Topology and Geometry Seminar

Sheaf Theory (I)

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 \S Sheaves \S

► Let X be a topological space. A **presheaf** over X is an assignment for each open set an abelian group

$$\mathcal{F}:U\mapsto \mathcal{F}(U),$$

and restriction map

$$\mathcal{F}(U) \rightarrow \mathcal{F}(V)$$
 $s \mapsto s|_{V}$

for each $V \subseteq U$ with the following properties

- ▶ For empty set, $\mathcal{F}(\emptyset) = 0$;
- ▶ For open subset U, $[\mathcal{F}(U) \rightarrow \mathcal{F}(U)] = id$;
- For $W \subseteq V \subseteq U$, $[\mathcal{F}(U) \to \mathcal{F}(V) \to \mathcal{F}(W)] = [\mathcal{F}(U) \to \mathcal{F}(W)]$.

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- Let X be a topological space, and $\mathcal F$ be a presheaf.
- We will call an element of $\mathcal{F}(U)$ a **section** of \mathcal{F} over U. A global section refers the case U = X. We use the notation

$$\Gamma(U;\mathcal{F})=H^0(U;\mathcal{F})=\mathcal{F}(U).$$

▶ For each point $x \in X$, we define the **stalk** at x

$$\mathcal{F}_{x} = \varinjlim_{U \ni x} \mathcal{F}(U),$$

each element is presented by a section over some neighborhood of x, and two of them are equal if and only if they coincide in a smaller neighborhood.

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 $\operatorname{supp} s = \{x \in U : \text{the image of } u \text{ in } \mathcal{F}_x \text{ does not vanish}\}.$

 \blacktriangleright For \mathcal{F} , denote

$$\mathsf{supp}\,\mathcal{F}=\{x\in U:\mathcal{F}_x\neq 0\}.$$

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For an open covering $\mathcal U$ of an open subset U_0 , and $s \in \mathcal F(U_0)$, then

$$s=0 \iff \forall U \in \mathcal{U}, s|_U=0.$$

For an open covering \mathcal{U} of an open subset U_0 , and $\{s_U : U \in \mathcal{F}(U)\}$ then

There exists $s \in \mathcal{F}(U_0)$ with $s|_U = s_U \iff {}^{\forall U,V \in \mathcal{U}}, s_U|_{U \cap V} = s_V|_{U \cap V}$ for all $U \in \mathcal{U}$

We will say s is glued/patched by $\{s_U\}$.

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▶ It would be better to think sheaf as a "fibre". Say, consider

$$\mathbf{F} = \bigsqcup_{x \in X} \mathcal{F}_x \xrightarrow{\pi} X.$$

Then any $s \in \mathcal{F}(U)$ defines a section of π over U. That is, a map $s: U \to \mathbf{F}$ with $\pi \circ s = \mathrm{id}$. Then we define the weakest topology over \mathbf{F} with all such s continuous.

Remind the weakest topology,

 $? \subseteq \mathbf{F}$ is open $\iff s^{-1}(?)$ is open for all section s.

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▶ We can define a sheaf associated with \mathcal{F} by adding the formally glued section. Say, simply the continuous section of above $\mathbf{F} \stackrel{\pi}{\to} X$,

$$\mathcal{F}^{\dagger}(U) = \{s : U \to \mathbf{F} : \pi \circ s = \mathrm{id}\}.$$

Note that such $s: U \rightarrow \mathbf{F}$ is continuous, if and only if for any section $t \in \mathcal{F}(V)$,

 $\{x \in U : \text{image of } t \text{ and image of } s \text{ in } \mathcal{F}_x \text{ coincides}\}$

is open. That is, for any $x \in U$, there is a neighborhood V, s coincides with t in \mathcal{F}_v for all point of $y \in V$.

