# Natural Language Processing and Deep Learning

#### Alexander Rush

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## **Smoothness Image/Language**



It is a capital mistake to theorize before one has data. Insensibly one begins to twist facts to suit theories, instead of theories to suit facts. -Sherlock Holmes, A Scandal in Bohemia

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## Repair Image / Language (Chatterjee et al., 2009)





It is a capital mistake to theorize before one has \_\_\_\_\_ ...

## Repair Image / Language (Chatterjee et al., 2009)





108 938 285 28 184 29 593 219 58 772 \_\_\_\_ ...

## **Language Modeling**

Learn distribution from data:

$$p(w_{t+1}|w_1,\ldots,w_t)$$

## Language Modeling

Learn distribution from data:

$$p(w_{t+1}|w_1,\ldots,w_t)$$

- Speech Recognition
- Machine Translation
- Summarization
- Dialogue
- Soft Keyboards
- Word Correction
- Text Simplification
- ...



## **Language Modeling Recipe (pre-2010)**

Goal: Estimate n-gram model (Markov assumption)

$$p(w_{t+1}|w_1,\ldots,w_t) \approx p(w_{t+1}|w_{t-n+1},\ldots w_t)$$

Ingredients:

• 1 Corpus (e.g. the entire web)

Steps:

• (1) Collect words, (2) Count up n-grams, (3) Divide\*

$$p(w_{t+1}|w_{t-n+1}, \dots w_t) = \frac{\#(w_{t-n+1}, \dots, w_{t+1})}{\#(w_{t-n+1}, \dots, w_t)}$$
$$= \frac{\#(\text{theorize before one has data})}{\#(\text{theorize before one has})}$$

## **How Good Is a Language Model?**

Perplexity:

$$\exp(-\sum_{t=1}^{T} \frac{1}{T} \log p(w_{t+1}|w_1,\dots,w_t))$$

- corresponds to size of equally predictive uniform distribution
   On Wall Street Journal (PTB):
  - ullet Vocabulary  $|\mathcal{V}|=10{,}000$  word types
  - Words  $T \approx 1$  million

Language Model	Perplexity		
Uniform	10000		
$KN \ / \ 5\text{-}gram$	141		

## **Deep Learning for Language Modeling**

(Bengio et al., 2003), (Mikolov et al., 2010)

Recurrent neural network (RNN) models estimate (non-Markovian):

It is a capital mistake to theorize before one has data

$$p(w_{t+1}|w_1,\ldots,w_t)$$

Long-Short Term Memory (LSTM)(Hochreiter and Schmidhuber, 1997) RNN language models

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## Idea 1: From Discrete Elements to Embeddings

Learn input embeddings (vectors) for each words in vocab.

$$\mathbf{U} \in \mathbb{R}^{|\mathcal{V}| \times D}, D \approx 256$$

#### **Example:**

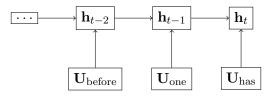
w			$\mathbf{U}_w$		
theorize	[0.2,	-0.2,	-0.1,	0.4,	-0.2,]
before	[0.0,	0.3,	-0.4,	-0.3,	0.0,]
one	[0.1,	-0.2,	-0.1,	-0.0,	-0.2,]
has	[0.5,	-0.1,	0.1,	0.3,	0.3,]

### Idea 2: From Embeddings to Representations

Combine input vectors into an hidden representation of context.

$$\mathbf{h}_0 = \mathbf{0}$$
 
$$\mathbf{h}_t = f(\mathbf{h}_{t-1}, \mathbf{U}_{w_t}) \text{ for all } \ t > 0$$

#### **Example:**



## Idea 3: From Representation to Output Embedding

Learn output embeddings (and bias) for each word in vocab:

$$\mathbf{V} \in \mathbb{R}^{|\mathcal{V}| \times D}, \mathbf{b} \in \mathbb{R}^{|\mathcal{V}|}$$

Score of word  $\boldsymbol{w}$  is dot-product with hidden representation .

$$s(w) = \mathbf{V}_w^{\top} \mathbf{h}_t + \mathbf{b}_w$$

Example:

$$\mathbf{V}_{\mathrm{pizza}}^{\top}\mathbf{h}_{t} \leq \mathbf{V}_{\mathrm{data}}^{\top}\mathbf{h}_{t}$$

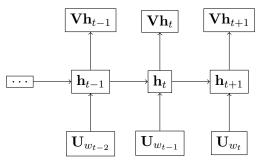


## Putting it together

Apply soft-max to convert to probability distribution

$$p(w_{t+1}|w_1,...,w_t) = \frac{\exp(s(w_{t+1}))}{\sum_{w' \in \mathcal{V}} \exp(s(w'))}$$

- Whole model trained together on a large corpus
- Backpropagation with stochastic gradient descent.



