# Dijkstra's Algorithm Verification

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## 1 Dijkstra's Algorithm

#### 1.1 Pseudocode

Given input graph g and source node s with types:

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g : Graph gsize weights : Node gsize
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We denote (u, v) as an edge from node u to v, weight(u, v) as the weight of edge (u, v). We define unexplored as the list of unexplored nodes, and dist as the list storing distance from s to each node  $n \in g$ 

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(initially unexplored contains all nodes in graph g) unexplored: List(Node~gsize) unexplored = \{v: v \in g\} (node value is used to index dist, initially distance of all nodes are infinity except the source node) dist: List~weight dist[s] = 0, dist[a] = infinity, \forall a \in g, a \neq s
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The Dijkstra's Algorithm runs as follows:

```
while (unexplored is not Nil)  \{ \\ (\text{At the } k^{th} \text{ iteration of the while loop)} \\ \text{choose } u \in unexplored \text{ s.t.} \forall u' \in unexplored, dist[u] \leq dist[u'] \\ \text{let } unexplored' \text{ be the list after removing } u \text{ from } unexplored \\ \text{for}(\forall v \in g \text{ s.t.}(u,v) \in g) \text{ } \{ \\ (\text{At the } p^{th} \text{ iteration of this for loop)} \\ \text{if}(dist[u] + weight(u,v) < dist[v]) \text{ } \{ \\ \text{let } dist' = dist \text{ with } dist'[v] = dist[u] + weight(u,v) \\ \text{ } \} \\ \text{input the new } dist' \text{ to the } (p+1)^{th} \text{ iteration of the for loop} \\ \text{ } \} \\ \text{input the new } unexplored' \text{ and } dist' \text{ to the } k^{th} \text{ iteration of the while loop} \\ \}
```

## 1.2 Assumptions

- 1. Weight of edges are positive
- 2. Distance value can only be zero, infinity, or summation of edge weights
- 3. All nodes n and edge e are valid:  $n, e \in g$

## 2 Definition

#### Definition 2.1. Path

(We adopt the definition of path presented in the Discrete Mathematics with Applications book by SUSANNA S. EPP.)

A path from node v to w is a finite alternating sequence of adjacent vertices and edges of G, which does not contain any repeated edge or vertex. A path from v to w has the form:

$$ve_0v_0e_1v_2....v_{n-1}e_nw$$

where  $e_i$  is an edge in g with endpoints  $v_{i-1}, v_i$ . We denote the set of paths from v to w as path(v, w).

#### Definition 2.2. Length of Path

The length of a path  $p = ve_0v_0e_1v_2...v_{n-1}e_nw$  is the sum of the weights of all edges in p. We write:

$$length(p) = \sum weight(e_i), \forall e_i \in p.$$

#### Definition 2.3. Shortest Path

Denote  $\Delta(s,v)$  as the shortest path from s to v, and  $\delta(v)$  as the length of  $\Delta(s,v)$ .  $\Delta(s,v)$  must fulfills:

$$\begin{split} \Delta(s,v) \in path(s,v) \\ \text{and} \\ \forall p' \in path(s,v), \, \delta(v) = length(\Delta(s,v)) \leq length(p') \end{split}$$

## 3 Proof of Correctness

#### 3.1 Proof of Termination

The inner for loop is guaranteed to terminate as the algorithm goes through each adjacent node exactly once. As the size of list unexplored decreases by one during each iteration of the while loop, the algorithm is guaranteed to terminate.

#### 3.2 Proof of Correctness

Given graph g and source node s, dist stores the distance value from s to all nodes in g calculated by the Dijkstra's algorithm, dist[v] gives the corresponding distance value of v from s. Denote explored as the list of nodes in g but not in unexplored, i.e., explored stored all nodes whose neighbors have been updated by the algorithm, and  $dist_k[v]$  as the value of dist[v] during the  $k^{th}$  iteration of the algorithm.

**Lemma** (1). During the  $n^{th}$  iteration of the algorithm for  $n \geq 1$ , for all node  $v \in explored$ , we have:

- 1.  $\delta(v) \leq \delta(v'), \forall v' \in unexplored.$
- 2.  $dist_n[v] = \delta(v)$

*Proof.* We will prove this by inducting on the number of iterations.

Let P(n) be: during the  $n^{th}$  iteration of the algorithm for  $n \ge 1$ , for all node  $v \in explored$ : (1)  $\delta(v) \le \delta(v')$ ,  $\forall v' \in unexplored$ ; and (2)  $dist_n[v] = \delta(v)$ .

#### Base Case: We shall show P(1) holds

Based on the algorithm, during the first iteration, the node with minimum distance value is the source node s with  $dist_1[s] = 0$ . Hence during the first iteration, only s is removed from unexplored and added to explored. Since all edge weights are positive, then the shortest distance value from s to s is indeed 0, hence  $dist_1[s] = 0 = \delta(s)$  and  $\delta(s) \leq \delta(v')$ ,  $\forall v' \in unexplored$ . P(1) holds.

**Inductive Hypothesis**: Suppose P(i) is true for all  $1 < i \le k$ . That is, during the  $i^{th}$  iteration for all  $1 < i \le k$ , for all node  $v \in explored$ : (1)  $\delta(v) \le \delta(v')$ ,  $\forall v' \in unexplored$ ; and (2)  $dist_i[v] = \delta(v)$ .

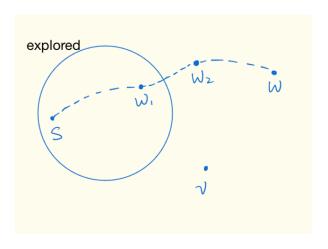
## **Inductive Step**: We shall show P(k+1) holds.

