

# Message in a Bottle: Archived DNA Reveals Marine Heatwave-Associated Shifts in Fish Assemblages

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24 **Abstract**

25 Marine heatwaves can drive large-scale shifts in marine ecosystems, but studying their impacts  
26 from a species assemblage perspective can be difficult. Here, we leverage the taxonomic breadth  
27 and resolution of DNA sequences derived from the ethanol of a 23-year longitudinal sample  
28 collection, combining these with microscopy-derived ichthyoplankton identification to yield  
29 higher-resolution species-specific quantitative abundance estimates of fish assemblages in the  
30 California Current Large Marine Ecosystem during and after the 2014-16 Pacific marine  
31 heatwave. This integrated dataset reveals patterns of tropicalization with increases in southern,  
32 mesopelagic species associated and declines in important temperate fisheries targets (e.g. North  
33 Pacific Hake (*Merluccius productus*) and Pacific Sardine (*Sardinops sagax*)). We observed novel  
34 assemblages of southern, mesopelagic fishes and temperate species (e.g. Northern Anchovy,  
35 *Engraulis mordax*) even after the return to average water temperatures. Our novel modeling  
36 approach opens the door to reconstructing the historical dynamics of assemblages from modern  
37 and archived samples worldwide.

38

39 **Introduction**

40 Climate-induced marine heatwaves (MHWs) are increasing in frequency and severity with far-  
41 reaching consequences in marine ecosystems (1) ranging from severe organismal stress to  
42 cascading ecosystem effects (2). Notable recent examples include repeated bleaching events  
43 across the Great Barrier Reef (2016, 2017, 2020) (3) and near-total kelp deforestation in  
44 Northern California, USA (2016-19)(4). These marine heatwaves precipitated drastic,  
45 unprecedented changes in dominant foundational species across hundreds of thousands of square  
46 kilometers of shallow, coastal ecosystems.

47       The impacts of such large environmentally driven disturbances on coastal marine  
48   ecosystems have been ecologically and economically significant (5–7). In the 1940s, the  
49   dramatic collapse of Pacific Sardine (*Sardinops sagax*) disrupted marine foodwebs, causing  
50   broad-scale, negative socio-economic impacts across the Northeast Pacific (8–10). To better  
51   understand the processes driving these complex marine ecosystem dynamics and to avert similar  
52   fisheries collapses within the California Current Large Marine Ecosystem (CCLME), the  
53   California Cooperative Oceanic Fisheries Investigations (CalCOFI) was formed in 1949.  
54   CalCOFI has continuously conducted systematic fisheries-independent surveys of the southern  
55   CCLME from 1951 until present (11, 12), focusing on monitoring larval fish assemblages, as  
56   larval fish dynamics are a key predictor of ecosystem health and function (5, 12, 13).

57       Larval fish abundances help to characterize the state of marine ecosystems as they track  
58   spawning-stock biomass (14). Over 70 years of CalCOFI research has documented decadal and  
59   annual changes in fish assemblages in response to environmental conditions, identifying major  
60   shifts in response to Pacific Decadal Oscillations and El Niño Southern Oscillations (12, 15–17).  
61   These decadal and annual changes in ichthyoplankton dynamics are superimposed over the  
62   strong biogeographic assemblage associations with distinct water mass characteristics within the  
63   Southern California Bight (17). Ichthyoplankton assemblages differ among the colder and fresher  
64   California Current, warmer and saltier California Counter Current and Central Pacific water  
65   mass, and in upwelling conditions across the continental shelf (11, 13, 18, 19). Importantly,  
66   periods of elevated temperatures were historically associated with higher abundances of  
67   southern, mesopelagic species and Pacific Sardine while colder periods were associated with  
68   higher abundances of northern, mesopelagics and Northern Anchovy (*Engraulis mordax*) (10,

69 20). These insights into forage fish community dynamics across decadal climatic regime shifts  
70 are vital to understanding the effects of climate change on the CCLME (9, 11, 19).

71 Despite the value of previous CalCOFI ichthyoplankton efforts, such traditional manual  
72 identification of larvae is labor-intensive, and taxonomic resolution is often limited by a lack of  
73 discernible morphological characteristics (21). Here, we reconstruct ichthyoplankton  
74 assemblages over 23 years, applying genetic metabarcoding (amplicon sequencing) to the  
75 ethanol surrounding preserved CalCOFI plankton samples. We pair these data with  
76 morphological count observations in a joint Bayesian model to estimate species-specific larval  
77 abundance.

78 We model taxon sequence-read counts from metabarcoding as an outcome of the PCR  
79 process, wherein each taxon has a different amplification efficiency for the primer set used (see  
80 Materials and Methods). We link the sequencing data to morphological ichthyoplankton counts  
81 to constrain the species-specific starting concentrations of DNA in the ethanol jars. The resulting  
82 integrated model leverages the taxonomic breadth and resolution (22, 23) of amplicon  
83 sequencing, combining these with the power of morphological counts to yield species-specific  
84 quantitative abundance estimates. By jointly interpreting these datasets, we can track changes in  
85 abundance for a broad diversity of larval fish species, yielding a much higher-resolution picture  
86 of these assemblages.

87 The resulting estimates capture major and sometimes highly unexpected changes to the  
88 fish assemblages during and after the 2014–2016 Pacific Marine Heatwave (MHW), the warmest  
89 3-year period in the North Pacific over 100 years of recorded history (24). We directly examine  
90 the responses of ichthyoplankton assemblages to sea surface temperature (SST) as an abiotic  
91 proxy for the MHW as a whole, recognizing that a suite of environmental variables including

92 upwelling strength and location, dissolved oxygen, and salinity, among other environmental  
93 covariates, changed dramatically during the climate change influenced MHW (25–28).  
94 Complementary analyses using mean water-column temperature – rather than SST – yielded  
95 similar results (See Supplement S1).

96

## 97 Results

98 Metabarcoding with MiFish *12S* (29) generated a total of 59.9 million sequence reads  
99 across 84 jars representing 90 unique DNA extractions and 262 unique PCR technical replicates.  
100 All sequence data were processed using the *Anacapa Toolkit* (30). After quality control,  
101 sequence-variant (ASV) dereplication, and decontamination processes (22, 30, 31), we retained a  
102 total of 54.5 million reads (See Supplement 1 Methods). From these data, we classified 130  
103 unique taxa including 103 species-level assignments (79%), 15 genus-level assignments (12%),  
104 11 family-level assignments (8.5%), and 1 class-level assignment. We identified two distinct  
105 morphologically indistinguishable lineages of the Northern Lanternfish (*Stenobrachius*  
106 *leucopsarus*). The two lanternfish lineages exhibited dramatically different ecological patterns  
107 across the samples and were therefore treated separately.

108 Independent microscopy-count data from paired, matching formalin-preserved samples  
109 consisted of 9,610 larvae sorted across 84 jars. From these data, we classified a total of 92 unique  
110 taxa including 76 species-level assignments (83%) and 16 genus-level assignments (17%).

111 For our integrated Bayesian model, we focused on the 56 species that had sufficient  
112 representation across the metabarcoding data set to achieve model convergence (observed in >10  
113 technical PCR replicates) and thus provided reliable quantitative estimates (Figures S3–S5).

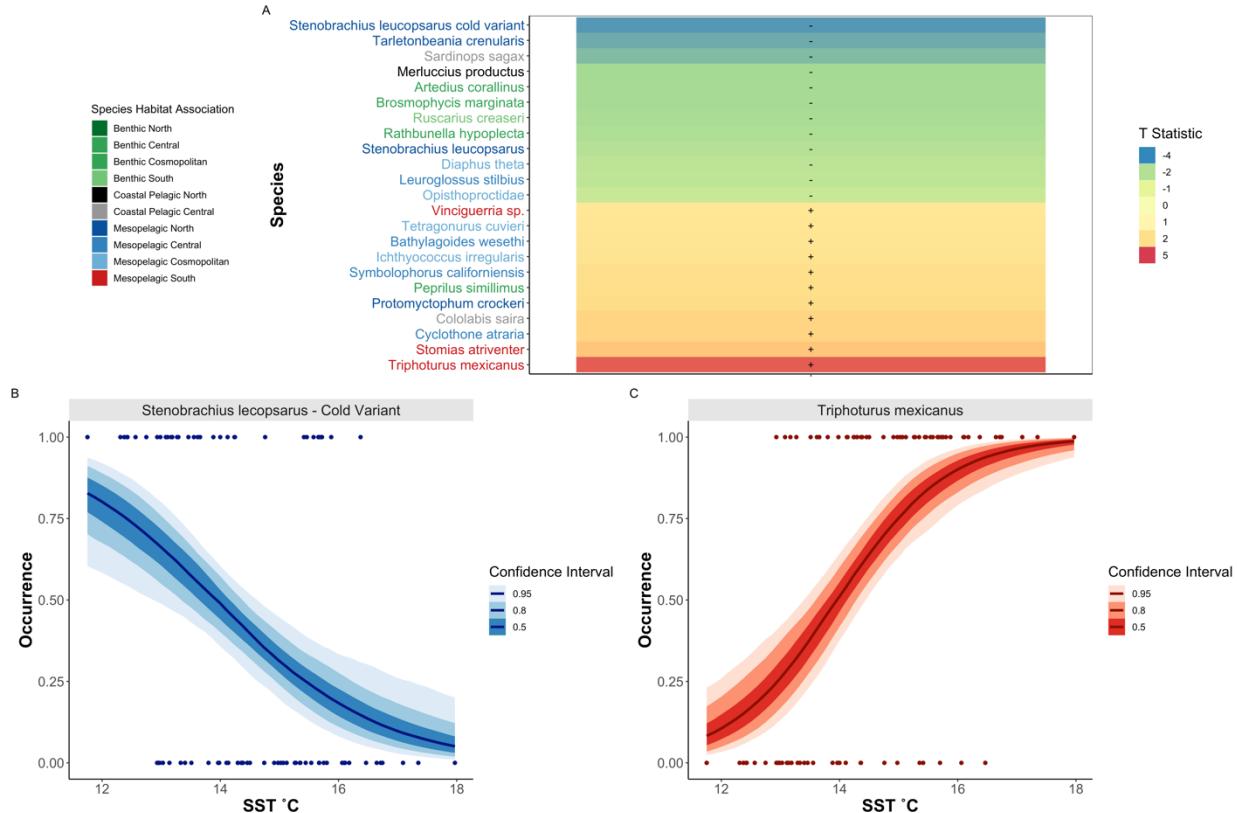
114 Model fits yielded station-, species-, and year-specific larval abundances for 56 fish species  
115 spanning a 23-year period.

116 *Displacement of Target Fish Species and Tropicalization of Fish Assemblages Associated with*  
117 *the Marine Heatwave*

118 We observed a transformation of marine ichthyoplankton assemblages during the 2014–  
119 2016 MHW where southern, mesopelagic species increased while several temperate species of  
120 critical ecological and economic importance declined. Such synchronous changes in the marine  
121 ichthyoplankton assemblages occurred during the MHW despite the hundreds of kilometers  
122 between stations and unique biogeographic characteristics associated with each sampled  
123 geographic location (See Supplement 1 results). For example, the mesopelagic Mexican  
124 Lampfish (*Triphoturus mexicanus*) was at peak abundance during the MHW, and extended its  
125 typical range both poleward and into coastal shelf waters (Figures 1a & c, S6-10).

126 Shifts were observed throughout the ichthyoplankton assemblages of the study region  
127 (Figures 2-3). On the one hand, subtropical, mesopelagic species uniformly increased in  
128 association with elevated sea-surface temperatures. On the other hand, many coastal species  
129 typically seen in the region tended to decrease (Figure 2, S6-26). In particular, the abundances of  
130 northern, mesopelagic species and fisheries targets such as Pacific Sardine (*Sardinops sagax*)  
131 and North Pacific Hake (*Merluccius productus*) were significantly correlated with colder sea  
132 surface temperatures and displayed negative co-occurrence patterns with warm associated  
133 southern, mesopelagic taxa (Figure 3, S27-28).

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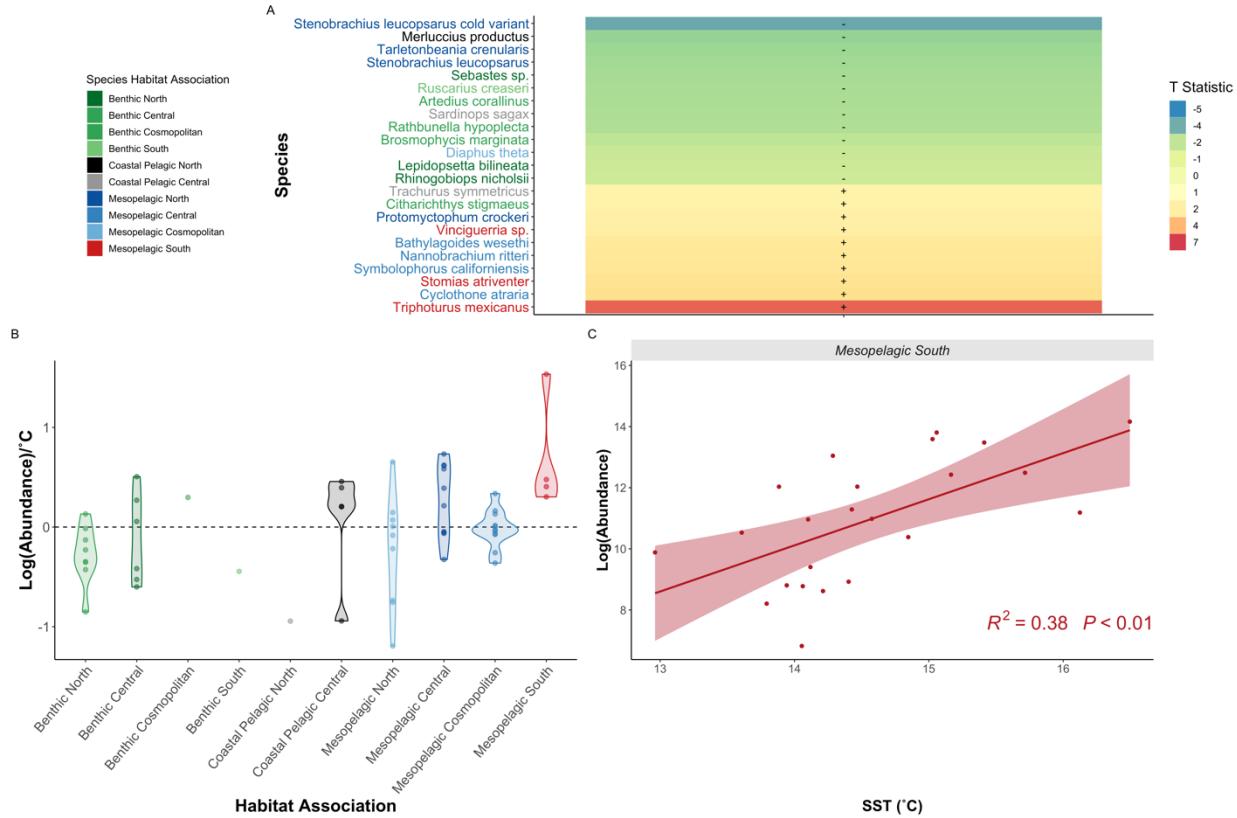
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### Figure 1. Temperature-Occurrence Associations in Fish Species

Changes in species occurrence patterns associated with SST, with southern, mesopelagic species increasing in prevalence with elevated temperature (A). T statistic (slope coefficient / standard error) from a generalized binomial mixed model was calculated for each species across all stations. Only 23 out of 56 total species with significant slopes (95% CI that exclude zero) are plotted. Importantly, metabarcoding identified cold-associated variants of the Northern Lanternfish (*Stennobrachius leucopsarus*) that cannot be morphologically identified (B) as well as common warm-associated species such as the Mexican Lampfish (*Triphoturus mexicanus*) (C).

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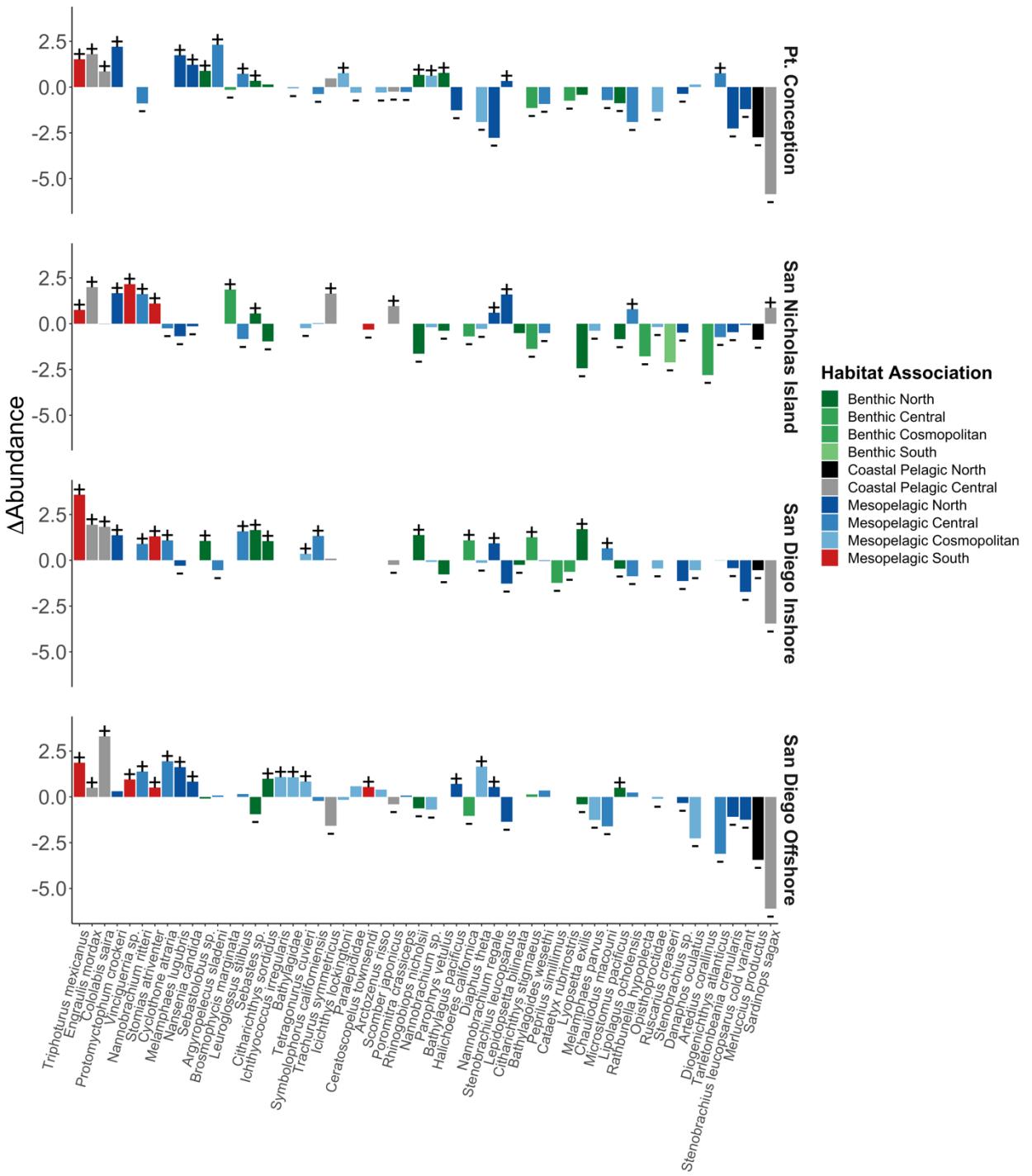


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149 **Figure 2. Increased Abundance of Southern Oceanic Species Drive Fish Community  
Shifts**

151 Changes in species abundance in response to SST °C, with southern, mesopelagic species  
152 increasing in abundance with elevated sea surface temperature (A). As in Fig 1,  
153 significant T statistics from generalized linear models are plotted. Southern mesopelagic  
154 fishes were associated with increased temperature as indicated by summarizing species-  
155 specific slopes from generalized linear models (B) and by the aggregated abundance  
156 relationship (C; each point is the mean posterior estimate of abundance for all southern,  
157 mesopelagic species in a single year). In contrast, abundances of benthic species and  
158 coastal pelagic species such as Northern Hake and Pacific Sardine abundances, were  
159 associated with cooler temperatures.

160



161

162

### Figure 3. Novel Marine Heatwave Assemblages

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Shifts in species abundances before the MHW (1996-2013) compared to during and after

164

the MHW (2014-2019). Synchronous increases in southern, mesopelagics and Northern

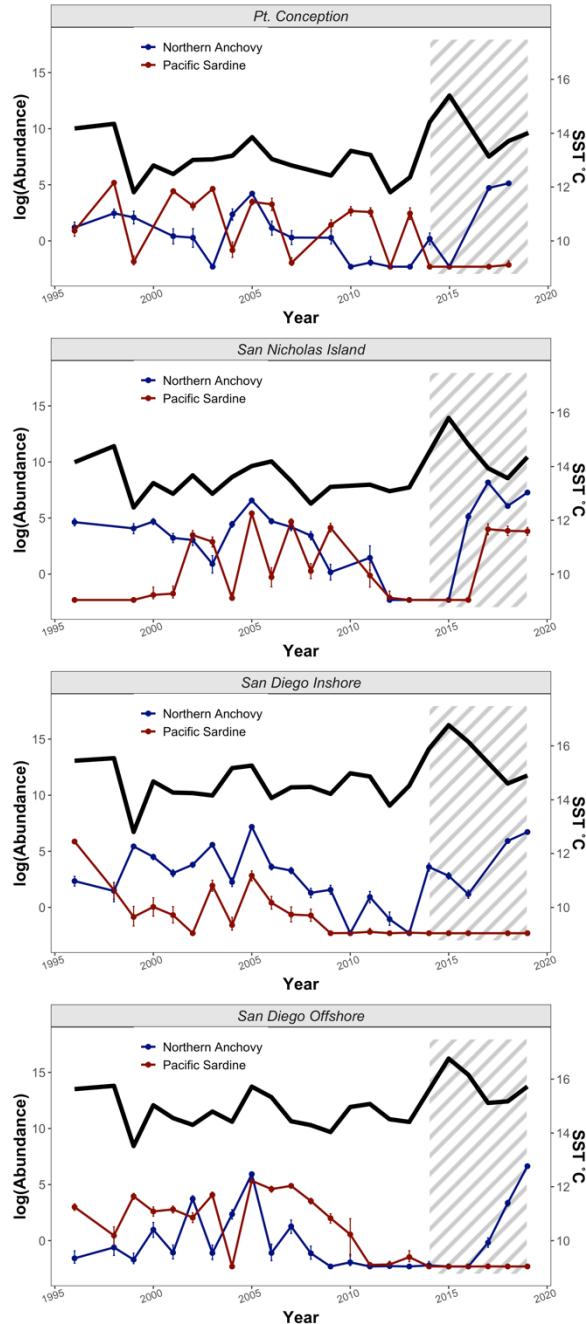
165

Anchovy were observed across all stations. Here, stations are shown in rows, species in

166 columns, and the change in abundance between the two ecological phases shown as the  
167 response variable. Fisheries targets including Pacific Sardine and North Pacific Hake, as  
168 well as many other benthic and coastal species, had concurrent negative associations.  
169 Significant differences during and after the MHW are marked with + or -.

170 *Biomass Changes in Forage Fishes*

171 Both because of their own commercial value and because they are prey for other high-  
172 value fishery species, sardine and anchovy fluctuations have been a major focus of fisheries  
173 research since the 1950s (9). Our model estimates are consistent with other studies (20, 32) that  
174 documented a decline in both sardines and anchovy beginning in 2005. This period of time was  
175 followed by high abundances of anchovy, but continued low abundances of sardine in the wake  
176 of the MHW, 2015-2019 (Figure 4). Although anchovy larvae abundance was low in spring  
177 during the 2014-2016 MHW, anchovy recruitment was high in summer 2015 (33). Anchovy  
178 mature in approximately one to two years (32, 34) and thus the 2015 class likely began spawning  
179 in mid-2016 (20), leading to high anchovy spawning stock biomass and larval abundances by  
180 2016 and lasting into 2019 and 2020 (35).



