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# TITLE

## **Master's Thesis**

to achieve the university degree of

Master of Science

Master's degree programme: Telematik

submitted to

**Graz University of Technology**

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Institute for Softwaretechnology

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Graz, November 2013

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## Affidavit

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Date

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Signature



# Abstract

This is a placeholder for the abstract. It summarizes the whole thesis to give a very short overview. Usually, this the abstract is written when the whole thesis text is finished.



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# 1 Introduction

This Thesis will use a Genetic Algorithm in order to generate critical Driving Scenarios for testing ADAS/AD Functionality in vehicles. While generating these scenarios is the objective, the main task of the thesis will evolve around the implementation of the Genetic Algorithm as well as the Optimization of its Hyperparameter.

## 1.1 Research Questions

### 1.1.1 Research Question 1

*Is a Genetic Algorithm suitable for generating critical driving scenarios compared to a random generation?*

In this thesis,

### 1.1.2 Research Question 2

*Can hypertuning improve the performance of a Genetic Algorithm?*

### 1.1.3 Research Question 3

*Can a hypertuned Genetic Algorithm generalize on different start scenarios?*

### 1.1.4 Research Question 4

*Is the usage of a Behavior Tree on the Ego vehicle improving the criticality of resulting scenarios?*

### 1.1.5 Research Question 5

*Can rules help to improve the performance of a genetic algorithm?*

## 1.2 Shortcomings

This Master Thesis started with the development of the Traffic Manger and thus progress was closely linked. Without a working simulations, no genetic algorithms could be tested. Due to time and performance constraints, it is not possible to test a full driving stack like autoware, as well as other professional ADAS/AD functions. In this Thesis, internal functions like Time-To-Collision and Emergency Braking will be optimized. The learned information on e.g. optimal hyperparameter settings can then be applied in further steps to test these functions. This will however not be tackled by this thesis.

Performance is also a problem and will lead to many shortcuts that need to be taken. There is a huge number of possible compations of hyperparamter, so only a handful can be tested. In further chapers, these shortcuts will be explained and their relevancy will be dicussed.

## 2 Foundations

### 2.1 Genetic Algorithm

Genetic Algorithms are a popular search algorithm that utilizes the principle of Darwin. They have been used successfully in various areas. Some of their strengths are .... However we will also look at shortcomings, which mainly evolve around performance. We will have a look at its History and then discussing the most important parameters.

Define a vocabulary

The task of the Genetic Algorithm is to search for sequences of actions that will result in the most interesting Scenarios according to its cost function.

Usage of GA

#### 2.1.1 History

The GA was invented by....

#### 2.1.2 Different Hyperparameter

Hyperparameter have a huge influence on the performance of a Genetic Algorithm. They have an impact on the "convergin" ... It has been shown, that there is no universal hyperparameter set and that it needs to be optimized on a per "problem" basis.

## 2 Foundations

---

### Num of generations

The Number of Generation defines the duration of a GA. As long as the algorithm has not converged, ....? For my testing, using a generation size of 40 was almost always sufficient, and will thus mostly be used.

### Pop Size

Pop size will set the number of Individuals of a GA per Generation. The higher the pop size, the bigger the less change of premature converging. It will however also lead to a longer convergin time.

### Selection

Selection defines how which individuals are allowed to mate and move into the next generation.

pros and cons  
of roulette vs  
Tournament

tournament was chosen to be used for this works because of this paper (and also because of pros and cons list)

cite paper

Other ideas are evolve around having a flexible selection system debending on fitness

### Crossover

Discuss all used  
crossover meth-  
ods

Crossover is the mating process.

### Mutation

Discuss individ-  
ual mutation

Mutation is responsible for introducing new information into the gene pool.

Discuss all used  
mutation meth-  
ods

4

### Other

More to come....

## 2.2 Behavior Tree

A behavior tree is a decision tree.

insert a good introduction to BT

### 2.2.1 Usage for GA

Due to the fact, that there is no full stack available for the EGO vehicle, a solution had to be found. In order to have the Genetic Algorithm controll only NPCs and not the EGO vehicle itself, a behaviour tree is used. The behaviour tree is used to controll the EGO vehicle over the action interface provided by the Traffic Manager. This is the same as the Genetic Algorithm is doing.

insert ref to discussion

The behaviour tree will define which direction the EGO should take at junctions and it will realistically dodge obstacles introduced by the Genetic Algorithm. The main goal of the BT is to make the EGO vehicle behave in a realistic way.

In a further chapter it will be dicussed if a GA with controll of the EGO (i.e. no BT will be used) lead to better cost.

## 2.3 Traffic Manager

The Genetic Algorithm will control the simulation of a custom developed Traffic Manager. This Traffic Manager was developed closely to fit the needs of the Genetic Algorithm. It, however is not part of this Thesis and will thus will only get a brief introduction. In general, it will simulate traffic starting from a predefined scenarios where the positions and types of Vehicles and Pedestrians are given (i.e. actors). It also allows for an Interface for applying

actions on all actors in the simulation, which will be discussed in section 2.3.1.

A simulation consists of multiple NPCs and exactly one EGO vehicle. While the NPCs are only controlled by the Traffic Manager (and dadurch also by its action interface), the ego vehicle can be either partly or even completely controlled by an ADAS/AD Function. This function can then be tested inside the simulation on errors.

