# **Chapter 1. Affine Algebraic Sets**

The aim of our seminar is to study the properties of the zero locus of a polynomial or several polynomials. The first task for us is to study algebraic sets, the common zeros of some polynomials.

# 1.1 Affine Algebraic Sets

Let n be a positive integer, and k any field. Consider the affine space  $k^n$ . If  $x=(x_1,\ldots,x_n)$  is a point in  $k^n$  and  $P(X_1,\ldots,X_n)$  is a polynomial, we denote  $P(x_1,\ldots,x_n)$  by P(x).

**1.1.1 Definition.** Let S be a subset of  $k[X_1, \ldots, X_n]$ . We set

$$V(S) := \{ x \in k^n \mid \forall P \in S, P(x) = 0 \},\$$

in other words, the  $x \in V(S)$  are the common zeros of all the polynomials in S. We call V(S) the **affine algebraic set** defined by S. When the set S is finite, we will often write  $V(F_1, \ldots, F_r)$  instead of  $V(\{F_1, \ldots, F_r\})$ .

In particular, if  $F \in k[X_1, ..., X_n]$ , we call V(F) the **hypersurface** defined by F. And if  $\deg F = 1$ , we call V(F) a **hyperplane**.

### 1.1.2 Proposition.

- 1. The function V is decreasing: If  $S \subset S'$ , then  $V(S') \subset V(S)$ .
- 2. If  $S \subset k[X_1, \dots, X_n]$ , I = (S), we have V(S) = V(I).
- 3. Since  $k[X_1, ..., X_n]$  is Noetherian, every ideal is finitely generated:  $I = (f_1, ..., f_r)$ , and hence every affine algebraic set is defined by a finite number of equations  $V(I) = V(f_1) \cap \cdots \cap V(f_r)$ .
- 4. A point of  $k^n$  is an affine algebraic set: if  $a=(a_1,\ldots,a_n)\in k^n$ , then  $\{a\}=V(X_1-a_1,\ldots,X_n-a_n)$ .
- 5. An arbitrary intersection of affine algebraic sets is an affine algebraic set:

$$\bigcap_{j} V(S_j) = V(\bigcup_{j} S_j).$$

6. A finite union of affine algebraic sets is an affine algebraic set: if I, J are ideals, then

$$V(I) \cup V(J) = V(IJ) = V(I \cap J).$$

- **1.1.3 Definition.** By 1.1.2, we can find that the affine algebraic sets verify the axioms for closed sets that define a topology. The **Zariski topology** on  $k^n$  is the topology whose closed sets are the affine algebraic sets. Of course, any subset X of  $k^n$  inherits an induced topology (again called the Zariski topology) whose closed sets are the sets of the form  $X \cap V(I)$ ; in particular, if X is an affine algebraic set, then the closed sets of X are affine algebraic sets contained in X.
- **1.1.4 Definition.** Consider  $f \in k[X_1, ..., X_n]$ . Define  $D(f) := k^n V(f)$ , which is a Zariski open set of  $k^n$  and is called a **standard open set**. The standard open sets are a basis for this topology; more precisely, any open set U is a finite union of standard open sets.
- **1.1.5 Definition.** Let V be a subset of  $k^n$ . The set

$$I(V) := \{ f \in k[X_1, \dots, X_n] \mid \forall x \in V, f(x) = 0 \}$$

is called **the ideal of** V.

In other words, I(V) is the set of polynomial functions which vanish on V. To show that it is indeed an ideal, we consider the ring homomorphism

$$r: k[X_1, \ldots, X_n] \to \mathcal{F}(V, k),$$

with image in the ring of all k-valued functions on V associating to a polynomial the restriction of the associated polynomial function to V. Then we can find that  $I(V) = \ker r$ . The image of r, which is isomorphic to  $k[X_1, \ldots, X_n]/I(V)$ , is denoted by  $\Gamma(V)$ . The ring  $\Gamma(V)$  is called the **affine algebra** of V, which is a k-algebra of finite type.

# 1.1.6 Proposition.

- 1. The map I is decreasing: If  $V \subset V'$ , then  $I(V') \subset I(V)$ .
- 2. If V is an affine algebraic set, then V(I(V)) = V. It follows that the map  $V \mapsto I(V)$  is injective, and hence if  $V \subsetneq W$ , then there exists a polynomial which vanishes on V and does not vanish on W.
- 3.  $I \subset I(V(I))$ .
- **1.1.7 Proposition.** Assume that k is infinite. Then  $I(k^n) = 0$ .