Suppose v is the node added into explored during the  $(k+1)^{th}$  iteration. We need to show (1)  $\delta(v) \leq \delta(v')$ ,  $\forall v' \in unexplored$ , and (2)  $dist_{k+1}[v] = \delta(v)$ .

1.  $\delta(v) \leq \delta(v'), \forall v' \in unexplored, v' \neq v$ 

We will prove (1) by contradiction. Suppose there exists  $w \in unexplored$ , such that  $\delta(v) > \delta(w)$ . Based on the definition of shortest path,  $\delta(v) \leq dist_{k+1}[v]$ . Since  $\delta(v) > \delta(w)$  and  $\delta(v) \leq dist_{k+1}[v]$ , then we have  $\delta(w) < dist_{k+1}[v]([1])$ .

Let  $p_w$  be the shortest path from s to w, i.e.,  $\delta(w) = length(p_w)$ . Since  $w \notin explored$  during the  $(k+1)^{th}$  iteration, then there must exists some node along  $p_w$  that are not in explored. Suppose during the  $(k+1)^{th}$  iteration, the first node in  $p_w$  that is not in the explored list is  $w_2$ , and the node right before  $w_2$  in the s to  $w_2$  subpath is  $w_1$ , thus  $w_1 \in explored$  during the  $(k+1)^{th}$  iteration. The image below illustrates this construction:



Denote the subpath from s to  $w_1$  in  $p_w$  as  $p(s, w_1)$ , subpath  $w_1$  to  $w_2$  as  $p(w_1, w_2)$ , and subpath  $w_2$  to w as  $p(w_2, w)$ . Since  $w_1$  is right before  $w_2$  in  $p_w$ , then  $length(p(w_1, w_2)) = weight(w_1, w_2)$ . Then:

$$\delta(w) = length(p_w) = length(\Delta(s, w_1)) + weight(w_1, w_2) + length(w_2, w)$$

Since all edge weights are positive, then:

$$length(\Delta(s, w_1)) + weight(w_1, w_2) \le \delta(w)$$
  
i.e.,  $\delta(w_1) + weight(w_1, w_2) \le \delta(w)$ 

Since during the  $(k+1)^{th}$  iteration,  $w_1 \in explored$ , then  $w_1$  must be added into explored with all neighbors of  $w_1$  updated during the  $i^{th}$  iteration for some i < k+1. Then based on our inductive hypothesis,  $dist_{k+1}[w_1] = \delta(w_1)$ . Since the value of  $dist[w_1]$  remains unchanged after adding  $w_1$  into explored, then  $dist_i[w_1] = dist_{k+1}[w_1] = \delta(w_1)$ .

Since  $w_1$  is the node right before  $w_2$  in  $p_w$  during the  $(k+1)^{th}$  iteration, then  $dist_{k+1}[w_2] = dist_i[w_1] + weight(w_1, w_2) = \delta(w_1) + weight(w_1, w_2)$ . Since  $\delta(w_1) + weight(w_1, w_2) \le \delta(w)$ , then  $dist_{k+1}[w_2] \le \delta(w)([2])$ .

Combining [1] and [2], we have:

$$\delta(w) < dist_{k+1}[v]([1])$$
  
 $dist_{k+1}[w_2] \le \delta(w)([2])$ 

Hence  $dist_{k+1}[w_2] < dist_{k+2}[v]([3])$ .

Based on our assumption, during the  $(k+1)^{th}$  generation,  $w_2 \notin explored$  and v is selected by the algorithm, then we must have  $dist_{k+1}[w_2] \geq dist_{k+1}[v]$ , which contradicts with [3]. Hence by the principle of prove by contradiction, there does not exsist  $w \in unexplored$ , such that  $\delta(v) > \delta(w)$ . (1) holds for the  $(k+1)^{th}$  iteration.

(Proof below are not modified yet)

2. 
$$dist[v] = \delta(v)$$

Suppose dist[v] is associates with path  $p \in path(s, v)$  during the  $k^{th}$  iteration, and assume the shortest path from s to v is some path  $p' \in path(s, v)$  different than p,  $length(p') = \delta(v) < dist[v]([b])$ . Suppose v' is the node just before v in p'.

$$\delta(v) = dist[v'] + weight(v', v)$$

Since all edge weights are non-negative, then  $dist[v'] < \delta(v)$ . Based on (1), since  $\delta(v) < \delta(w) \forall w \in unexplored$ , then v' must be in explored. Since v' is in explored and has an edge to v, then the algorithm must have compared dist[v'] + weight(v', v) to the current dist[v] and chose dist[v]. Hence it must be  $dist[v'] + weight(v', v) \ge dist[v]$ , i.e.  $\delta(v) \ge dist[v]$ , which contradicts with [b]. Hence by the principle of prove by contradiction, p is the shortest path from s to v, and that  $dist[v] = \delta(v)$ .

Since we proved both (1) and (2) for the  $k^{th}$  iteration, for all  $k \leq 1$ , we have proved that Lemma (1) holds.  $\Box$ 

#### Proof. Prove of Correctness

By applying	g Lemma (1)	to each	iteration of	of the	algorithm,	we	obtained	that	for a	all n	odes $\eta$	i in	the ex-
plored list, a	dist[n] is inde	eed the sh	ortest pat	h dista	ance value f	rom	source $s$	to $n$ ,	heno	ce Di	jkstra	's alg	gorithm
indeed calcu	lates the sho	rtest path	n distance	value	from the so	ource	s to each	h nod	e n	$\in g$ .			