181

182 **Figure 4. Synchronous Increase in Anchovy Abundance During and After Marine  
183 Heatwave**

184 Posterior estimates for larval fish abundances (counts/10m<sup>2</sup>) over time at each of the four  
185 sampled stations. Joint modeling of metabarcoding and morphological counts  
186 reconstructed increases in Northern Anchovy (*Engraulis mordax*) [blue] during the recent

187 Pacific Marine Heatwave and low spawning of Pacific Sardine (*Sardinops sagax*) [red]  
188 over the past decade (points are means and error bars are 95% credible intervals; shaded  
189 region is during and after the MHW). SST is plotted above the Northern Anchovy and  
190 Pacific Sardine abundances, for reference.

191

## 192 **Discussion**

193 *Displacement of Target Fish Species and Tropicalization of Fish Assemblages Associated with*  
194 *the Marine Heatwave*

195 Recent studies demonstrate the tropicalization of terrestrial and marine ecosystems in  
196 response to climate change (40, 41). These shifts can induce novel species interactions,  
197 catalyzing changes in ecosystem function (2, 25). For example, we observe the combination of  
198 high abundances of both Northern Anchovy and southern, mesopelagics (5, 38) – a pattern  
199 otherwise undocumented in the previous >70-year CalCOFI dataset (16, 20). Furthermore, our  
200 results suggest multiple coastal pelagic fisheries targets may continue to be scarce as  
201 environmental conditions that are similar to the 2014-2016 MHW become more common (5, 38,  
202 39). Although the ecological implications of these novel assemblages are, by definition,  
203 unpredictable, our results suggest that if future assemblages resemble those seen in the MHW,  
204 increases in Northern Anchovy and southern, mesopelagic fishes are likely to be associated with  
205 decreases in Pacific Sardine and North Pacific Hake in the Southern CCLME (40, 41); these  
206 shifts have fundamentally changed ecosystems and fisheries relative to the recent past (20).

207

208 *Biomass Changes in Forage Fishes*

209        The rise in anchovy and continued low abundances of sardine during the MHW is an  
210    ecological surprise. Correlative analyses between basin-scale environmental indices such as the  
211    Pacific Decadal Oscillation indicate that, for the latter half of the 20<sup>th</sup> century, anchovy thrived  
212    under cooler conditions and sardine under warmer conditions (10). However, our findings and  
213    others (5, 9, 38, 42) suggest that the mechanisms that govern the population dynamics of these  
214    species are not a mere function of temperature, but that more complex factors drive recruitment  
215    dynamics of these species (20). For example, despite largely synchronous responses of fish  
216    assemblages to the marine heatwave, sardine declines were not consistent across the CCLME,  
217    with refugia of localized abundance in nearshore waters potentially driven by distinct, favorable  
218    conditions (9, 20, 32).

219        Further improving our mechanistic understanding of drivers of fish assemblage dynamics  
220    will better inform ecological predictions in the face of extreme ocean events such as MHWs  
221    which are likely to increase in frequency and duration under climate change (43). As we  
222    demonstrate, a combination of metabarcoding and visual surveys can characterize species across  
223    trophic levels (44) and this has the potential to reveal ecological mechanisms. Here, we used  
224    metabarcoding to accurately characterize the composition of larval fishes in CalCOFI plankton  
225    samples. Future efforts could focus on documenting the phytoplankton and zooplankton  
226    assemblages that comprise both larval prey and predators. Several major hypotheses seeking to  
227    explain recruitment variability are underpinned by the capacity of young larvae to consume  
228    appropriate prey that facilitates faster growth (45). Unfortunately, accurately characterizing the  
229    larval prey field has traditionally been difficult as prey are generally too small to be accurately  
230    sampled by nets (46). Metabarcoding of water samples from the same locations where larvae are  
231    collected, however, can characterize the larval prey field at an unprecedentedly high level of

232 detail. In addition, metabarcoding of the stomachs of larval fishes can then identify actual prey  
233 items that were consumed by larvae. Evaluating the larval prey field and gut contents through  
234 metabarcoding will help us to finally understand the drivers of recruitment volatility in coastal  
235 pelagic and other fishes (5, 32, 47–51).

236 The described unexpected rise in anchovy during the 2014–16 MHW resonated  
237 throughout the CCLME (39). For example, California sea lion pups grew at anomalously high  
238 rates after their mothers consumed copious anchovy forage and produced ample milk (40). High  
239 rates of almost exclusively anchovy consumption also seemingly induced thiamine deficiency in  
240 adult salmon resulting in poor condition of recruits (52). Birds capable of feeding on anchovy  
241 thrived (38) while those unable to consume anchovy perished (41). Given that conditions  
242 comparable to the 2014–2016 MHW are predicted to be more common in the CCLME in the  
243 future (1), our results suggest that continued biological responses to both anchovy-dominated  
244 forage-fish assemblages and MHW-associated ocean warming conditions are likely to be without  
245 modern analog (20).

246 *Novel Insights from Legacy Collections*

247 Molecular tools differentiated variants and species that were not morphologically identifiable in  
248 the ichthyoplankton (21). For example, metabarcoding identified unique cold-associated variants  
249 of the Northern Lampfish (*Stennobrachius leucopsarus*) that are morphologically  
250 indistinguishable and combined as a complex are only weakly associated with cooler  
251 temperatures. By illuminating such unseen variation, molecular methods reveal ecological  
252 dynamics otherwise hidden by shared larval morphology.

253 Ultimately, our approach to studying historical fluctuations in ichthyoplankton  
254 assemblages reveals climate-associated biological changes in the CCLME, and suggests ways in

255 which these changes could alter the function and socio-economic benefits derived from marine  
256 ecosystems. Importantly, this novel approach provides quantitative estimates by non-  
257 destructively sampling legacy collections via metabarcoding, and at the same time provides a  
258 mechanistic framework for determining absolute abundance estimates from compositional  
259 amplicon sequencing data (53–56). Here we relied on morphological counts to ground  
260 compositional metabarcoding data, but our framework suggests that any estimate of abundance  
261 (e.g. qPCR) or amplification efficiency (e.g. derived from mock communities) can achieve  
262 similar results (See Supplement 2) (55, 56). Unlocking such quantitative metabarcoding  
263 approaches expands the potential for linking ecological assemblages to environmental processes  
264 beyond just presence-absence analyses (57–59). Such quantitative approaches may prove critical  
265 in modeling and predicting future ecosystem change, although directly linking assemblage  
266 dynamic responses to climate-driven forces remains inherently challenging. While the CalCOFI  
267 samples are specific to ichthyoplankton from the CCLME, bulk collection of community  
268 samples is commonly used to survey plankton, insects, pollen, gut contents, and microbiomes,  
269 among many other targets (60). As such, here we provide broadly applicable methodology with  
270 which to efficiently understand modern and historical changes in ecological communities.

271

## 272 **Materials and Methods**

### 273 *Study Design*

274 To investigate decadal changes in the ichthyoplankton assemblages in the California Current  
275 vicinity, we identified ichthyoplankton from four distinct stations during spring months.  
276 Archived spring ichthyoplankton samples were collected across four biogeographically  
277 dissimilar stations with variable water properties (61) over 2 decades (1996, 1998–2019; Figure

278 S1; See Supplement 1 methods)(5, 38). Decades of research within the study region (16, 17, 20,  
279 62) indicate the majority of species spawn in spring and closely track adult biomass (63). Hence,  
280 we expect the spring ichthyoplankton to reflect underlying changes in the local fish assemblages.

281 At each station, oblique bongo net tows were conducted from 210 m depth to the surface  
282 using standard CalCOFI methods (15, 64–66). Cod end contents of both bongo nets were  
283 preserved at sea. The starboard side was preserved in sodium borate-buffered 2% formaldehyde  
284 and the port side was preserved in Tris-buffered 95% ethanol. Microscopy was conducted to  
285 identify species abundance from formaldehyde-preserved samples following standardized  
286 CalCOFI techniques (61). DNA metabarcoding was conducted on the ethanol in which port side  
287 samples were preserved using the MiFish Universal Teleost (67) PCR primer set targeting the  
288 12S rRNA mitochondrial gene region. See Supplement 1 methods for full description.

289 *Estimating Abundance*

290 We estimated the abundance of ichthyoplankton in each jar using a novel joint Bayesian  
291 hierarchical model described in detail in Supplement 2. In brief, we estimate that the number of  
292 sequenced amplicons, for any species  $i$ , is proportional to the species-specific fraction of DNA in  
293 the PCR template (53, 55, 56). The amplicons produced during a PCR reaction are dictated by  
294 the amplicon efficiency parameter  $a_i$ , which is characteristic of the interaction between the  
295 particular primer set and each species being amplified. Thus, for any species  $i$ , the number of  
296 amplicons should be directly related to the efficiency of amplification and the starting  
297 concentration of DNA template such that

298 
$$A_i = c_i(a_i + 1)^{N_{PCR}} \quad (1)$$

299 where  $A_i$  is amplicon abundance,  $c_i$  is the true number of DNA copies in the reaction attributable  
300 to species  $i$ ,  $a_i$  is the species-specific amplification efficiency (bounded on (0,1)), and  $N_{PCR}$  is  
301 the number of PCR cycles used in the reaction (68).

302 However, not all molecules of DNA are transferred through each molecular step (69, 70),  
303 changing what in eq. 1 appears to be a single-species process – each species being amplified  
304 independently – into a multi-species process; the number of amplicons observed for species  $i$  will  
305 depend upon both the amplicons produced for species  $i = 1$  and the amplicons produced for  
306 species  $i = 2, 3, \dots, I$  in the same reaction. Observations of amplicons are thus compositional  
307 data, meaning they are the proportions of the sample amplicon reads and convey relative  
308 quantitative information of the observed species and therefore need to be analyzed as such (54).

309 To retain the data-generating structure from eq.1 as much as possible, we develop a  
310 model for a single sample with many species. As above, if we let  $I$  index species with  $I = 1, 2, \dots,$   
311  $I$ , then we can write a deterministic equation for the number of amplicons observed in log-space  
312 as

$$313 \log(A_i) = \log(c_i) + N_{PCR} \log(a_i + 1) + \log(\eta) \quad (2)$$

314 where the only new term is  $\eta$ , representing the proportion of reads observed from a given  
315 sampling run (See supplement 2 for details). Note that in this formulation  $\eta$  is a single value  
316 shared across all species and serves to scale the number of amplicons observed. Additionally, we  
317 can rewrite the number of DNA copies in terms of proportional number of counts,  $\log(\beta_i) =$   
318  $\log(c_i) - \log(\sum_i c_i)$ . Note that the second term in this equation is a sum of the counts across all  
319 species, and so is a single shared value for all species. As such it can be integrated into the value  
320  $\eta$  that scales the overall abundance for each species  $i$ ,

$$321 \log(A_i) = \log(\beta_i) + N_{PCR} \log(a_i + 1) + \log(\eta) \quad (3)$$

322        This equation is appealing because it provides a process-oriented description of the  
323        biology of interest (the  $\beta$ s), a term for how PCR modifies our amplicon sequence count  
324        observation of the true abundance ( $N_{PCR} \log(a_i + 1)$ ), and a term for how subsampling of DNA  
325        reads will adjust the number of amplicons observed ( $\log(\eta)$ ).

326        However, in the absence of additional information, it is not possible to estimate the  $\beta$  and  
327         $a$  parameters from metabarcoding data alone. Including morphological count data enables us to  
328        estimate the confounded parameters from metabarcoding data. For each sampled station, we have  
329        two independent sets of observed data: 1) counts of larval/juvenile fishes for each taxon from the  
330        formaldehyde jars and 2) counts of amplicons for each taxon from ethanol jars. These observed  
331        data arise from a common (but unobserved) biomass for each species at each station-year  
332        combination, and thus we can model them jointly (71).

333        Microscopy counts were modeled as Poisson-distributed given their relatively small  
334        absolute values and low variance (64), and amplicon sequence data were modeled using a  
335        Negative Binomial distribution given their relatively high absolute values and high variability  
336        among replicates (Figure S2-S4). These statistical distributions are commonly used in models of  
337        amplicon and count data, respectively (35–37). A full description of model implementation and  
338        assumptions are provided in Supplement 2.

339        *Data Analysis*

340        After model estimation, we calculated mean abundance estimates (larvae counts per  
341        standardized volume towed) per species per station per year. To explore species-specific sea  
342        surface temperature (SST) relationships, we fit a Bayesian generalized linear model using log  
343        (abundance) as the response variable and SST ( $^{\circ}\text{C}$ ) as a continuous predictor variable. Models  
344        were implemented for each species using Stan as implemented in R (75, 76). We then

345 summarized the affinity between each species and SST by calculating a T-statistic based on each  
346 species' estimated coefficients (mean slope/standard deviation). We further plotted the estimated  
347 slope for each “species grouping” by habitat associations derived from previous CalCOFI  
348 research (See Supplement 1 methods)(63). We summed total log (abundance) per habitat  
349 association per station per year and fit a Bayesian generalized linear model using log (total  
350 abundance) as the response variable and SST ( $^{\circ}\text{C}$ ) as a continuous predictor variable.

351 We repeated the above analyses using a Bayesian binomial model using presence as the  
352 response variable and SST ( $^{\circ}\text{C}$ ) as a continuous predictor variable across the data set to explore  
353 occurrence relationships with temperature and identify warm- and cool- associated taxa. We set a  
354 threshold of presence/absence based on the model using a threshold of  $< 0.01$  larvae per  
355 standardized volume to be considered absent within a station.

356 We further explored species occurrence and abundance relationships with SST by fitting  
357 the above Bayesian generalized linear models with station as a random effect (See Supplement 1  
358 results).

359 We visualized anchovy and sardine abundance over time by calculating the mean log  
360 (abundance) of each species per station per year. We then plotted the mean log (abundance) of  
361 each of the four stations while error bars represent the 95% confidence intervals observed for a  
362 given species at a given station in that year.

363 To evaluate the effect of the marine heatwave (MHW) on CCLME fishes we compared  
364 estimated species abundances before the MHW (1996-2013), to both during and after the MHW  
365 (2014-2019), at each station respectively. We first calculated the mean abundance for each  
366 species at each station for each model run. We then subtracted the means for each model run to  
367 evaluate changes in MHW abundance per species per station per model run. We then calculated a

368 95% CI of change in MHW abundance per species to identify which species were significantly  
369 different before vs. during and after the MHW at each station.

370 All data and code to conduct analyses and generate all figures are available on GitHub  
371 ([https://github.com/zjgold/CalCOFI\\_eDNA](https://github.com/zjgold/CalCOFI_eDNA)) and associated Google Drive link  
372 ([https://drive.google.com/drive/folders/12cU9mY\\_CWoro-x6Hgh\\_pgv\\_66zZEzm1h?usp=sharing](https://drive.google.com/drive/folders/12cU9mY_CWoro-x6Hgh_pgv_66zZEzm1h?usp=sharing)) [will be replaced with a Dryad repository upon  
374 acceptance].

375

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381 *Author Contributions*

- 382 • Conceptualization: ZG, DK, KDG, ART, LRT, PHB
- 383 • Performed Research: ZG, RPK, AOS, RG, DK, ART, PHB, KMP
- 384 • Funding Acquisition: ZG, PHB, ART, KDG, DK
- 385 • Data Curation: ZG, RPK, AOS, RG
- 386 • Formal Analysis: ZG, RPK, AOS, RG, ART
- 387 • Writing – Original Draft Preparation: ZG, RPK, AOS, ART, KDG, RG, KMP, LRT, DK,
- 388 PHB

389 *Competing Interests*

390 The authors declare no competing interests.

391     *Data and Materials Availability*

392                 All data needed to evaluate the conclusions in the paper are present in the paper and/or  
393                 the Supplementary Materials.

394

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1                   **SUPPLEMENT 1**

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## 54       **Introduction**

55           This supplemental material provides additional details on the methods, results, and  
56           discussion to support the main findings and conclusion of the manuscript.

57           The CalCOFI program (<https://calcofi.com/>) serves to provide fisheries-independent  
58           ecosystem assessments of fish assemblages in the Southern California Current and has provided  
59           decades of data on ichthyoplankton assemblages (77). The current CalCOFI surveys sample four  
60           times per year from the U.S. Mexican Border to Monterey Bay (77). We used this rich sample  
61           archive to interrogate ichthyoplankton assemblages from 1996-2019 (See Supplemental  
62           Methods). Importantly, the occurrence of a marine heatwave (MHW) within the study region and  
63           sampling period provided an additional opportunity to investigate the utility of having a non-  
64           destructive means of interrogating the valuable CalCOFI sample archive.

65

## 66       **Methods**

67       *Study Design*

68 To evaluate the efficacy of metabarcoding methods used to analyze ethanol preserved  
69 samples and investigate potential changes in the ichthyoplankton assemblages over decadal  
70 scales, we identified ichthyoplankton by metabarcoding and microscopy in ethanol-preserved  
71 samples collected over two decades (1996, 1998-2019; Figure S5) of spring CalCOFI cruises (5,  
72 27, 35, 38). We note that samples collected in 1997 were stored in <50% ethanol and were  
73 discarded due to failed preservation.

74 Samples were collected in late March or early April of each year  
75 (calcofi\_metadata\_analysis\_20210907.csv). Here we focus on spring samples because the  
76 majority of species in the California Current spawn in spring and historically the annual  
77 California Current Ecosystem Report has relied on the spring data (20, 78). This decision is  
78 supported by recent work using ichthyoplankton data across the full set of yearly CalCOFI  
79 cruises which found little evidence for phenological trends (20, 78), thus aiding the ability to  
80 look at impacts from the marine heatwave.

81 Samples were collected from four well-separated stations (up to 370 km apart) from  
82 distinct vicinities of the California Current with differing water properties (61) (5, 27, 35, 38)  
83 (Figure S1). The northernmost station was located offshore of Point Conception, CA within the  
84 California Current (34.14833°N -121.1567°W). The second station was located off San Nicholas  
85 Island, CA (33.32333 °N, -119.6667°W) that experiences high variation in annual temperature  
86 depending on the respective strengths of the California Current and Southern California Counter  
87 Current (61). The third station was a southern coastal inshore station off San Diego, CA  
88 (32.84667°N, -117.5383°W) characterized by relatively warmer waters from the California  
89 Counter Current with seasonal (spring) upwelling of cool, nutrient-rich water (61). The fourth

90 station was a southern offshore station ( $31.85000^{\circ}\text{N}$ ,  $-119.5683^{\circ}\text{W}$ ) characterized by sub-tropical  
91 oceanic waters (Figure 4).

92 At each station, oblique bongo net tows were conducted from 210 m to the surface using  
93 standard CalCOFI methods (15, 64–66). Each side of the bongo net had a 0.71 m-diameter  
94 mouth opening and a net size of 0.505 mm mesh. Cod end contents of both bongo nets were  
95 preserved at sea. The starboard side was preserved in sodium borate-buffered 2% formaldehyde  
96 and the port side was preserved in Tris-buffered 95% ethanol. Ethanol was replaced after 24  
97 hours to account for dilution from tissue water loss. Microscopy was conducted to identify  
98 species abundance from formaldehyde-preserved samples following standardized CalCOFI  
99 techniques (61) while metabarcoding was conducted on the ethanol in which port side samples  
100 were stored; consequently, we expected the contents of the paired samples to differ slightly as a  
101 function of sampling stochasticity.

#### 102 *Metabarcoding Collection Isolation, Amplification, and Sequencing*

103 Prior to filtration, the ethanol-preserved samples were inverted three times and let rest for  
104 30 minutes to resuspend and homogenize samples in the preservative. Filtration of ethanol from  
105 the port-side bongo samples was conducted in a pre-PCR clean room at the NOAA Southwest  
106 Fisheries Science Center within a biological safety cabinet in July 2019. The pre-PCR room had  
107 no previous post-PCR work conducted within and all surfaces and equipment were sterilized  
108 frequently with 10% bleach and 70% ethanol. The pre-PCR clean room was at ambient pressure  
109 and reasonable precautions to limit contamination were conducted including only wearing clean  
110 clothes that have not been exposed to labs with PCR product, no food brought into the lab, and  
111 gloves were exchanged regularly.

112           Ethanol preservative was filtered using a vacuum filtration manifold with Nalgene  
113          Analytical Test Filter Funnels (Thermofisher Scientific, Waltham, MA, USA) with the  
114          manufacturer's 0.45 µm filters replaced with 0.2 µm Durapore PVDF filters (Sigma Aldrich, St.  
115          Louis, MO, USA) using sterile forceps. Up to 125 mL of ethanol was then transferred from the  
116          preserved jars into the filter funnels using a 10 mL pipette, carefully avoiding any sample  
117          contents and thus preserving CalCOFI specimens for future research and analysis. Sample jars  
118          were refilled using freshly prepared tris-buffered ethanol before being returned to the collection  
119          archive. We included two negative controls to test for lab contamination by filtering 125 mL of  
120          molecular grade water. Filters were stored at -20°C before DNA extraction.

121           Filters were extracted using the standard Qiagen DNAeasy Kit (Qiagen Inc., Valencia,  
122          CA, USA) in a pre-PCR molecular lab. Extracted DNA was amplified using the MiFish  
123          Universal Teleost primer sets to capture fish diversity (67).

124           Here, we highlight our decision to utilize the MiFish Universal Teleost *12S* primers. First,  
125          these primers have been rigorously validated for fish barcoding (22, 23, 29, 30, 79–81) and  
126          shown to provide accurate taxonomic assignments for a broad range of fishes (22). We recognize  
127          that there are limitations for this, and indeed all, metabarcoding primer sets (82) which are forced  
128          to balance specificity [how well target species can be taxonomically resolved] against breadth  
129          [range of species across the tree of life that can be amplified] (83). Even a “gold standard” like  
130          the *16S* rRNA gene marker for prokaryotic sequences struggles with taxonomic assignment  
131          accuracy (84), especially with short-read sequences. Although taxonomic resolution limitations  
132          and compromises remain for the *12S* target (22, 85), the taxonomic resolution has been improved  
133          and best practices for taxonomic classification have been identified through the development of a

134 nearly comprehensive California Current Large Marine Ecosystem *12S* reference database along  
135 with a full factorial cross-validation analysis of bioinformatic approaches (22).

136 Second, there are no widely used or benchmarked *COI* metabarcoding primer sets for  
137 fish applications although *COI* barcoding is a common barcoding target. This is because a) the  
138 conserved nature of the locus across the tree of life which results in amplification of a broad  
139 array of taxa (86, 87), and b) the mismatch in high throughput sequencing platform length (max  
140 is paired-end 300 bp) and rate of *COI* evolution/accumulation of sequence differences between  
141 species (81, 88). In fact, these shortcomings were the original motivation for researchers to  
142 develop alternative fish metabarcoding loci targeting *12S* loci for fishes (23). Together, the  
143 research community has largely converged on the MiFish Universal Teleost *12S* primer set as  
144 standard practice for fish metabarcoding given its balance of high specificity and breadth (23).  
145 Thus we feel confident that the MiFish Universal Teleost *12S* primer set was an appropriate  
146 choice for metabarcoding here.

147 Each metabarcoding extraction was subsampled for three PCR reactions using the MiFish  
148 *12S* primer set. PCR amplification for the MiFish primer set was conducted following the  
149 thermocycler profile of Curd *et al.* (30). MiFish PCR reactions had 25 µL reaction volume  
150 containing 12.5 µL QIAGEN Multiplex Taq PCR 2x Master Mix (Qiagen Inc., Valencia, CA,  
151 USA), 6.5 µL of molecular grade water, 2.5 µL of each primer (2 µmol/L), and 1 µL DNA  
152 extraction. MiFish PCR thermocycling employed a touchdown profile with an initial  
153 denaturation at 95°C for 15 min to activate the DNA polymerase, followed by 13 cycles of a 30s  
154 denaturation at 94°C, a 30s annealing that started at 69.5°C and then decreased by 1.5°C for each  
155 subsequent cycle (last cycle was 50°C), finishing with a 1 min extension at 72°C. This initial

156 touchdown profile was followed by 35 additional cycles using identical parameters except a  
157 constant annealing temperature of 50°C and ending with a final extension at 72°C for 10 min.

158 Two non-native non-marine vertebrates, American alligator (*Alligator mississippiensis*)  
159 and dromedary camel (*Camelus dromedarius*), were purchased at a local market and used as  
160 positive controls. For all positive controls, tissues were extracted using the Qiagen Blood and  
161 Tissue kit following the manufacturer's instructions. All PCR products were visualized via  
162 electrophoresis on 2% agarose gels to ensure amplification success and correct product size.  
163 Only filters from four jars failed to amplify, and upon further inspection within the archived  
164 notes, all these samples had known preservation issues (e.g., preservative dried out, observed  
165 mold, etc.). All other DNA extractions successfully amplified.