#### **Caveats**

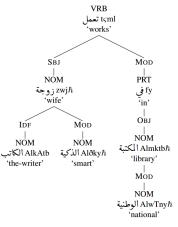
• Combination function for LSTM  $(f(\mathbf{h}_{t-1}, w_t))$  is quite complex.

$$\begin{split} &\mathbf{i}_t = \sigma(\mathbf{W}^i \mathbf{x}_t + \mathbf{U}^i \mathbf{h}_{t-1} + \mathbf{b}^i) \\ &\mathbf{f}_t = \sigma(\mathbf{W}^f \mathbf{x}_t + \mathbf{U}^f \mathbf{h}_{t-1} + \mathbf{b}^f) \\ &\mathbf{o}_t = \sigma(\mathbf{W}^o \mathbf{x}_t + \mathbf{U}^o \mathbf{h}_{t-1} + \mathbf{b}^o) \\ &\mathbf{g}_t = \mathsf{tanh}(\mathbf{W}^g \mathbf{x}_t + \mathbf{U}^g \mathbf{h}_{t-1} + \mathbf{b}^g) \\ &\mathbf{c}_t = \mathbf{f}_t \odot \mathbf{c}_{t-1} + \mathbf{i}_t \odot \mathbf{g}_t \\ &\mathbf{h}_t = \mathbf{o}_t \odot \mathsf{tanh}(\mathbf{c}_t) \end{split}$$

- Model is non-linear and training objective non-convex.
- Requires hyper-parameter tuning and clever regularization.
- Training is computationally very difficult (use GPUs).

## Our Motivation: Trimming the Language Pipeline

## تعمل زوجة الكاتب الذكية في المكتبة الوطنية



- Morphological Seg.
- Morphological Tagging
- Part-of-Speech
- Entity Recognition
- Syntactic Parsing
- Role Labeling
- Discourse Analysis

(Marton et al., 2010)

#### **Our Motivation: Structure from Data**

- Can this explicit structure can be learned latently from data?
- What architectural elements support our learning linguistic representations?

#### Projects:

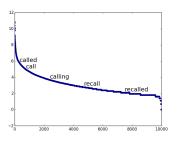
- Character-Aware Language Models [CharCNN]
- Sentence Summarization [Contextual Attention]
- Coreference Resolution [Feature Embeddings]

Character-Aware Language Models (Kim et al., 2015)

## (1) Character-Aware Language Models

Goal: Extend recurrent language model to exploit character structure.

• Share properties for "close" words.



• Capture syntactic aspects of morphologically-rich languages.

#### Past Work

Require preprocessing of morphological segmentation

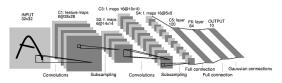
$$recalling \Rightarrow re - call - ing$$

- Alexandrescu and Kirchhoff (2006); Bilmes and Kirchhoff (2003):
   Factored Language models with morphology.
- Luong et al. (2013): LM with Recursive NN over morpheme embeddings
- Botha and Blunsom (2014): LBL with sum over word/morpheme embeddings.

## Convolutional Neural Networks (CNN) (LeCun et al., 1989)

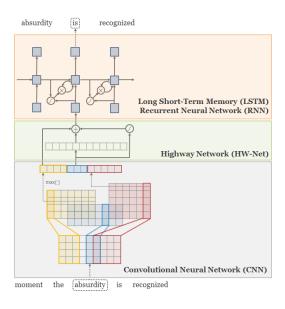
Main Idea: No morphology, use characters directly.

• Central network architecture of deep learning in vision.