▶ Let $Y \subseteq X$ be a subset. For a sheaf \mathcal{F} we define its restriction

$$\mathcal{F}(Y) := \{ \text{continuous } s : Y \rightarrow \mathbf{F} : s \circ \pi = \text{id} \}.$$

▶ By definition, $s: Y \rightarrow \mathbf{F}$ is a choice of stalk which glued to a global section over a neighborhood of Y, so

$$\mathcal{F}(Y) = \varinjlim_{V \supseteq Y} \mathcal{F}(V).$$

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Morphisms

Let $\varphi: \mathcal{F}, \mathcal{G}$ be two presheaves. A morphism between them $\mathcal{F} \to \mathcal{G}$ is an assignment of homomorphism of abelian groups of $\mathcal{F}(U) \to \mathcal{G}(U)$ commuting with restriction

$$\begin{array}{ccc} \mathcal{F}(U) & \longrightarrow & \mathcal{G}(U) \\ \downarrow & & \downarrow \\ \mathcal{F}(V) & \longrightarrow & \mathcal{G}(V) \end{array}$$

- ▶ As a result, it induces $\varphi_X : \mathcal{F}_X \to \mathcal{G}_X$ for each point $X \in X$.
- Morphism of sheaves is the same to presheaves'.

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Universal Property Associated Sheaves

▶ The associated sheaf $[\mathcal{F} \mapsto \mathcal{F}^{\dagger}]$ has the following universal property

> For any sheaf \mathcal{G} , and a mor-For any sheaf \mathcal{G} , and a morphism of $\mathcal{F} \to \mathcal{G}$, there exists a unique $\mathcal{F}^{\dagger} \to \mathcal{G}$ with the right diagram commutes.

$$\begin{bmatrix} \mathcal{F} & \rightarrow & \mathcal{F}^{\dagger} \\ \bullet & & \downarrow \\ \mathcal{G} \end{bmatrix}$$

Equivalently,

$$\mathsf{Hom}_{X\operatorname{\mathsf{-Presheaf}}}(\mathcal{F},\mathcal{G}) = \mathsf{Hom}_{X\operatorname{\mathsf{-Sheaf}}}(\mathcal{F}^\dagger,\mathcal{G}).$$

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▶ Let $\varphi : \mathcal{F} \to \mathcal{G}$ be a morphism of sheaves. Then we define its **kernel**, **cokernel**, **image**

$$\begin{aligned} \ker \varphi &:= \big[U \mapsto \ker[\mathcal{F}(U) \to \mathcal{G}(U)] \big], \\ \operatorname{cok} \varphi &:= \big[U \mapsto \operatorname{cok}[\mathcal{F}(U) \to \mathcal{G}(U)] \big]^{\dagger}, \\ \operatorname{im} \varphi &:= \big[U \mapsto \operatorname{im}[\mathcal{F}(U) \to \mathcal{G}(U)] \big]^{\dagger}. \end{aligned}$$

They are all sheaves.

Since the injective limit defining stalk is filtered, or by direct check, we have

$$\begin{array}{ll} (\ker\varphi)_{\mathsf{x}} &= \ker[\mathcal{F}_{\mathsf{x}} \to \mathcal{G}_{\mathsf{x}}], \\ (\operatorname{cok}\varphi)_{\mathsf{x}} &= \operatorname{cok}[\mathcal{F}_{\mathsf{x}} \to \mathcal{G}_{\mathsf{x}}], \\ (\operatorname{im}\varphi)_{\mathsf{x}} &= \operatorname{im}[\mathcal{F}_{\mathsf{x}} \to \mathcal{G}_{\mathsf{x}}]. \end{array}$$

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Exact sequences

Then we can define subsheaves, quotient sheaves, and exact sequence. To summarize, we can write all of them in term of stalks.

- ▶ For a sheaf \mathcal{F} , \mathcal{G} is a subsheaf of \mathcal{F} if and only if \mathcal{G}_{x} is subablian group of \mathcal{F}_{x} . We can define the quotient \mathcal{F}/\mathcal{G} by the cokernel of inclusion morphism.
- ► For a sequence of morphisms between sheaves

$$\cdots \to \mathcal{F} \to \mathcal{G} \to \mathcal{H} \to \cdots$$

is exact if and only if at each point, the stalk is exact

$$\cdots \rightarrow \mathcal{F}_{x} \rightarrow \mathcal{G}_{x} \rightarrow \mathcal{H}_{x} \rightarrow \cdots$$

▶ In one word, the sheaves over *X* forms an abelian category.