166 We prepared libraries following the methods of Curd *et al.* using a two-step PCR  
167 amplification method with one final pool per primer set. Previous work indicated that two-step  
168 PCR amplification can reduce amplification biases (89, 90) perhaps introduced by the inclusion  
169 of various indices during one-step PCR procedures. Variations in the relative amplification  
170 efficiency of each PCR is a concern here given the desire to study an array of targets in an  
171 oceanic region over space and time. Overall, there are review papers available that outline the  
172 advantages and disadvantages for one-step and two-step PCR protocols (91).

173 Prior to the second indexing PCR reaction, PCR samples from the first reaction were  
174 cleaned using the Serapure magnetic bead protocol. We quantified bead-cleaned samples with  
175 the Quant-iT™ broad range dsDNA Assay Kit (Thermofisher Scientific, Waltham, MA, USA)  
176 on a Victor3 plate reader (Perkin Elmer Waltham, MA, USA). We indexed the sample libraries  
177 using unique combinations of the Nextera Index A, B, C, and D Kit (Illumina, San Diego, CA,  
178 USA) and KAPA HiFi HotStart Ready Mix (Kapa Biosystems, Sigma Aldrich, St. Louis, MO,

179 USA). Indexing was performed with a second PCR using a 25 µL reaction mixture containing  
180 12.5 µL of Kapa HiFi Hotstart Ready mix, 1.25 µL of index primers, 10 ng of template DNA to  
181 ensure equal copy number, and the remaining volume was filled using molecular grade water  
182 depending on cleaned PCR product concentration. Index thermocycling parameters were:  
183 denaturation at 95°C for 5 min, 5 cycles of denaturation at 98°C for 20 sec, annealing at 56°C for  
184 30 sec, extension at 72°C for 3 min, followed by a final extension at 72°C for 5 min. To confirm  
185 successful PCR and correct product size, we electrophoresed PCR products on 2% agarose gels.  
186 We then bead cleaned and quantified DNA concentration, as described above so that we could  
187 pool samples so as to have equal copy number for each unique library. Pooled libraries were  
188 sequenced on an Illumina NextSeq PE 2x150 at UCLA Technology Center for Genomics and  
189 Bioinformatics.

190 *Bioinformatics*

191 The resulting metabarcoding data were processed using the *Anacapa Toolkit* to conduct  
192 quality control, amplicon sequence variant (ASV) parsing, and taxonomic assignment using user-  
193 generated custom reference databases. We processed sequences using default parameters except  
194 using a Q score cutoff of 30 and assigned taxonomy using *CRUX*-generated metabarcode  
195 specific reference databases (22). The MiFish sequencing data was assigned taxonomy using the  
196 California fish specific reference database and a bootstrap confidence cutoff score of 60  
197 following Gold *et al.* (22).

198 The two resulting raw ASV community tables were decontaminated following Kelly *et al.*  
199 (92). First, only merged paired reads that occurred at least twice (e.g., no singletons) were  
200 retained. Second, we estimated index hopping between samples by calculating the proportion of  
201 sequences within the positive control samples and then subtracting reads from each sample by

202 the sample read depth multiplied by the proportion of reads observed in the positive controls.  
203 Third, we discarded technical replicates with fewer than 30,000 reads. Fourth, we calculated  
204 Bray-Curtis dissimilarities between technical PCR replicates and fit a skewed beta distribution  
205 ( $a= 0.6$ ,  $b= 9.5$ ). We then removed all replicates with greater than 95% probability of belonging  
206 to the beta distribution. Resulting tables were then combined into a final ASV community table  
207 in *R*.

208 *Microscopy Identification of Ichthyoplankton*

209 Plankton samples were processed at the NOAA Southwest Fisheries Science Center  
210 ichthyoplankton laboratory. From each plankton sample, fish larvae were sorted and identified  
211 through microscopy to the lowest practical taxon (64, 66). Most taxa were identified to species  
212 although some were only characterized to genus or family level (See  
213 `larval_counts_20210305.csv`). The number of larvae per species per jar, total abundance of  
214 filtered ichthyoplankton, and proportion of jar sorted were recorded.

215 *Estimating Abundance*

216 We estimated the abundance of ichthyoplankton in each jar using a novel joint Bayesian  
217 hierarchical model described in detail in Supplement 2.

218 *Environmental Covariates*

219 We specifically examined the relationship of ichthyoplankton communities to sea surface  
220 temperatures (SST). Two month prior mean SSTs were obtained using the *rerddapXtracto*  
221 package (93) in *R* to collect PathFinder Ver 5.3 monthly remotely sensed composites. To  
222 calculate two-month prior means we first obtained monthly composites from April 1995 to April  
223 2019 for each station. We then averaged across monthly composite sea surface temperatures

224 ignoring any missing values. Prior two month sea surface temperatures were chosen given the  
225 average age of spring larvae (94) (Figure 4).

226 We then investigated the relationship of ichthyoplankton assemblages against sea surface  
227 temperature as a proxy for a multitude of environmental shifts associated with the MHW. In  
228 addition, we specifically characterized fish that are uniquely present or absent before (1996-  
229 2013) and after the 2014-16 Marine Heatwave (2014-2019). Analyses were repeated using the  
230 mean average water column temperature (MWCT) obtained from nearly simultaneously  
231 conducted CTD rosette deployments (15). The mean water column temperature was averaged  
232 across 10 to 100m depth, where the majority of ichthyoplankton reside, following Thompson et  
233 al. (15).

234 *Data Analysis*

235 After model estimation, we calculated mean abundance estimates (larvae counts per  
236 standardized volume towed) per species per station per year. To explore species-specific sea  
237 surface temperature (SST) relationships, we fit a Bayesian generalized linear model using log  
238 (abundance) as the response variable and SST ( $^{\circ}\text{C}$ ) as a continuous predictor variable. Models  
239 were implemented for each species using Stan as implemented in R (75). We then summarized  
240 the affinity between each species and SST by calculating a T-statistic based on each species'  
241 estimated coefficients (mean slope/standard deviation). We further plotted the estimated slope  
242 for each “species grouping” by habitat associations derived from previous CalCOFI research  
243 (habitat\_association\_to\_check\_art.csv)(63). We summed total log(abundance) per habitat  
244 association per station per year and fit a Bayesian generalized linear model using log (total  
245 abundance) as the response variable and SST ( $^{\circ}\text{C}$ ) as a continuous predictor variable.

246 We repeated the above analyses using a Bayesian binomial model using presence as the  
247 response variable and SST ( $^{\circ}\text{C}$ ) as a continuous predictor variable across the data set to explore  
248 occurrence relationships with temperature and identify warm- and cool- associated taxa. We set a  
249 threshold of presence/absence based on the model using a threshold of  $< 0.01$  larvae per  
250 standardized volume to be considered absent within a station.

251 We further explored species occurrence and abundance relationships with SST by fitting  
252 the above Bayesian generalized linear models with station as a random effect. In addition, we  
253 repeated all of the above analyses using MWCT instead of SST.

254 To explore how fish assemblages change over time we plotted a heatmap of observed  
255 abundance summed across stations each year. Chronological clustering was conducted across  
256 years using Bray Curtis dissimilarities of abundances using a K of 8 using the package *rioja* in R  
257 (95) and a dendrogram of years was constructed using the *ggdenro* package (96). Similarly,  
258 hierarchical clustering was conducted across species using Bray Curtis dissimilarities of  
259 abundances using a K of 6. To further explore fish assemblage changes NMDS Ordination of  
260 Bray-Curtis dissimilarities were calculated from estimated abundances of each year summed  
261 across stations as implemented by the *metaMDS* function from *vegan* in R (97). The above  
262 analyses were also conducted with station separated as well as each station on it's own. To  
263 investigate the relative effect of year, SST, and station to the explained variance in fish  
264 assemblages across the ata set, we ran a PERMANOVA on Bray-Curtis dissimilarities using the  
265 following model: ~ Year + SST + station.

266 We visualized anchovy and sardine abundance over time by calculating the median log  
267 (abundance) of each species per station per year. We then plotted the log (median) abundance of

268 each of the four stations while error bars represent the 95% confidence intervals observed for a  
269 given species at a given station in that year.

270 To explore co-occurrence patterns across species, we fit a generalized linear latent  
271 variable model (GLLVM) following the methods of Niku et al. (98), specifically conducting  
272 model fitting to determine the best distribution fit as well as number of latent variables to use.

273 The highest performing GLLVM employed 3 latent variables and applied a negative binomial  
274 distribution with variational approximation (99) on the joint model predicted larvae count data.

275 We then plotted the correlation matrix of the linear predictors across species with and without  
276 incorporating SST in the GLLVM to identify co-occurring species and the effect of SST on co-  
277 occurrence patterns.

278 To evaluate the effect of the marine heatwave (MHW) on CCLME fishes we compared  
279 estimated species abundances before the MHW (1996-2013), to both during and after the MHW  
280 (2014-2019), at each station respectively. We first calculated the mean abundance for each  
281 species at each station for each model run. We then subtracted the means for each model run to  
282 evaluate changes in MHW abundance per species per station per model run. We then calculated a  
283 95% CI of change in MHW abundance per species to identify which species were significantly  
284 different before vs. during and after the MHW at each station.

285 All data and code to conduct analyses and generate all figures are available on GitHub  
286 ([https://github.com/zjgold/CalCOFI\\_eDNA](https://github.com/zjgold/CalCOFI_eDNA)) and associated Google Drive link  
287 ([https://drive.google.com/drive/folders/12cU9mY\\_CWoro-x6Hgh\\_pgv\\_66zZEzm1h?usp=sharing](https://drive.google.com/drive/folders/12cU9mY_CWoro-x6Hgh_pgv_66zZEzm1h?usp=sharing)) [will be replaced with a Dryad repository upon  
289 acceptance].

290

291 **Results**

292 *Fish Assemblage Structure*

293 We observed substantial changes in fish assemblage structure across stations, time, and  
294 temperature sampled (NMDS stress =0.03) (Figure S11-26). station explained the greatest  
295 observed variance (12%) which is unsurprising given the intentionally chosen distinct  
296 biogeographic characteristics of each station (PERMANOVA p <0.05). However, despite the >  
297 370km distance between stations, we captured significant synchronous changes in fish  
298 assemblage dynamics in response to year (2.4%) and temperature (4.6%) (PERMANOVA p  
299 <0.05). In particular, we observed strong clustering of the post MHW period from 2017-2019,  
300 the 2005 El Niño and the 1998 El Niño along with southern mesopelagic species. Both 2014 and  
301 2016 were distinct from other years and associated with a suite of mesopelagic species, although  
302 the MHW itself was not strongly clustered largely due to the differential onset and  
303 characterization of the warming event within the region (5).

304 We also found strong positive and negative co-occurrence patterns through GLLVM  
305 analyses across species when controlling for temperature. Specifically, we observed strong  
306 negative co-occurrence patterns between fisheries targets like benthic fisheries targets (e.g.  
307 *Citharichthys* sp. sanddabs) and mesopelagic fishes (S27). We also observed strong positive co-  
308 occurrence patterns between a suite of benthic species as well as strong positive co-occurrence  
309 patterns between a suite of mesopelagic fishes. These results suggest that when controlling for  
310 temperature, we observe strong benthic versus pelagic tradeoffs as observed previously (13, 20,  
311 62).

312 When focusing on co-occurrence patterns without controlling for temperature, we  
313 observed strong negative co-occurrence patterns with fisheries targets (North Pacific Hake

314 *Merluccius productus*) and mesopelagic fishes (S28). Here, temperature explained 19% of the  
315 variability among species co-occurrence and was particularly important in driving negative co-  
316 occurrence patterns between North Pacific Hake and mesopelagic species. These results suggest  
317 that temperature may mediate tradeoffs between fisheries versus southern mesopelagic fish  
318 assemblages. Further work exploring the underlying mechanisms of these negative co-  
319 occurrence patterns is warranted.

320 *Analysis Using Mean Water Column Temperature*

321 We repeated the analyses presented in the main manuscript with mean water column  
322 temperature (MWCT) as opposed to two-month SST (Figures 1-3). Two-month averaged SST  
323 and instantaneous MWCT were only 29% correlated (linear regression,  $p < 0.01$ ). This finding is  
324 perhaps unsurprising given the substantial difference in spatial and temporal integration time of  
325 these temperature measurements. Despite these apparent differences, we found nearly identical  
326 results in the direction and significance of species–temperature associations as well as  
327 temperature-driven variation in fish assemblage dynamics (Figures S6–S13). These results  
328 suggest that species-temperature associations and our conclusions are largely robust to  
329 temperature metrics.

330 *Metabarcoding Signal Appears Stable in the Ethanol-Preserved Samples*

331 For each station-species combination, if metabarcoding signals appear auto-correlated in  
332 time -- that is, if one year's metabarcoding signal is correlated with the previous year's signal --  
333 then we require a time-series model that incorporates such autocorrelation into the error  
334 structure. If, by contrast, years appear independent of one another, we can treat model variation  
335 as time-independent and therefore treat each data point as being independent. We observe no

336 such correlation (mean = -0.014, standard deviation = 0.35) and so we treat all observations as  
337 independent of one another.

338 In further investigating the question of whether these samples can be considered time-  
339 independent, we considered whether or not older samples might have less metabarcoding signal  
340 due to sample degradation. If the metabarcoding signal were degrading overtime in the preserved  
341 samples, we would expect several parameters to change as a function of sample age: (1) a  
342 decrease in precision with which we observe amplicon abundance, (2) a decrease in richness of  
343 species detected, and (3) a decrease in the confidence in posterior estimates of larval abundances  
344 from our joint Bayesian model. We test for these effects in turn.

345 First, among triplicate PCR reactions, we might expect degraded DNA to behave more  
346 stochastically than non-degraded DNA, such that technical replicates would yield increasingly  
347 divergent amplicon abundances with greater degradation. Here, we measure the precision of our  
348 estimates with the coefficient of variation (CV) of species-specific amplicons across three  
349 technical replicates. An increase in CV with the age of the sample would signal degradation, but  
350 we saw no such trend (Figure S29). Second, rare amplicons often make up a large fraction of  
351 metabarcoding datasets, and because of their rarity, these often show up stochastically across  
352 replicates or sequenced samples. If older DNA samples were degraded, we would expect fewer  
353 of these rare species, and by extension, fewer species overall. We saw no such effect (linear  
354 regression  $p > 0.5$ ; linear mixed effect model failed convergence). Third, we might expect -- if  
355 DNA were degrading -- that such degradation would impair our ability to estimate the larval  
356 abundance of each species in older samples. Again, we saw no evidence of this effect (Figure  
357 S30-31).

358 *Overlap in Species Detections*

359 The maximum observed morphological counts in which metabarcoding failed to detect a given  
360 taxa was 9 (mean = 1.61). Across a total of 4,704 possible detections, 70.2% were non-detections  
361 by both methods, 11.2% were detections by both methods, 16.4% were detections only made by  
362 metabarcoding, and 2.1% were detections only made my microscopy (Figure S4).

363 *Stochasticity in Metabarcoding Data*

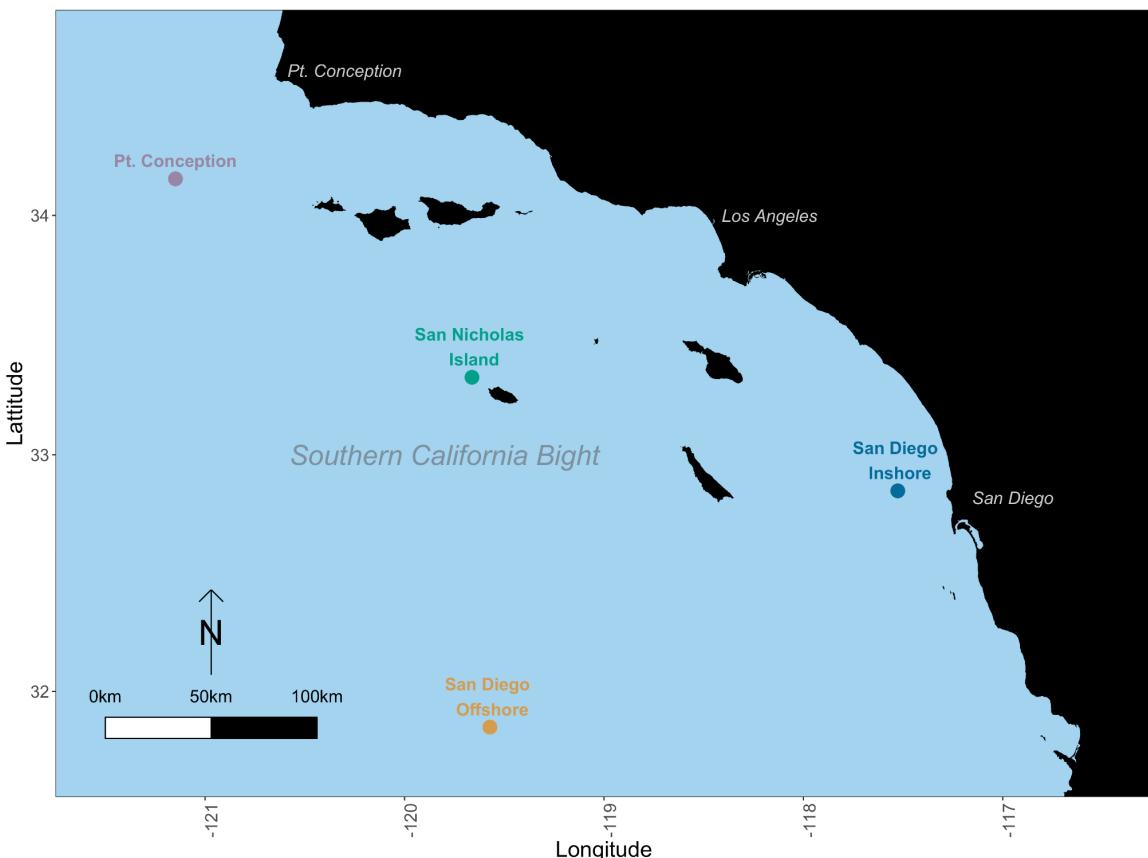
364 We conducted a deep dive into the origin and source of variation in amplicon sequence data.  
365 These analyses identified stochastic dropouts in whereby a taxon is amplified in one PCR  
366 reaction but not in a replicate PCR reaction as a main driver of variation in this data set. This is a  
367 well-known phenomenon general to PCR with rare templates (69, 100, 101) and we document  
368 such behavior in this manuscript (Figures S2-S4). For example, for *Symbolophorus*  
369 *californiensis* we observed an instance of 3,897 reads, 165 reads, and 0 reads across three  
370 technical PCR replicates with sample read depths of 132,731, 196,260, 55,400 from the same  
371 DNA extraction. These stochastic dropouts are easily visualized along the X axis in Figure S2.  
372 We note that the highest observed species-specific amplicon sample read proportion associated  
373 with a stochastic dropout was 2.9% (3,897 /132,731) with the vast majority of such dropouts  
374 occurring below 0.03% read proportion within a technical replicate. These results suggest that  
375 stochasticity is largely driven by the abundance of DNA molecules within a sample rather than a  
376 specific feature associated with a particular primer set, especially given that dozens of other  
377 metabarcoding studies have identified similar patterns (69, 70, 100).

378 This phenomenon of stochastic dropouts adds noise to the observations and limits the  
379 accuracy with which we might predict amplicon abundances (particularly rare ones). This is  
380 best visualized by the noise near the origin at Figures S3 & S4. To address this, we developed a  
381 comprehensive joint Bayesian model that incorporates stochasticity in observed amplicon read

382 counts through a multinomial subsampling process (See supplement 2 for full model  
383 description). Thus, we explicitly account for stochasticity in the model through sampling  
384 distributions and using the resulting parameters to estimate the uncertainty around our given  
385 estimated larvae counts. Ultimately, such noise in the dataset does not fundamentally change  
386 the interpretation of our observations or of our model but serves to limit our confidence in the  
387 abundance of rare targets, a persistent problem in community ecology (102).

388

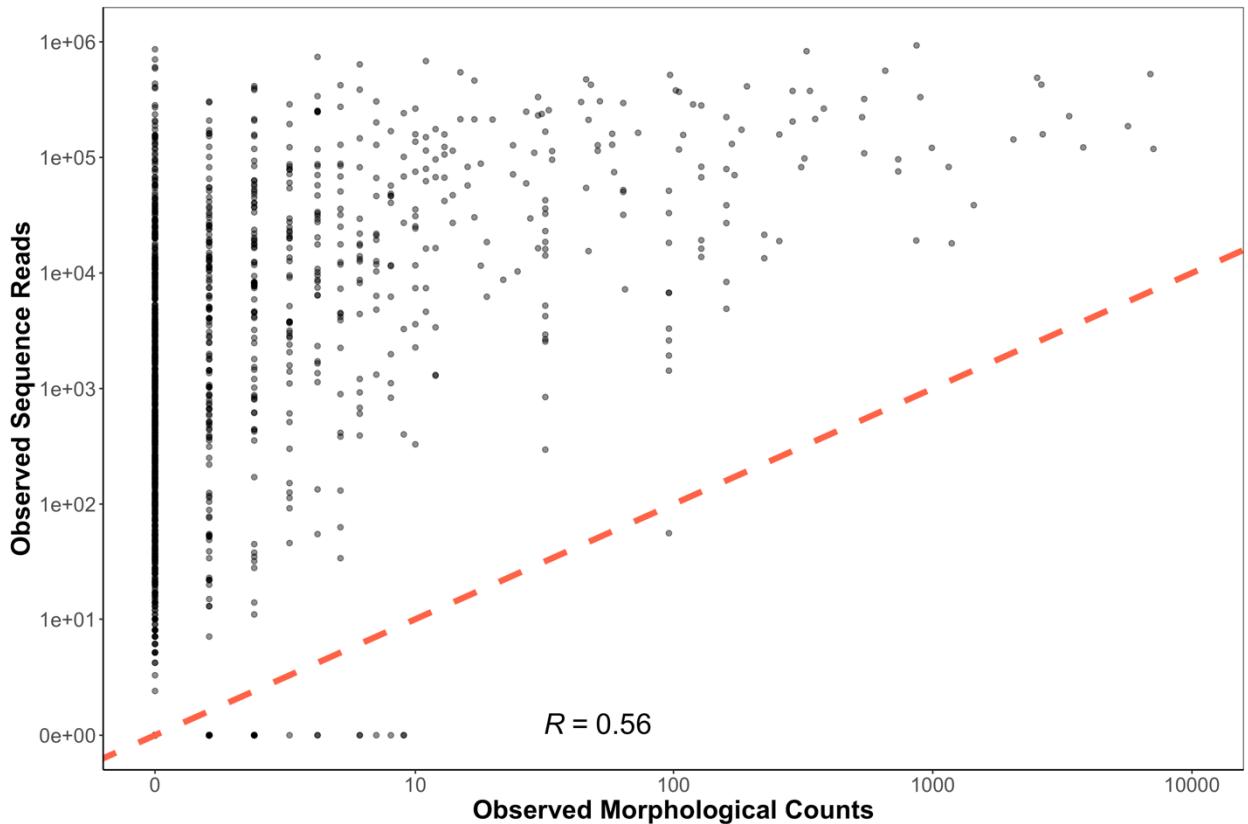
389 **Supplemental Figures**



390

391 **Figure S1. station Map**

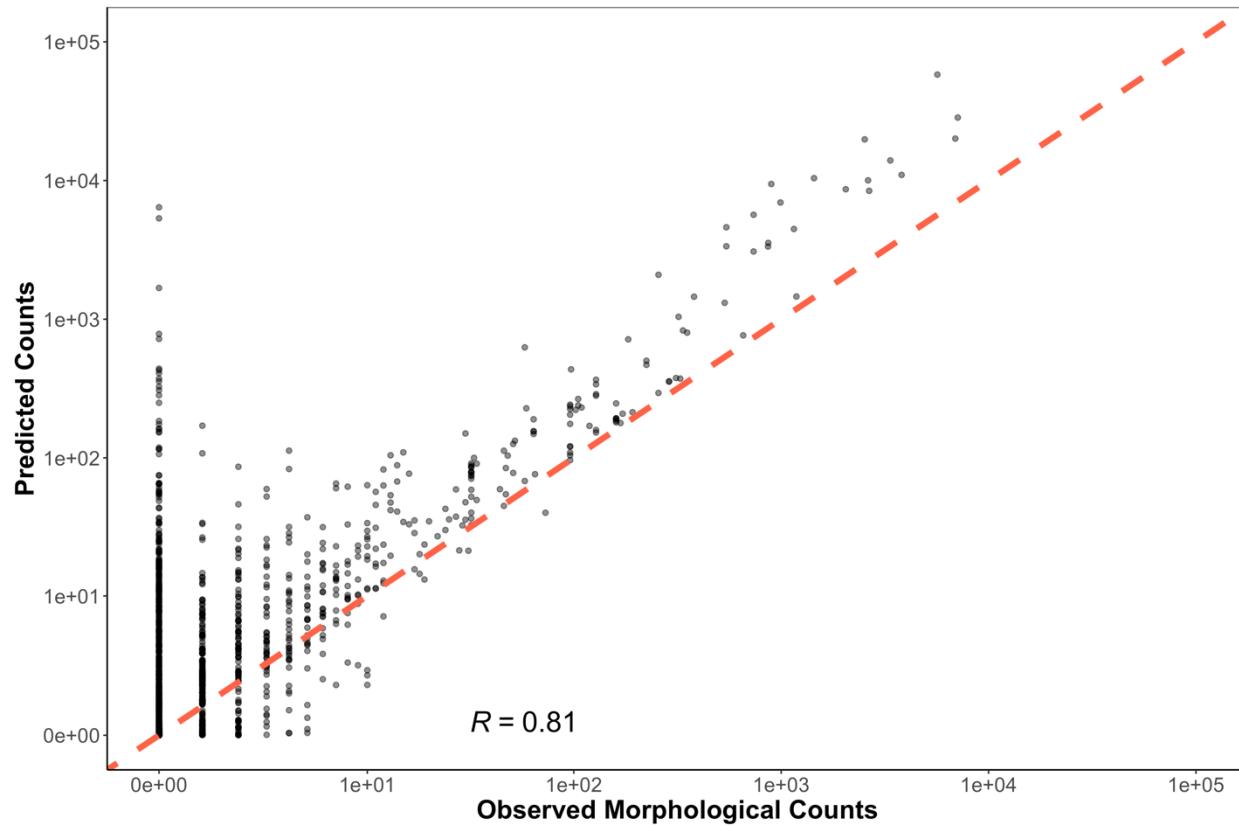
392 Ichthyoplankton samples were collected from four stations with distinct biogeographic  
393 characteristics.