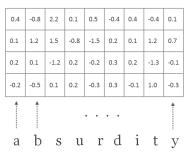
Used for NLP tasks, often over the words. (Collobert et al., 2011;
 Kalchbrenner et al., 2014; Kim, 2014)

#### Convolution into Recurrent Model

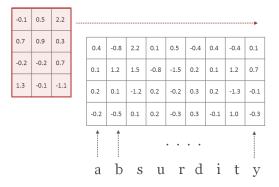


a b s u r d i t y

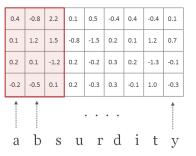
 $\mathbf{Q} \in \mathbb{R}^{|\mathcal{C}| imes D}$  : Matrix of character embeddings



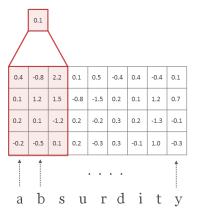
 $\mathbf{H} \in \mathbb{R}^{D imes w}$  : Convolutional filter matrix of width w=3



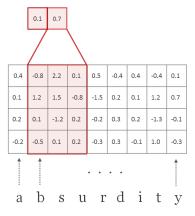
$$\mathbf{h}[1] = \mathsf{tanh}(\mathbf{C}[*, 1:3] \otimes \mathbf{H} + b)$$



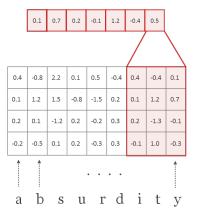
$$\mathbf{h}[1] = \tanh(\mathbf{C}[*, 1:3] \otimes \mathbf{H} + b)$$



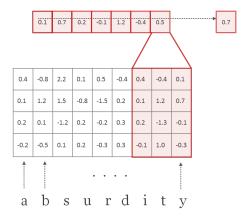
$$\mathbf{h}[2] = \tanh(\mathbf{C}[*, 2:4] \otimes \mathbf{H} + b)$$



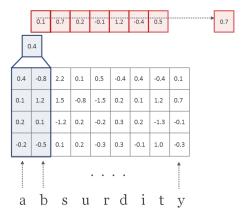
$$\mathbf{h}[T-2] = \tanh(\mathbf{C}[*, T-2:T] \otimes \mathbf{H} + b)$$



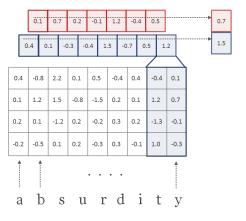
$$y[1] = \max_i \mathbf{h}[i]$$



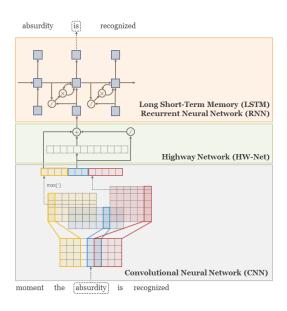
$$\mathbf{h'}[1] = \mathsf{tanh}(\mathbf{C}[*,1:2] \otimes \mathbf{H'} + b')$$



$$y[2] = \max_{i} \mathbf{h}'[i]$$



#### Convolution into Recurrent Model



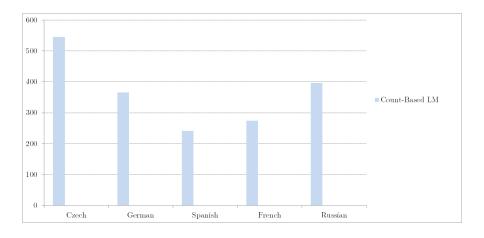
## Results: English PTB

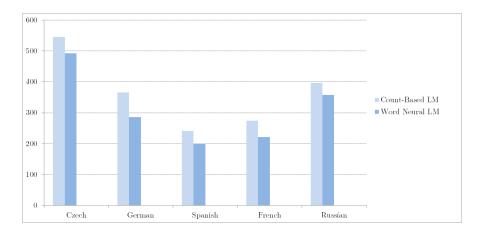
	Perplexity	Param Size
LSTM-Word-Small	97.6	5 M
LSTM-CharCNN-Small	92.3	5 M
LSTM-Word-Large	85.4	20 M
LSTM-CharCNN-Large	78.9	19 M
KN-5 (Mikolov et al. 2012)	141.2	2 M
RNN (Mikolov et al. 2012)	124.7	6 M
LSTM-Medium (Zaremba et al. 2014)	82.7	20  M
LSTM-Huge (Zaremba et al. 2014)	78.4	52  M

