

# **Tutorial 6: Functional Dependency & Normalization (Solutions)**

## **CS3402 Database Systems**

# Question 1

- Examine the **Branch** table shown below.
  - a) Why this table is not in 1NF?
  - b) Describe and illustrate the process of normalizing the data shown in this table to 3NF.

<u>BranchNo</u>	BranchAddress	TelNo
B001	8 Jefferson Way, Portland, OR 97201	503-555-3618, 503-555-2727, 503-555-6534
B002	City Center Plaza, Seattle, WA 98122	206-555-6756, 206-555-8836
B003	14 – 8th Avenue, New York, NY 10012	212-371-3000
B004	16 – 14th Avenue, Seattle, WA 98128	206-555-3131, 206-555-4112

# Question 1 (Answer)

- a) TelNo is an attribute with atomic values, but with multi-values. Thus, the table is NOT in 1NF.
- b) Create another relation specifically for TelNo with BranchNo as a foreign key.

**Branch**

<u>BranchNo</u>	BranchAddress
B001	8 Jefferson Way, Portland, OR 97201
B002	City Center Plaza, Seattle, WA 98122
B003	14 – 8th Avenue, New York, NY 10012
B004	16 – 14th Avenue, Seattle, WA 98128

**BranchTel**

<u>BranchNo</u>	<u>TelNo</u>
B001	503-555-3618
B001	503-555-2727
B001	503-555-6534
B002	206-555-6756
B002	206-555-8836
B003	212-371-3000
B004	206-555-3131
B004	206-555-4112

# Question 2

- Examine the **StaffBranchAllocation** table shown below.
  - {StaffNo, BranchNo} is the primary key.
  - FDs: StaffNo → {Name, Position} and BranchNo → BranchAddress
- a) Why this table is not in 2NF?
- b) Describe and illustrate the process of normalizing the data shown in this table to 3NF.

<u>StaffNo</u>	<u>BranchNo</u>	BranchAddress	Name	Position	HoursPerWeek
S4555	B002	City Center Plaza, Seattle, WA 98122	Ellen Layman	Assistant	16
S4555	B004	16 – 14th Avenue, Seattle, WA 98128	Ellen Layman	Assistant	9
S4612	B002	City Center Plaza, Seattle, WA 98122	Dave Sinclair	Assistant	14
S4612	B004	16 – 14th Avenue, Seattle, WA 98128	Dave Sinclair	Assistant	10

## Question 2 (Answer)

- a) The primary key of StaffBranchAllocation table is {Staff No, BranchNo}. StaffNo  $\rightarrow$  {Name, Position} and BranchNo  $\rightarrow$  BranchAddress are not fully functional dependencies. The non-key attributes are not fully dependent on the key. Thus, the table is NOT in 2NF.
- b) Remove BranchAddress, Name, Position from StaffBranchAllocation relation to capture the partial functional dependencies separately.

**Branch**

<u>BranchNo</u>	BranchAddress
B002	City Center Plaza, Seattle, WA 98122
B004	16 – 14th Avenue, Seattle, WA 98128

**Staff**

<u>StaffNo</u>	Name	Position
S4555	Ellen Layman	Assistant
S4612	Dave Sinclair	Assistant

**StaffBranchAllocation**

<u>StaffNo</u>	<u>BranchNo</u>	HoursPerWeek
S4555	B002	16
S4555	B004	9
S4612	B002	14
S4612	B004	10

# Question 3

- Examine the **BranchManager** table shown below.
  - BranchNo is the primary key
  - FD: MgrStaffNo → MgrName
- a) Why this table is not in 3NF?
- b) Describe and illustrate the process of normalizing the data shown in this table to 3NF.

<u>BranchNo</u>	BranchAddress	TelNo	MgrStaffNo	MgrName
B001	8 Jefferson Way, Portland, OR 97201	503-555-3618	S1500	Tom Daniels
B002	City Center Plaza, Seattle, WA 98122	206-555-6756	S0010	Mary Martinez
B003	14 – 8th Avenue, New York, NY 10012	212-371-3000	S0145	Art Peters
B004	16 – 14th Avenue, Seattle, WA 98128	206-555-3131	S2250	Sally Stern

# Question 3 (Answer)

- a) There exists a non-key attribute transitively dependent on the key, i.e., MgrName depends on MgrStaffNo and MgrStaffNo depends on BranchNo, i.e., BranchNo  $\rightarrow$  MgrStaffNo and MgrStaffNo  $\rightarrow$  MgrName
- b) Create another relation which specifically captures the dependency MgrStaffNo  $\rightarrow$  MgrName

**Branch**

<u>BranchNo</u>	BranchAddress	TelNo	MgrStaffNo
B001	8 Jefferson Way, Portland, OR 97201	503-555-3618	S1500
B002	City Center Plaza, Seattle, WA 98122	206-555-6756	S0010
B003	14 – 8th Avenue, New York, NY 10012	212-371-3000	S0145
B004	16 – 14th Avenue, Seattle, WA 98128	206-555-3131	S2250

**ManagerStaff**

<u>MgrStaffNo</u>	MgrName
S1500	Tom Daniels
S0010	Mary Martinez
S0145	Art Peters
S2250	Sally Stern

# Question 4

- Examine the table shown below and the set of functional dependency on its attributes:
  - CourseRmAlloc (CourseId, CourseName, Year, Lecturer, Enrollment, RoomId, RoomCapacity, Day, Time)
- a) Find all candidate keys of this table.
- b) Decompose this table into a design into BCNF.

