

Using nested classes as associated types.

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Abstract

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1 Introduction

Associated types are a powerful form of generics, now integrated in both Scala and Rust. They are a new kind of member, like methods fields and nested classes. Associated types behave as 'virtual' types: they can be overridden, can be abstract and can have a default. However, the user has to specify those types and their concrete instantiations manually; that is, the user have to provide a complete mapping from all virtual type to concrete instantiation. When the number of associated types is small this poses no issue, but it hinders designs where the number of associated types is large. In this paper we examine the possibility of completing a partial mapping in a desirable way, so that the resulting mapping is sound and also robust with respect to code evolution.

The core of our design is to reuse the concept of nested classes instead of relying of a new kind of member for associated types. An operation, call Redirect, will redirect some nested classes in some external types. To simplify our formalization and to keep the focus on the core of our approach, we present our system on top of a simple Java like languages, with only final classes and interfaces, when code reuse is obtained by trait composition instead of conventional inheritance. We rely on a simple nominal type system, where subtyping is induced only by implementing interfaces; in our approach we can express generics without having a polymorphic type system. To simplify the treatment of state, we consider fields to be always instance private, and getters and setters to be automatically generated, together with a `static` method `of(..)` that would work as a standard constructor, taking the value of the fields and initializing the instance. In this way we can focus our presentation to just (static) methods, nested classes and implements relationships. Expanding our presentation to explicitly include visible fields, constructors and sub-classing would make it more complicated without adding any conceptual underpinning. In our proposed setting we could write:

```
String=...
SBox={String inner;
  method String inner(){..} //implicit
  static method SBox of(String inner){..} //implicit
myTtrait={
  Box={Elem inner} //implicit Box(Elem inner) and Elem inner()
  Elem={Elem concat(Elem that)}
  static method Box merge(Box b, Elem e){return Box.of(b.inner().concat(e));}
}
Result=myTrait<Box=SBox> //equivalent to trait<Box=SBox, Elem=String>
...Result.merge(SBox.of("hello "), "world");//hello world
```



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48 Here class **SBox** is just a container of **Strings**, and **myTrait** is code encoding **Boxes** of any kind
49 of **Elem** with a **concat** method. By instantiating **myTrait<Box=SBox>**, we can infer **Elem=String**,
50 and obtain the following flattened code, where **Box** and **Elem** has been removed, and their
51 occurrences are replaced with **SBox** and **String**.

```
52 Result={static method SBox merge(SBox b,String e){  
53   return SBox.of(b.inner().concat(e));}  
54  
55
```

56 Note how **Result** is a new class that could have been written directly by the programmer,
57 there is no trace that it has been generated by **myTrait**. We will represent trait names with
58 lower-case names and class/interface names with upper-case names. Traits are just units of
59 code reuse, and do not induce nominal types.

60 We could have just written **Result=myTrait<Elem=String>**, obtaining

```
61 Result={  
62   Box={String inner}  
63   static method Box merge(Box b,String e){  
64     return Box.of(b.inner().concat(e));}  
65  
66
```

67 Note how in this case, class **Result.Box** would exists. Thanks to our decision of using nested
68 classes as associated types, the decision of what classes need to be redirected is not made
69 when the trait is written, but depends on the specific redirect operation. Moreover, our
70 redirect is not just a way to show the type system that our code is correct, but it can change
71 the behaviour of code calling static methods from the redirected classes.

72 This example show many of the characteristics of our approach:

- 73 ■ (A) We can redirect mutually recursive nested classes by redirecting them all at the
74 same time, and if a partial mapping is provided, the system is able to infer the complete
75 mapping.
- 76 ■ (B) **Box** and **Elem** are just normal nested classes inside of **myTrait**; indeed any nested
77 class can be redirected away. In case any of their (static) methods was implemented, the
78 implementation is just discarded. In most other approaches, abstract/associated/generic
79 types are special and have some restriction; for example, in Java/Scala static methods
80 and constructors can not be invoked on generic/associated types. With redirect, they are
81 just normal nested classes, so there are no special restrictions on how they can be used.
82 In our example, note how **merge** calls **Box.of(..)**.
- 83 ■ (C) While our example language is nominally typed, nested classes are redirected over
84 types satisfying the same structural shape. We will show how this offers some advantages
85 of both nominal and structural typing.

86 A variation of redirect, able to only redirect a single nested class, was already presented
87 in literature. While points (B) and (C) already applies to such redirect, we will show how
88 supporting (A) greatly improve their value.