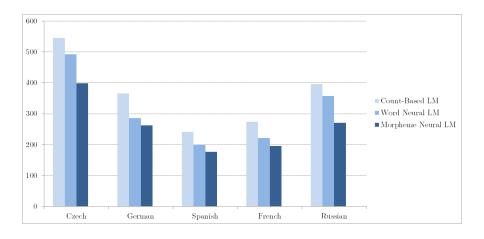
#### Data

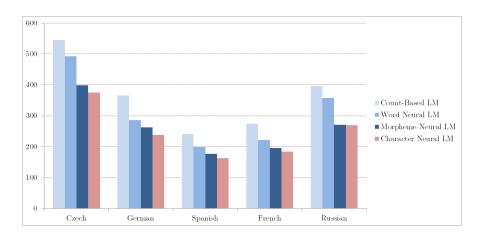
	Data-s			Data-l		
	$ \mathcal{V} $	$ \mathcal{C} $	T	$ \mathcal{V} $	$ \mathcal{C} $	T
English (EN)	10 к	51	1 M	60 к	129	20 м
Czech ( $\mathrm{Cs}$ )	$46~\mathrm{K}$	93	1 M	206 к	127	17  M
German ( $\mathrm{DE}$ )	36 к	75	1 M	339 к	140	51 M
$Spanish\;(\mathrm{Es})$	$27~\mathrm{K}$	72	1 M	152 к	130	56  M
French $(FR)$	$25~\mathrm{K}$	77	1 M	137 к	133	57  M
Russian (Ru)	62 K	64	1 M	497 к	114	25 M

Small English data is the English Penn Treebank (PTB). Rest comes from the 2013 ACL Workshop on Machine Translation.









# Results: Large Datasets

		Cs	DE	Es	FR	Ru	En
B&B	KN-4	862	463	219	243	390	291
	MLBL	643	404	203	227	300	273
Small	Word	701	347	186	202	353	236
	Morph	615	331	189	209	331	233
	Char	587	<b>298</b>	168	191	313	214

# **Discussion: Learned Word Embeddings**

	In Vocabulary				
	while	his	you	richard	trading
LSTM	although	your	conservatives	jonathan	advertised
	letting	her	we	robert	advertising
	though	my	guys	neil	turnover
LSTM-CNN	whole	this	your	gerard	training
	though	their	doug	edward	traded
	nevertheless	your	i	carl	traderg

# **Discussion: Learned Word Embeddings**

	Out-of-Vocabulary			
	computer-aided	misinformed	looooook	
	computer-guided	informed	look	
LSTM-CharCNN	computer-driven	performed	looks	
	computerized	outperformed	looked	
	computer	transformed	looking	

Abstractive Sentence Summarization (Rush et al., 2015)

#### Source

Russian Defense Minister Ivanov called Sunday for the creation of a joint front for combating global terrorism.

#### **Target**

Russia calls for joint front against terrorism.

- Generalization
- Deletion
- Paraphrase

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# Elements of Human Summary Jing (2002)

	Phenomenon	Abstract	Compress	Extract
(1)	Sentence Reduction	✓	✓	✓
(2)	Sentence Combination	$\checkmark$	$\checkmark$	$\checkmark$
(3)	Syntactic Transformation	✓		$\checkmark$
(4)	Lexical Paraphrasing	<b>√</b>		
(5)	Generalization or Specification	$\checkmark$		
(6)	Reordering	✓		✓

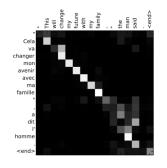
### Related Work: Ext/Abs Sentence Summary

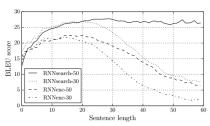
- Syntax-Based (Dorr et al., 2003; Cohn and Lapata, 2008; Woodsend et al., 2010)
- Topic-Based (Zajic et al., 2004)
- Machine Translation-Based (Banko et al., 2000)
- Semantics-Based (Liu et al., 2015)

### Related Work: Attention-Based Neural MT

(Bahdanau et al., 2014)

- Use attention ("soft alignment") over source to determine next word.
- Robust to longer sentences versus encoder-decoder style models.
- No explicit alignment step, trained end-to-end.