FDs:

1. CourseId  $\rightarrow$  CourseName
2. CourseName  $\rightarrow$  CourseId
3. {CourseId, Year}  $\rightarrow$  Lecturer
4. {CourseId, Year}  $\rightarrow$  Enrollment
5. RoomId  $\rightarrow$  RoomCapacity
6. {RoomId, Year, Day, Time}  $\rightarrow$  CourseId
7. {CourseId, Year, Day, Time}  $\rightarrow$  RoomId



# Question 4 (Answer) (1/6)

- a) There are three candidate keys in this table (based on their closure of attribute sets):
- {Year, Day, Time, CourseId}
  - {Year, Day, Time, CourseName}
  - {Year, Day, Time, RoomId}

# Question 4(a) (Answer) (2/6)

- $R = \{\text{CourseId}, \text{CourseName}, \text{Year}, \text{Lecturer}, \text{Enrollment}, \text{RoomId}, \text{RoomCapacity}, \text{Day}, \text{Time}\}$
- $X = \{\text{CourseId}\}$

FDs:

1.  $\text{CourseId} \rightarrow \text{CourseName}$
2.  $\text{CourseName} \rightarrow \text{CourseId}$
3.  $\{\text{CourseId}, \text{Year}\} \rightarrow \text{Lecturer}$
4.  $\{\text{CourseId}, \text{Year}\} \rightarrow \text{Enrollment}$
5.  $\text{RoomId} \rightarrow \text{RoomCapacity}$
6.  $\{\text{RoomId}, \text{Year}, \text{Day}, \text{Time}\} \rightarrow \text{CourseId}$
7.  $\{\text{CourseId}, \text{Year}, \text{Day}, \text{Time}\} \rightarrow \text{RoomId}$

	old $X^+$ (Before)	FD	$X^+$ (After)	$X^+ = \text{old}X^+?$
1 <sup>st</sup> Iteration	{CourseId}	$\text{CourseId} \rightarrow \text{CourseName}$	{CourseId, CourseName}	False
2 <sup>nd</sup> Iteration	{CourseId, CourseName}	$\text{CourseName} \rightarrow \text{CourseId}$	{CourseId, CourseName}	True

- $X^+ = \{\text{CourseId}, \text{CourseName}\}$  (Since  $X^+$  does not include all the attributes in  $R$ ,  $X = \{\text{CourseId}\}$  is not a candidate key.)

# Question 4(a) (Answer) (3/6)

FDs:

1. CourseId → CourseName
2. CourseName → CourseId
3. {CourseId, Year} → Lecturer
4. {CourseId, Year} → Enrollment
5. RoomId → RoomCapacity
6. {RoomId, Year, Day, Time} → CourseId
7. {CourseId, Year, Day, Time} → RoomId

- R={CourseId, CourseName, Year, Lecturer, Enrollment, RoomId, RoomCapacity, Day, Time}
- X={Year, Day, Time, RoomId}

	oldX <sup>+</sup> (Before)	FD	X <sup>+</sup> (After)	X <sup>+</sup> = oldX <sup>+</sup> ?
1 <sup>st</sup> Iteration	{Year, Day, Time, RoomId}	RoomId → RoomCapacity {RoomId, Year, Day, Time} → CourseId	{Year, Day, Time, RoomId, RoomCapacity, CourseId}	False
2 <sup>nd</sup> Iteration	{Year, Day, Time, RoomId, RoomCapacity, CourseId}	CourseId → CourseName {CourseId, Year} → Lecturer {CourseId, Year} → Enrollment {CourseId, Year, Day, Time} → RoomId	{Year, Day, Time, RoomId, RoomCapacity, CourseId, CourseName, Lecturer, Enrollment}	False
3 <sup>rd</sup> Iteration	{RoomId, Year, Day, Time, RoomCapacity, CourseId, CourseName, Lecturer, Enrollment}	CourseName → CourseId	{RoomId, Year, Day, Time, RoomCapacity, CourseId, CourseName, Lecturer, Enrollment}	True

- X<sup>+</sup> = {RoomId, Year, Day, Time, RoomCapacity, CourseId, CourseName, Lecturer, Enrollment}  
(Since X<sup>+</sup> includes all the attributes in R, X={Year, Day, Time, RoomId} is a candidate key.)

