# Chapter 0. Preparation

# 0.1 Categories

### **0.1.1 Definition.** A category & consists of:

- 1.  $Obj(\mathscr{C})$ : the class of the objects (which may not be a set);
- 2.  $\operatorname{Mor}(\mathscr{C})$ : the class of morphisms. For each morphism  $f \in \operatorname{Mor}(\mathscr{C})$ , it has a **source** s(f) and a **target** t(f), where both s(f) and t(f) are elements of  $\operatorname{Obj}(\mathscr{C})$ . Let X be the source of f and Y be the target of f we can denote f as  $f: X \to Y$ . And we define  $\operatorname{Hom}_{\mathscr{C}}(X,Y)$  (also  $\operatorname{Hom}(X,Y)$  for short) as the class of morphisms with source X and target Y; that is  $\operatorname{Hom}_{\mathscr{C}}(X,Y) := s^{-1}(X) \cap t^{-1}(Y)$ .

Additionally, objects and morphisms should satisfy these properties:

1.  $\forall X, Y, Z \in \text{Obj}(\mathscr{C})$ , there is a **composition**  $\circ : \text{Hom}(Y, Z) \times \text{Hom}(X, Y) \to \text{Hom}(X, Z)$ ,  $(g, f) \mapsto g \circ f$ . We also abbreviate  $g \circ f$  as gf.

And we can use a commutative diagram

$$X \xrightarrow{f} Y$$

$$\downarrow^g$$

$$Z$$

to describe.

2. The composition we defined above satisfies the **associative law**; that is  $\forall X, Y, Z, T \in \text{Obj}(\mathscr{C})$  and  $f: X \to Y, g: Y \to Z, h: Z \to T$ , we have

$$(h \circ g) \circ f = h \circ (g \circ f).$$

And we can also use a commutative diagram

$$X \xrightarrow{f} Y$$

$$g \circ f \downarrow \qquad \qquad \downarrow h \circ g$$

$$Z \xrightarrow{h} T$$

to describe.

3.  $\forall X \in \text{Obj}(\mathscr{C})$ , there is an **identity**  $1_X \in \text{Hom}(X,X)$ , such that for all  $Y, Z \in \text{Obj}(\mathscr{C})$  and  $f: X \to Y, g: Z \to X$ , we have

$$f \circ 1_X = f, 1_X \circ g = g.$$

## **0.1.2** Example.

- 1. Let's begin with a simple example, the category of sets, denoted as **Set**.  $Obj(\mathbf{Set})$  is the class of all sets (as we all know, it can't be a set because of the Russell's paradox).  $Hom_{\mathbf{Set}}(A, B)$  are all maps from A to B. It's easy to check **Set** satisfies the concept of category.
- 2. The category of topological spaces, denoted as **Top**. The objects of **Top** are all topological spaces, and the morphisms are continuous maps.
- 3. The category of groups, denoted as **Grp**, in which objects are all groups and the morphisms are group homomorphisms; similarly, the category of abelian groups, denoted as **Ab**, in which objects are all abelian groups and the morphisms are group homomorphisms.
- 4. Let k be a field. The category of the vector spaces on k is denoted as  $\mathbf{Vect}_k$ , in which objects are all

vector spaces on k and morphisms are linear maps.

- 5. Let R be a ring (which may not be commutative). The category of the left modules on R is denoted as RMod, in which objects are all modules on R and morphisms are R-module homomorphisms. Similarly, we have the category of right modules  $\mathbf{Mod}_R$ .
- 6. The category of topological spaces with basepoints, denoted as  $\mathbf{Top}^*$ . Objects of  $\mathbf{Top}^*$  are like  $(X, x_0)$ , where X is a topological space and  $x_0 \in X$ . A morphism  $f: (X, x_0) \to (Y, y_0)$  is a continuous map from X to Y with  $f(x_0) = y_0$ .
- **0.1.3 Definition.** Let  $\mathscr{C}$  be a category, and  $f: X \to Y$  a morphism. Then,
  - o call f a monomorphism if  $f\alpha_1 = f\alpha_2 \Rightarrow \alpha_1 = \alpha_2$  for all objects Z and morphisms  $\alpha_1, \alpha_2 : Z \to X$ ;
  - $\circ$  call f an **epimorphism** if  $\beta_1 f = \beta_2 f \Rightarrow \beta_1 = \beta_2$  for all objects Z and morphisms  $\beta_1, \beta_2 : Y \to Z$ ;
  - $\circ$  call f an **isomorphism** if there is  $g: Y \to X$  such that  $gf = 1_X$  and  $fg = 1_Y$ .



