	<b>√</b>	-	double	1e-4
average_stall_max	<b>√</b>	<b>√</b>	-	int	10
tol_stall_best	<b>√</b>	<b>√</b>	-	double	1e-6
best_stall_max	<b>√</b>	<b>√</b>	-	int	10
reference_vector_divisions	-	-	<b>√</b>	uint	10
$enable\_reference\_vectors$	-	-	<b>√</b>	bool	true

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## Stop reason

Genetic Algorithm may stop because of one of the following reasons:

- StopReason:: MaxGenerations : Reaching the maximum generation number.
- StopReason::StallAverage: The average cost has not changed more than tol\_stall\_average for average\_stall\_max generation steps (only for single objective or interactive GA)
- StopReason::StallBest: The average cost has not changed more than tol\_stall\_best for best\_stall\_max generation steps (only for single objective or interactive GA)
- StopReason::UserRequest: user has requested for stopping GA by setting user\_request\_stop to true.

## Single Objective Optimization

In single objective GA, each chromosome evaluation will be finalized into a single cost value.

# **Multi-Objective Optimization**

In multi-objective GA, each evaluation does not lead into only a single cost but multiple objectives to be minimized. Therefore, the output of optimization is not a single chromosome as the best solution, but a set of nondominated solutions called pareto-optimal solutions.

The applied multi-objective GA is based on NSGA-III proposed by [Deb and Jain, 2014] [Jain and Deb, 2014].

# **Interactive Genetic Algorithm**

Interactive Genetic Algorithm (IGA) is similar to the conventional GA except for the cost function is evaluated via human subjectivity [Takagi, 2001]. Interactive Evolutionary Algorithms (IEA) have applications in art [Dalvandi et al., 2010], fashion design [Kim and Cho, 2000], music [Tokui et al., 2000], graphic arts [Lewis, 2008] and architecture [Serag et al., 2008].

In this libary, there are several considerations for IGA:

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- It is assumed, IGA is involved in a heavy computation via eval\_genes\_IGA. This function has access to the previous evaluated genes in the same generation and it can make decision based on them. For example if a solution is too close to the available solutions, it can be rejected. This function is no called to evaluate the middle cost of the generation elites again.
- Human evaluation is assumed to be applied in calculate\_IGA\_total\_fitness function. This function is supposed to evaluate the final cost of the entire new generation based on human subjectivity.
- Sum of these three terms have to be exactly equal to 1.0: crossover\_fraction, mutation\_fraction and elit\_fraction=elite\_count/population.

### License

This library is free and distributed under Mozilla Public License Version 2.0.

## Sample codes

# Single objective GA

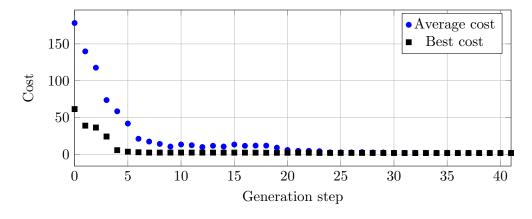


Figure 1: Single objective GA convergence

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```
// This library is free and distributed under
// Mozilla Public License Version 2.0.
#include <string>
#include "genetic.hpp"
struct MyGenes
  double x;
  double y;
  std::string to_string() const
  {
    return
      \{x: +std::to_string(x) + \}
      ", y:"+std::to_string(y)+
};
struct MyMiddleCost
 // This is where the results of simulation
 // is stored but not yet finalized.
 double cost_distance2;
  double cost_sqsin;
};
typedef EA::Genetic<MyGenes,MyMiddleCost> GA_Type;
typedef EA::GenerationType<MyGenes,MyMiddleCost> Generation_Type;
void map_genes(MyGenes& p, std::function<double(void)> rand)
 p.x=20.0*rand()-10.0;
  p.y=20.0*rand()-10.0;
bool eval_genes(
 const MyGenes& p,
  MyMiddleCost &c)
  double x=p.x;
  double y=p.y;
  // see the surface plot at:
  // https://academo.org/demos/3d-surface-plotter/?expression=x*x%2By
     *y%2B30.0*sin(x*100.0*sin(y)%2By*100.0*cos(x))%2B125%2B45.0*
     sqrt(x%2By)*sin((15.0*(x%2By))%2F(x*x%2By*y))&xRange=-10%2C%2
     B10&yRange=-10%2C%2B10&resolution=100
```

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```
// the middle comupations of cost:
 if (x+y>0)
   double predictable_noise=30.0*\sin(x*100.0*\sin(y)+y*100.0*\cos(x));
   c.cost_distance2=x*x+y*y+predictable_noise;
   c.cost_sqsin=125+45.0*sqrt(x+y)*sin((15.0*(x+y))/(x*x+y*y));
   return true; // genes are accepted
 else
   return false; // genes are rejected
MyGenes mutate(const MyGenes& X_base, std::function<double(void)> rand
 MyGenes X_new;
 double r=rand();
 bool in_range_x,in_range_y;
 do{
   X_new=X_base;
   X_{new.x+=0.2*(rand()-0.5)};
   X_{new.y} += 0.2* (rand() - 0.5);
   in_range_x= (X_new.x>=-10.0 && X_new.x<10.0);
    in_range_y= (X_new.y>=-10.0 && X_new.y<10.0);
  } while(!in_range_x || !in_range_y);
 return X_new;
MyGenes crossover(const MyGenes& X1,const MyGenes& X2,std::function<
   double(void)> rand)
 MyGenes X_new;
 double r;
 r=rand();
 X_new.x=r*X1.x+(1.0-r)*X2.x;
 r=rand();
 X_new.y=r*X1.y+(1.0-r)*X2.y;
 return X_new;
double calculate_SO_total_fitness(const GA_Type::thisChromosomeType &
  // finalize the cost
 double cost1, cost2;
 cost1=X.middle_costs.cost_distance2;
 cost2=X.middle_costs.cost_sqsin;
 return cost1+cost2;
```