#### Proof.

We proceed by induction on n.

$$(n = 1).$$

Since a non-zero polynomial has only a finite number of roots, the proposition holds.

$$(n > 1)$$
.

Let  $P \in k[X_1, \dots, X_n]$  be a non-zero polynomial. We can write  $P(X_1, \dots, X_n) = a_r(X_1, \dots, X_{n-1})X_n^r + \cdots$ . And by the inductive hypothesis, there is  $(x_1, \dots, x_{n-1}) \in k^{n-1}$  such that  $a_r(x_1, \dots, x_{n-1}) \neq 0$ . Then  $P(x_1, \dots, x_{n-1}, X_n)$  has at most r roots; and hence there is  $x_n \in k$  such that  $P(x_1, \dots, x_n) \neq 0$ .

## 1.1.8 Example.

- 1.  $I(\emptyset) = k[X_1, \dots, X_n]$ .
- 2.  $I(\{(a_1, \ldots, a_n)\}) = (X_1 a_1, \ldots, X_n a_n).$ Let  $P \in I(\{(a_1, \ldots, a_n)\})$ , we can write  $P = (X_1 - a_1)Q_1 + \cdots + (X_n - a_n)Q_n + c$  where  $c \in k$ . Since  $P(a_1, \ldots, a_n) = 0$ , we have c = 0.
- 3. Let us assume that k is infinite and calculate the ideal

$$I(V) = I(V(Y^2 - X^3))$$

in k[X,Y]. It's clear that  $(Y^2-X^3)\subset I(V)$ . Conversely, we know that any point in V can be written as  $(t^2,t^3)$ , with  $t\in k$  (if  $x\neq 0$ , we take t=y/x and if x=0, we take t=0). Suppose that  $P\in I(V)$ . We divide P by  $Y^2-X^3$  with respect to the variable Y:

$$P = (Y^{2} - X^{3})Q(X, Y) + a(X)Y + b(X).$$

It follows that for any  $t \in k$ ,  $P(t^2, t^3) = 0 = a(t^2)t^3 + b(t^2)$ . Since k is infinite, we deduce that  $a(T^2)T^3 + b(T^2) = 0$  in k[T]. Separating the terms of odd and even degrees we get a = b = 0 and we have hence proved that  $I(V) = (Y^2 - X^3)$ .

# 1.2 Irreducibility

- **1.2.1 Definition.** Let X be a non-empty topological space. The following are equivalent:
  - 1. If we can write X in the form  $X = F \cup G$ , where F and G are closed sets in X, then X = F or X = G.
  - 2. If U, V are two open sets of X and  $U \cap V = \emptyset$ , then  $U = \emptyset$  or  $V = \emptyset$ .
  - 3. Any non-empty open set of X is dense in X. Under these conditions we say that X is **irreducible**.

#### 1.2.2 Proposition.

- 1. If X is irreducible and U is an open subset of X, then U is irreducible.
- 2. If X is of the form  $U_1 \cup U_2$ , where  $U_i$  are open and irreducible, and  $U_1 \cap U_2 = \emptyset$ , then X is irreducible.
- **1.2.3 Theorem.** Let V be an affine algebraic set equipped with its Zariski topology. Then, V irreducible  $\Leftrightarrow I(V)$  prime  $\Leftrightarrow \Gamma(V)$  integral.

#### Proof.

We only need to show V irreducible  $\Leftrightarrow I(V)$  prime.

If  $IJ \subset I(V)$  where I, J are ideals, we have  $V \subset V(I) \cup V(J)$ . Thus,  $V \subset V(I)$  or  $V \subset V(J)$ . And hence,  $I \subset I(V)$  or  $J \subset I(V)$ ; that is V(I) is prime.

Conversely, if  $V \subset U \cup W$  where U, W are closed sets, we have  $I(U)I(W) \subset I(V)$ . Hence,  $I(U) \subset I(V)$  or  $I(W) \subset I(V)$ . Therefore,  $V \subset U$  or  $V \subset W$  and V is irreducible.

- **1.2.4 Corollary.** Assume that k is infinite. Then the affine space  $k^n$  is irreducible.
- **1.2.5 Proposition.** Let X be a topological space and Y a subspace of X. Then if Y is irreducible, so is its closure  $\overline{Y}$ . If U is an open set of X, then the maps  $Y \mapsto \overline{Y}$  and  $Z \mapsto Z \cap U$  are mutually inverse bijections between the irreducible closed sets Y in U and the irreducible closed sets Z in X which meet U.
- **1.2.6 Theorem-Definition.** Let V be a non-empty affine algebraic set. We can write V uniquely (up to permutation) in the form  $V = V_1 \cup \cdots \cup V_r$ , where sets  $V_i$  are irreducible affine algebraic sets and  $V_i \not\subset V_j$  for  $i \neq j$ . The sets  $V_i$  are called the **irreducible components** of V.