Note A mono and epi morphism may not be an isomorphism.

- **0.1.4 Definition.** Let  $\mathscr{C}$  be a category. Define a category  $\mathscr{C}^{op}$  as follows:
  - $\circ$  Obj( $\mathscr{C}^{op}$ ) = Obj( $\mathscr{C}$ );
  - $\circ \operatorname{Hom}_{\mathscr{C}^{\mathrm{op}}}(X,Y) = \operatorname{Hom}_{\mathscr{C}}(Y,X).$
  - $\circ f \circ^{\mathrm{op}} g = g \circ f, \forall g \in \mathrm{Hom}_{\mathscr{C}^{\mathrm{op}}}(X, Y), f \in \mathrm{Hom}_{\mathscr{C}^{\mathrm{op}}}(Y, Z).$

The category is called **opposite category** of  $\mathscr{C}$ .

- **0.1.5 Definition.** Let  $\mathscr{C}$ ,  $\mathscr{D}$  be two categories. A (covariant) functor  $F:\mathscr{C}\to\mathscr{D}$  is a map satisfying
  - 1.  $\forall X \in \mathrm{Obj}(\mathscr{C}), F(X) \in \mathrm{Obj}(\mathscr{D});$
  - 2.  $\forall f \in \text{Hom}_{\mathscr{C}}(X,Y), F(f) \in \text{Hom}_{\mathscr{D}}(F(X),F(Y));$
  - 3.  $F(g \circ f) = F(g) \circ F(f)$ ;
  - 4.  $F(1_X) = 1_{F(X)}$ .

It can be considered that this functor preserves commutative diagrams.

$$X \xrightarrow{f} Y \qquad FX \xrightarrow{F(f)} FY \qquad \downarrow^{F(g)} \qquad \downarrow^{F$$

A contravariant functor  $G: \mathscr{C} \to \mathscr{D}$  is a map satisfying

- 1.  $\forall X \in \mathrm{Obj}(\mathscr{C}), G(X) \in \mathrm{Obj}(\mathscr{D});$
- 2.  $\forall f \in \operatorname{Hom}_{\mathscr{C}}(X,Y), G(f) \in \operatorname{Hom}_{\mathscr{D}}(G(Y),G(X));$
- 3.  $G(g \circ f) = G(f) \circ G(g)$ ;
- 4.  $G(1_X) = 1_{G(X)}$ .

It can also be regarded as a covariant functor from  $\mathscr{C}^{op}$  to  $\mathscr{D}$ 



### 0.1.6 Example.

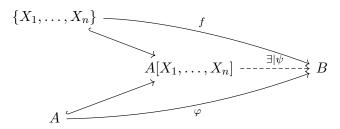
- The forgetful functor F: Top → Set assigns to each topological space its underlying set and to each continuous map itself("forgetting" its continuity). Similarly, there are forgetful functors Grp → Set, Ab → Grp, Ab → Set, and so on.
- 2. The functor  $\pi_1 : \mathbf{Top}^* \to \mathbf{Grp}$  assigns to each topological space its fundamental group at the basepoint and to each continuous map to its induced homomorphism between fundamental groups.