89 The formal core of our work is in defining

- 90 ■ **ValidRedirect**, a computable predicate telling if a mapping respect the structural shapes
91 and nominal subtype relations.
- 92 ■ A formal definition of what properties a procedure expanding a partial mapping into a
93 complete one should respect.
- 94 ■ **ChoseRedirect**, an efficient algorithm respecting those properties.

95 We first formally define our core language, then we define our redirect operator and its
96 formal properties. Finally we motivate our model showing how many interesting examples of
97 generics and associated types can be encoded with redirect. Finally, as an extreme application,
98 we show how a whole library can be adapted to be injected in a different environment.

2 Language grammar and well formedness

99

| | | | |
|---|--------------|---------------------------|----------------|
| $e ::= x \mid e.m(es) \mid T.m(es) \mid v$ | expression | $Tx ::= T \ x$ | parameter |
| $L ::= \{ \text{interface } Tz; Mz \} \mid \{ Tz; Mz; K \}$ | code literal | $D ::= id=E$ | declaration |
| $M ::= \text{static? } T \ m(Txs) \ e? \mid C=E$ | member | $id ::= C \mid t$ | class/trait id |
| $K ::= (Tx)s?$ | state | $v ::= \text{new } T(vs)$ | value |
| $E ::= L \mid t \mid E <+ E \mid E < Cs = T >$ | HERO | $T ::= \text{This}n.Cs$ | types |

101 We will rely on a simplified object oriented language, where expressions e are variables
 102 x (including **this**) and conventional (static) method calls. Values are of form **new** $T(vs)$ but
 103 are considered as run-time expression only: the programmer would just call a static factory
 104 method. Code literals L serve the role of class/interface bodies; they contain the set of
 105 implemented interfaces Tz , the set of members Mz and optionally their state. Methods an
 106 nested classes Expressions serve as body of (static) methods

| | |
|--|---------------------------------|
| $\mathcal{E}_V ::= \square \mid \mathcal{E}_V <+ E \mid LV <+ \mathcal{E}_V \mid \mathcal{E}_V < Cs = T >$ | context of library-evaluation |
| $LV ::= \{ \text{interface } Tz; amtz \} \mid \{ Tz; MVs; K? \}$ | literal value |
| $MV ::= C=LV \mid mt$ | |
| $\mathcal{E}_v ::= \square \mid \mathcal{E}_v.m(es) \mid v.m(vs \ \mathcal{E}_v \ es) \mid T.m(vs \ \mathcal{E}_v \ es)$ | |
| $DL ::= id=L$ | partially-evaluated-declaration |
| $DV ::= id=LV$ | evaluated-declaration |
| $Mid ::= C \mid m$ | member-id |
| $p ::= DLs; DVs$ | program |

108 We use t and C to syntactically distinguish between trait and class names. An E is a
 109 top-level class expression, which can contain class-literals, references to traits, and operations
 110 on them, namely our sum $E < +E$ and redirect $e(Cs = T)$. A declaration D is just an
 111 $id = E$, representing that id is declared to be the value of E , we also have CD, CV, DL ,
 112 and DV that constrain the forms of the LHS and RHS of the declaration. A literal L has 4
 113 components, an optional interface keyword, a list of implemented interfaces, a list of members,
 114 and an optional constructor. For simplicity, interfaces can only contain abstract-methods
 115 (amt) as members, and cannot have constructors. A member M , is either an (potentially
 116 abstract) method mt or a nested class declaration (CD). A member value MV , is a member
 117 that has been fully compiled. An mid is an identifier, identifying a member. Constructors, K ,
 118 contain a Txs indicating the type and names of fields. An e is normal featherweight-java style
 119 expression, it has variables x , method calls $e.m(es)$, field accesses $e.x$ and object creation
 120 $newes$. $CtxV$ is the evaluation context for class-expressions E , and $ctxv$ is the usual one for
 121 e 's.

122 An S represents what the top-level source-code form of our language is, it's just a sequence
 123 of declarations and a main expression. The most interesting form of the grammar is a p , it is
 124 a 'program', used as the context for many reductions and typing rules, on the LHS of the ;
 125 is a stack representing which (nested) declaration is currently being processed, the bottom
 126 (rightmost) DL represents the D of the source-program that is currently being processed.
 127 The RHS of the ; represents the top-level declarations that have already been compiled, this
 128 is necessary to look up top-level classes and traits.