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$$0 \mathop{\rightarrow} \mathcal{F} \mathop{\rightarrow} \mathcal{G} \mathop{\rightarrow} \mathcal{H}$$

to be exact if and only if the following sequence is exact

$$0 \to \mathcal{F}(U) \to \mathcal{G}(U) \to \mathcal{H}(U)$$

for any open subset U.

- ► The "if" part follows from the fact that limit defining stalk is directed, so it commutes with kernel.
- ▶ If $s \in \mathcal{F}(U)$ is mapped to $0 \in \mathcal{G}(U)$, then it is zero at each \mathcal{G}_x , so s is zero at each \mathcal{F}_x .
- ▶ If $s \in \mathcal{G}(U)$ is mapped to $0 \in \mathcal{H}(U)$, then it comes from some $s \in \mathcal{F}(U)$, this glued up to a section of \mathcal{F} .

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▶ For a sheave \mathcal{F} over X, and an open subset $Y \subseteq X$, define

$$\mathcal{F}|_{Y} := [V \mapsto \mathcal{F}(V)].$$

▶ We define

$$\mathcal{H}om(\mathcal{F},\mathcal{G}) := [U \mapsto \mathsf{Hom}_{U\operatorname{\mathsf{-Sheaf}}}(\mathcal{F}|_U,\mathcal{G}|_U)]$$

It is a sheaves. In particular,

$$\Gamma(X; \mathcal{H}om(\mathcal{F}, \mathcal{G})) = Hom_{X-Sheaf}(\mathcal{F}, \mathcal{G}).$$

► However, note that, in general MSE16203

$$\mathcal{H}om(\mathcal{F},\mathcal{G})_x \neq Hom_{Abel}(\mathcal{F}_x,\mathcal{G}_x).$$

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 \triangleright For space X, we define

$$\mathcal{O}(U) = \{ \text{continuous function } U \to \mathbb{R} \}.$$

For a vector bundle $\pi = \begin{bmatrix} E \\ \downarrow \\ X \end{bmatrix}$, we can define

$$\mathcal{E}(U) = \{ \text{continuous } s : U \rightarrow E : s \circ \pi = \text{id}_U \}.$$

- For example, when E is trivial bundle of rank one, $\mathcal{E} = \mathcal{O}$.
- Since we assume π is locally trivial, so \mathcal{E} locally isomorphism to $\mathcal{O}^{\oplus \operatorname{rank} E}$.

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Vector Bundles

We can exchange the terminology to work in different kind of geometry

> continuous smooth analytic algebra(regular)

vector bundle smooth vector bundle analytic vector bundle locally free sheaf

See Hartshorne Ex II. 5.18.

Actually, we have an equivalence

$$\binom{\text{Vector bundles}}{\text{maps of vector bundles}} \cong \binom{\text{locally free sheaves}}{\mathcal{O}\text{-module morphism}},$$

see Lecture 6.

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Coherent Sheaves

As we stated before, vector bundle does not closed under taking kernel and cokernel. We say a sheaf is coherent, if it is a cokernel of morphism over between two vector bundles.

- In algebraic case, when it is a Noetherian scheme, it form an abelian subcategory.
- But in smooth case, it turns out to be of less usage, so this notion is seldom used. But alternatively, the complex of vector bundles are more used.

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Morphisms are different

▶ For smooth manifold M, the constant sheaf \mathbb{R} is resoluted by de Rham complex

$$0 \to \mathbb{R} \to \Omega^0 \xrightarrow{d} \Omega^1 \to \cdots$$

Note that d is not a morphism of vector bundles, but a morphism of sheaves.

But Koszul complex is.

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- Note that for a vector bundle \mathcal{E} , it is in general not the projective object in coherent sheaves, even for \mathcal{O} .
- We know that

$$\mathsf{Hom}_{\mathcal{O}}(\mathcal{O},\mathcal{F}) = \Gamma(X;\mathcal{F})$$

which is not an exact functor. Recall, \mathcal{H} om does not commute with localization.

 But it is flat, since tensor product commutes with localization. Sheaves
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► For an abelian group *G*, we define

$$G := [U \mapsto G]^{\dagger}$$

the constant sheaf. Note that when X is connected, then $[U \mapsto G]$ is already a sheaf.