- **0.1.7 Definition.** Let  $F: \mathscr{C} \to \mathscr{D}$  be a functor. Then,
  - o say F is faithful if  $F : \operatorname{Hom}(X,Y) \to \operatorname{Hom}(FX,FY)$  is injective for all objects X,Y in  $\mathscr{C}$ ;
  - o say F is fully faithful if  $F : \operatorname{Hom}(X,Y) \to \operatorname{Hom}(FX,FY)$  is bijective for all objects X,Y in  $\mathscr{C}$ ;
  - o say F is essentially surjective if for each  $Z \in \mathrm{Obj}(\mathcal{D})$ , there is  $X \in \mathrm{Obj}(\mathscr{C})$  such that  $FX \cong Z$ .

# 0.2 Rings and Ideals

Unless explicitly noted otherwise, all rings considered in this seminar are commutative rings with a unit. Note that the trivial ring 0 is within our consideration.

**0.2.1 Proposition.** Let A, B be rings. The polynomial ring  $A[X_1, \ldots, X_n]$  has a universal property: for all ring homomorphism  $\varphi: A \to B$  and a map  $f: \{X_1, \ldots, X_n\} \to B$ , there is a unique ring homomorphism  $\psi: A[X_1, \ldots, X_n]$  such that the following diagram



commutes.

**0.2.2 Definition.** Let A be a ring, and  $\{I_{\lambda}\}_{{\lambda}\in\Lambda}$  a family of ideals. Define the **sum** of  $\{I_{\lambda}\}$  by

$$\sum_{\lambda \in \Lambda} I_{\lambda} := \{ \sum_{\lambda \in \Lambda} a_{\lambda} x_{\lambda} \mid a_{\lambda} \in A, x_{\lambda} \in I_{\lambda}, \text{and there is finite } a_{\lambda} \neq 0 \}.$$

And if  $\Lambda$  is finite, define their **product** by

$$\prod_{\lambda \in \Lambda} I_{\lambda} := \{ \prod_{\lambda \in \Lambda} x_{\lambda} \mid x_{\lambda} \in I_{\lambda} \}.$$

It's trivial to check their sum and product are both ideals. Let I, J be two ideals. Then we have

$$IJ \subset I \cap J \subset I + J$$
.

- **0.2.3 Proposition.** Let A be a ring, and I be an ideal of A. Then we have
  - $\circ$  A/I is an integral domain if and only if I is a prime ideal;
  - $\circ$  A/I is a field if and only if I is a maximal ideal.
- **0.2.4 Proposition.** Let  $f: A \to B$  be a ring homomorphism,  $\mathfrak{p}$  a ideal in B, and  $\mathfrak{q} = f^{-1}(\mathfrak{p})$ . Then, if  $\mathfrak{p}$  is prime,  $\mathfrak{q}$  is prime; the converse holds if f is surjective.
- **0.2.5 Proposition-Definition.** A ring A is said to be **Noetherian** if it satisfies the following three equivalent properties:
  - 1. Any ideal in A is finitely generated.
  - 2. Any increasing sequence of ideals in A is eventually stable.
  - 3. Any non-empty set of ideals in A has a maximal element for the inclusion relation.
- **0.2.6 Theorem.** (Hilbert Basis) If A is a Noetherian ring, then the polynomial ring A[X] is a Noetherian ring.

#### Proof.

Let I be an ideal in A[X]. We need to prove I is finitely generated.

If  $F = a_0 + a_1 X + \cdots + a_n X^n \in A[X]$  with  $a_n \neq 0$ , we call  $a_n$  the leading coefficient of F.

Let J be the set of leading coefficients of all polynomials in I. It's easy to check that J is an ideal in A.

Since A is Noetherian, there are polynomials  $F_1, \ldots, F_r \in I$  whose leading coefficients generate J.

Take an integer N larger than the degree of each  $F_i$ . For each  $m \leq N$ , let  $J_m$  be the ideal in A consisting of all leading coefficients of all polynomials  $F \in I$  such that  $\deg F \leq m$ . Let  $\{F_{mj}\}$  be a finite set of polynomials in I of degree  $\leq m$  whose leading coefficients generate  $J_m$ .