### 2.3.1 Action Interface

To interface with the Traffic Manager, actions have to be used. An action will request a certain behaviour from an actor. If no action is set, the actor will behave in a normal manner inside the simulation. An action can be set to at any timestep (for this thesis, the simulation is running with 100 Hz) for any actor. Pedestrians and vehicles however have different actions.

The following list are now all actions provided by the traffic manager that were available for the genetic algorithm at the time of this master thesis.

- JunctionSelection
  - Parameters: Vehicle ID: int, Junction\_selection\_angle: float
  - Angle is set in radiant. Default value is 0. Vehicles will choose which direction to take at a junction based on this angle.
- LaneChange
  - Parameters: Vehicle ID: int, ...
  - Initiates a LaneChange based on its given parameters.
- AbortLaneChange
  - Parameters: Vehicle ID: int, ...
  - If a LaneChange is currently happening, it will get aborted.
- ModifyTargetVelocity
  - Parameters: Vehicle ID: int, ...
  - Modifies the internal Target Velocity of the Traffic Manager by a percentage. If it is for example 0, the vehicle will stop.



- TurnHeading
  - Parameters: Pedestrian ID: int, ...
  - The pedestrian will turn 180 degrees and walk in the opposite direction
- CrossRoad
  - Parameters: Pedestrian ID: int, ...
  - The pedestrian will cross the road immediately.
- CrossAtCrosswalk
  - Parameters: Pedestrian ID: int, ...
  - The pedestrian will cross the road at the next crosswalk.

### 2.3.2 Graphics

During the simulation, usually no graphics engine is used in order to save performance. In order to visualize the results, two options can be chosen. The more lightweight Esmini, as well as Carla, which is using Unreal Engine to render realistic graphics.



## 3 Implementation

This chapter will explain

All these actions are accessed by the Genetic Algorithm to maximize a given Cost Function.

### 3.1 Map and Starting Scenario

The map is Town10 from Carla. It was chosen, because 1. its roads are self contained, 2. its not too big, yet still complex and 3. its supported by Carla and thus visualization looks better.

The Starting Scenario defines the number and type of all actors as well as their position. It needs to be created manually. Changing the scenario will have a great impact on the Genetic Algorithms performance. For time and complexity reasons, it was thus decided to first stick with one scenario and do all hyperparameter testing there. And finally test the performance for a handfull different scenarios.

### 3.2 Genetic Algorithm

For implementing the Genetic Algorithm, DEAP was chosen. It is a popular tool for accademia .

explain why  
pygad was NOT  
chosen

cite

cite 3 examples

### 3.2.1 Encoding

When implementing a Genetic Algorithm, it is necessary to implement a Encoding that fits to the problem.

cite what makes  
an encoding  
good: eg. sim-  
plicity,...

#### Gene

Genes are the building blocks of a GA.

#### Chromosome

Each Individual has 1 chromosome which consists of a list of genes. Starting out, 2 different encodings came to mind, in both cases, the genes position in the chromosome defined the time an action is set.

generate images

Encoding 1 has the idea that each gene stands for 1 time step. Because multiple actors exist in the simulation, a gene thus needs to be a list of actions. This list always has the length of the number of all actors. This means that crossover can only move all actions of a timestep at once, modifying between actions of the same timestep can only be done using mutation.

Encoding 2 has not only the time step encoded in the position, also the actor ID is encoded. This makes a chromosome now much longer than in the previous encoding, with the equation being: number of timesteps \* number of actors. Now crossover has more possibilities.

In the chapter 5 these two chromosome types will be compared.

### 3.2.2 Rules

Often, actions are not possible if specific requirements are not met. The obvious example is that it is not possible to perform the action Abort-LaneChange if there is no current LaneChange happening. LaneChange during a LaneChange is not possible as well. Also Pedestrians can not CrossRoad shortly in a Row. The hypothesis is that implementing Rules that

dont allow for these behaviours will reduce the searchspace and will thus make GA converge quicker.

#### 3.2.3 Cost Function

Cost function is a bit difficult, as we are only using interal values. No ADAS/AD system is tested and we thus have to work with what we got. Currently 3 different cost functions are tested

be carefull,  
lanechange after  
lanechange or  
crossroad after  
crossroad might  
be possible if  
prev did not  
happen. Good  
to explain

### 3.3 Behavior Tree

The Behavior Tree will controll the ego vehicle



## 4 Hyperparameter Tuning

In this chapter, we will incrementally move to an optimized Genetic Algorithm

### 4.1 Start Scenario

### 4.2 Population

### 4.3 other parameter





# 5 Evaluation

in this chapter, we evaluate and compare various different settings

## 5.1 Behaviour Tree enabled

Will the scenarios be more interesting if the ga is not allowed to have control over the Ego Vehicle?

## 5.2 Rules enabled

## 5.3 Comparison with random and default ga Values

### 5.3.1 Current Scenario

### 5.3.2 Generalization on different start scenario



# 6 Conclusion

## 6.1 Test

Although lots of shortcomings Results look very vielversprechend. This thesis hopes to have emphasised that this approach has lots of advantages



# Appendix



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