394

**395 Figure S2. Observed Sequence Reads vs. Observed Morphological Counts**

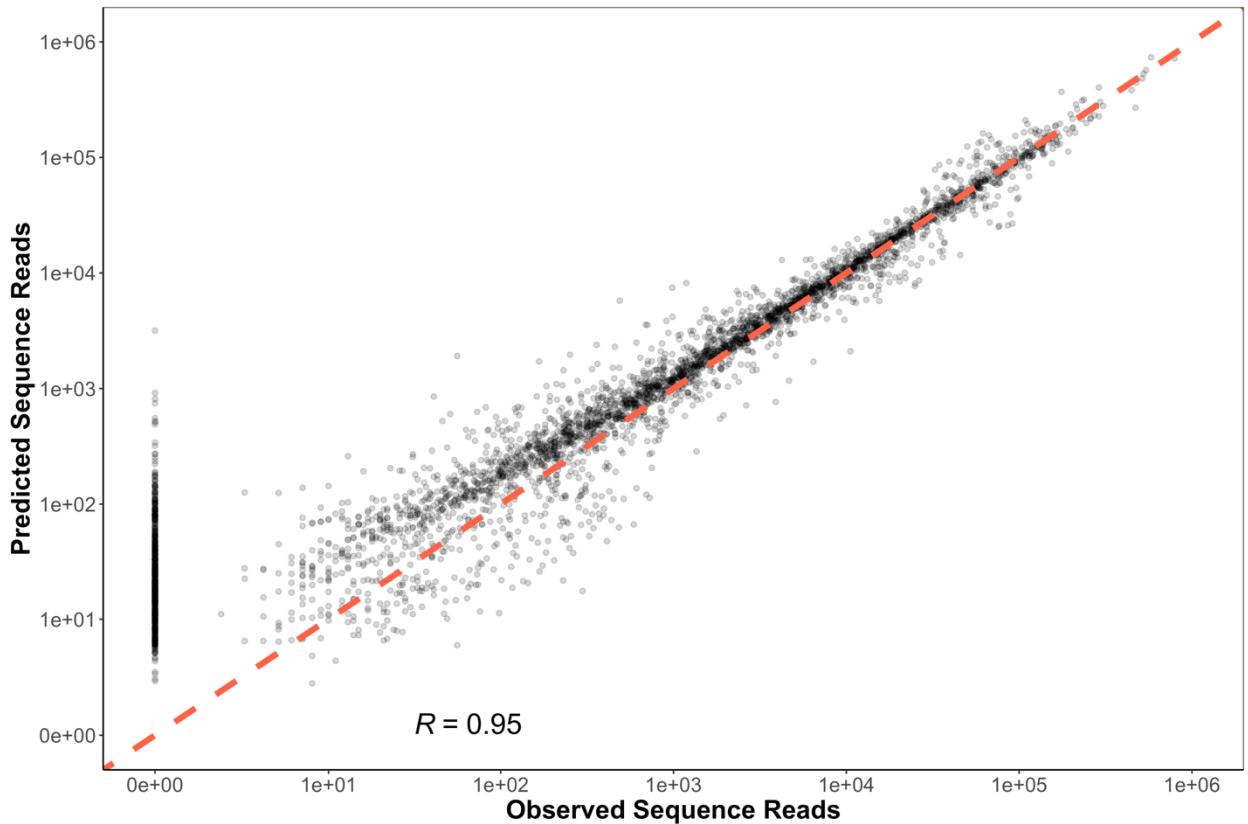
396 Observed sequencing reads and morphological counts do not follow a clear linear  
 397 relationship. The one-to-one line is plotted in red and Pearson correlation coefficient is  
 398 0.56. This non-linearity is unsurprising given that observed reads are a function of both  
 399 DNA concentrations (here assumed proportional to morphological counts) as well as  
 400 species-specific amplification efficiencies (here are unknown) (See Supplement 2). Thus  
 401 without accounting for species-specific amplification efficiencies within the compositional  
 402 amplicon data set, we do not expect to observe a clear positive relationship. This apparent  
 403 lack of relationship depicted here motivated the creation of the mechanistic joint Bayesian  
 404 model. The occurrence of stochastic dropouts (technical replicates with zero reads) can be  
 405 observed along the X-axis. Variance is highest at low observed morphological counts.



406

407 **Figure S3. Predicted Counts vs. Observed Morphological Counts**

408 Predicted counts are generated from the joint Bayesian model. The one-to-one line is  
 409 plotted in red and Pearson correlation coefficient is 0.81. Variance in predicted counts  
 410 markedly decreases with higher observed morphological counts. We note variance in  
 411 predicted counts is substantially less than that between observed reads and morphological  
 412 counts Figure S3.



413

414

#### **Figure S4. Predicted Sequence Reads vs. Observed Sequence Reads**

415

Predicted sequence reads are generated from the joint Bayesian model. The one-to-one line is plotted in red and Pearson correlation coefficient is 0.95. In general, predicted sequence reads track observed sequence reads, and show substantially less variance than observed sequence reads in Figure S3. However, unexpected zeros across multiple technical PCR replicates (stochastic dropouts), deviate notably from expected, low variance results (points along Y-axis). We note that all observed stochastic dropouts occur in less than 2.9% of sample read proportions and less than 9 morphological counts for a given sample. Such dropouts are likely a function of subsampling rare DNA molecules associated with molecular biology processing (See Supplement 2).

416

417

418

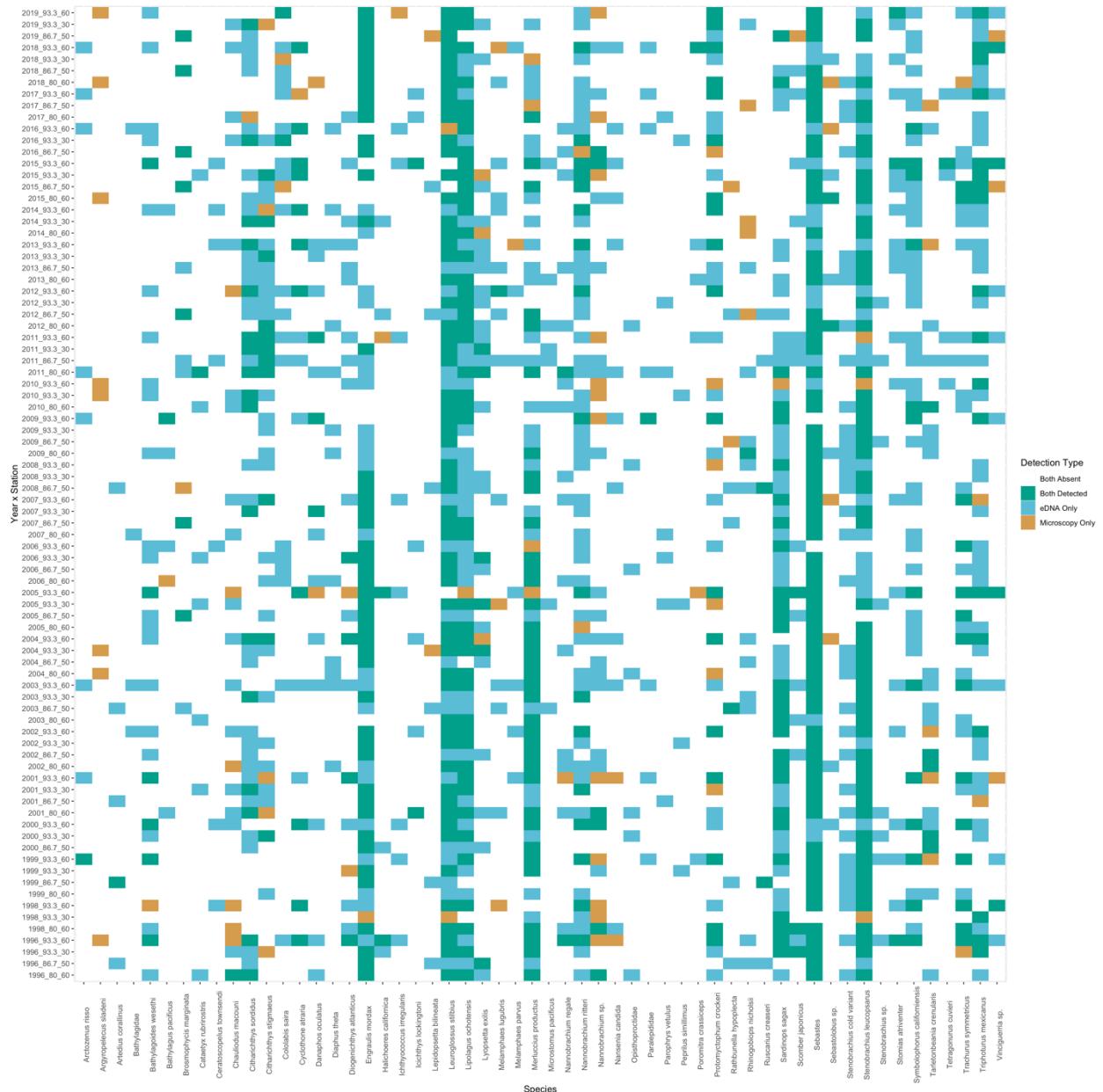
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424

425

### Figure S5. Co-detection of Taxa By Metabarcoding and Microscopy

426

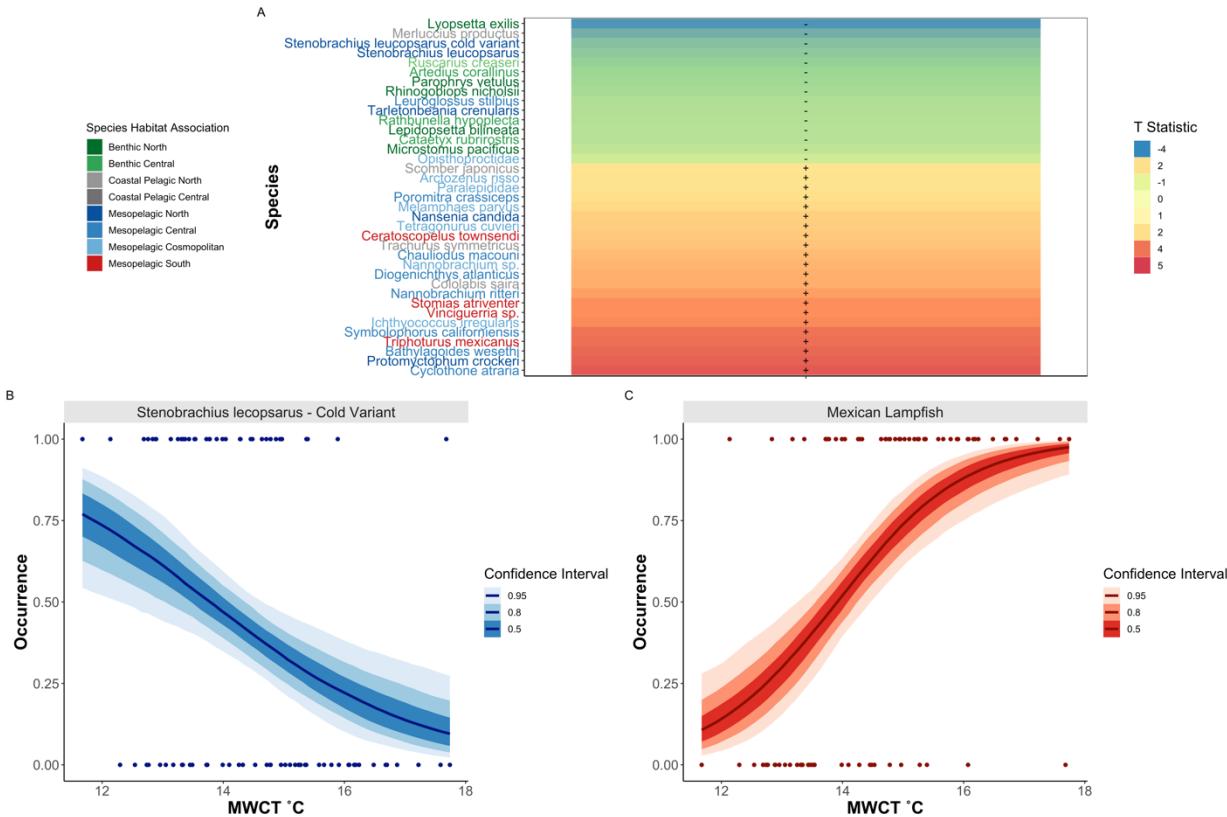
Of the 56 taxa used for modeling efforts (Supplemental Methods), both metabarcoding

427

and microscopy detected 46 taxa, with nine detected only by metabarcoding and one

428

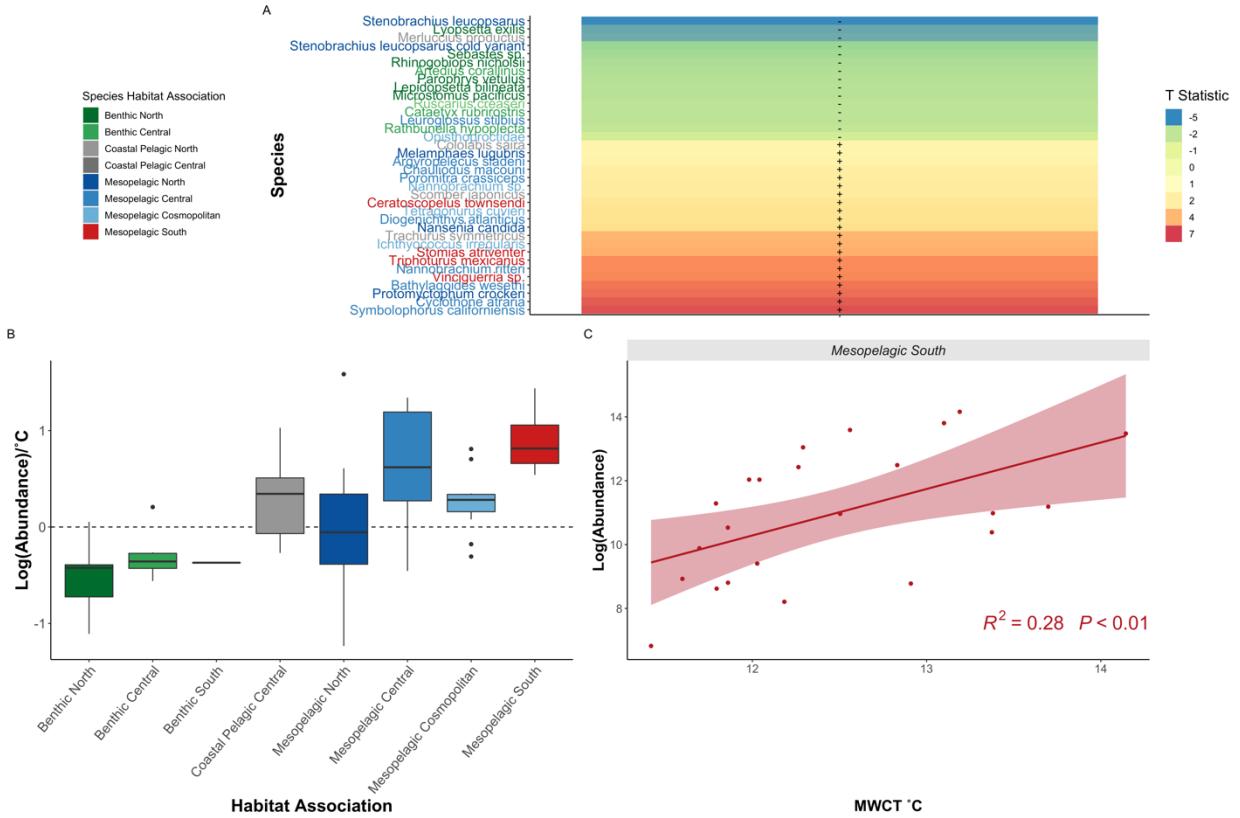
detected only by microscopy.



429

430 **Figure S6. Temperature Associations in Fish Species**

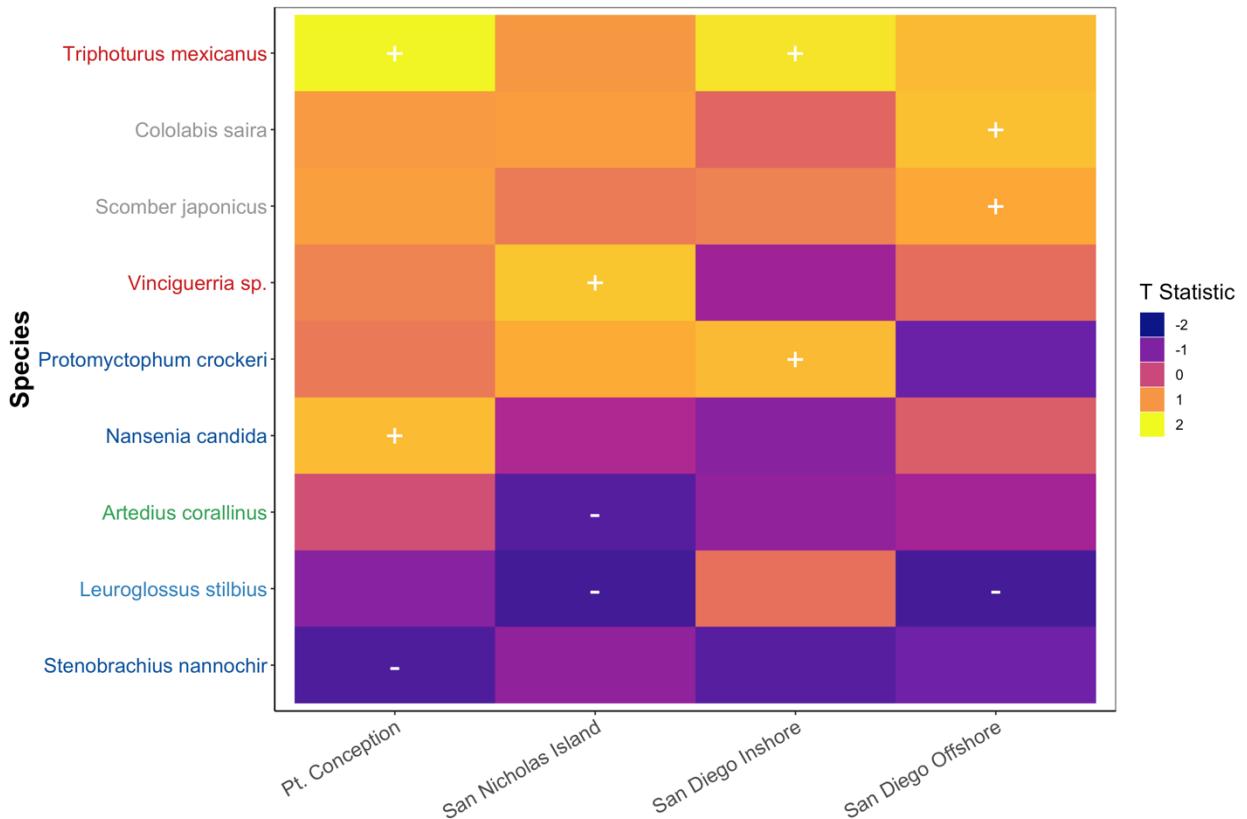
431 Changes in species occurrence patterns in response to MWCT, with Southern  
 432 Mesopelagic species increasing in prevalence with elevated temperature (A). T statistic  
 433 (slope coefficient/ standard error) from generalized binomial mixed model was calculated  
 434 for each species across all stations. Only species with significantly different slopes (95%  
 435 CI greater or less than zero) are plotted. Importantly, metabarcoding identified cold  
 436 associated variants of the Northern Lanternfish (*Stenobrachius leucopsarus*) which  
 437 cannot be morphologically identified (B) as well as warm-associated species like the  
 438 Mexican Lampfish (*Triphoturus mexicanus*) (C).



439

440 **Figure S7. Southern Oceanic Species Drive Fish Community Shifts**

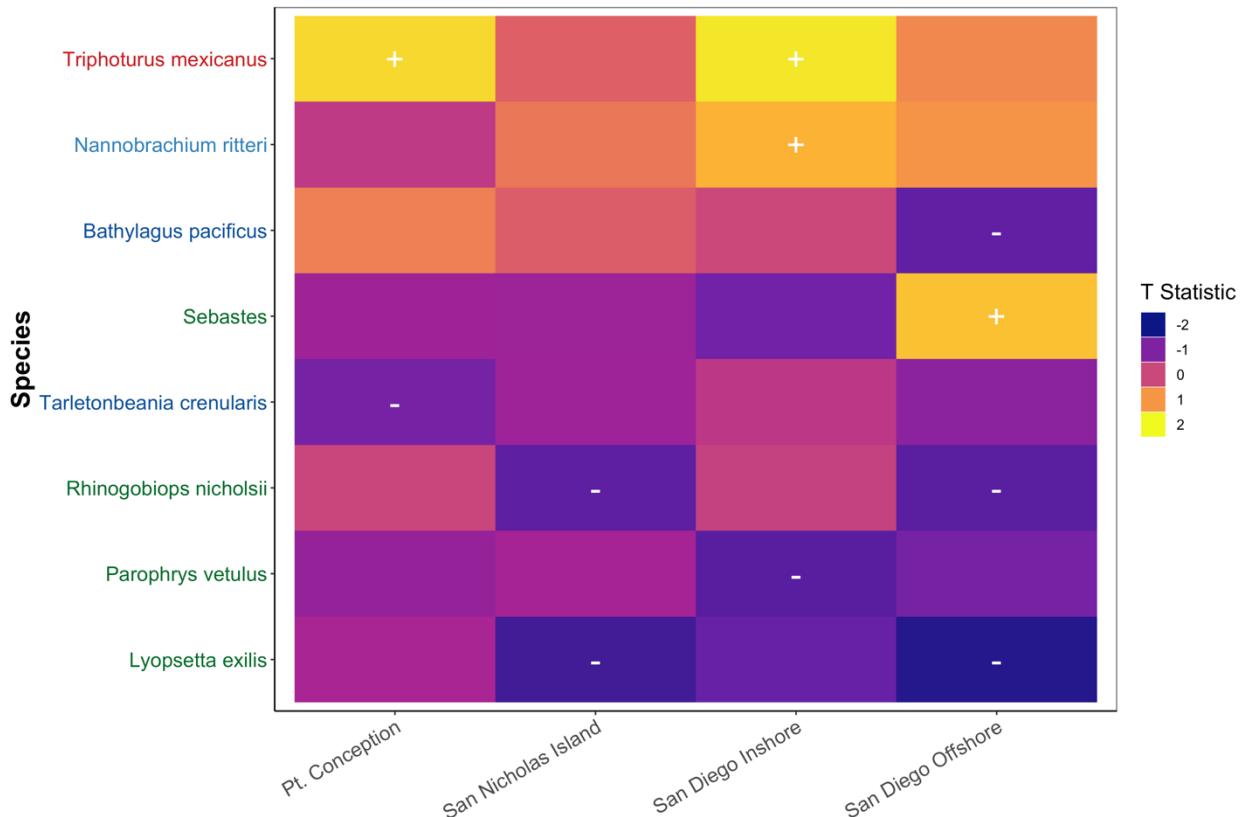
441 We capture changes in species biomass in response to MWCT, with Southern  
 442 Mesopelagic species increasing in abundance with elevated temperature (A). T statistic  
 443 (slope coefficient/standard error) from generalized linear models were calculated for each  
 444 species across all stations. Only species with significantly different slopes (95% CI  
 445 greater or less than zero) are plotted. Southern mesopelagic fishes were associated with  
 446 increased temperature as indicated by the boxplots of all species-specific slopes from  
 447 generalized linear models (B) and by the aggregated abundance relationship (C). In  
 448 contrast, benthic species, as well as Northern Hake and Pacific Sardine abundances, were  
 449 correlated with cooler temperatures.