Let I' be the ideal generated by  $F_i$ 's and all the  $F_{mj}$ 's. It suffices to show that I = I'.

Suppose I' were smaller than I; let G be an element of I of lowest degree that is not in I'.

If  $\deg G > N$  we can find polynomials  $Q_i$  such that  $\sum Q_i F_i$  and G have the same leading term. But then  $\deg(G - \sum Q_i F_i) < \deg G$ , so  $G - \sum Q_i F_i \in I'$ ; and hence  $G \in I'$ , contradicts.

Similarly, if  $\deg G = m \leq N$ , we can lower the degree by subtracting off  $\sum Q_j F_{mj}$  for some  $Q_j$ , which will also make a contradiction.

Therefore, I' = I.

**0.2.7 Definition.** Let A be a ring. An A-algebra is a ring equipped with a homomorphism  $f: A \to B$  (which is often but not always injective). It is said to be of **finite-type** if it is generated as an algebra by a finite number of elements  $x_1, \ldots, x_n$  of B, i.e., if every element of B is a polynomial function of the elements  $x_i$  with coefficients in A.

**0.2.8 Definition.** Let  $f: A \to B$  be an A-algebra and consider  $x \in B$ . We say that x is **integral** over A if it satisfies a unitary equation

$$x^{n} + f(a_{n-1})x^{n-1} + \dots + f(a_{0}) = 0,$$

where  $a_i \in A$ . (If f is the inclusion of A in B, we omit f.)

If b is integral over A for all  $b \in B$ , we say B is **integral** over A.

**0.2.9 Definition.** Let A be a ring. Its **Jacobson radical** rad(A) is defined to be the intersection of all its maximal ideals.

**0.2.10 Proposition.** Let A be a ring, I an ideal,  $x \in A$ , and  $u \in A^{\times}$ . Then  $x \in rad(A)$  if and only if  $u - xy \in A^{\times}$  for all  $y \in A$ . In particular, the sum of an element of rad(A) and a unit is a unit, and  $I \subset rad(A)$  if  $1 - I \subset A^{\times}$ .

#### Proof.

- (⇒). Suppose there were y such that u xy is not a unit. Then we have (u xy) is a proper ideal. Hence, there is maximal ideal  $\mathfrak{m} \supset (u xy)$ . Since  $x \in \operatorname{rad}(A) \subset \mathfrak{m}$ , we have  $u \in \mathfrak{m}$ , contradicts.
- (⇐). Suppose there were a maximal ideal  $\mathfrak{m}$  such that  $x \notin \mathfrak{m}$ . Then we have  $(x) + \mathfrak{m} = A$ , that is  $\exists y \in A, m \in \mathfrak{m}$  such that xy + m = u. Consequently, m = u xy is a unit, contradicts.
- **0.2.11 Definition.** Let A be a ring, and I an ideal. Define the **radical** of I by

$$\sqrt{I} := \{ f \in A \mid f^n \in I \text{ for some } n \}.$$

And we call  $\sqrt{(0)}$  the **nilradical**, and denote it by nil(A). If nil(A) = 0, we call A a **reduced** ring.

### 0.3 Modules

**0.3.1 Definition.** Let A be a ring. An A-module M is an Abelian group, written additively, with a **scalar** multiplication,  $A \times M \to M$ ,  $(a, m) \mapsto am$ , which satisfies  $(a, b \in A \text{ and } m, n \in M)$ 

- 1. a(m+n) = am + an;
- 2. (a+b)m = am + bm;

- 3. a(bm) = (ab)m;
- 4.  $1 \cdot m = m$ .

A **submodule** N of M is a subgroup that is closed under scalar multiplication.

**0.3.2 Definition.** Let A be a ring and M, N A-modules. A (A-module) homomorphism (or A-linear map)  $f: M \to N$  is a homomorphism between abelian group which satisfies  $f(am) = af(m), \forall a \in A, m \in M$ . Similar to abelian groups, we have the fundamental homomorphism theorem,

$$M/\ker f \cong \operatorname{im} f$$
.