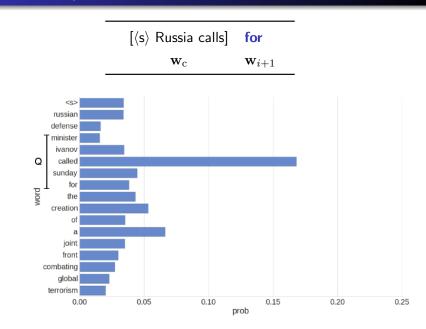


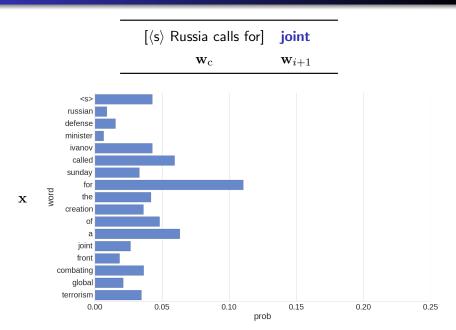
### **Attention-Based Summarization (ABS)**

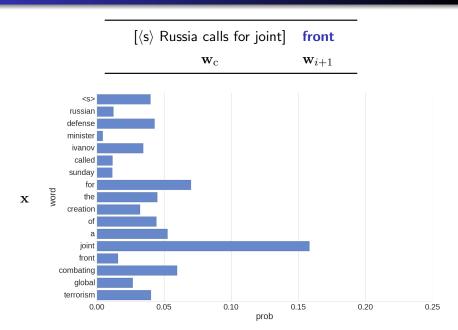
- x; Source sentence of length M with M >> N
- ullet w; Summarized sentence of length N (we assume N is given)

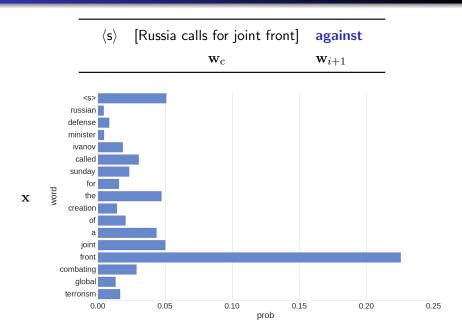
$$\begin{split} \tilde{\mathbf{x}} &= [\mathbf{F}\mathbf{x}_1, \dots, \mathbf{F}\mathbf{x}_M], \\ \tilde{\mathbf{w}}_{\mathrm{c}}' &= [\mathbf{G}\mathbf{w}_{i-C+1}, \dots, \mathbf{G}\mathbf{w}_i], \\ \mathbf{p} &\propto & \exp(\tilde{\mathbf{x}}\mathbf{P}\tilde{\mathbf{w}}_{\mathrm{c}}'), \quad \text{[Attention Distribution]} \\ \forall i \quad \bar{\mathbf{x}}_i &= \sum_{q=i-(Q-1)/2} \tilde{\mathbf{x}}_i/Q, \quad \text{[Local Smoothing]} \\ \mathrm{src}_3(\mathbf{x}, \mathbf{w}_{\mathrm{c}}) &= & \mathbf{p}^\top \bar{\mathbf{x}}. \end{split}$$