450

451 **Figure S8. Significant Species Occurrence and SST Correlations at each Station**

452 Occurrence of the Mexican lampfish (*Triphoturus mexicanus*) was positively correlated  
 453 with increased SST at the three northernmost stations. Generalized binomial mixed model  
 454 of occurrence versus SST was calculated for each species at each station. Only species  
 455 with significantly different slopes (95% CI greater or less than zero) are plotted. Colors  
 456 correspond to T statistic (slope coefficient/ standard error). Colors of species names  
 457 correspond to habitat associations described in Figure 2.



458

459

### Figure S9. Significant Species Occurrence and MWCT Correlations at each Station

460

Occurrence of the Mexican lampfish (*Triphoturus mexicanus*) was positively correlated

461

with increased MWCT at the three northernmost stations. Generalized binomial mixed

462

model of occurrence versus MWCT was calculated for each species at each station. Only

463

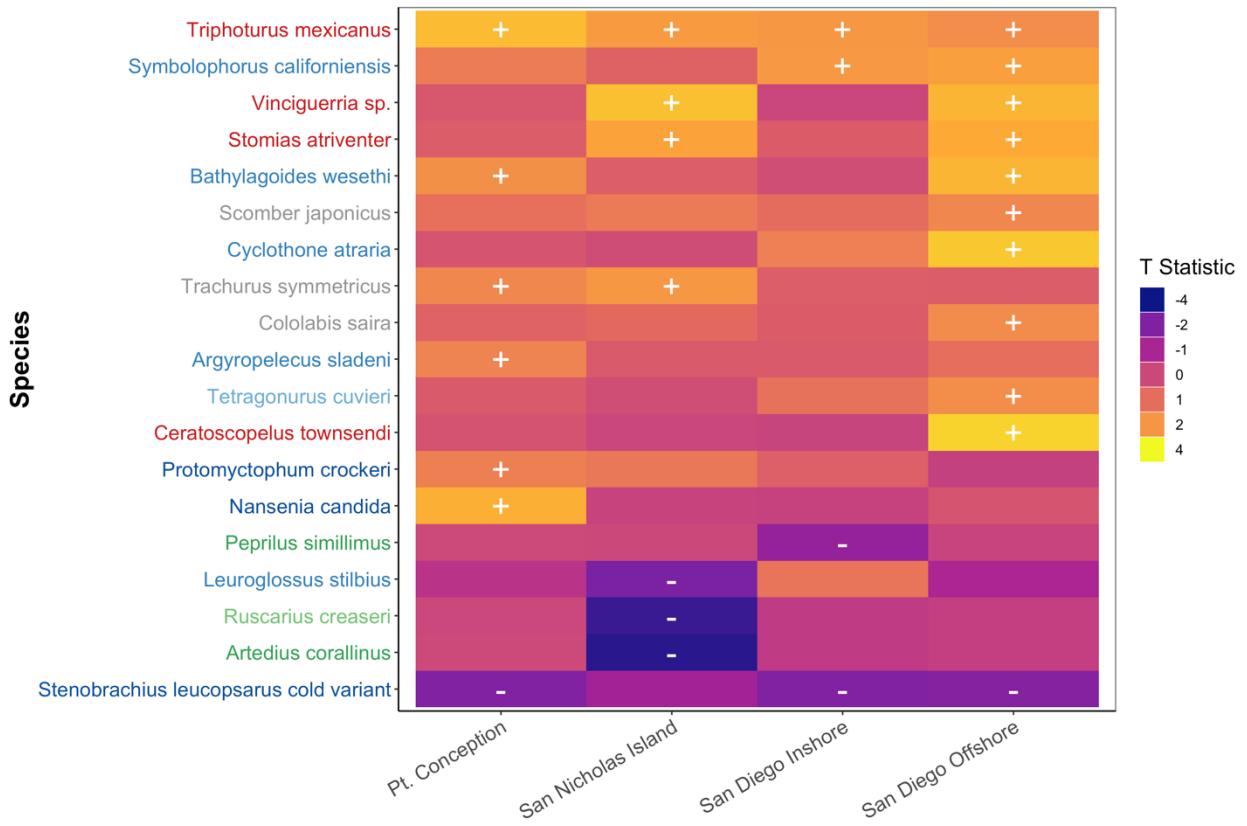
species with significantly different slopes (95% CI greater or less than zero) are plotted.

464

Colors correspond to T\_statistic (slope coefficient/ standard error). Colors of species

465

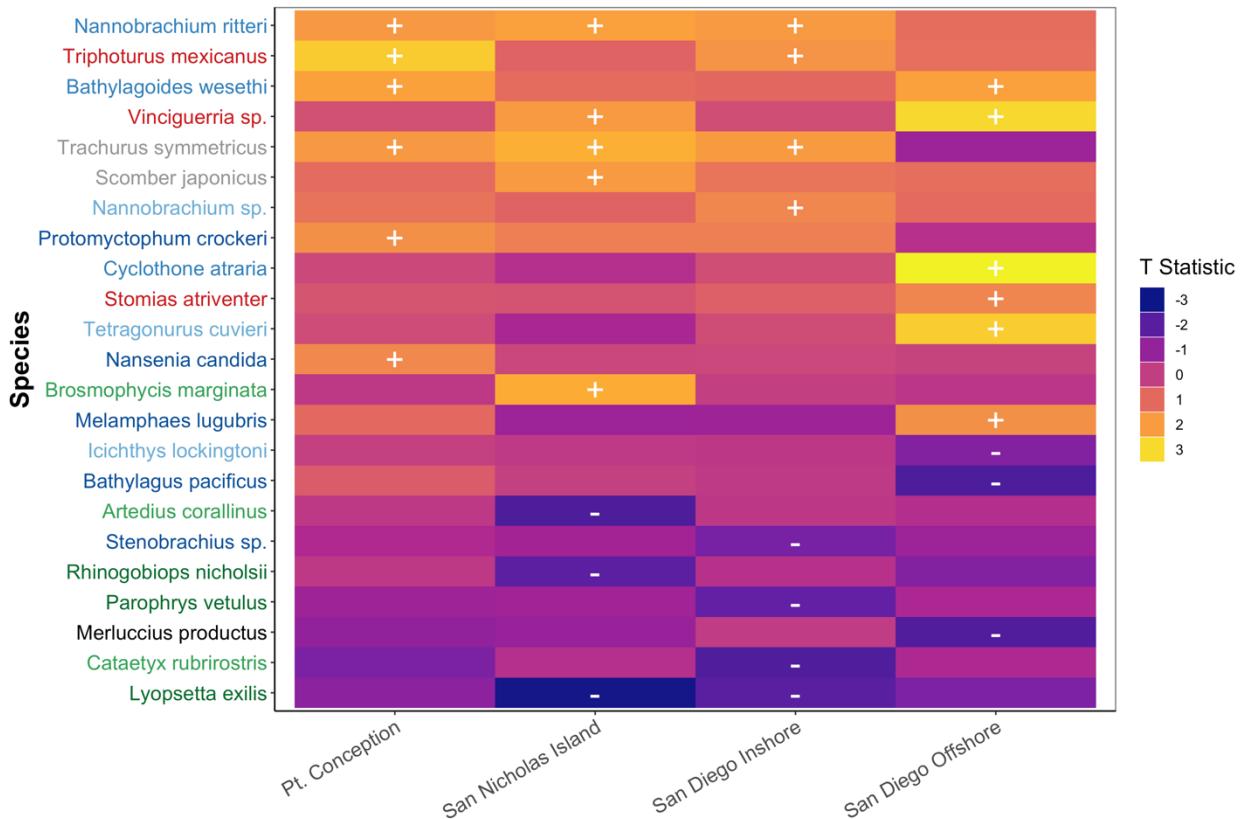
names correspond to habitat associations described in Figure 2.



466

467 **Figure S10. Significant Species Abundance and SST Correlations at each station**

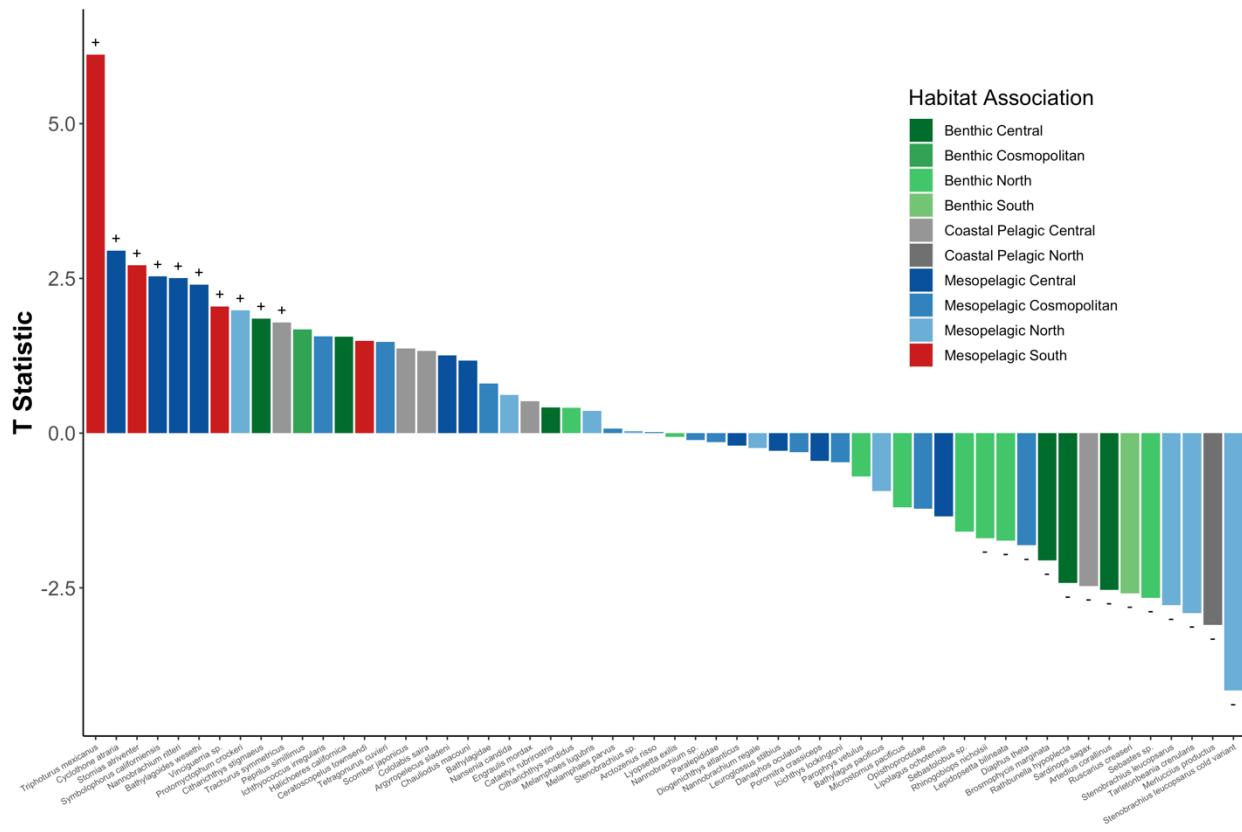
468 The abundance of the Mexican lampfish (*Triphoturus mexicanus*) was positively  
 469 associated with increased SST at all stations. Likewise, the abundance of suite of  
 470 mesopelagic species including *Vinciguerra* sp., *Symbolophorus californiensis*, and  
 471 *Stomias atriventer* among others increased with warmer SST. Generalized linear mixed  
 472 model of log (abundance) versus SST was calculated for each species at each station. Only  
 473 species with significantly different slopes (95% CI greater or less than zero) are plotted.  
 474 Colors correspond to T statistic (slope coefficient/ standard error). Colors of species  
 475 names correspond to habitat associations described in Figure 2.



476

477 **Figure S11. Significant Species Abundance and MWCT Correlations at each station**

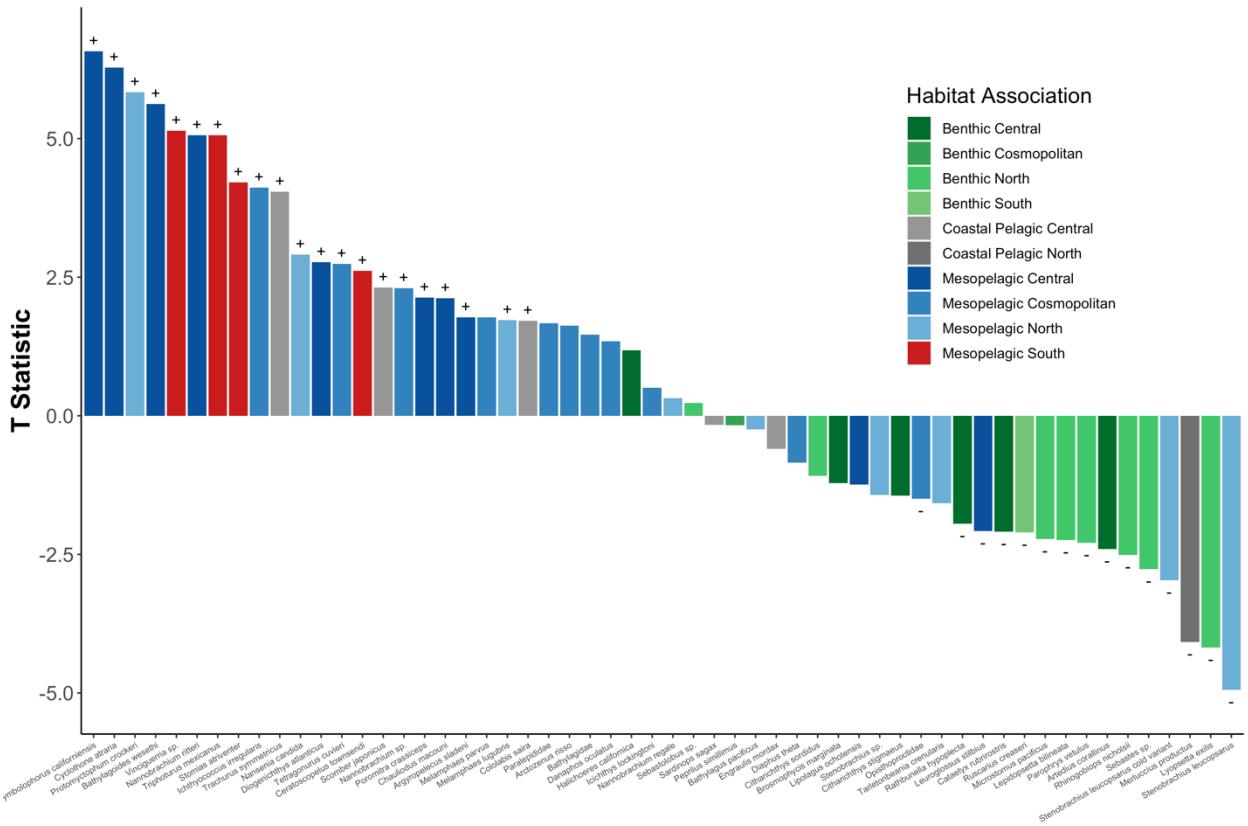
478 The abundance of the Mexican lampfish (*Triphoturus mexicanus*) was positively  
 479 associated with increased MWCT at all stations. Likewise, the abundance of suite of  
 480 mesopelagic species including *Vinciguerra* sp., *Symbolophorus californiensis*, and  
 481 *Stomias atriventer* among others increased with warmer SST. Generalized linear mixed  
 482 model of log (abundance) versus MWCT was calculated for each species at each station.  
 483 Only species with significantly different slopes (95% CI greater or less than zero) are  
 484 plotted. Colors correspond to T statistic (slope coefficient/ standard error). Colors of  
 485 species names correspond to habitat associations described in Figure 2.



486

487 **Figure S12. Bar Plot of Significant Species Abundance and SST Correlations Across**  
 488 **All stations**

489 The abundance of southern mesopelagic species increased with warmer temperatures  
 490 while fisheries targets like Pacific Sardine (*Sardinops sagax*) and North Pacific Hake  
 491 (*Merluccius productus*) decreased. Generalized linear mixed model of log (abundance)  
 492 versus SST was calculated for each species across all stations. Only species with  
 493 significantly different slopes (95% CI greater or less than zero) are plotted. Colors  
 494 correspond to T statistic (slope coefficient/ standard error).



495

496

### Figure S13. Bar Plot of Significant Species Abundance and MWCT Correlations

497

#### Across All stations

498

The abundance of southern mesopelagic species increased with warmer temperatures

499

while fisheries targets like Pacific Sardine (*Sardinops sagax*) and North Pacific Hake

500

(*Merluccius productus*) decreased. Generalized linear mixed model of log (abundance)

501

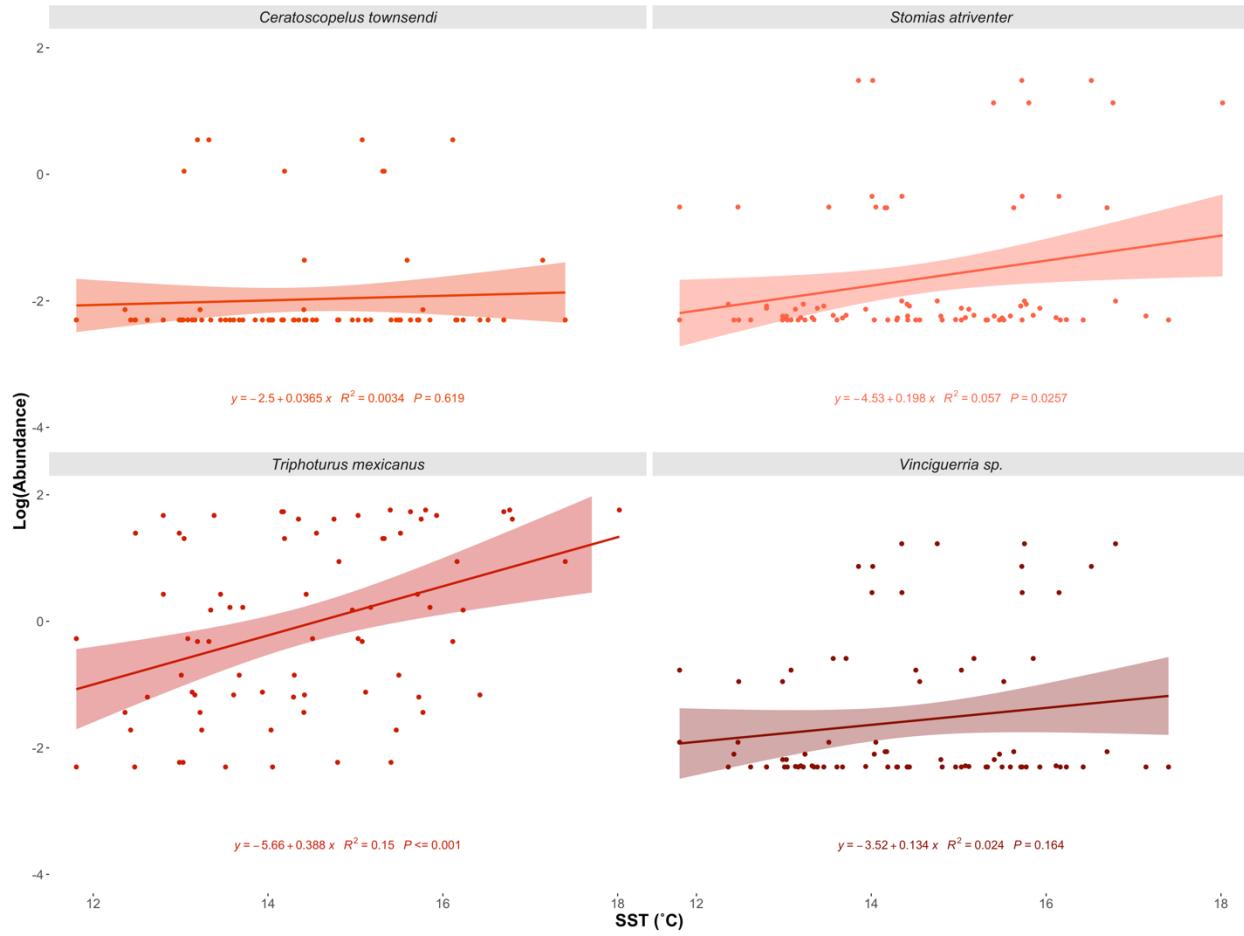
versus SST was calculated for each species across all stations. Only species with

502

significantly different slopes (95% CI greater or less than zero) are plotted. Colors

503

correspond to T statistic (slope coefficient/ standard error).



504

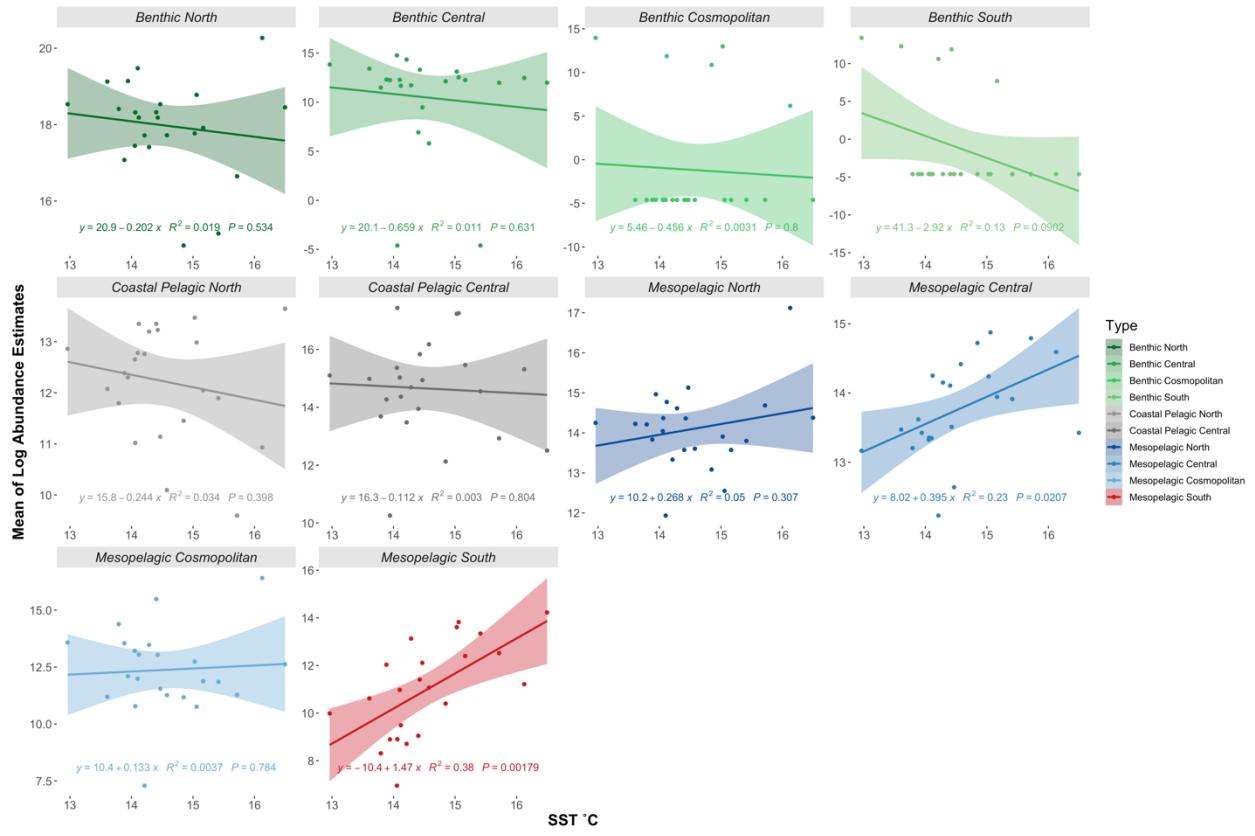
505 **Figure S14. Increased Abundance of Southern Mesopelagic Species with Higher**506 **SST**

507 Species specific regressions of log (Abundance) vs. SST (°C). Three of the four southern

508 mesopelagic species had significant positive associations between abundance and SST.