Examples

For a topological space X, a **local system** is an assignment of an abelian group L_x for each point $x \in X$, and a homomorphism from $L_x \to L_y$ for each homotopy class of path connecting x and y such that we have

$$[L_x \xrightarrow{p} L_y \xrightarrow{q} L_z] = [L_x \xrightarrow{pq} L_z],$$

where pq is path obtained by the connecting p and q.

- ▶ That is, it is a representation of the groupoid of *X*.
- ▶ One example is $x \mapsto H_*(M, M \setminus x)$ the orientation bundle.

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Given a local system is equivalent to give a $\pi_1(X,x)$ representation on L_x when X is path-connected.

- Firstly choose a path p_y connect x and y in advavce.
- Secondly assign L_x at each point; for any path p from y to z.
- Lastly, assign the homomorphism corresponding to $x \xrightarrow{p_y} y \xrightarrow{p} z \xrightarrow{p_z^{-1}} x$.

As a result, for path-connected and simply connected space, there is only trivial local system up to isomorphism.

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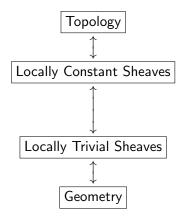
- Assume *X* is locally path-conneced and locally simply path-connected, then a local system is equivalent to give a local constant sheaf.
- \blacktriangleright For U open in X, we define

$$\mathcal{L}(U) = \left\{ s : U \to \bigcup_{x} L_{x} : \begin{array}{l} \text{We have } s(x) \in L_{x}; \\ \text{For any path } p \text{ connecting} \\ x \text{ and } y, \text{ the map } L_{x} \to L_{y} \\ \text{send } s(x) \text{ to } s(y). \end{array} \right\}$$

▶ If X is locally simply path-connected, then \mathcal{L} is a local constant.

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Locally Constant Versus Locally Trivial



Even the locally constant sheaves and coherent sheaves are distant, but there would be some connection, say connection. Sheaves
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Let $X \to Y$ be a continuous map, and a sheaf \mathcal{G} over Y, we define the **preimage** of \mathcal{G} a sheaf over X by

$$f^*\mathcal{G} := \left[U \mapsto \varinjlim_{V \supseteq f(U)} \mathcal{G}(V)\right]^{\dagger}.$$

- ▶ Note that, in Harstorne, it is denoted by f^{-1} .
- ► Then by definition,

$$f^*\mathcal{G}_{x} = \varinjlim_{U \ni x} \left(\varinjlim_{V \supseteq f(U)} \mathcal{G}(V) \right)$$
$$= \varinjlim_{V \ni f(x)} \mathcal{G}(V)$$
$$= \mathcal{G}_{f(x)}$$

This is what we expected "pull back", in particular, f^* is exact.

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▶ Let $X \rightarrow Y$ be a continuous map, and a sheaf \mathcal{F} over X, we define the **direct image** of \mathcal{F} a sheaf over Y by

$$f_*\mathcal{F}:=\left[V\mapsto \mathcal{F}(f^{-1}(V))
ight].$$

This definition is simple, but not exact.

- ▶ Actually, it is left exact by the theorem of being exact.
- Note that

$$f_*\mathcal{F}|_{\mathcal{Y}}=\varinjlim_{V\ni\mathcal{Y}}\mathcal{F}(f^{-1}(V))=\varinjlim_{f^{-1}(V)\subset f^{-1}(\mathcal{Y})}\mathcal{F}(f^{-1}(V)).$$

So f_* is "taking section around each fibre of f".

Simultaneously, we define the **proper image** of F a sheaf over Y by

$$f_i\mathcal{F}(V) = \{s \in \mathcal{F}(f^{-1}(V)) : \operatorname{supp} s \to V \text{ is proper}\}.$$

Proper = the preimage of compact subset is still compact.

- ► This is also left exact, since *s* the support does not change under inclusion.
- We will show

$$f_!\mathcal{F}_y = \Gamma_c(f^{-1}(x);\mathcal{F}).$$

So $f_!$ is "taking compact section along each fibre of f".