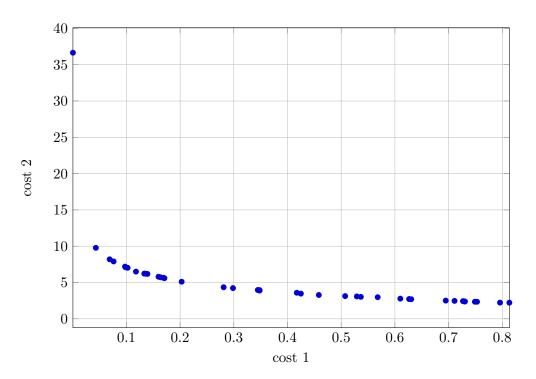
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```
std::ofstream output_file;
void SO_report_generation(
 int generation_number,
 const EA::GenerationType<MyGenes,MyMiddleCost> &last_generation,
  const MyGenes& best_genes)
 std::cout
   <<"Generation ["<<generation_number<<"], "
   <<"Best="<<last_generation.best_total_cost<<", "
   <<"Average="<<last_generation.average_cost<<", "
   <<"Best genes=("<<best_genes.to_string()<<")"<<", "
   <<"Exe_time="<<last_generation.exe_time
   <<std::endl;
 output_file
   <<generation_number<<"\t"
   <<best_genes.x<<"\t"
   <<br/>best_genes.y<<"\t"
   <\!\!<\!\!\text{last\_generation.average\_cost}<\!\!<"\backslash t"
   <<last_generation.best_total_cost<<"\n";
}
int main()
 output_file.open("./bin/result_so1.txt");
  output_file<<"step"<<"\t"<<"x_best"<<"\t"<<"y_best"<<"\t"<<"
     cost_avg"<<"\t"<<"cost_best"<<"\n";
 GA_Type ga_obj;
 ga_obj.problem_mode= EA::GA_MODE::SOGA;
 ga_obj.multi_threading=true;
 ga_obj.idle_delay_us=1; // switch between threads quickly
 ga_obj.verbose=false;
 ga_obj.population=20;
 ga_obj.generation_max=1000;
 ga_obj.calculate_SO_total_fitness= calculate_SO_total_fitness;
 ga_obj.map_genes= map_genes;
 ga_obj.eval_genes= eval_genes;
 ga_obj.mutate= mutate;
 ga_obj.crossover= crossover;
 ga_obj.SO_report_generation= SO_report_generation;
 ga_obj.best_stall_max=10;
 ga_obj.elite_count=10;
  ga_obj.crossover_fraction=0.7;
  ga_obj.mutation_fraction=0.4;
  ga_obj.solve();
```

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```
output_file.close();
return 0;
}
```

# **Multi-objective GA**



 $\textbf{Figure 2:} \ \textit{The MO-GA pareto-front}$ 

```
// This library is free and distributed under
// Mozilla Public License Version 2.0.

#include <string>
#include <iostream>
#include <fstream>
#include "genetic.hpp"

struct MyGenes
{
   double x;
   double y;
```