129 To look up the value of a type in the program we will use the notation $p(T)$, which is defined
 130 by the following, but only if the RHS denotes an LV :

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$(; _, C=L, _)(\text{This}0.C.Cs) := L(Cs)$
 131 $(id=L, p)(\text{This}0.Cs) := L(Cs)$
 132 $(id=L, p)(\text{This}n+1.Cs) := p(\text{This}n.Cs)$
 133 To get the relative value of a trait, we define $p[t]$:
 134 $(DLs; _, t=LV, _)[t] := LV[\text{This}\#DLs]$
 135
 136 To get a the value of a literal, in a way that can be understand from the current location
 137 $(\text{This}0)$, we define:
 138 $p[T] := p(T)[T]$
 139
 140 And a few simple auxiliary definitions:
 $Ts \in p := \forall T \in Ts \bullet p(T)$ is defined
 $L(\emptyset) := L$
 141 $L(C.Cs) := L(Cs)$ where $L = \text{interface? } \{ _ ; _, C=L, _ ; _ \}$
 $L[C=E'] := \text{interface? } \{ Tz ; MVs C=E' Ms ; K \}$
 142 where $L = \text{interface? } \{ Tz ; MVs C=_ Ms ; K \}$

We have two-top level reduction rules defining our language, of the form $Dse^{\sim\sim} > Ds'e$ which simply reduces the source-code. The first rule (*compile*) ‘compiles’ each top-level declaration (using a well-typed subset of already compiled top-level declarations), this reduces the defining expression. The second rule, (*main*) is executed once all the top-level declarations have compiled (i.e. are now fully evaluated class literals), it typechecks the top-level declarations and the main expression, and then proceeds to reduce it. In principle only one-typechecking is needed, but we repeat it to avoid declaring more rules.

```

150 Define Ds e --> Ds' e'
151 =====
152 DVs' |- Ok
153 empty; DVs'; id | E --> E'
154 (compile)----- DVs' subsetof DVs
155 DVs id = E Ds e --> DVs id = E' Ds e
156
157 DVs |- Ok
158 DVs |- e : T
159 DVs |- e --> e'
160 (main)----- for some type T
161 DVs e --> DVs e'

```

3 Compilation

Aside from the redirect operation itself, compilation is the most interesting part, it is defined by a reduction arrow $p; id | E \rightarrow E'$, the *id* represents the id of the type/trait that we are currently compiling, it is needed since it will be the name of *This0*, and we use that fact that that is equal to *This1.id* to compare types for equality. The (*CtxV*) rule is the standard context, the (*L*) rule propagates compilation inside of nested-classes, (*trait*) merely evaluates a trait reference to its defined body, (*sum*) and (*redirect*) perform our two meta-operations.

```

169 Define p; id | E --> E'
170 =====
171 p; id | E --> E'
172 (CtxV) -----
173 p; id | CtxV[E] --> CtxV[E']
174
175 id = L[C = E], p; C | E --> E'
176 (L) ----- // TODO use fresh C?
177 p; id | L[C = E] --> L[C = E']
178
179 (trait) -----
180 p; id | t -> p[t]
181
182 LV1 <+p' LV2 = LV3                                p' = C' = LV3, p
183 (sum) ----- for fresh C'
184 p; id | LV1 <+ LV2 --> LV3
185
186 // TODO: Inline and de-42 redirect formalism
187 (redirect) -----LV'=redirect(p, LV, Cs, P)
188 p; id | LV(Cs=P) -> LV'

```

4 The Sum operation

The sum operation is defined by the rule $L1 < +p L2 = L3$, it is unconventional as it assumes we already have the result ($L3$), and simply checks that it is indeed correct. We believe (but have not proved) that this rule is unambiguous, if $L1 < +p L2 = L3$ and $L1 < +p L2 = L3'$, then $L3 = L3'$ (since the order of members does not matter for Ls).