**0.3.3 Definition.** A (finite or infinite) sequence of module homomorphisms

$$\cdots \to M_{i-1} \xrightarrow{f_{i-1}} M_i \xrightarrow{f_i} M_{i+1} \to \cdots$$

is said to be **exact at**  $M_i$  if ker  $f_i = \operatorname{im} f_{i-1}$ . The sequence is said to be **exact** if it is exact at every  $M_i$ , except an initial source or final target.

- **0.3.4 Proposition.** 
  - The sequence  $0 \to L \xrightarrow{f} M$  is exact if and only if f is injective.
  - The sequence  $L \xrightarrow{f} M \to 0$  is exact if and only if f is surjective.
- **0.3.5 Proposition-Definition.** Let  $f: M' \to M, g: M \to M''$  be module homomorphisms.

We call f a **retraction** if there is a homomorphism  $\alpha: M \to M'$  such that  $\alpha f = 1_{M'}$ .

We call g a section if there is a homomorphism  $\beta: M'' \to M$  such that  $g\beta = 1_{M''}$ .

If there is an exact sequence

$$0 \to M' \xrightarrow{f} M \xrightarrow{g} M'' \to 0,$$

the following three propositions are equivalent:

- 1. f is a retraction;
- 2. g is a section;
- 3. There is an isomorphism  $\varphi: M \xrightarrow{\sim} M' \oplus M''$  such that  $\varphi f$  is the inclusion and  $g\varphi^{-1}$  is the projection. Under these conditions, we say the sequence **splits**.
- **0.3.6 Definition.** Let A be a ring, M, N, P modules. We call a map  $f: M \times N \to P$  A-bilinear if it is linear in each variable; that is, given  $m \in M$  and  $n \in N$ , the maps

$$m' \mapsto f(m', n), n' \mapsto f(m, n'),$$

are both A-linear.

**0.3.7 Definition.** Let A be a ring, M, N modules. The **tensor product**  $M \otimes_A N$  (or simply,  $M \otimes N$ ) of M and N is a module equipped with a A-bilinear map  $-\otimes -: M \times N \to M \otimes N, (m,n) \mapsto m \otimes n$ , and it satisfies, for all A-bilinear map  $f: M \times N \to P$ , there is a unique A-linear map  $\varphi: M \otimes N \to P$  such that the diagram

$$M \times N$$

$$- \otimes - \bigvee_{\exists |\varphi} f$$

$$M \otimes N \xrightarrow{\exists |\varphi} P$$

commutes.

### 0.4 Localization

**0.4.1 Definition.** Let S be a subset of ring A. S is said to be **multiplicative** if  $1 \in S$  and  $xy \in S$ ,  $\forall x, y \in S$ . And if  $xy \in S \Rightarrow x \in S$  and  $y \in S$ , we call S is **saturated**. Let T be a subset of A. We call  $\{x \in A \mid \exists y \in A, xy \in T\}$  the **saturation** of T. It's easy to check the saturation of T is a saturated multiplicative set.

**0.4.2 Example.** Let A be a ring.

- For some  $f \in A$ ,  $S_f := \{f^n \mid n \in \mathbb{Z}_{>0}\}$  is a multiplicative subset.
- For some prime ideal  $\mathfrak{p} \subset A$ , the complement set  $A \setminus \mathfrak{p}$  is a multiplicative subset.

**0.4.3 Definition.** Let S be a multiplicative subset of ring A. Define a relation on  $R \times S$  by  $(x,s) \sim (y,t)$  if there is  $u \in S$  such that u(xt - ys) = 0. We can find that this relation is an equivalence relation. Denote by  $S^{-1}A$  or  $A_S$  the set of equivalence classes, and by x/s the class of (x,s).