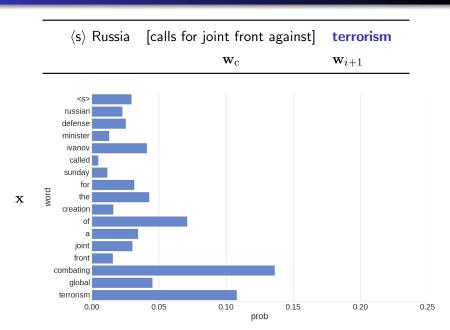
 $\mathbf{x}$ 

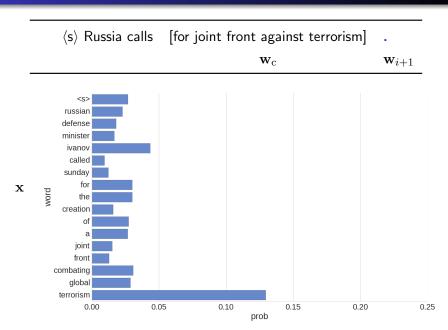


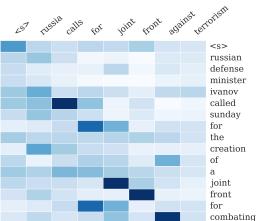












global terrorism

# GERMANY IMPLEMENTS TEMPORARY BORDER CHECKS TO LIMIT **MIGRANTS**

BY GEIR MOULSON AND SHAWN POGATCHNIK ASSOCIATED PRESS

BERLIN (AP) -- Germany introduced temporary border controls Sunday to stem the tide of thousands of refugees streaming across its frontier, sending a clear message to its European partners that it needs more help with an influx that is straining its ability to cope.



AP Photo/Kay Nietfeld

Germany is a preferred destination for many people fleeing Syria's civil war and other troubled nations in the migration crisis that has bitterly divided Europe. They have braved dangerous sea crossings in flimsy

# **Headline Generation Training Set**

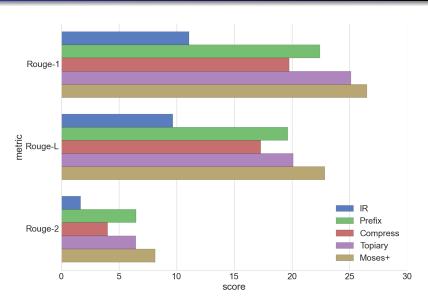
(Graff et al., 2003; Napoles et al., 2012)

• Use Gigaword dataset.

Total Sentences Newswire Services	3.8 M 7	
Source Word Tokens	119 M	
Source Word Types	110 K	
Average Source Length	31.3 tokens	
Summary Word Tokens	31 M	
Summary Word Types	69 K	
Average Summary Length	8.3 tokens	
Average Overlap Average Overlap in first 75	4.6 tokens 2.6 tokens	

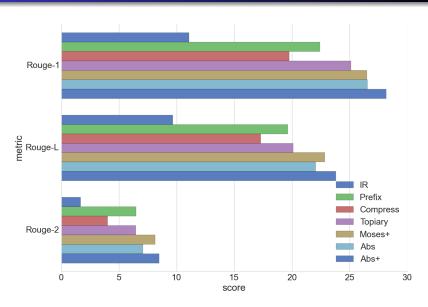
#### **Summarization Results: DUC 2004**

(500 pairs, 4 references, 75 characters)



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(500 pairs, 4 references, 75 characters)



### **Generated Sentences on Gigaword I**

#### Source:

a detained iranian-american academic accused of acting against national security has been released from a tehran prison after a hefty bail was posted, a to p judiciary official said tuesday.

Ref: iranian-american academic held in tehran released on bail

**Abs:** detained iranian-american academic released from jail after posting bail

### **Generated Sentences on Gigaword II**

#### Source:

ministers from the european union and its mediterranean neighbors gathered here under heavy security on monday for an unprecedented conference on economic and political cooperation.

**Ref:** european mediterranean ministers gather for landmark conference by julie bradford

**Abs:** mediterranean neighbors gather for unprecedented conference **on heavy security** 

### **Generated Sentences on Gigaword III**

#### Source:

the death toll from a school collapse in a haitian shanty-town rose to ## after rescue workers uncovered a classroom with ## dead students and their teacher, officials said saturday.

Ref: toll rises to ## in haiti school unk : official

**Abs:** death toll in haiti school accident rises to ##

### Generated Sentences on Gigaword IV

#### Source:

australian foreign minister stephen smith sunday congratulated new zealand 's new prime minister-elect john key as he praised ousted leader helen clark as a "gutsy" and respected politician.

Ref: time caught up with nz 's gutsy clark says australian fm

Abs: australian foreign minister congratulates new nz pm after election

### **Generated Sentences on Gigaword V**

#### Source:

two drunken south african fans hurled racist abuse at the country 's rugby sevens coach after the team were eliminated from the weekend 's hong kong tournament, reports said tuesday.