# Question 4(b) (Answer) (4/6)

b) This table can be decomposed into the following in BCNF (so also in 3NF):

- **CourseTeaching**(CourseId, Year, Lecturer, Enrollment)
- **Room**(RoomId, RoomCapacity)
- **CourseRoomAlloc**(CourseId, Year, Day, Time, RoomId)
- **Course**(CourseId, CourseName)

# Question 4(b) (Answer) (5/6)

- $R = \{\text{CourseId}, \text{CourseName}, \text{Year}, \text{Lecturer}, \text{Enrollment}, \text{RoomId}, \text{RoomCapacity}, \text{Day}, \text{Time}\}$
- Candidate keys
  - $\{\text{Year}, \text{Day}, \text{Time}, \text{CourseId}\}$
  - $\{\text{Year}, \text{Day}, \text{Time}, \text{CourseName}\}$
  - $\{\text{Year}, \text{Day}, \text{Time}, \text{RoomId}\}$
- $\text{CourseId} \rightarrow \text{CourseName}$  violates BCNF
  - $R = \{\text{CourseId}, \text{Year}, \text{Lecturer}, \text{Enrollment}, \text{RoomId}, \text{RoomCapacity}, \text{Day}, \text{Time}\}$
  - $R_1 = \{\text{CourseId}, \text{CourseName}\}$
- $\{\text{CourseId}, \text{Year}\} \rightarrow \text{Lecturer}$  violates BCNF
  - $R = \{\text{CourseId}, \text{Year}, \text{Enrollment}, \text{RoomId}, \text{RoomCapacity}, \text{Day}, \text{Time}\}$
  - $R_1 = \{\text{CourseId}, \text{CourseName}\}$
  - $R_2 = \{\text{CourseId}, \text{Year}, \text{Lecturer}\}$

FDs:

1.  $\text{CourseId} \rightarrow \text{CourseName}$
2.  $\text{CourseName} \rightarrow \text{CourseId}$
3.  $\{\text{CourseId}, \text{Year}\} \rightarrow \text{Lecturer}$
4.  $\{\text{CourseId}, \text{Year}\} \rightarrow \text{Enrollment}$
5.  $\text{RoomId} \rightarrow \text{RoomCapacity}$
6.  $\{\text{RoomId}, \text{Year}, \text{Day}, \text{Time}\} \rightarrow \text{CourseId}$
7.  $\{\text{CourseId}, \text{Year}, \text{Day}, \text{Time}\} \rightarrow \text{RoomId}$

# Question 4(b) (Answer) (6/6)

- $\{\text{CourseId}, \text{Year}\} \rightarrow \text{Lecturer}$  violates BCNF
  - $R = \{\text{CourseId}, \text{Year}, \text{Enrollment}, \text{RoomId}, \text{RoomCapacity}, \text{Day}, \text{Time}\}$
  - $R_1 = \{\text{CourseId}, \text{CourseName}\}$
  - $R_2 = \{\text{CourseId}, \text{Year}, \text{Lecturer}\}$
- $\{\text{CourseId}, \text{Year}\} \rightarrow \text{Enrollment}$  violates BCNF
  - $R = \{\text{CourseId}, \text{Year}, \text{RoomId}, \text{RoomCapacity}, \text{Day}, \text{Time}\}$
  - $R_1 = \{\text{CourseId}, \text{CourseName}\}$
  - $R_2 = \{\text{CourseId}, \text{Year}, \text{Lecturer}, \text{Enrollment}\}$
- $\text{RoomId} \rightarrow \text{RoomCapacity}$  violates BCNF
  - $R = \{\text{CourseId}, \text{Year}, \text{RoomId}, \text{Day}, \text{Time}\}$
  - $R_1 = \{\text{CourseId}, \text{CourseName}\}$
  - $R_2 = \{\text{CourseId}, \text{Year}, \text{Lecturer}, \text{Enrollment}\}$
  - $R_3 = \{\text{RoomId}, \text{RoomCapacity}\}$
- $\{\text{RoomId}, \text{Year}, \text{Day}, \text{Time}\} \rightarrow \text{CourseId}$  does not violate BCNF
- $\{\text{CourseId}, \text{Year}, \text{Day}, \text{Time}\} \rightarrow \text{RoomId}$  does not violate BCNF

FDs:

1.  $\text{CourseId} \rightarrow \text{CourseName}$
2.  $\text{CourseName} \rightarrow \text{CourseId}$
3.  $\{\text{CourseId}, \text{Year}\} \rightarrow \text{Lecturer}$
4.  $\{\text{CourseId}, \text{Year}\} \rightarrow \text{Enrollment}$
5.  $\text{RoomId} \rightarrow \text{RoomCapacity}$
6.  $\{\text{RoomId}, \text{Year}, \text{Day}, \text{Time}\} \rightarrow \text{CourseId}$
7.  $\{\text{CourseId}, \text{Year}, \text{Day}, \text{Time}\} \rightarrow \text{RoomId}$