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```
std::string to_string() const
    return
      \{x: +std: :to_string(x) + \}
      ", y:"+std::to_string(y)+
  }
};
struct MyMiddleCost
  \ensuremath{//} This is where the results of simulation
  // is stored but not yet finalized.
 double cost_A;
 double cost_B;
typedef EA::Genetic<MyGenes,MyMiddleCost> GA_Type;
typedef EA::GenerationType<MyGenes,MyMiddleCost> Generation_Type;
void map_genes (MyGenes& p, std::function<double(void)> rand)
 p.x=10.0*rand();
 p.y=10.0*rand();
bool eval_genes(
  const MyGenes& p,
 MyMiddleCost &c)
 double x=p.x;
 double y=p.y;
 // the middle comupations of cost:
 c.cost_A = log(1.0 + x * sqrt(x * y));
 c.cost_B=98.0-100.0*(1.0-1.0/(1.0+y*sqrt(x*y)));
 return true; // genes are accepted
MyGenes mutate(const MyGenes& X_base, std::function<double(void)> rand
 MyGenes X_new;
  double r=rand();
  bool in_range_x,in_range_y;
  do{
    X_new=X_base;
    X_{new.x+=0.2*(rand()-0.5)};
    X_{\text{new.y+=0.2}}*(rand()-0.5);
```

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```
in_range_x= (X_new.x>=0.0 && X_new.x<10.0);
    in_range_y= (X_new.y>=0.0 && X_new.y<10.0);
  } while(!in_range_x || !in_range_y);
 return X_new;
MyGenes crossover(const MyGenes& X1, const MyGenes& X2, std::function<
   double(void)> rand)
 MyGenes X_new;
 double r;
 r=rand();
 X_new.x=r*X1.x+(1.0-r)*X2.x;
 r=rand();
 X_new.y=r*X1.y+(1.0-r)*X2.y;
 return X_new;
arma::vec calculate_MO_objectives(const GA_Type::thisChromosomeType &
 return {
   X.middle_costs.cost_A,
   X.middle_costs.cost_B
 };
}
arma::vec distribution_objective_reductions(const arma::vec &objs)
 return objs;
void MO_report_generation(
 int generation_number,
 const EA::GenerationType<MyGenes,MyMiddleCost> &last_generation,
 const std::vector<uint>& pareto_front)
  (void) last_generation;
 std::cout<<"Generation ["<<generation_number<<"], ";</pre>
 std::cout<<"Pareto-Front {";</pre>
 for(uint i=0;i<pareto_front.size();i++)</pre>
   std::cout<<(i>0?",":"");
   std::cout<<pre>cpareto_front[i];
 std::cout<<"}"<<std::endl;
}
```

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```
void save_results(const GA_Type &ga_obj)
 std::ofstream output_file;
 output_file.open("./bin/result_mo1.txt");
 output_file<<"N"<<"\t"<<"x"<"\t"<<"y"<<"\t"<<"cost1"<<"\t"<<"cost2
 std::vector<uint> paretofront_indices=ga_obj.last_generation.fronts
  for(uint i:paretofront_indices)
    const auto &X=ga_obj.last_generation.chromosomes[i];
    output_file
     <<i<"\t"
     <<X.genes.x<<"\t"
     << X.genes.y << "\t"
     <<X.middle_costs.cost_A<<"\t"
      << X.middle_costs.cost_B << "\n";
 output_file.close();
int main()
 GA_Type ga_obj;
 ga_obj.problem_mode= EA::GA_MODE::NSGA_III;
 ga_obj.multi_threading=true;
 ga_obj.idle_delay_us=1; // switch between threads quickly
 ga_obj.verbose=false;
 ga_obj.population=40;
 ga_obj.generation_max=100;
 ga_obj.calculate_MO_objectives= calculate_MO_objectives;
 ga_obj.map_genes=map_genes;
 ga_obj.eval_genes=eval_genes;
 ga_obj.distribution_objective_reductions=
     distribution_objective_reductions;
 ga_obj.mutate=mutate;
 ga_obj.crossover=crossover;
 ga_obj.MO_report_generation=MO_report_generation;
 ga_obj.crossover_fraction=0.7;
 ga_obj.mutation_fraction=0.4;
 ga_obj.solve();
 save_results(ga_obj);
 return 0;
```

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#### Interactive GA