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An element in $f_!\mathcal{F}_x$ is by definition presented by a section $s \in \mathcal{F}(f^{-1}(V))$ with V some neighborhood of x and supp $s \to V$ proper. If s is zero over $f^{-1}(x)$, then f(supp s) will disjoint with x, so shrink V if necessary, s = 0.

Conversely, if we have a global section over $f^{-1}(x)$ with compact support, then it is presented by some $s \in \mathcal{F}(V)$ of compact support for a neighborhood of $f^{-1}(x)$. Then we can assume this neighborhood to be of the form $f^{-1}(V)$ due to compactness.

Theorem

For proper map $f: X \rightarrow Y$, $f_* = f_!$.

Actually, for any section $s \in \mathcal{F}(f^{-1}(U))$, supp $s \to U$ is proper, since the preimage is simply the preimage intersects with supp s. But it is clear, intersection compact set with closed set is still coompact.

Theorem

All $f^*, f_*, f_!$ are functors. Say,

$$(f\circ g)^*=g^*\circ f^*, \qquad egin{array}{ll} (f\circ g)_*=f_*\circ g_* \ (f\circ g)_!=f_!\circ g_! \end{array}$$

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Theorem

For continuous $X \xrightarrow{f} Y$; sheaves \mathcal{F} and \mathcal{G} over X and Y respectively

$$\mathsf{Hom}_{X\operatorname{\mathsf{-Sheaf}}}(f^*\mathcal{G},\mathcal{F}) = \mathsf{Hom}_{Y\operatorname{\mathsf{-Sheaf}}}(\mathcal{G},f_*\mathcal{F}).$$

By definition

$$\begin{aligned} &\operatorname{Hom}_{X\operatorname{-Sheaf}}(f^*\mathcal{G},\mathcal{F}) \\ &= \underbrace{\lim_{U \text{ open in } X}}_{\operatorname{Hom}(f^*\mathcal{G}(U),\mathcal{F}(U))} \\ &= \underbrace{\lim_{U \text{ open in } X}}_{\operatorname{U \text{ open in } X}} &\operatorname{Hom}(\mathcal{G}(V),\mathcal{F}(U)) \\ &= \underbrace{\lim_{U \text{ open in } X}}_{\operatorname{F}^{-1}(V)\supseteq U} &\operatorname{Hom}(\mathcal{G}(V),\mathcal{F}(U)) \\ &= \underbrace{\lim_{V \text{ open in } Y}}_{\operatorname{F}^{-1}(V)\supseteq U} &\operatorname{Hom}(\mathcal{G}(V),\mathcal{F}(f^{-1}(V))) \\ &= \underbrace{\lim_{V \text{ open in } Y}}_{\operatorname{C}^{-1}(V)\supseteq U} &\operatorname{Hom}_{Y\operatorname{-Sheaf}}(\mathcal{G},f_*\mathcal{F}) \end{aligned}$$

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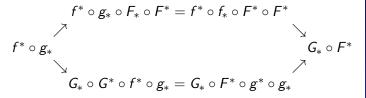
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Properties

Theorem

For a Cartesian square $G \begin{picture}(10,10) \put(0,0){\line(0,0){100}} \put(0,0){\line(0,0){10$

It would not be hard to construct a map



Then we get a map $f^* \circ g_! \to G_! \circ F^*$.

Actually,

$$G_! F^* \mathcal{F}_z = \Gamma_c(G^{-1}(z); F^* \mathcal{F}) = \Gamma_c(g^{-1}(f(z)); \mathcal{F})$$

= $g_! \mathcal{F}_{f(z)} = f^* g_! \mathcal{F}_z$

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Consider $\pi: X \to \mathsf{pt}$.

- Note that for a point, the sheaf is nothing but a pure abelian group.
- \triangleright For an abelian group G,

$$\pi^*G = [U \mapsto G]^\dagger$$

the constant sheaf with respect to V.

ightharpoonup For a sheaf \mathcal{F} ,

$$\pi_*\mathcal{F} = \mathcal{F}(\pi^{-1}(\mathsf{pt})) = \Gamma(X,\mathcal{F})$$

the global section. The same reason,

$$\pi_! \mathcal{F} = \Gamma_c(X, \mathcal{F})$$

the global section of compact support.