509 Although not significant across all stations, the abundance of *Ceratoscopelus townsendi*

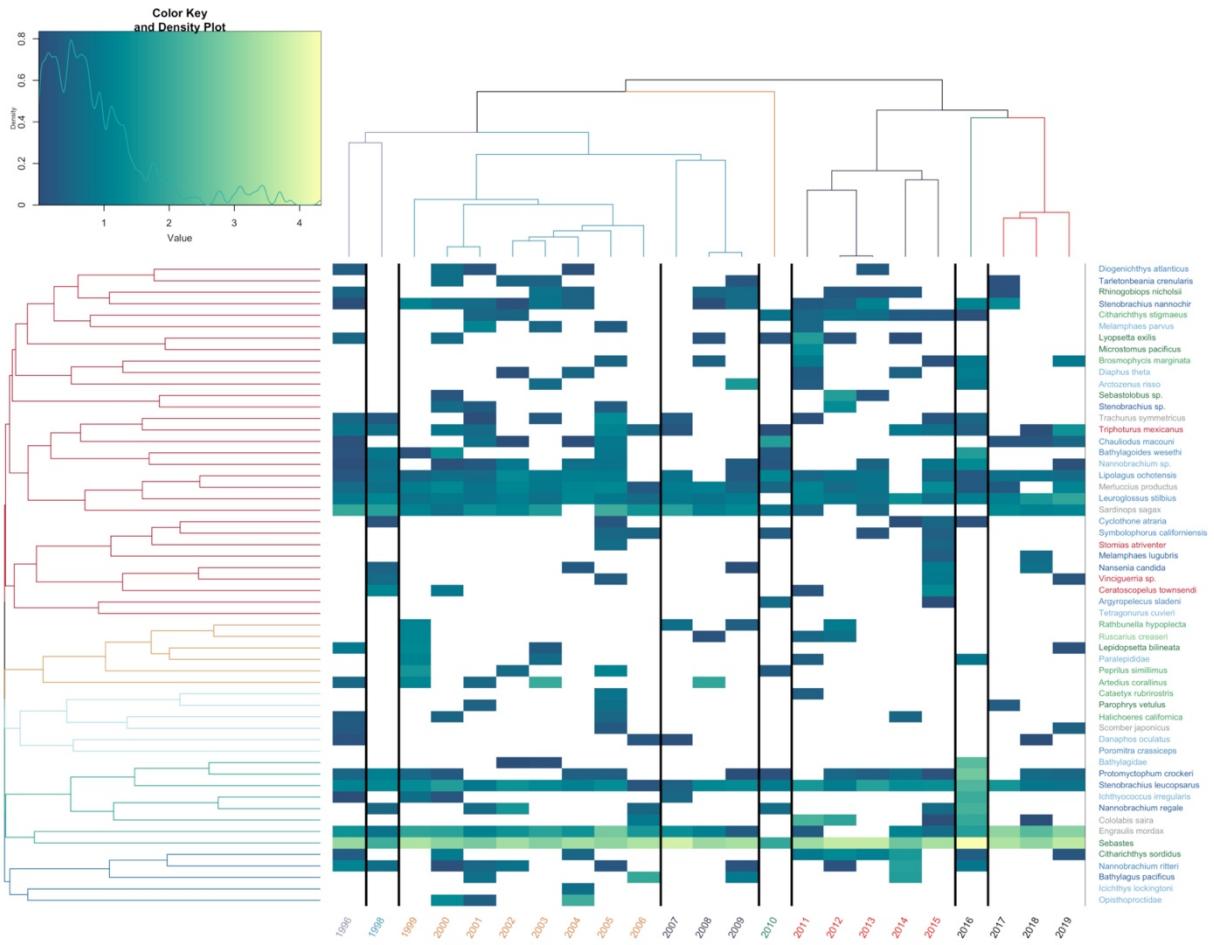
510 significantly increased with higher SST at the San Diego Offshore station (Figure S7).



511

512 **Figure S15. Increased Abundance of Southern and Central Mesopelagic Species with  
513 Higher SST**

514 Abundances of each habitat association were summed at each station. Habitat association  
515 regressions were fit using sum total log (Abundance) vs. SST (°C).



516

517

### Figure S16. Heat Map of Abundances Over Time

518

Northern Anchovy (*Engraulis mordax*) and rockfishes *Sebastodes* sp. dominated predicted

519

counts. Estimated abundance of each year, averaged across stations, plotted over time.

520

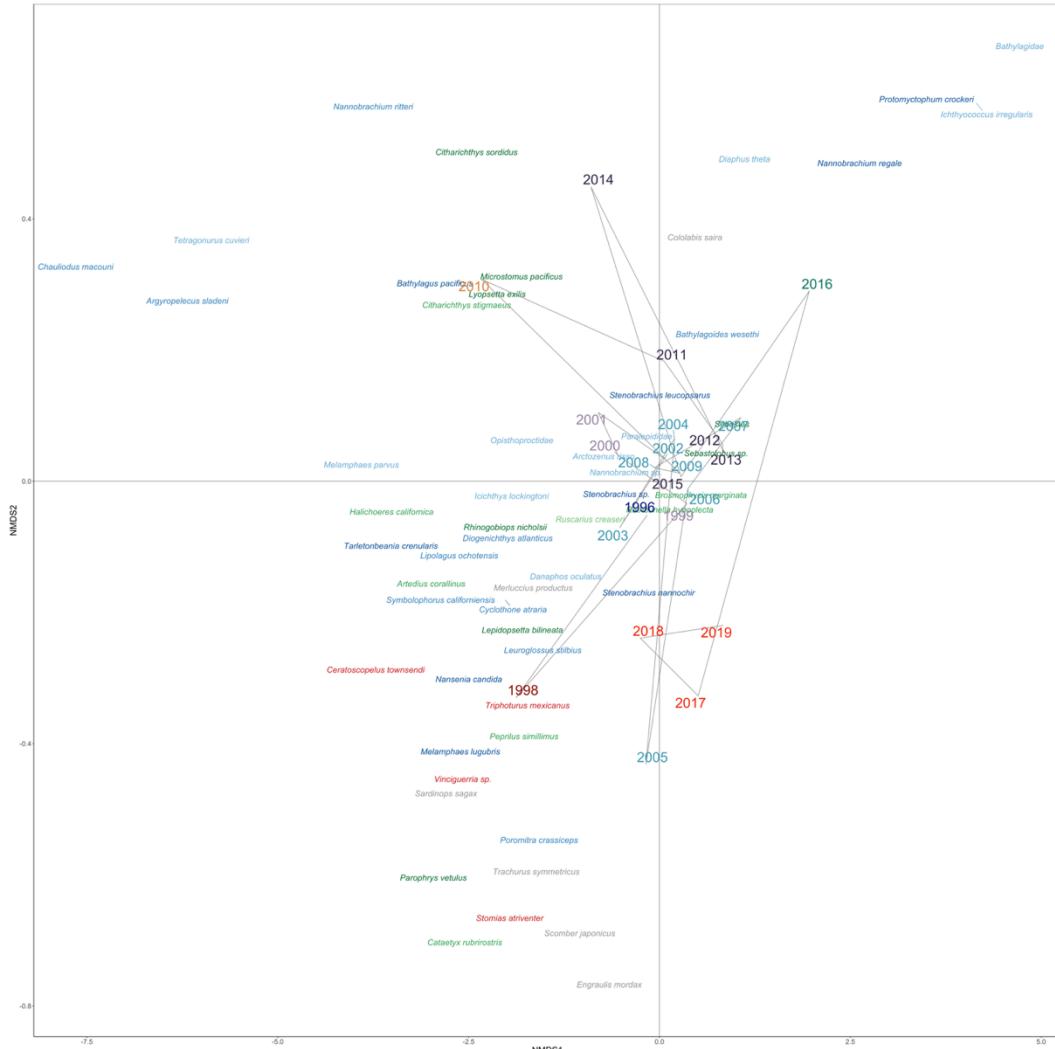
Years are color coded by chronological clustering. Species are grouped by hierarchical

521

clustering. Lighter colors indicate higher abundance, white is a lack of detection. Species

522

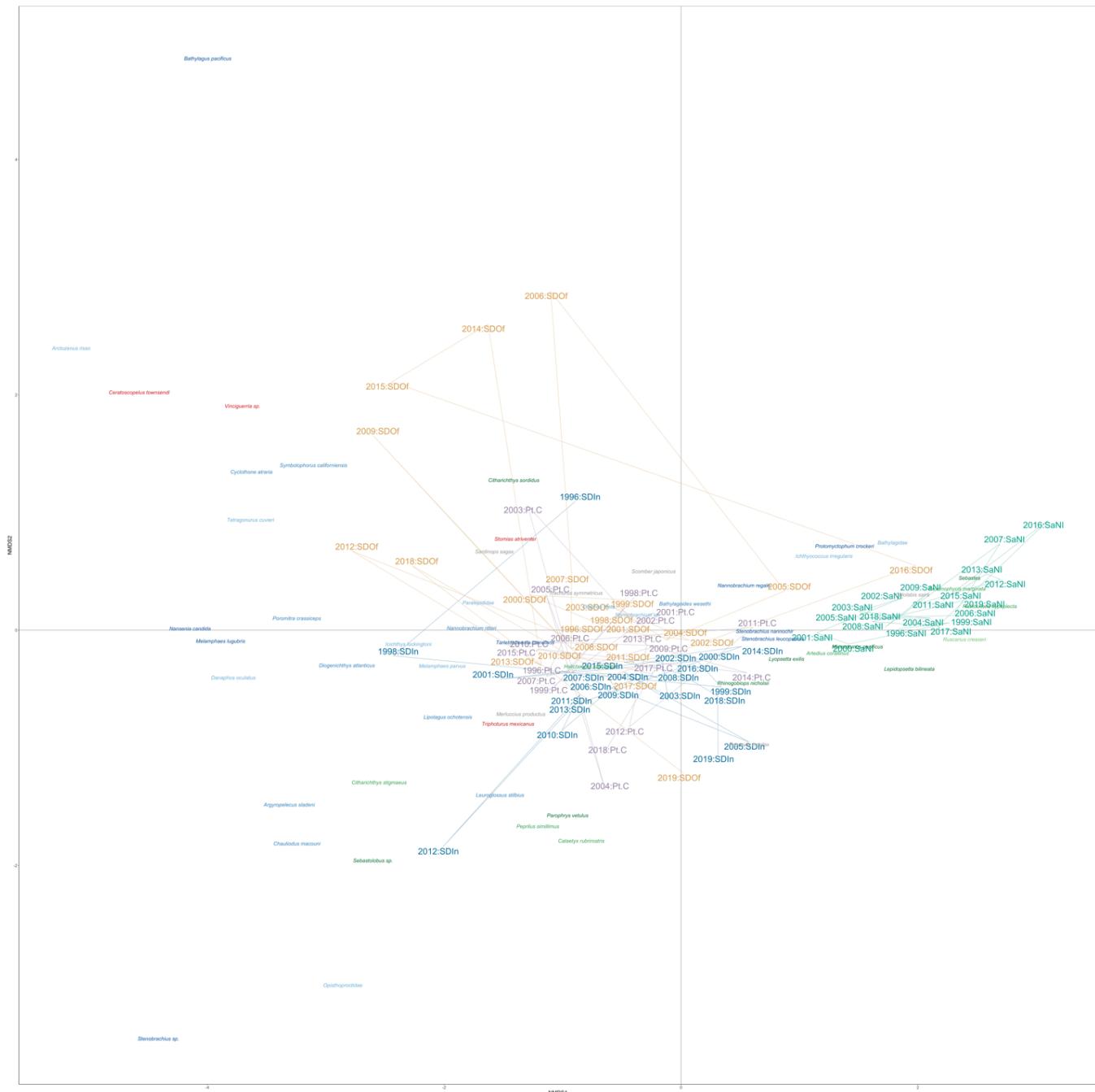
are color coded by habitat association matching Figure 1.



523

## Figure S17. NMDS Ordination of Species and Years

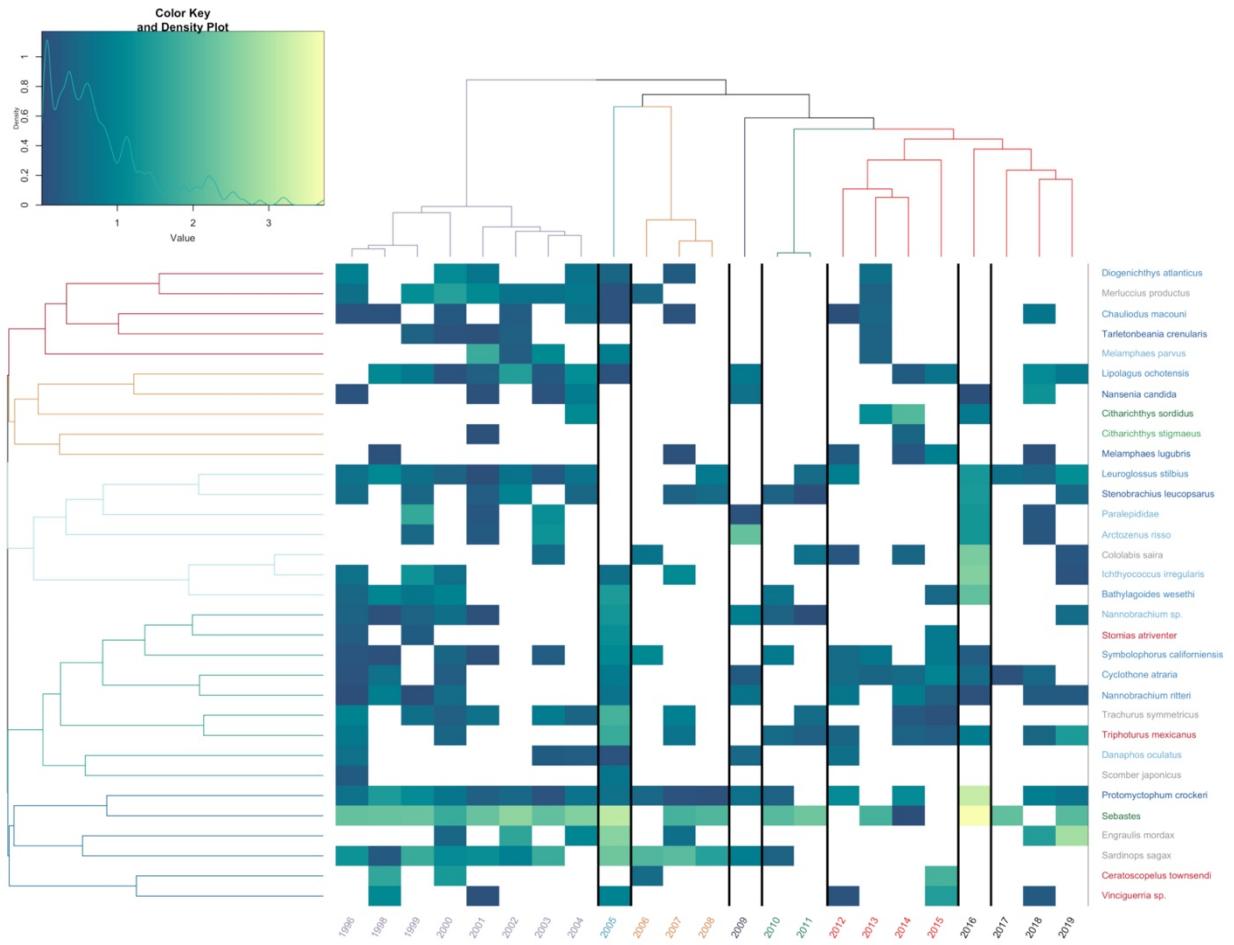
525 Fish assemblages changed across time with southern mesopelagics clustering with the  
526 1998 and 2005 El Niños as well as 2017-2019 after the peak of the marine heat wave.  
527 NMDS Ordination of Bray-Curtis dissimilarities calculated from summed abundance of  
528 each year averaged across stations. Marine heatwave patterns are obscured by differential  
529 onset and receding of the warming event across stations. Years are color coded by  
530 chronological clustering ( $k = 8$ ). Species are color coded by habitat association matching  
531 Figure 2.



532

### 533 **Figure S18. NMDS Ordination of Species and Samples**

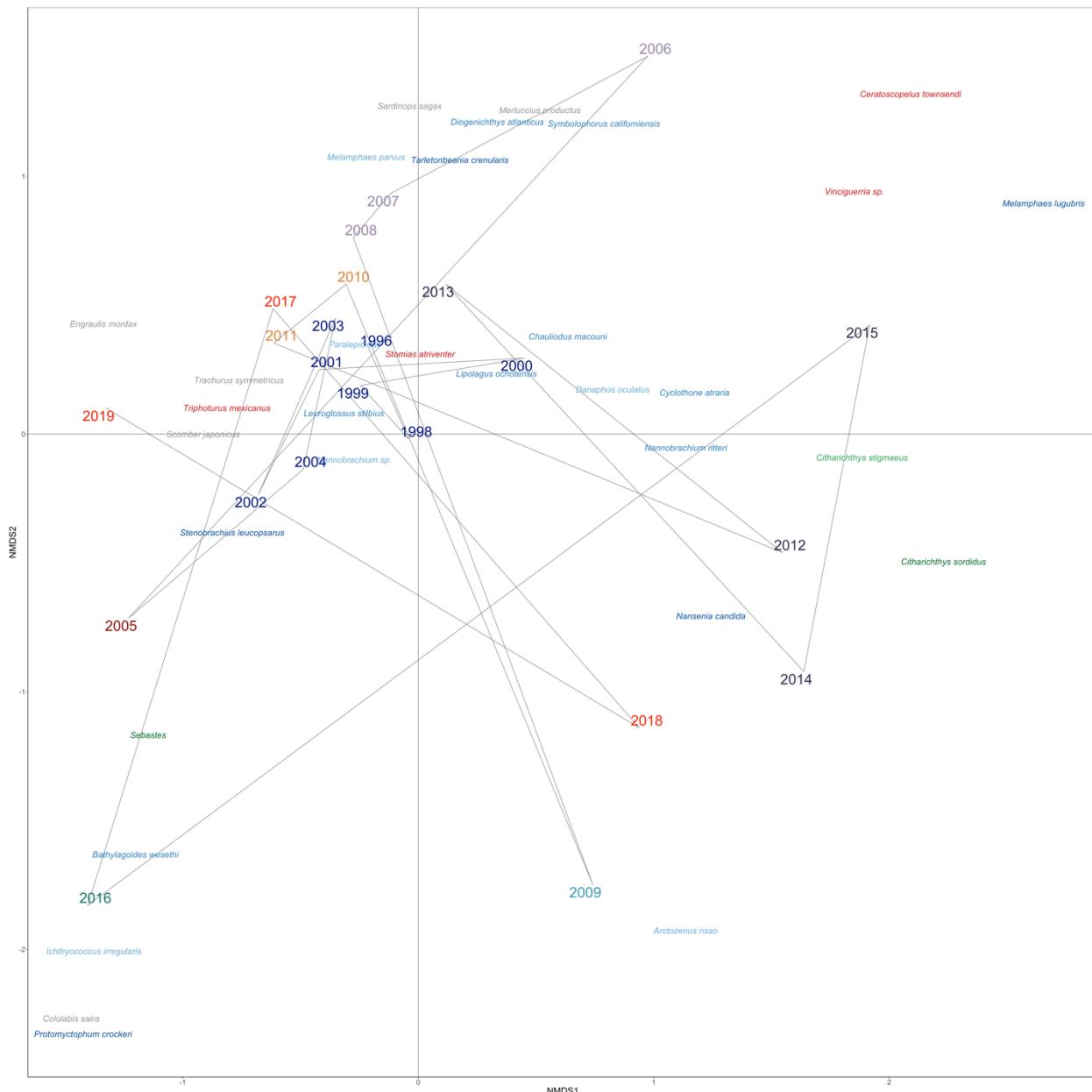
534 Fish assemblages were strongly structured by stations, particularly the San Nicholas Island  
 535 station which had the highest abundance of *Sebastes* sp. NMDS Ordination of Bray-Curtis  
 536 dissimilarities calculated from the abundance of each year and station. Samples are color  
 537 coded by station. Species are color coded by habitat association matching Figure 2.



538

539 **Figure S19. Heat Map of San Diego Offshore Abundances Over Time**

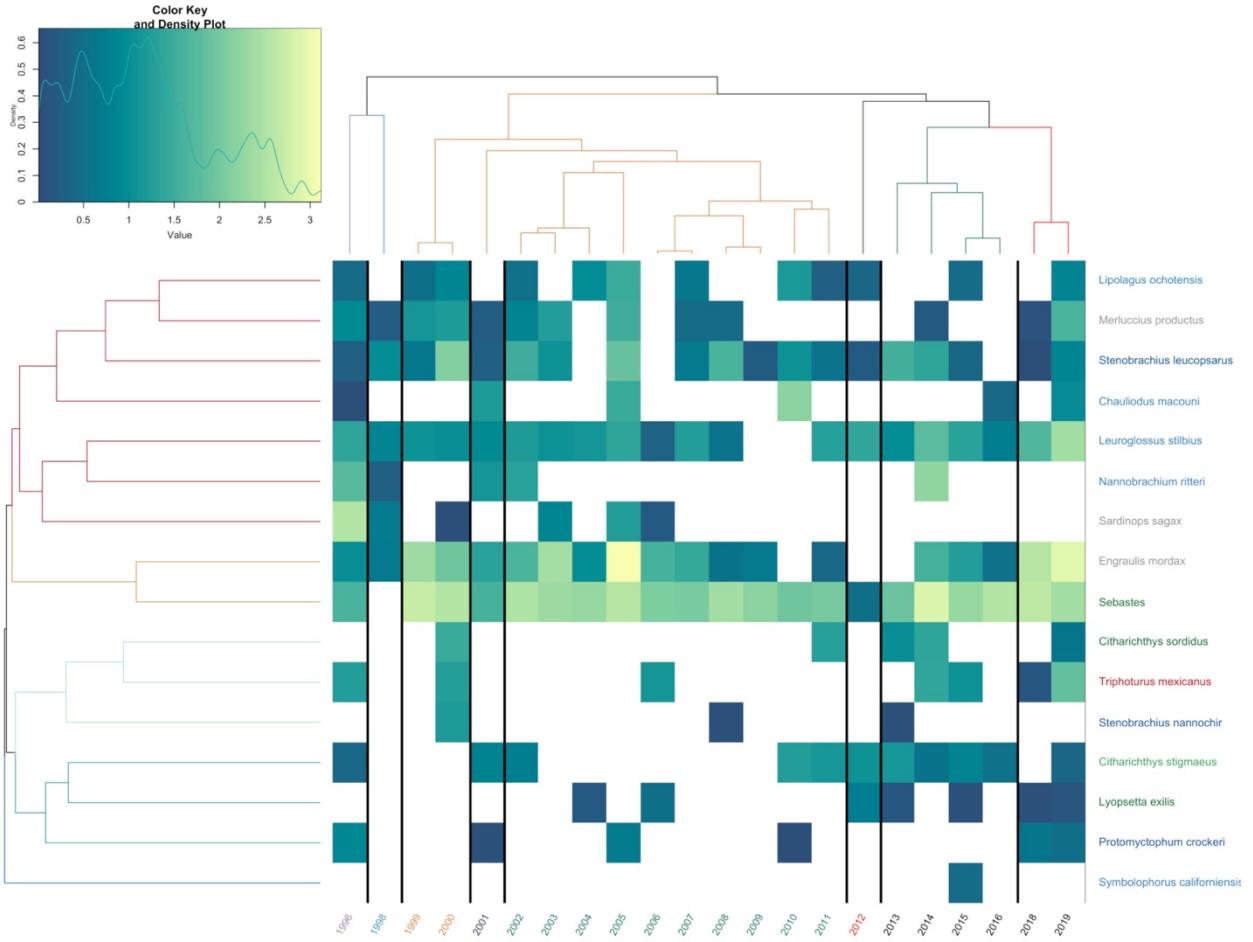
540 Estimated abundance of each year plotted over time. Years are color coded by  
 541 chronological clustering. Species are grouped by hierarchical clustering. Lighter colors  
 542 indicate higher abundance, white is a lack of detection. Species are color coded by habitat  
 543 association matching Figure 1.