#### Proof.

(Existence).

We proceed by contradiction. Assume there exist non-decomposable affine algebraic sets and we pick one whose ideal is maximal amongst all such sets. (Such a V exists since the ring  $k[X_1, \ldots, X_n]$  is Noetherian.) Since V is not irreducible, we can write  $V = U \cup W$ , where  $U, W \neq V$  and U, V are closed.

It follows by injectivity and of I that  $I(V) \subsetneq I(U), I(w)$ . By maximality of I(V), it follows that U and W are composable:  $U = U_1 \cup \cdots \cup U_r, W = W_1 \cup \cdots \cup U_s$ , but V is decomposable, which gives us a contradiction. (Uniqueness).

Assume given two expressions:  $V = V_1 \cup \cdots \cup V_r = W_1 \cup \cdots W_s$ . We have  $V_i = V \cap V_i = (W_1 \cap V_i) \cup \cdots \cup (W_s \cap V_i)$ . Since  $V_i$  is irreducible, there is a j such that  $V_i = W_j \cap V_i$ ; that is  $V_i \subset W_j$ . Likewise, there is a l such that  $W_j \cup V_l$ , and hence  $V_i \subset V_l$ , which implies by hypothesis that i = k and hence  $V_i = W_j$ .  $\square$ 

## 1.3 The Nullstellensatz

This is one of the first fundamental theorems of algebraic geometry. It controls the correspondence between affine algebraic sets and ideals; in particular, it enables us to calculate I(V(I)). In this section, we assume that k is algebraically closed.



Note Algebraically closed fields are always infinite.

**1.3.1 Theorem.** (Weak Nullstellensatz) Let  $I \subset k[X_1, ..., X_n]$  be a proper ideal. Then V(I) is non-empty.

#### Proof.

Since every ideal is contained in a maximal ideal and the map V is decreasing, we can assume that I is maximal. Then let  $L=k[X_1,\ldots,X_n]/I$ , which is both a field and a k-algebra of finite type. By the Theorem 0.5.6, it follows that  $\partial_k L=\dim_K L=0$ , which implies that L is algebraic over k. Since k is

algebraically closed, then L=k. Therefore, for all  $X_i$ , there is  $a_i \in k$  such that  $X_i-a_i \in I$ . It follows by  $(X_1-a_1,\ldots,X_n-a_n)$  is a maximal ideal that  $I=(X_1-a_1,\ldots,X_n-a_n)$  and  $(a_1,\ldots,a_n)\in V(I)$ .  $\square$ 

**1.3.2 Theorem.** (Nullstellensatz) Let I be an ideal of  $k[X_1, \ldots, X_n]$ . Then

$$I(V(I)) = \sqrt{I}$$
.

#### Proof.

We set

$$R = k[X_1, \dots, X_n], \quad I = (P_1, \dots, P_r) \quad \text{and} \quad V = V(I).$$

Since  $F^m(x)=0 \Rightarrow F(x)=0$ , then  $\sqrt{I}\subset I(V(I))$ . It remains to show I(V(I)); that is, take  $F\in I(V(I))$ , show  $F\in \sqrt{I}$ . Since we have

$$F \in \sqrt{I} \Leftrightarrow \exists m, F^m \in I$$
  
 $\Leftrightarrow \exists m, F^m \in IR_F$   
 $\Leftrightarrow IR_F = (1),$ 

we only need to show  $IR_F = (1)$ . But the ring  $R_F$  is isomorphic to  $k[X_1, \ldots, X_n, T]/(1 - TF)$ . To show  $IR_F = (1)$ , it's sufficient to show  $J = k[X_1, \ldots, X_n, T]$ , where  $J := (P_1, \ldots, P_r, 1 - TF)$ .

Assume  $J \neq k[X_1, \ldots, X_n, T]$ . By the Weak Nullstellensatz, it follows that  $V(J) \neq \emptyset$ . Take a point  $(x_1, \ldots, x_n, t) \in V(J)$ . Then, for all  $1 \leq i \leq r$ ,  $P_i(x_1, \ldots, x_n) = 0$ . Thus,  $(x_1, \ldots, x_n) \in V$  and  $F(x_1, \ldots, x_n) = 0$ . Hence, 1 - TF can't vanish at  $(x_1, \ldots, x_n, t)$ , which leads a contradiction.