```
// This library is free and distributed under
// Mozilla Public License Version 2.0.
#include <string>
#include "genetic.hpp"
#include "gui.hpp"
struct MyGenes
  double R,G,B;
 std::string to_string() const
    const unsigned red = R, green = G, blue = B;
   char hexstr[16];
   snprintf(hexstr, sizeof(hexstr), "%02x%02x%02x", red, green, blue);
    std::string retstr=hexstr;
    return retstr;
};
struct MyMiddleCost
  double R, G, B;
  double cost_user_score;
typedef EA::Genetic<MyGenes,MyMiddleCost> GA_Type;
typedef EA::GenerationType<MyGenes,MyMiddleCost> Generation_Type;
void map_genes (MyGenes& p, std::function<double(void)> rand)
 p.R=255.0*rand();
 p.G=255.0*rand();
 p.B=255.0*rand();
```

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```
bool eval_genes_IGA(
  const MyGenes& p,
  MyMiddleCost &c,
  const EA::GenerationType<MyGenes,MyMiddleCost>&)
  c.R=p.R;
  c.G=p.G;
  c.B=p.B;
  return true; // genes are accepted
\label{thm:myGenes} \verb|MyGenes| & \verb|X-base|, \verb|std::function| < \verb|double| (\verb|void|) > \verb|rand| \\
  MyGenes X_new;
  double r=rand();
  bool in_range_R, in_range_G, in_range_B;
  do{
    X_new=X_base;
    X_{new.R} += 100* (rand() - 0.5);
    X_{new.G} += 100* (rand() - 0.5);
    X_{new.B} += 100* (rand() - 0.5);
    in_range_R= (X_new.R>=0.0 && X_new.R<255.0);
    in_range_G= (X_new.G>=0.0 \&\& X_new.G<255.0);
    in_range_B= (X_new.B>=0.0 && X_new.B<255.0);
  } while(!in_range_R || !in_range_G || !in_range_B);
  return X_new;
MyGenes crossover(const MyGenes& X1,const MyGenes& X2,std::function<
    double(void)> rand)
 MyGenes X_new;
  double r;
 r=rand();
 X_new.R=r*X1.R+(1.0-r)*X2.R;
 r=rand();
 X_new.G=r*X1.G+(1.0-r)*X2.G;
  r=rand();
  X_new.B=r*X1.B+(1.0-r)*X2.B;
  return X_new;
void calculate_IGA_total_fitness(GA_Type::thisGenerationType &g)
{
  for(uint i=0;i<g.chromosomes.size();i++)</pre>
```

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```
GA_Type::thisChromosomeType &X=g.chromosomes[i];
   // X.total_cost=100.0-X.middle_costs.cost_user_score;
   gui_subject_R=X.middle_costs.R;
    gui_subject_G=X.middle_costs.G;
    gui_subject_B=X.middle_costs.B;
    refresh_gui();
    refresh_gui();
    refresh_gui();
    refresh_gui();
    refresh_gui();
    std::cout << "How much do you like this ("<< X.genes.to_string() << ")
        blue color (0-100\%)? ";
    std::cin>>X.middle_costs.cost_user_score;
    X.total_cost=100.0-X.middle_costs.cost_user_score;
    // g.chromosomes[i].total_cost=100.0-g.chromosomes[i].
       middle_costs.cost_user_score;
  }
}
std::ofstream output_file;
void SO_report_generation(
 int generation_number,
 const EA::GenerationType<MyGenes,MyMiddleCost> &last_generation,
  const MyGenes& best_genes)
 std::cout
   << "Generation ["<< generation_number<< "], "
   <<"Best="<<100.0-last_generation.best_total_cost<<", "
   <<"Average="<100.0-last_generation.average_cost<<", "
   <<"Best genes=("<<best_genes.to_string()<<")"<<", "
   <<"Exe_time="<<last_generation.exe_time
   <<std::endl;
 output_file
   <<generation_number<<"\t"
   <<br/>best_genes.to_string()<<"\t"
   <<100.0-last_generation.average_cost<<"\t"
   <<100.0-last_generation.best_total_cost<<"\n";
int main()
 output_file.open("./bin/result_iga1.txt");
 output_file<<"step"<<"\t"<<"color_best"<<"\t"<<"cost_avg"<<"\t"<<"
     cost_best"<<"\n";</pre>
  init_gui();
```

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```
GA_Type ga_obj;
ga_obj.problem_mode= EA::GA_MODE::IGA;
ga_obj.verbose=false;
ga_obj.population=15;
ga_obj.generation_max=20;
 ga_obj.calculate_IGA_total_fitness= calculate_IGA_total_fitness;
ga_obj.map_genes= map_genes;
ga_obj.eval_genes_IGA= eval_genes_IGA;
ga_obj.mutate= mutate;
ga_obj.crossover= crossover;
ga_obj.SO_report_generation= SO_report_generation;
ga_obj.elite_count=3;
\textbf{double} \ \texttt{non\_elit\_fraction=1} - \textbf{double} \ (\texttt{ga\_obj\_elite\_count}) \ / \ \textbf{double} \ (\texttt{ga\_obj}) = \texttt{double} \ (\texttt{ga\_obj\_elite\_count}) \ / \ \textbf{double} \ (\texttt{ga\_obj\_elite\_count}) \ / \ \textbf{doubl
                      .population);
ga_obj.crossover_fraction=non_elit_fraction*0.7;
ga_obj.mutation_fraction=non_elit_fraction*0.3;
ga_obj.solve();
output_file.close();
return 0;
```

#### Contact

Any suggestion, recommendation, bug report and question related to this library is highly welcome. I may be also interested in involving in bigger projects. I am Arash Mohammadi and you can contact me via email

arash.m at research.deakin.edu.au

#### References

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