Ref: rugby union: racist taunts mar hong kong sevens: report

Abs: south african fans hurl racist taunts at rugby sevens

### **Generated Sentences on Gigaword VI**

#### Source:

christian conservatives — kingmakers in the last two us presidential elections — may have less success in getting their pick elected in #### , political observers say .

**Ref:** christian conservatives power diminished ahead of ### vote

**Abs:** christian conservatives may have less success in ### election

### **Generated Sentences on Gigaword VII**

#### Source:

the white house on thursday warned iran of possible new sanctions after the un nuclear watchdog reported that tehran had begun sensitive nuclear work at a key site in defiance of un resolutions.

Ref: us warns iran of step backward on nuclear issue

Abs: iran warns of possible new sanctions on nuclear work

### **Generated Sentences on Gigaword VIII**

#### Source:

thousands of kashmiris chanting pro-pakistan slogans on sunday attended a rally to welcome back a hardline separatist leader who underwent cancer treatment in mumbai.

Ref: thousands attend rally for kashmir hardliner

Abs: thousands rally in support of hardline kashmiri separatist leader

### **Generated Sentences on Gigaword IX**

#### Source:

an explosion in iraq 's restive northeastern province of diyala killed two us soldiers and wounded two more , the military reported monday .

Ref: two us soldiers killed in iraq blast december toll ###

**Abs:** # us two soldiers killed in restive northeast province

# **Generated Sentences on Gigaword X**

#### Source:

russian world no. # nikolay davydenko became the fifth withdrawal through injury or illness at the sydney international wednesday, retiring from his second round match with a foot injury.

Ref: tennis: davydenko pulls out of sydney with injury

Abs: davydenko pulls out of sydney international with foot injury

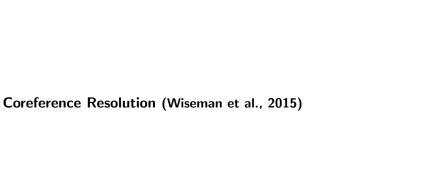
# **Generated Sentences on Gigaword XI**

#### Source:

russia 's gas and oil giant gazprom and us oil major chevron have set up a joint venture based in resource-rich northwestern siberia, the interfax news agency reported thursday quoting gazprom officials.

Ref: gazprom chevron set up joint venture

Abs: russian oil giant chevron set up siberia joint venture



### **Coreference Resolution**

Cadillac posted a 3.2% increase despite new competition from Lexus, the fledgling luxury-car division of Toyota Motor Corp. Lexus sales weren't available; the cars are imported and Toyota reports their sales only at month-end.

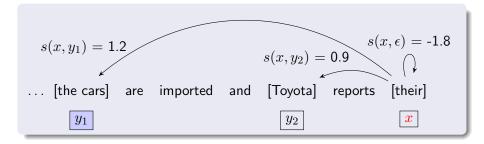
### **Coreference Resolution**

[Cadillac] posted a [3.2% increase] despite [new competition from [Lexus, the fledgling luxury-car division of [Toyota Motor Corp]]]. [[Lexus] sales] weren't available; [the cars] are imported and [Toyota] reports [[their] sales] only at [month-end].

# **Mention Ranking**

(Denis and Baldridge, 2008; Bengtson and Roth, 2008)

- Model each mention x as having a single "true" antecedent
- $\bullet$  Score potential antecedents y of each mention x with a scoring function s(x,y)
- $\mathcal{Y}(x) = \{\text{mentions before } x\} \cup \{\epsilon\}$
- $\bullet \ \mathsf{Predict} \ y^* = \arg\max\nolimits_{y \in \mathcal{Y}(x)} s(x,y)$

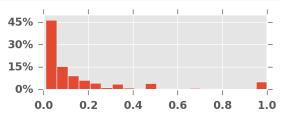


## **Simple Features Not Discriminative**

### E.g., is [Lexus sales] the antecedent of [their sales]?

Common antecedent features: String/Head Match, Sentences
 Between, Mention-Antecedent Numbers/Heads/Genders, etc.

$$\phi_{\mathrm{p}}([\text{their sales}],[\text{Lexus sales}]) = \left\{ \begin{aligned} &\text{string-match=false} \\ &\text{head-match=true} \\ &\text{sentences-between=0} \\ &\text{ment-ant-numbers=plur.,plur.} \end{aligned} \right\}$$



# **Dealing with the Feature Problem**

Finding discriminative features a major challenge for coreference systems (Fernandes et al., 2012; Durrett and Klein, 2013)

- Typical to define (or search for) feature conjunction-schemes to improve predictive performance (Fernandes et al., 2012; Durrett and Klein, 2013; Björkelund and Kuhn, 2014).
- Not just a problem for Mention Ranking systems.