Define  $x/s \cdot y/t := (xy)/(st)$  and x/s + y/t = (tx + st)/(st). It's easy to check these sum and product are well-defined, and under them,  $S^{-1}A$  forms a ring. It is called the **ring of fractions** with respect to S or the **localization** at S.

There is a natural homomorphism  $i: A \to S^{-1}A, a \mapsto a/1$ , and the image under i of an element in S is invertible.



*Note i* is not guaranteed to be injective or surjective.

We can find  $S^{-1}A$  has a universal property: for all ring homomorphism  $f:A\to B$  where elements in f(S) are all invertible, there is a unique ring homomorphism  $\varphi:S^{-1}A\to B$  such that the diagram

$$A \downarrow \qquad f \downarrow \qquad f \downarrow \qquad S^{-1}A \xrightarrow{\exists |\varphi|} B$$

commutes.

**0.4.4 Definition.** Let A be a ring,  $f \in A$ , and  $\mathfrak{p}$  a prime ideal. We always denote  $S_f^{-1}A$  by  $A_f$  and  $(A \setminus \mathfrak{p})^{-1}A$  by  $A_{\mathfrak{p}}$ .

**0.4.5 Proposition.** Let S be a multiplicative subset of A, and S' be the saturation of S. Then we have  $S^{-1}A$  and  $S'^{-1}A$  are canonical isomorphism.

**0.4.6 Proposition.** Let A be a Noetherian ring, and S a multiplicative subset of A. Then we have  $S^{-1}A$  is Noetherian.

#### Proof.

Let  $i:A\to S^{-1}A$  be the natural homomorphism. It's sufficient to prove if ideals  $I_1,I_2(I_1\subseteq I_2)$  in  $S^{-1}A$  with  $i^{-1}(I_1)=i^{-1}(I_2)$ , then we have  $I_1=I_2$ . Let  $a/s\in I_2$ . We have  $a/1=(s/1)(a/s)\in I_2$ ; and hence  $a\in i^{-1}(I_2)=i^{-1}(I_1)$ . Therefore,  $a/1\in I_1$  and then  $a/s=(1/s)(a/1)\in I_1$ .

**0.4.7 Proposition.** Let S be a multiplicative subset of A. Then  $S^{-1}A = 0$  if and only if  $0 \in S$ .

**0.4.8 Theorem.** (Scheinnullstellensatz) Let A be a ring, I an ideal. Then we have

$$\sqrt{I} = \bigcap_{\mathfrak{p}\supset I \text{ is prime ideal}} \mathfrak{p}.$$

#### Proof.

For all  $f \in \sqrt{I}$ , we have  $f^n \in I$  for some n; and hence, for any prime ideal  $\mathfrak{p} \supset I$ ,  $f^n \in \mathfrak{p} \Rightarrow f \in \mathfrak{p}$ . Thus,  $\sqrt{I} \subset \bigcap_{\mathfrak{p} \supset I} \mathfrak{p}$ .

Next, it's sufficient to show for all  $f \in A \setminus I$ , there is a prime ideal  $\mathfrak{p} \supset I$  such that  $f \notin \mathfrak{p}$ .

Consider the natural maps

$$A \xrightarrow{i_1} A/\sqrt{I} \xrightarrow{i_2} (A/\sqrt{I})_{\bar{f}},$$

where  $\bar{f}$  is the class of f in  $A/\sqrt{I}$ .

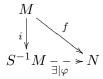
Choose a maximal ideal  $\mathfrak{m}$  in  $(A/\sqrt{I})_{\bar{f}}$  (For  $f \notin \sqrt{I}$ , we have  $\bar{f}$  is not nilpotent; that is  $0 \notin S_{\bar{f}}$ . Thus, we have  $(A/\sqrt{I})_{\bar{f}} \neq 0$ . The maximal ideal exists.) And let's show  $i_1^{-1}i_2^{-1}(\mathfrak{m})$  is a prime ideal with  $f \notin i_1^{-1}i_2^{-1}(\mathfrak{m})$ .