The main rule for summing of non-interfaces, sums the members, unions the implemented interfaces (and uses *minimize* to remove any duplicates), it also ensures that at most one of them has a constructor. For summing an interface with a interface/class we require that an interface cannot 'gain' members due to a sum. The actual L42 implementation is far less restrictive, but requires complicated rules to ensure soundness, due to problems that could arise if a summed nested-interface is implemented. Summing of traits/classes with state is a non-trivial problem and not the focus of our paper, there are many prior works on this topic, and our full L42 language simply uses ordinary methods to represent state, however this would take too much effort to explain here.

```

203 Define L1 <+p L2 = L3
204 =====
205 {Tz1; Mz1; K?1} <+p {Tz2; Mz2; K?2} = {Tz; Mz; K?}
206 Tz = p.minimize(Tz1 U Tz2)
207 Mz1 <+p Mz1 = Mz
208 {empty, K?1, K?2} = {empty, K?} //may be too sophisticated?
209
210 interface{Tz1; amtz,amtz';} <+p interface?{Tz2;amtz;} = interface {Tz;amtz,amtz';}
211 Tz = p.minimize(Tz1 U Tz2)
212 if interface? = interface then amtz'=empty

```

The rules for summing member are simple, we take two sets of members collect all the ones with unique names, and sum those with duplicates. To sum nested classes we merely sum their bodies, to sum two methods we require their signatures to be identical, if they both have bodies, the result has the body of the RHS, otherwise the result has the body (if present) of the LHS.

```

218 Define Mz <+p Mz' = Mz"
219 -----
220 M, Mz <+p M', Mz' = M <+p M', Mz <+p Mz
221 //note: only defined when M.Mid = M'.Mid
222
223 Mz <+p Mz' = Mz, Mz':
224 dom(Mz) disjoint dom(Mz')
225
226 Define M <+p M' = M"
227 -----
228 T' m(Txs') e? <+p T m(Txs) e = T m(Txs) e
229 T', Txs'.Ts =p Ts, Txs
230
231 T' m(Txs') e? <+p T m(Txs) = T m(Txs) e?
232 T', Txs'.Ts =p Ts, Txs
233
234 (C = L) <+p (C = L') = L <+p.push(C) L'

```

5 Type System

The type system is split into two parts: type checking programs and class literals, and the typechecking of expressions. The latter part is mostly conventional, it involves typing judgments of the form $p; Txs \vdash e : T$, with the usual program p and variable environment Txs (often called Γ in the literature). rule $(Dsok)$ type checks a sequence of top-level declarations by simply push each declaration onto a program and typecheck the resulting program. Rule pok typechecks a program by check the topmost class literal: we type check each of it's members (including all nested classes), check that it properly implements each interface it claims to, does something weird, and finanly check check that it's constructor only referenced existing types,

Define $p \vdash Ok$

=====

$D1; Ds \vdash Ok \dots Dn; Ds \vdash Ok$

$(Ds \text{ ok}) \text{ ----- } Ds = D1 \dots Dn$

$Ds \vdash Ok$

$p \vdash M1 : Ok \dots p \vdash Mn : Ok$

$p \vdash P1 : Implemented \dots p \vdash Pn : Implemented$

$p \vdash implements(Pz; Ms) \text{ /*WTF?*/} \quad \text{if } K? = K: p.exists(K.Txs.Ts)$

$(p \text{ ok}) \text{ ----- } p.top() = interface? \{P1...Pn; M1, \dots, Mn; K?$

$p \vdash Ok$

$p.minimize(Pz) \text{ subseteq } p.minimize(p.top().Pz)$

$amt1 _ \text{ in } p.top().Ms \dots amtn _ \text{ in } p.top().Ms$

$(P \text{ implemented}) \text{ ----- } p[P] = interface \{Pz; amt1 \dots am$

$p \vdash P : Implemented$

$(amt-ok) \text{ ----- } p.exists(T, Txs.Ts)$

$p \vdash T \text{ m}(Tcs) : Ok$

$p; This0 \text{ this}, Txs \vdash e : T$

$(mt-ok) \text{ ----- } p.exists(T, Txs.Ts)$

$p \vdash T \text{ m}(Tcs) \text{ e} : Ok$

$C = L, p \vdash Ok$

$(cd-ok) \text{ -----}$

$p \vdash C = L : OK$

Rule $(Pimplemented)$ checks that an interface is properly implemented by the program-top, we simply check that it declares that it implements every one of the interfaces super-interfaces and methods. Rules $(amt-ok)$ and $(mt-ok)$ are straightforward, they both check that types mensioned in the method signature exist, and ofcourse for the latter case, that the body respects this signature.

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281 To typecheck a nested class declaration, we simply push it onto the program and typecheck
282 the top-of the program as before.