544

#### 545 **Figure S20. NMDS Ordination of San Diego Offshore Species and Years**

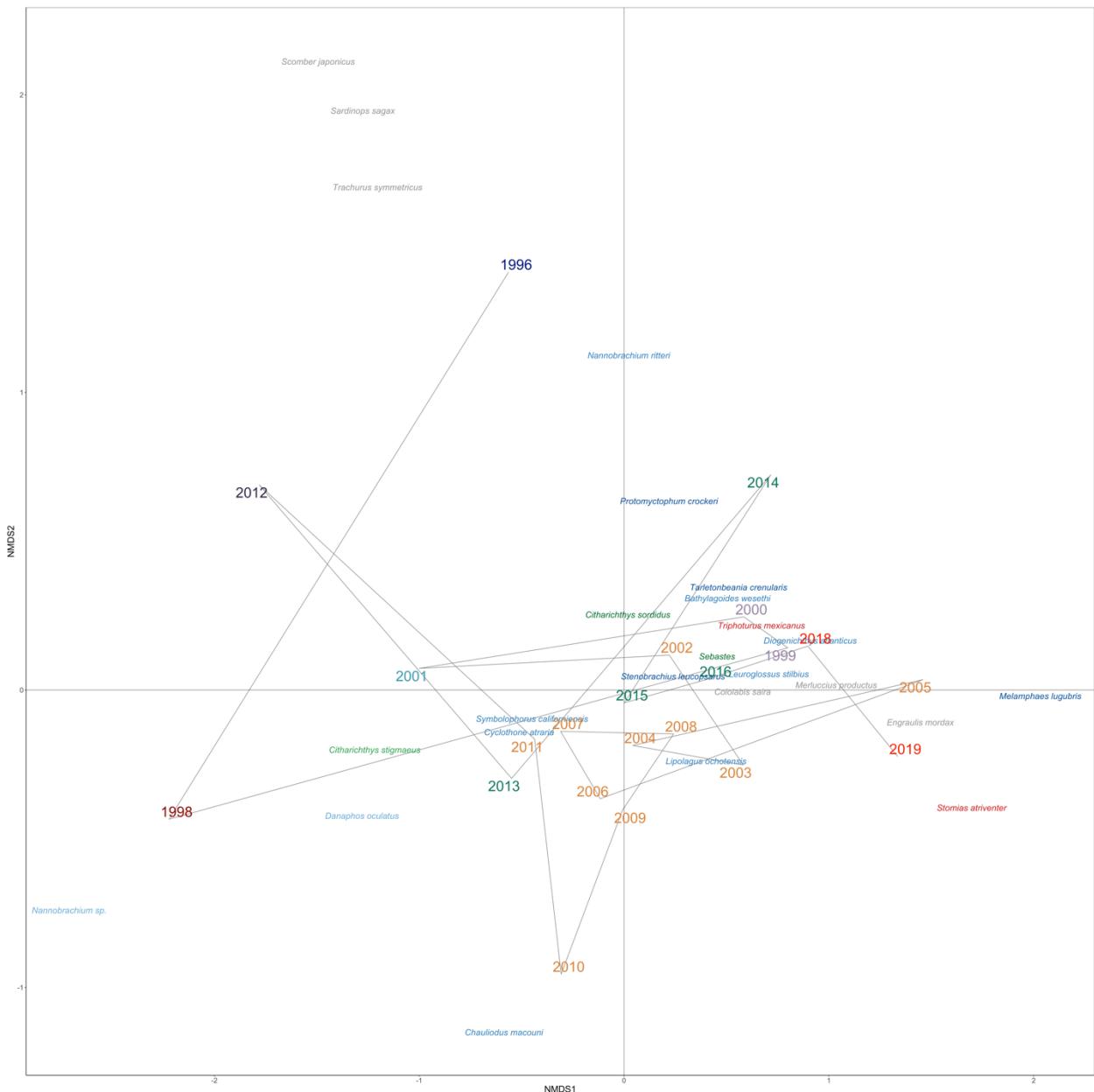
546 NMDS Ordination of Bray-Curtis dissimilarities calculated from abundance of each year.  
 547 Years are color coded by chronological clustering ( $k=8$ ). Species are color coded by  
 548 habitat association matching Figure 2.



549

550 **Figure S21. Heat Map of San Diego Inshore Abundances Over Time**

551 Estimated abundance of each year plotted over time. Years are color coded by  
 552 chronological clustering. Species are grouped by hierarchical clustering. Lighter colors  
 553 indicate higher abundance, white is a lack of detection. Species are color coded by habitat  
 554 association matching Figure 1.

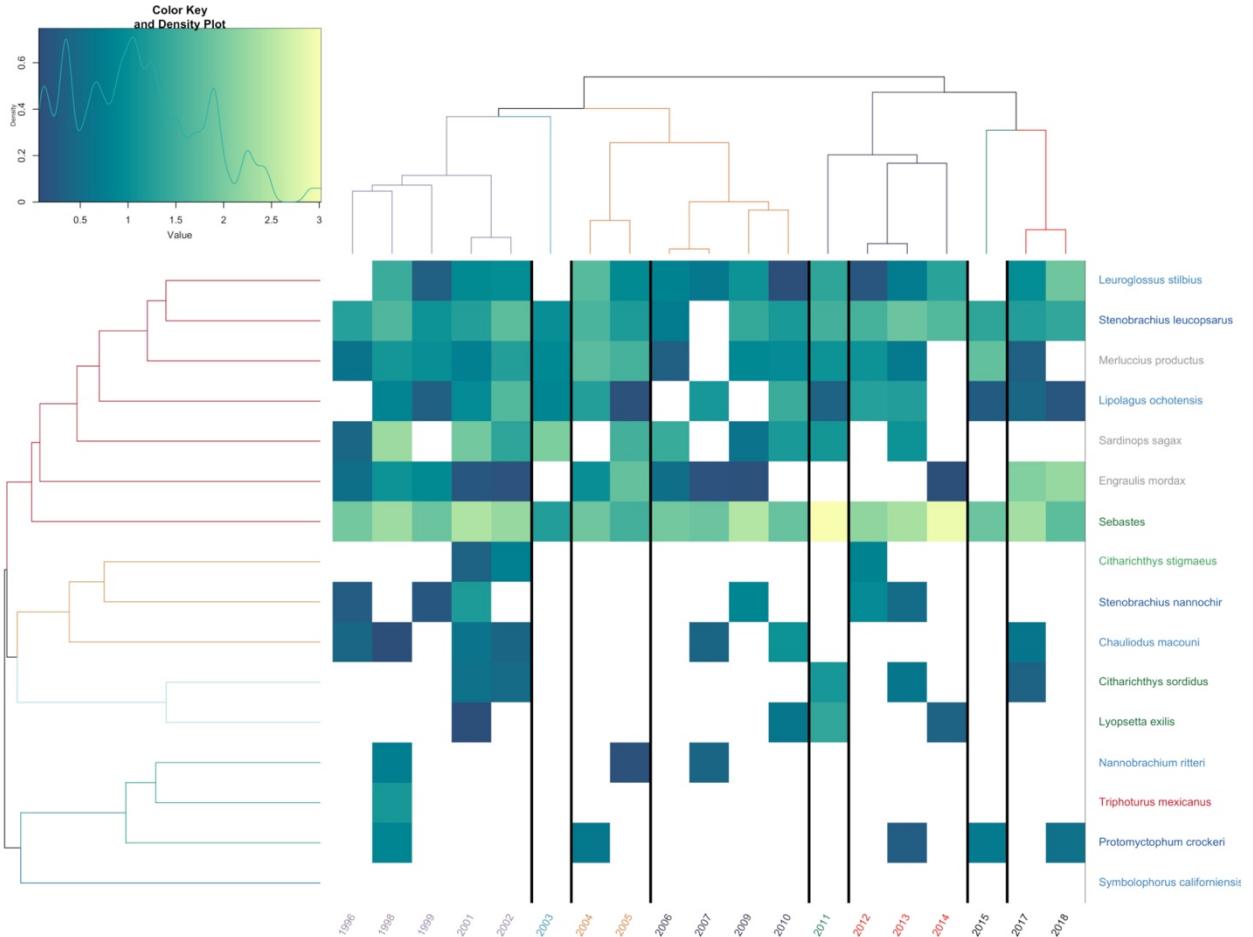


555

556 **Figure S22. NMDS Ordination of San Diego Inshore Species and Years**

557 NMDS Ordination of Bray-Curtis dissimilarities calculated from abundance of each year.

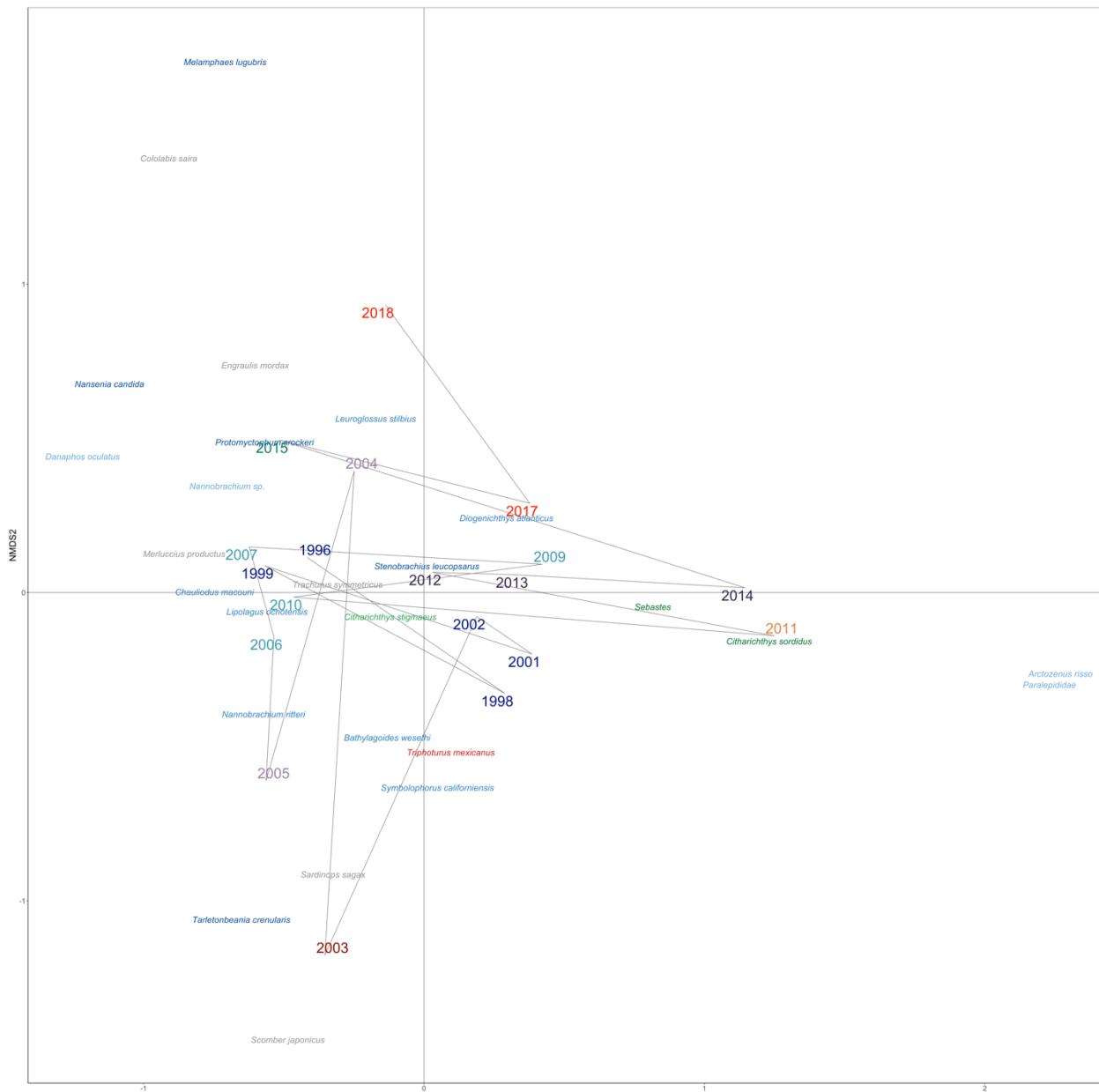
558 Years are color coded by chronological clustering ( $k=8$ ). Species are color coded by  
559 habitat association matching Figure 2.



560

561 **Figure S23. Heat Map of Pt. Conception Abundances Over Time**

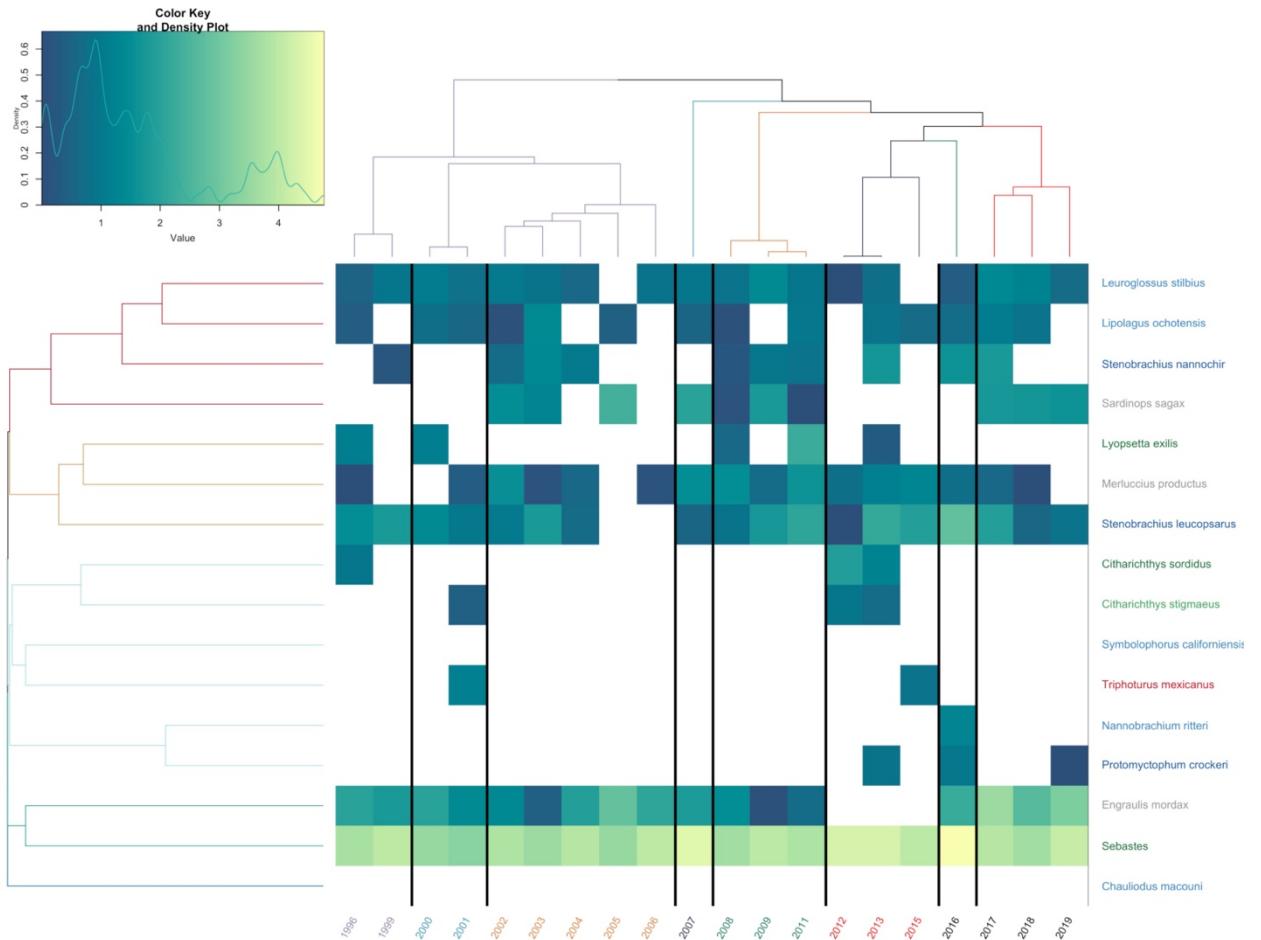
562 Estimated abundance of each year plotted over time. Years are color coded by  
 563 chronological clustering. Species are grouped by hierarchical clustering. Lighter colors  
 564 indicate higher abundance, white is a lack of detection. Species are color coded by habitat  
 565 association matching Figure 1.



566

567 **Figure S24. NMDS Ordination of Pt. Conception Species and Years**

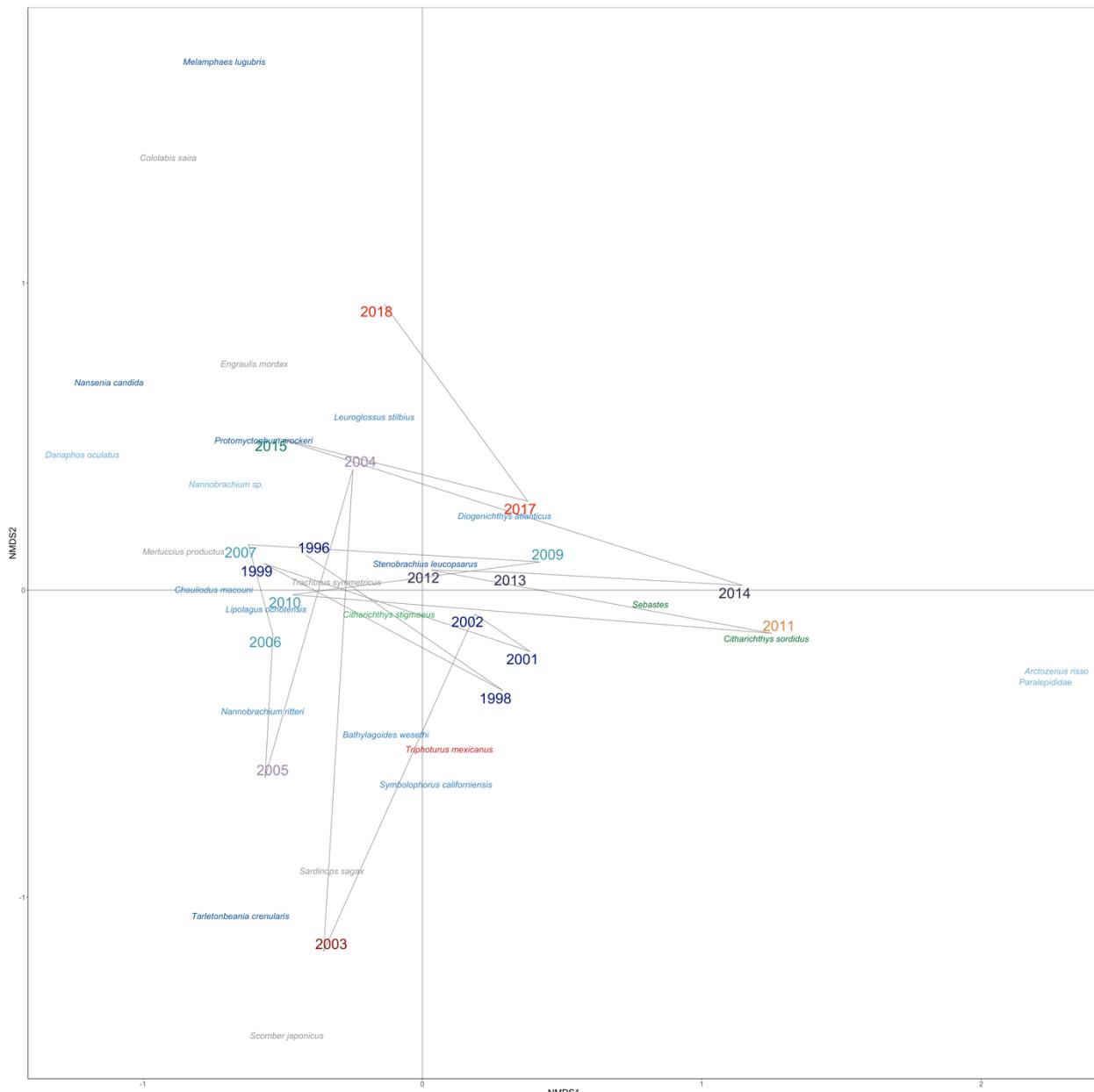
568 NMDS Ordination of Bray-Curtis dissimilarities calculated from abundance of each year.  
 569 Years are color coded by chronological clustering ( $k = 8$ ). Species are color coded by  
 570 habitat association matching Figure 2.



571

572 **Figure S25. Heat Map of San Nicholas Island Abundances Over Time**

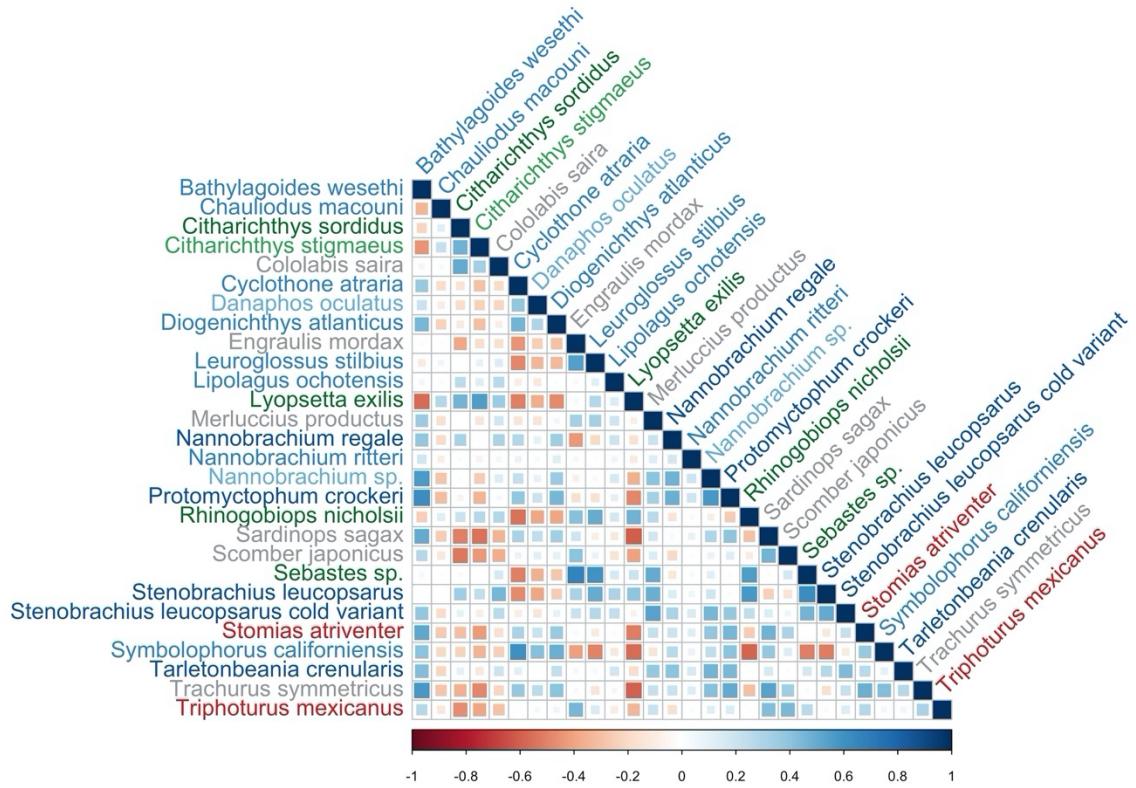
573 Estimated abundance of each year plotted over time. Years are color coded by  
 574 chronological clustering. Species are grouped by hierarchical clustering. Lighter colors  
 575 indicate higher abundance, white is a lack of detection. Species are color coded by habitat  
 576 association matching Figure 1.