- **1.3.3 Corollary.** Consider  $F \in k[X_1, ..., X_n]$ ,  $F = F_1^{\alpha_1} \cdots F_r^{\alpha_r}$ , where the F are irreducible and non-associated and  $\alpha_i > 0$ . We then have:
  - 1.  $I(V(F)) = (F_1 \cdots F_r)$ . In particular, if F is irreducible, then I(V(F)) = (F).
  - 2. The decomposition of V(F) into irreducible components is given by  $V(F) = V(F_1) \cup \cdots \cup V(F_r)$ . In particular, if F is irreducible, then V(F) is as well.
- **1.3.4 Corollary.** Let V be an affine algebraic set. We associate to V its ideal I(V) and its affine algebra  $\Gamma(V) \simeq k[X_1, \dots, X_n]/I(V)$ , which is a reduced k-algebra of finite type.
- **1.3.5 Corollary.** There is a decreasing bijection  $W \mapsto I(W)$ , whose inverse is  $I \mapsto V(I)$ , between affine algebraic sets in  $k^n$  and radical ideals in  $k[X_1, \ldots, X_n]$ . Moreover, we have:
  - 1. W irreducible  $\Leftrightarrow I(W)$  prime  $\Leftrightarrow \Gamma(W)$  integral;
  - 2. W is a point  $\Leftrightarrow I(W)$  maximal  $\Leftrightarrow \Gamma(W) = k$ .
- **1.3.6 Proposition.** V is finite  $\Leftrightarrow \Gamma(V)$  is a finite-dimensinal k-vector space.

#### Proof.

- $(\Rightarrow)$ . Consider the ring homomorphism r in 1.1.5. When V is finite,  $\mathscr{F}(V,k)$  is a finite-dimensinal k-vector space; and hence,  $\Gamma(V) = \operatorname{im} r$  is finite-dimensinal.
- $(\Leftarrow)$ . Let  $\overline{X_i}$  be the image image of  $X_i$  in  $\Gamma(V)$ . The elements  $1, \overline{X_i}, \overline{X_i}^2, \ldots$  are linear dependent, and hence in  $\Gamma(V)$  there is an identity

$$a_s \overline{X_i}^s + \dots + a_1 \overline{X_i} + a_0 = 0,$$

where  $a_i \in k$  and  $a_s \neq 0$ . If  $u = (x_1, \dots, x_n)$  is an arbitrary point of V, it follows that we also have

$$a_s x_i^s + \dots + a_1 x_i + a_0 = 0$$

and hence there are only a finite number of possible values for the *i*th coordinate of u and hence also for u.  $\Box$  Then, let's fix an arbitrary affine algebraic set V and consider algebraic sets contained in V.

**1.3.7 Definition.** If W is an algebraic affine set contained in V, then  $I(V) \subset I(W)$  and I(W) determine an ideal  $I_V(W)$  of the ring  $\Gamma(V)$  (namely its image, which is simply the set of  $f \in \Gamma(V)$  which vanish on W). We have an isomorphism  $\Gamma(V) \setminus I_V(W) \simeq \Gamma(W)$ , from which it follows that this ideal is also radical. We note that if I is an ideal of  $\Gamma(V)$ , then we can define V(I) either as the set of zeros of functions of I on V:

$$V(I) := \{ x \in V \mid \forall f \in I, f(x) = 0 \}$$

or, which amounts to the same thing, by setting  $V(I) = V(r^{-1}(I))$ , where r is the canonical projection of  $k[X_1, \ldots, X_n]$  onto  $\Gamma(V)$ .

- **1.3.8 Proposition.** There are mutually inverse decreasing bijections  $W \mapsto I_V(W)$  and  $I \mapsto V(I)$  between affine algebraic subsets contained in V and radical ideals of  $\Gamma(V)$ . Moreover, we have:
  - 1. W irreducible  $\Leftrightarrow I_V(W)$  prime  $\Leftrightarrow \Gamma(W)$  integral,
  - 2. W is a point  $\Leftrightarrow I_V(W)$  maximal  $\Leftrightarrow \Gamma(W) = k$ ,
  - 3. W is an irreducible component of  $V \Leftrightarrow I_V(W)$  is a minimal prime ideal of  $\Gamma(V)$ .
- **1.3.9 Definition.** To any  $x \in V$ , there corresponds a homomorphism of k-algebras  $\chi_x : \Gamma(V) \to k, f \mapsto f(x)$  whose kernel is the maximal ideal

$$\mathfrak{m}_x := I(\{x\}) = \{ f \in \Gamma(V) \mid f(x) = 0 \}.$$

The k-algebra homorphisms  $\chi: \Gamma(V) \to k$  are also called the **characters** of  $\Gamma(V)$ .