283 The expression typesystem is mostly straightforward and similar to feartherwiegth Java,
284 notable we use $p[T]$ to look up information about types, as it properly ‘from’s paths, and
285 use a classes constructor definitions to determine the types of fields.

```
286 Define p; Txs |- e : T
287 =====
288 (var)
289 ----- T x in Txs
290 p; Txs |- x : T
291
292 (call)
293 p; Txs |- e0 : T0
294 ...
295 p; Txs |- en : Tn
296 ----- T' m(T1 x1 ... Tn xn) _ in p[T0].Ms
297 p; Txs |- e0.m(e1 ... en) : T'
298
299 (field)
300 p; Txs |- e : T
301 ----- p[T].K = constructor(_ T' x _)
302 p; Txs |- e.x : T'
303
304
305 (new)
306 p; Txs |- e1 : T1 ... p; Txs |- en : Tn
307 ----- p[T].K = constructor(T1 x1 ... Tn xn)
308 p; Txs |- new T(e1 ... en)
309
310
311 (sub)
312 p; Txs |- e : T
313 ----- T' in p[T].Pz
314 p; Txs |- e : T'
315
316
317 (equiv)
318 p; Txs |- e : T
319 ----- T =p T'
320 p; Txs |- e : T'
321
322 - towel1:.. //Map: towel2:.. //Map: lib: T:towel1 f1 ... fn
323   MyProgram: T:towel2 Lib:lib[T=This0.T] ... -
```

323 **6** extra

324 Features: Structural based generics embedded in a nominal type system. Code is Nominal,
325 Reuse is Structural. Static methods support for generics, so generics are not just a trik to

326 make the type system happy but actually change the behaviour Subsume associate types.
 327 After the fact generics; redirect is like mixins for generics Mapping is inferred-> very large
 328 maps are possible -> application to libraries

329 In literature, in addition to conventional Java style F-bound polymorphism, there is
 330 another way to obtain generics: to use associated types (to specify generic paramaters) and
 331 inherence (to instantiate the paramaters). However, when parametrizing multiple types,
 332 the user to specify the full mapping. For example in Java interface A B m(); inteface
 333 BString f(); class G<TA extends A<TB>, TB>//TA and TB explicitly listed String g(TA
 334 a TB b)return a.m().f(); class MyA implements A<MyB>.. class MyB implements B ..
 335 G<MyA,MyB>//instantiation Also scala offers generics, and could encode the example in
 336 the same way, but Scala also offers associated types, allowing to write instead...

337 Rust also offers generics and associated types, but also support calling static methods
 338 over generic and associated types.

339 We provide here a fundational model for genericity that subsume the power of F-bound
 340 polymorphisms and associated types. Moreover, it allows for large sets of generic parameter
 341 instantiations to be inferred starting from a much smaller mapping. For example, in our
 342 system we could just write g= A= method B m() B= method String f() method String g(A a
 343 B b)=a.m().f() MyA= method MyB m()= new MyB(); .. MyB= method String f()="Hello";
 344 .. g<A=MyA>//instantiation. The mapping A=MyA,B=MyB

345 We model a minimal calculus with interfaces and final classes, where implementing an
 346 interface is the only way to induce subtyping. We will show how supporting subtyping
 347 constitute the core technical difficulty in our work, inducing ambiguity in the mappings.
 348 As you can see, we base our generic matches the structor of the type instead of respect-
 349 ing a subtype requirement as in F-bound polymorphis. We can easily encode subtype
 350 requirements by using implements: Print=interface method String print(); g= A:implements
 351 Print method A printMe(A a1,A a2) if(a1.print().size())>a2.print.size())return a1; return a2;
 352 MyPrint=implements Print .. g<A=MyPrint> //instantiation g<A=Print> //works too
 353 ————— example showing ordering need to strictly improve EI1: interface EA1: imple-
 354 ments EI1

355 EI2: interface EA2: implements EI2
 356 EB: EA1 a1 EA1 a1
 357 A1: A2: B: A1 a1 A2 a2 [B = EB] // A1 -> EI1, A2 -> EA2 a // A1 -> EA1, A2 ->
 358 EI2 b // A1 -> EA1, A2 -> EA2 c
 359 a <=b b <=a c<= a,b a <= c
 360 hi Hi class $a ::= b \quad c$
 361 aahiHiiclassqaq $a ::= b \quad c$
 362 $a ::= b \quad c$
 363 } } [()]
 (TOP)
 $a \rightarrow_c b \quad \forall i < 3 a \vdash b : \text{OK}$
 $\frac{\forall i < 3 a \vdash b : \text{OK}}{1 + 2 \rightarrow 3} \begin{matrix} a \\ b \\ c \end{matrix}$