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Consider closed immersion $i: F \rightarrow X$.

 \triangleright For a sheaf \mathcal{F} over X,

$$i^*\mathcal{F} = [V \mapsto \mathcal{F}(V)]^{\dagger}$$

where the notation of taking any subset in to \mathcal{F} is introduced before.

▶ Since *i* is proper, $i_! = i_*$. For a sheaf \mathcal{F} over F,

$$i_*\mathcal{F}=[V\mapsto \mathcal{F}(U\cap F)].$$

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For open immersions

Consider open immersion $j: U \rightarrow X$.

▶ For a sheaf \mathcal{F} over X,

$$j^*\mathcal{F} = [V \mapsto \mathcal{F}(V)]$$

the restriction $\mathcal{F}|_{\mathcal{U}}$.

 \triangleright For a sheaf \mathcal{F} over U,

$$j_*\mathcal{F}=[V\mapsto \mathcal{F}(U\cap V)].$$

► For proper direct image, consider extending by zero

$$j_!\mathcal{F} = \left[U \mapsto \left\{egin{matrix} \mathcal{F}(U), V \subseteq U \\ 0, \text{ otherwise} \end{matrix}
ight]^{\dagger}.$$

Since there is a morphism from right hand side to $j_!\mathcal{F}$, and we can see it is the same by compare the stalk.

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Consider closed immersion $i: F \rightarrow X$ and open immersion $j: U \rightarrow X$ with $F \sqcup U = X$.

▶ For a sheaf \mathcal{F} over X, there is a natural map

$$0 \mathop{\rightarrow} j_! j^* \mathcal{F} \longrightarrow \mathcal{F} \longrightarrow i_* i^* \mathcal{F} \mathop{\rightarrow} 0$$

- ► The first is natural.
- The second is the image of identity under

$$\mathsf{Hom}_{U\operatorname{\mathsf{-Sheaf}}}(i^*\mathcal{F},i^*\mathcal{F}) = \mathsf{Hom}_{X\operatorname{\mathsf{-Sheaf}}}(\mathcal{F},i_*i^*\mathcal{F})$$

▶ It is easy to see from the stalks, this is a short exact sequence. Sheaves
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Let $\pi: X \to B$ be a locally trivial fibre bundle of fibre F.

ightharpoonup For a sheaf $\mathcal F$ over $\mathcal B$, then

$$\pi^*\mathcal{F}_{\scriptscriptstyle X}=\mathcal{F}_{\pi({\scriptscriptstyle X})}$$

the same along each fibre.

▶ For a sheaf \mathcal{F} over X, then

$$\pi_* \mathcal{F}_b = \varinjlim \Gamma(f^{-1}(V); \mathcal{F}) \cong \varinjlim \Gamma(V \times F; \mathcal{F})$$
$$\pi_! \mathcal{F}_b = \Gamma_c(f^{-1}(b); \mathcal{F}) \cong \Gamma_c(F; \mathcal{F})$$

For covering

Let $\pi: X \to B$ be a covering with fibre F concrete points.

- Covering is a special case of locally trivial fibre bundle.
- For a local system (local constant sheaf) $\mathcal L$ over B. Assume it corresponds to $\pi_1(B) \to \operatorname{Aut} L$, then $\pi^* \mathcal L$ corresponds to $\pi_1(X) \subseteq \pi_1(B) \to \operatorname{Aut} L$.
- For a local system \mathcal{L} over X, with correspondent $\pi_1(X)$ -module be L, then

$$\pi_* \mathcal{L}_b = \varinjlim \Gamma(V \times F; \mathcal{L}) = L^{\prod F}.$$

$$\pi_! \mathcal{L}_b = \Gamma_c(F; \mathcal{L}) = L^{\oplus F}.$$

They correspond to $\operatorname{Hom}_{\pi_1(X)}(\pi_1(B), L)$ and $\pi_1(B) \otimes_{\pi_1(X)} L$ respectively.

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Next Time

- ► Homological Algebra.
- ► Realize (co)homology groups from sheaves
- Derived categories.
- Reformulate theorems in singular cohomology
- Verdier duality.

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