577

578 **Figure S26. NMDS Ordination of San Nicholas Island Species and Years**

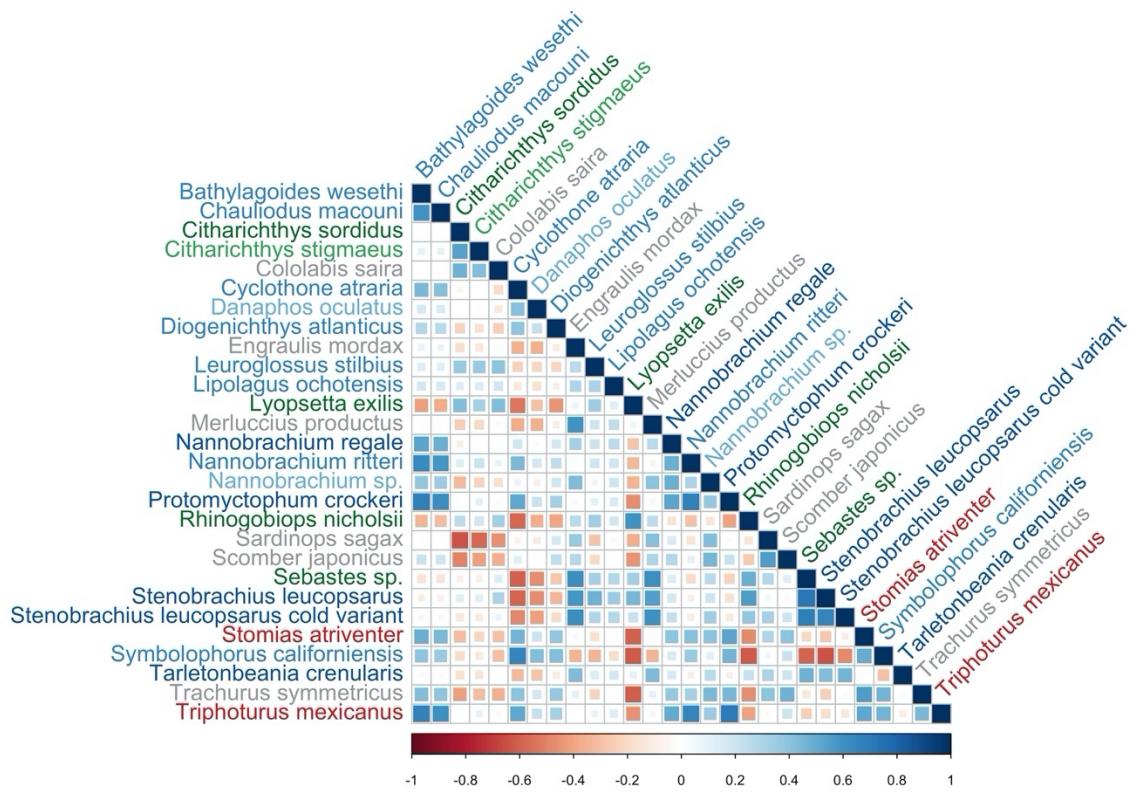
579 NMDS Ordination of Bray-Curtis dissimilarities calculated from abundance of each year.  
 580 Years are color coded by chronological clustering ( $k = 8$ ). Species are color coded by  
 581 habitat association matching Figure 2.



582

583 **Figure S27. Co-occurrence Patterns of Species Controlling for SST**

584 Benthic fisheries targets like sanddabs were negatively correlated with mesopelagic  
 585 species when controlling for SST. These results capture a strong benthic vs. pelagic  
 586 community dynamics when controlling for SST. We plot the correlation matrix of the  
 587 generalized linear latent variable model predictors across species. Species in blue correlate  
 588 are positively correlated with each other while species in red are negatively correlated.  
 589 Strength of association is visualized by size of square. Species are color coded by habitat  
 590 association matching Figure 2.



591

592

### Figure S28. Co-occurrence Patterns of Species

593

Fisheries targets like North Pacific Hake were negatively correlated with mesopelagic

594

species. We plot the correlation matrix of the generalized linear latent variable model

595

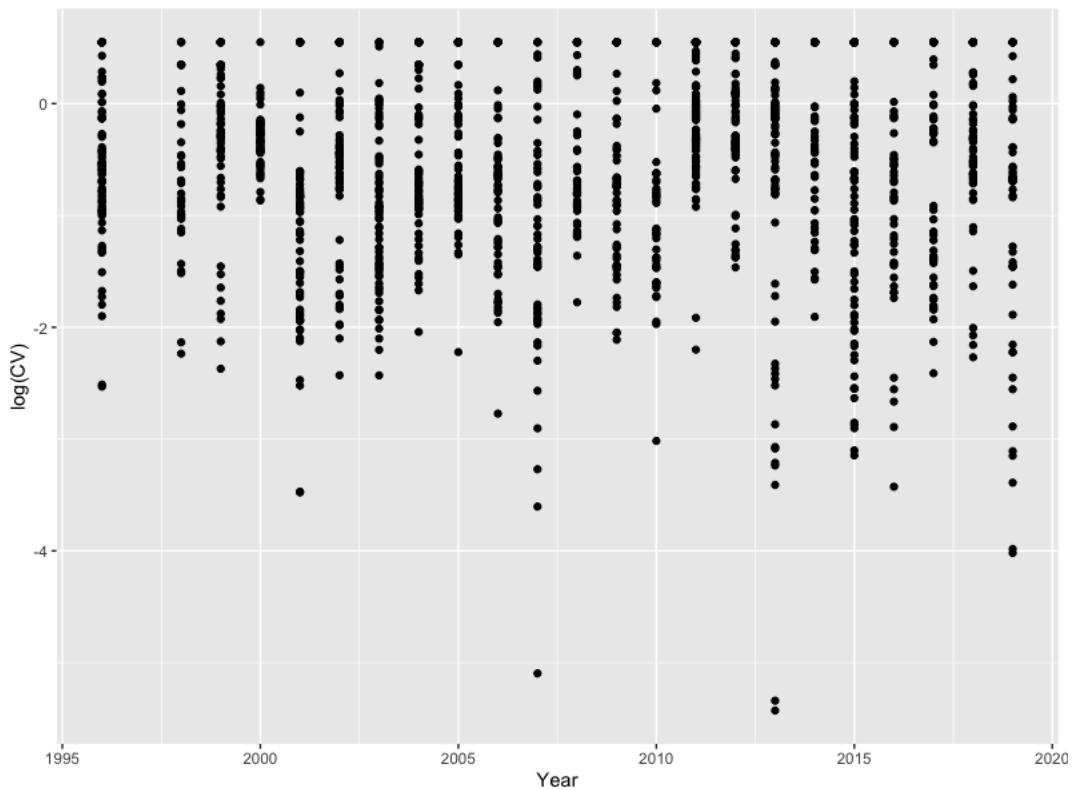
predictors across species. Species in blue correlate are positively correlated with each

596

other while species in red are negatively correlated. Strength of association is visualized

597

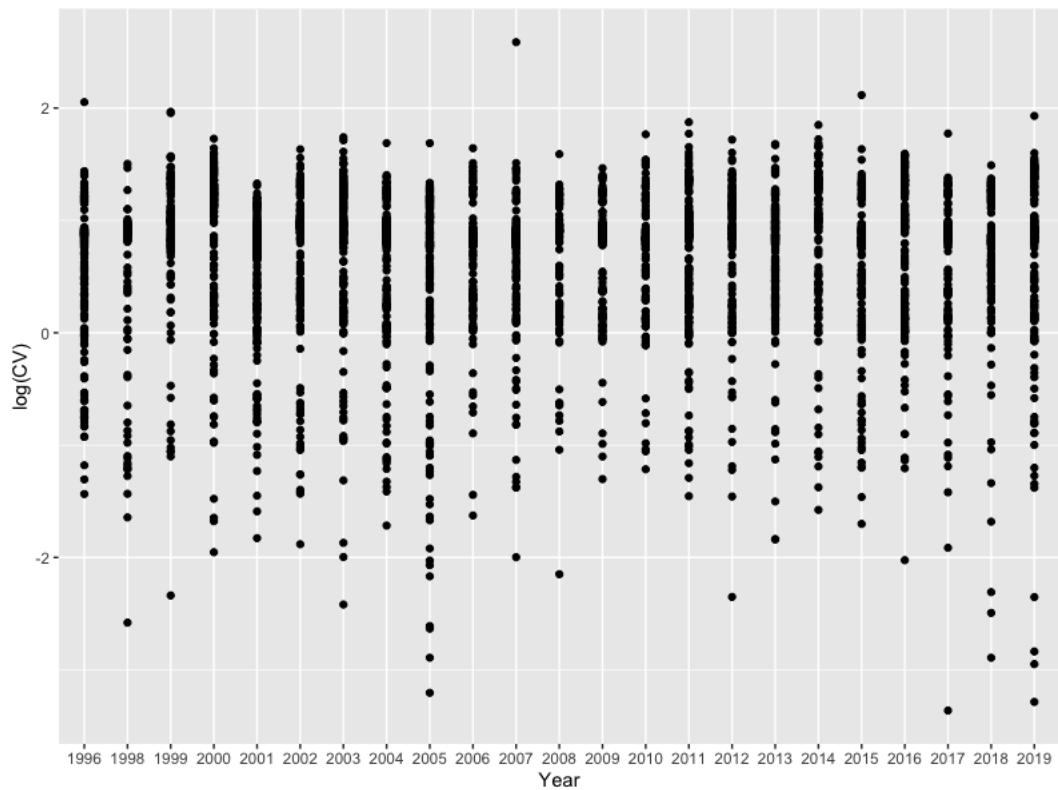
by size of square. Species are color coded by habitat association matching Figure 2.



598

599 **Figure S29. Stable Precision of Amplicon Abundance Over Time**

600 Here we measure the coefficient of variation (CV) of species-specific amplicons across  
601 three technical replicates. An increase in CV with the age of the sample would signal  
602 degradation; we see no such trend.



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#### Figure S30. Stable Precision of Abundance Estimates Over Time

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Coefficient of variation of model estimates of abundance over time. We observe no evidence of change in precision over time.

## Supplement S2

Gold et al. 2021

### Model description of morphology and metabarcoding analysis of CALCOFI samples

#### Motivating models.

We use metabarcoding approaches outlined in Supplement S1 to produce amplicons from ethanol-preserved ichthyoplankton samples. Briefly, we generated amplicons using the MiFish 12S Universal Teleost primer set (23) on DNA extractions derived using the Qiagen DNeasy Blood and Tissue kit filtered ethanol-preservative. Each amplicon library was sequenced separately on an Illumina NextSeq. See Supplement S1 for full details.

We estimate that, for any species  $i$ , the number of sequenced amplicons is proportional to the fraction of DNA from that species in the PCR template (53,55,56). We note that here we use  $i$  to represent species, but can be more generalized to represent “molecular targets” or “amplicon sequence variants.” The amplicons produced during a PCR reaction are governed by the efficiency parameter  $\alpha_i$ , which is characteristic of the interaction between the particular primer set and each species being amplified. Thus, for any species  $i$ , the number of amplicons should be directly related to the efficiency of amplification and the starting concentration of DNA template such that

$$A_i = c_i(1 + \alpha_i)^{N_{PCR}} \quad (1)$$

where  $A_i$  is amplicon abundance,  $c_i$  is the true number of DNA copies in the reaction attributable to species  $i$ ,  $\alpha_i$  is the amplification efficiency (bounded on  $(0, 1)$ ), and  $N_{PCR}$  is a known constant (an integer giving the number of PCR cycles used in the reaction). If we could perfectly observe amplicons, the above equation would be all that we needed to relate the amplicons we observe and the biological value of interest,  $c_i$ , the true number of template DNA copies. Unfortunately standard PCR and sequencing technology does not allow for such direct observation. Due to  $N_{PCR}$  being a large number and  $\alpha_i$  typically being not close to 0, the number of amplicons expected for any species with  $c_i > 0$  is very, very large (e.g. with  $c_i = 2$ ,  $\alpha_i = 0.75$ , and  $N_{PCR} = 36$ ,  $A_i = 1.12 \times 10^9$ ) and there are typically many species being amplified simultaneously, producing  $10^{10}$  or more DNA copies in a single reaction. The actual number driven primarily by the  $\alpha$  values among species and  $N_{PCR}$ . This model assumes that PCR amplification has not approached saturation and therefore the PCR is still amplifying exponentially. We, and others (53,55,56), argue this assumption is valid because 1) the total concentration of DNA within a filtered ethanol sample is low ( $<1\text{ng}/\mu\text{L}$ ) and 2) the PCR reagents are supplied in excess and therefore are unlikely to be saturating the PCR. However, future models could be developed to account for a saturating PCR curve (68).

DNA sequencing machines do not read all of the copies from such a reaction; they read only a small fraction of the reads (on the order of  $10^6$  to  $10^7$  reads) (54,70). This subsampling changes what in eq. 1 appears to be a single-species process – each species being amplified independently – into a multi-species process; the number of amplicons observed for species  $i$  will depend upon both the amplicons produced for species  $i = 1$  and the amplicons produced for species  $i = 2, 3, \dots, I$  in the same reaction. Observations of amplicons are thus compositional data and need to be analyzed as such.

## Models for compositional data

We want to retain the data-generating structure from eq.1 as much as possible, so we develop a model for a single sample with many species. As above, let  $i$  index species with  $i = 1, 2, \dots, I$  and then we can write a deterministic equation for the number of amplicons observed in log-space as

$$\log(A_i) = \log(c_i) + N_{PCR} \log(1 + \alpha_i) + \log(\eta) \quad (2)$$

where the only new term is  $\eta$  which represents the proportion of reads observed from a given sampling run. Note that in this formulation  $\eta$  is a single value shared across all species and serves to scale the number of amplicons observed. Additionally we can rewrite the number of DNA copies in terms of proportional number of counts,  $\log(\beta_i) = \log(c_i) - \log(\sum_i c_i)$ . Note that the second term in this equation is a sum of the counts across all species, and so is a single shared value for all species. As such it can be absorbed into the value  $\eta$  that scales the overall abundance,

$$\log(A_i) = \log(\beta_i) + N_{PCR} \log(1 + \alpha_i) + \log(\eta) \quad (3)$$

This equation is appealing because it provides a process-oriented description of the biology of interest (the  $\beta$ s), a term for how PCR modifies our observation of the true abundance ( $N_{PCR} \log(1 + \alpha_i)$ ), and a term for how subsampling of DNA reads in the sequencing machine will adjust the number of amplicons observed  $\log(\eta)$ . This third term also links all of the single-species components to produce a multi-species model. It is important to note that while both eq. 2 and 3 use the term  $\eta$ , the interpretation and plausible range of this parameter are distinct in the two equations. In eq. 2,  $0 < \eta \leq 1$ , while in eq. 3  $\eta$  is not constrained to be less than 1 ( $\eta > 0$ ).

In practice, PCR and subsampling are not deterministic but random processes (70). Furthermore, we are rarely interested in results from a single sample but rather multiple samples collected across sites  $j$  and times  $t$ . We let  $\lambda_{ijtk}$  be the expected number of amplicons observed, with  $k$  indexing unique PCR reactions to account for the fact that there may be multiple individual PCR reactions for a single collected sample,

$$\log(\lambda_{ijtk}) = \log(\beta_{ijt}) + N_{PCR} \log(1 + \alpha_i) + \log(\eta_{jtk}) \quad (4)$$

Importantly,  $\alpha_i$  is assumed to be constant for each species among all sites, times, and PCR reactions (53,55,56). We incorporate stochasticity by allowing the number of observed amplicons to vary from the deterministic mean by specifying the observed values as following a negative binomial distribution,

$$Y_{ijk} \sim \text{NegativeBinomial}(\lambda_{ijtk}, \phi) \quad (5)$$

$$\phi = \exp[\tau_0 + \tau_1 \log(\lambda_{ijtk})] \quad (6)$$

where the expected value and variance of  $Y_{ijk}$  are  $E[Y_{ijk}] = \lambda_{ijtk}$ , and  $Var[Y_{ijk}] = \lambda_{ijtk} + \frac{\lambda_{ijtk}^2}{\phi}$ , respectively.

Note that we allow for the scale parameter  $\phi$  to vary with the predicted mean, this allows for the amount of dispersion in the negative binomial to shift with changing predictions. For our datasets, this allows dispersion to be large when  $\lambda$  is small and decrease as  $\lambda$  increases.

By itself, this model has substantial identifiability problems; in the absence of additional information, it is not possible to estimate the  $\beta$  and  $\alpha$  parameters. In the next section we discuss how to integrate data from amplicon sequencing as well as other data sources to make the parameters identifiable.

## Application to the CalCOFI Dataset

At each CalCOFI station, an oblique bongo-net tow is conducted from 210 m to the surface with the starboard side preserved in buffered formaldehyde and the port side preserved in buffered ethanol-preserved (See Supplemental S1 for more details)(12). Manual counts of ichthyoplankton were quantified using microscopy to identify species abundance from formaldehyde-preserved samples. Metabarcoding was conducted on the ethanol preserved side; consequently, we expect the contents of the paired samples to differ slightly as a function of sampling stochasticity. Counts of larvae/juveniles were done once for each jar.

The manual counts provide extra information that enable us to estimate the confounded parameters from the metabarcoding. Specifically, for each sampled station, we have two sets of observed data: 1) counts of larval/juvenile fishes for each taxon from the formaldehyde jars ( $Z_{ijt}$ ; indexes as above) and 2) counts of amplicons for each taxon from ethanol jars ( $Y_{ijtk}$ ). These observed data arise from a common (but unobserved) biomass for each species at each station-year combination ( $\gamma_{ijt}$ ; a latent (unobserved) variable).

We assume that the data are counts for each species in each sample,  $Z_{ijt}$ , derived from the true density of each species  $\gamma_{ijt}$ , the fraction of total specimens counted in each vial,  $P_{jt}$ , and the volume of water filtered for that station relative to a standard volume,  $V_{jt}$ ;  $V_{jt} \approx 1$  for most samples,  $V_{jt} < 1$  indicates a smaller volume of water was sampled.

$$Z_{ijt} \sim \text{Poisson}(\theta_{ijt}) \quad (7)$$

$$\log(\theta_{ijt}) = \log(\gamma_{ijt}) + \log(P_{jt}) + \log(V_{jt}) \quad (8)$$

We consider  $\beta_{ijt}$  to be the true proportion of biomass at a given station-year for each taxon  $i$ ,  $\beta_i = \frac{\gamma_{ijt}}{\sum_i \gamma_{ijt}}$ .

## Joint Model for Counts and Amplicons

To combine our information from the manual counts and metabarcoding, we need to recognize that our observations ( $Y_{ijtk}$  and  $Z_{ijt}$ ) are linked together by a common variable ( $\gamma_{ijt}$ ) and thus we can model them jointly (71). We represent the amplification process using equation 5 and 6 above (amplicons were sequenced in triplicate reactions for each jar). The manual count are modeled as in equations 7 and 8.

Our model assumes the fraction of template DNA in each sample is proportional to the counts of individual species' larvae in each paired jar (53,55,56). Moreover, we assume that in each sample there is template DNA from species that are uncounted, unidentifiable, or otherwise unobserved (69,70). In practice, this DNA might derive from stochastic sampling between each side of the bongo net, excreted waste, stray tissue, cells, or microscopic genetic material extracted along with the visible larvae.

### Dealing with the fact that not all methods see the same species

The above is sufficient if all of the species identified by morphological counts are identical to the species identified by the genetic methods. But this is often not the case; some larvae are not separable to species based on morphology and some species are not separable to species based on a single genetic primer. Furthermore, some species do not amplify at all in the PCR ( $\alpha_i \approx 0$ ). To accommodate non-overlapping sets of species among sampling methods we introduce a new variable,  $\gamma_{Mijt}$ , which specifies the true ( $M$  is for "main") density of species  $i$  at site  $j$  and time  $t$ . We assume that there is a mapping between this main density and the density observed by each sampling method. Specifically, we assume the species in the main list maps uniquely onto no more than one taxonomic group in each observation method, but multiple main species can map onto a single group for each observation method. For example, if the observation of larval counts identified as a specimen as *Sebastes* sp., we assume this may map onto one or more specific taxa (e.g., *Sebastes paucispinis*) in the main list, but conversely, *Sebastes paucispinis* on the main list may not map to more than one entity identified by each observation method.

We can construct a mapping matrix,  $\mathbf{M}_{MS}$ , that transforms the species in the main list,  $\gamma_M$  (a vector of length  $I_M$ , the number of true species in the sample) into the species grouping observed by sampling method

$S$ ,  $\gamma_S$  (a vector of length  $I_S$ , the number of groups observed by method  $S$ ). We drop the  $j$  and  $t$  subscript because this mapping does not depend on the identity of the community being sampled. Then,

$$\gamma_S = \mathbf{M}_{MS} \gamma_M \quad (9)$$

$\mathbf{M}_{MS}$  is a  $I_S$  by  $I_M$  matrix.

For example, if there are four species in the true community and method  $S$  only observes three groups, the matrix  $\mathbf{M}_{MS}$  could look like this

$$\mathbf{M}_{MS} = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 1 \\ 0 & 0 & 1 & 0 \end{bmatrix} \quad (10)$$

This might happen if species 2 and species 4 (columns 2 and 4, respectively) were from the same genus and the PCR primer from method  $S$  can only resolve those two species at the genus level. To provide a further example, take an invented community of four species with  $\gamma_M = \{1, 15, 6, 7\}$  individuals in the community. The true community as observed through method  $S$  would be

$$\gamma_S = \mathbf{M}_{MS} \gamma_M \quad (11)$$

$$\begin{bmatrix} 1 \\ 22 \\ 6 \end{bmatrix} = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 1 \\ 0 & 0 & 1 & 0 \end{bmatrix} \begin{bmatrix} 1 \\ 15 \\ 6 \\ 7 \end{bmatrix} \quad (12)$$

and so  $\gamma_S$  is a linear combination of the true community. Of course there is no requirement that elements of  $\gamma_M$  be integers, but that makes the above example easy and transparent.

It is easy to incorporate this added complexity into the models in the previous section. If we assign method  $S$  to be manual counts and  $W$  to be the Mifish PCR primer, we need to construct a main list of species to define  $\gamma_M$  and build two mapping matrices,  $\mathbf{M}_{MS}$  and  $\mathbf{M}_{MW}$  that determine which species or species-groups are observed by each method. We can then add an additional subscript for each additional method and use the same form as above. For example,

$$\log(\theta_{Sijt}) = \log(\gamma_{Sijt}) + \log(P_{Sjt}) + \log(V_{Sjt}) \quad (13)$$

$$\log(\lambda_{Wijtk}) = \log(\beta_{Wijt}) + N_{W,PCR} \log(1 + \alpha_{Wi}) + \eta_{Wjtk} \quad (14)$$

And with additional sampling methods, we can make different mappings from the true abundance to the observations of each method.

### Estimation and Identifiability

We developed and fit the above model in a Bayesian framework using the Stan language, as implemented in *RStan* (75, 76). All code is available as supplementary material. Table S2.1 provide prior distributions used in the model.

We ran five MCMC chains with 1,000 warmup and 4,000 sampling iterations. We retained every other MCMC sample. We initiated each chain at randomly determined starting values. The model converged ( $\hat{R} < 1.01$ ; Gelman-Rubin diagnostics) and had no divergent transitions. We performed standard posterior predictive checks to assess model fit.

Table S2.1: Prior and parameter descriptions for the Stan Model.

Parameter & Prior	Description
$\alpha_i \sim Beta(1, 1)$	Amplification efficiency for species $i$
$\log(\gamma_{Mijt}) \sim Normal(0, 4)$	True biomass of each species at each site-year
$\log(\eta_{jtk}) \sim Normal(-4, 4)$	Estimated offset for each PCR reaction at each site-year
$\tau_0 \sim Normal(0, 2)$	Negative Binomial shape parameter intercept
$\tau_1 \sim Normal(0, 2)$	Negative Binomial shape parameter slope