Since  $\mathfrak{m}$  is prime, we have  $i_1^{-1}i_2^{-1}(\mathfrak{m})$  is prime. For  $\mathfrak{m} \neq (A/\sqrt{I})_{\bar{f}}$ , we have  $\bar{f}/1 \notin \mathfrak{m}$  and then  $\bar{f} \notin i_2^{-1}(\mathfrak{m})$ .

**0.4.9 Definition.** Let A be a ring, S a multiplicative subset, and M a module. Define a relation on  $M \times S$  by  $(m,s) \sim (n,t)$  if there is  $u \in S$  such that u(tm-sn)=0. It's easy to check this is a equivalence relation.

Denote by  $S^{-1}M$  or  $M_S$  the set of equivalence classes, and by m/s the class of (m, s). Then  $S^{-1}M$  is an  $S^{-1}A$  module with addition given by m/s + n/t := (tm + sn)/st and scalar multiplication by  $a/s \cdot m/t = (am)/(st)$ . We call  $S^{-1}M$  the **localization of** M at S.

It also has a universal property: Let  $i: M \to S^{-1}M, m \mapsto m/1$ , N a  $S^{-1}A$ - module. For all A-linear map  $f: M \to N$ , there is a unique  $S^{-1}A$ -linear map  $\varphi: S^{-1}M \to N$  such that the diagram



commutes.

**0.4.10 Definition.** Let A be a ring, M a module,  $f \in A$ , and  $\mathfrak{p}$  a prime ideal. We always denote  $S_f^{-1}M$  by  $M_f$  and  $(A \setminus \mathfrak{p})^{-1}M$  by  $M_{\mathfrak{p}}$ .

**0.4.11 Proposition.** Let A be a ring, S a multiplicative subset, and M a module. Define a scalar multiplication

$$S^{-1}A \times (S^{-1}A \otimes_A M) \to S^{-1}A \otimes_A M,$$
  
 $(a, b \otimes m) \mapsto (ab) \otimes m,$ 

and then  $S^{-1}A \otimes_A M$  can be also seen as an  $S^{-1}A$ -module. As  $S^{-1}A$ -modules,  $S^{-1}M$  and  $S^{-1}A \otimes_A M$  are canonical isomorphism.

### 0.5 Transcendence Bases and Krull Dimension

**0.5.1 Definition.** Let  $K \subset L$  be a field extension. A subset B in L is said to be **algebraically free** over K (we also say that its elements are **algebraically independent**) if for any finite subset  $\{x_1, \ldots, x_n\} \subset B$  and any polynomial  $P \in K[X_1, \ldots, X_n]$ , the equality  $P(x_1, \ldots, x_n)$  implies P = 0. Otherwise, we say that the elements of B are **algebraically dependent**.

**0.5.2 Definition.** Let  $K \subset L$  be a field extension. A subset B in L is said to be an **algebraic generating set** over K if L is algebraic over the subfield K(B) generated by B.

**0.5.3 Definition.** Let  $K \subset L$  be a field extension. A subset B in L is a **transcendence basis** for L over K if it both algebraically free and an algebraic generating set.

By Zorn's lemma, a transcendence basis always exists. These bases all have the same cardinality, called transcendence degree of L over K. We denote it by  $\partial_K(L)$ .

**0.5.4 Definition.** Let X be a set. A **chain** of subsets of X is a sequence  $X_0 \subsetneq X_1 \subsetneq \cdots \subsetneq X_n$ . Such a chain is said to be of **length** n.

**0.5.5 Definition.** The **Krull dimension** of A is the maximum of the lengths of chains of prime ideals of A. We denote it by  $\dim_K A$ .

**0.5.6 Theorem.** Let A be an integral domain which is a k-algebra of finite type. The Krull dimension of A is equal to the transcendence degree of Fr(A) over k:

$$\dim_K A = \partial_k \operatorname{Fr}(A).$$

(Fr(A) means the field of fractions from A.)