# **Extending the Piecewise Model I**

#### Goal: learn higher order feature representations

We first define the following feature representations:

$$\begin{aligned} & \boldsymbol{h}_{\mathrm{a}}(x) \triangleq \tanh(\mathbf{U}_{\mathrm{a}}^{\top} \, \boldsymbol{\phi}_{\mathrm{a}}(x) + \boldsymbol{b}_{\mathrm{a}}) \\ & \boldsymbol{h}_{\mathrm{p}}(x,y) \triangleq \tanh(\mathbf{U}_{\mathrm{p}}^{\top} \, \boldsymbol{\phi}_{\mathrm{p}}(x,y) + \boldsymbol{b}_{\mathrm{p}}) \end{aligned}$$

ullet Here,  $\phi_{
m a},\phi_{
m p}$  are raw features.

•

$$\mathbf{U}_{\text{ment-ant-numbers}=\text{plur.,plur.}}$$

 $\mathbf{U}_{\mathsf{head-match}=\mathsf{true}}$ 

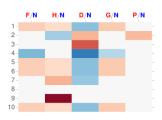
, etc.

# **Extending the Piecewise Model II**

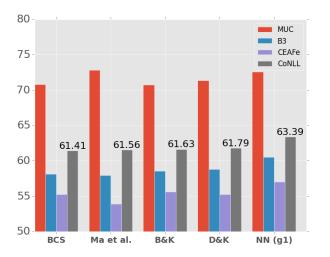
Use the scoring function

$$s(x,y) \triangleq \begin{cases} \boldsymbol{u}^{\mathsf{T}} \begin{bmatrix} \boldsymbol{h}_{\mathbf{a}}(x) \\ \boldsymbol{h}_{\mathbf{p}}(x,y) \end{bmatrix} + u_0 & \text{if } y \neq \epsilon \\ \boldsymbol{v}^{\mathsf{T}} \boldsymbol{h}_{\mathbf{a}}(x) + v_0 & \text{if } y = \epsilon \end{cases}$$

Scoring function uses learned representations, for instance  $m{h}_{
m p}$ :



### Main Results



Results on CoNLL 2012 English test set. We compare with (in order) Durrett and Klein (2013), Ma et al. (2014), Björkelund and Kuhn (2014), and Durrett and Klein (2014).  $F_1$  gains are significant (p < 0.05) compared with both B&K and D&K for all metrics.

# Discussion: What are we getting wrong?

	Singleton		1 <sup>st</sup> in clust.		Anaphoric	
	$\operatorname{FL}$	#	FL	#	FNWL	#
Ment. w/ prev. head match	817	8.2K	147	0.8K	700318	4.7K
Ment. w/o prev. head match	86	19.8K	41	2.4K	67759	1.0K
Pronominal mentions	948	2.6K	257	0.5K	434875	7.3K

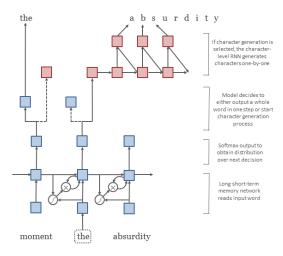
Largest  $\underline{\%}$  error on anaphoric mentions with no previous head match

 The classic "hard" coreference case, presumably requiring knowledge, understanding

But make most errors (by far) on pronouns!

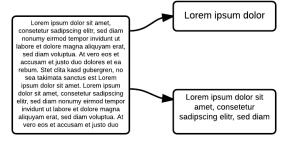
### **Future Directions: Character-Aware**

Generating characters and machine translation.



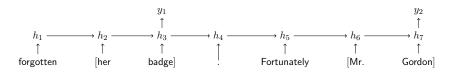
### **Future Directions: Summarization**

#### Complete Document Summarization



### **Future Directions: Coreference Resolution**

#### Incorporating Document Context



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