- **1.3.10 Proposition.** The points of V are in bijective correspondence with the maximal ideal of  $\Gamma(V)$ , or, alternatively, with characters of  $\Gamma(V)$ .
- **1.3.11 Proposition-Definition.** Let V be an affine algebraic set and let  $f \in \Gamma(V)$  be non-zero. The set

$$D_V(f) = V - V(f) = \{x \in V \mid f(x) \neq 0\}$$

(which we denote by D(f) when there is no risk of confusion) is called a **stand open set** of V. Every open set in V is a finite union of standard open sets.

# 1.4 Intersection of Plane Curves

We will now show that the intersection of two plane curves without common components is finite. In this section, k is an arbitrary field.

Fix  $F, G \in k[X, Y]$ , which are non-zero polynomials without common factors.

**1.4.1 Lemma.** There is a non-zero polynomial  $d \in k[X]$  and polynomials  $A, B \in k[X, Y]$  scuh that d = AF + BG. (In other words,  $d \in (F, G)$ .)

### Proof.

Regard F, G as polynomials in k(X)[Y]. Since F, G have no common factors, they are co-prime in k(X)[Y]. Then, by Bézout's identity, it follows that there are  $A, B \in k(X)[Y]$  such that

$$AF + BG = 1.$$

Canceling denominators, we can get the identity in the lemma.

**1.4.2 Theorem.** The ring k[X,Y]/(F,G) is a finite dimensional k-vector space.

#### Proof.

By 1.4.1, there are polynomials  $d_1 \in k[X], d_2 \in k[Y]$ , such that  $d_1, d_2 \in (F, G)$ . Let  $s = \deg(d_1), t = \deg(d_2)$ . We have all the  $X^iY^j$ 's (i < s, j < t) consist a basis of k[X, Y]/(F, G).

**1.4.3 Theorem.**  $V(F) \cap V(G)$  is finite.

#### Proof.

Since  $(F,G) \subset I(V(F,G))$ , we have a surjective homomorphism  $k[X,Y]/(F,G) \to \Gamma(V(F,G))$ , which is also a k-linear map. Therefore,  $\Gamma(V(F,G))$  is finite-denmensional. And by 1.3.6, it follows that V(F,G) is finite.

# 1.5 Morphisms

In this section we will assume that the field k is infinite.

**1.5.1 Definition.** Let  $V \subset k^n$  and  $W \subset k^m$  be two affine algebraic sets and let  $\varphi : V \to W$  be a map which we can write in the form  $\varphi = (\varphi_1, \ldots, \varphi_m)$ , where  $\varphi_i : V \to k$ . We say that f is **regular** (or a **morphism**) if its components  $f_i$  are polynomial (in other words,  $f_i \in \Gamma(V)$ ). We denote the set of regular maps from V to W by  $\operatorname{Reg}(V, W)$ .



**Note** It is clear that we obtain in this way a category: the identity is a morphism, as is the composition of two morphisms. We note that morphisms are continuous map for the Zariski topology (which is to say that the preimage of an algebraic set is again a algebraic set), but converse is false (for example, any bijective map from k to k is continuous for the Zariski topology but is not necessarily polynomial).

# **1.5.2 Example.**

- 1. The elements of  $\Gamma(V)$ , particularly the coordinate functions, are morphisms from V to k.
- 2. The bijective affine maps from  $k^n$  to itself are isomorphisms: they correspond to polynomials of degree 1.
- 3. Consider  $V \subset k^n$ . The projection f from V to  $k^p (p \leq n)$ , given by  $\varphi(x_1, \ldots, x_n) = (x_{i_1}, \ldots, x_{i_p})$ , is a morphism.
- 4. Let V be the parabola  $V(Y-X^2)$  and let f be the projection  $\varphi:V\to k, (x,y)\mapsto x$ . Then f is an isomorphism, whose inverse is given by  $x\mapsto (x,x^2)$ .
- 5. The map  $\varphi: k \to V(X^3 + Y^2 X^2)$ , given by the parameterisation  $x = t^2 1$ ,  $y = t(t^2 1)$  (obtained by intersection with the line Y = tX), is a morphism but not an isomorphism ( $\varphi$  is note injective).
- 6. The map  $\varphi: k \to V(Y^2 X^3)$  given by the parameterisation  $t \mapsto (t^2, t^3)$  is a bijective morphism, but we will see further on that it is not an isomorphism.

We have associated to an affine algebraic set V its affine algebra  $\Gamma(V)$  and started to set up a dictionary allowing us to pass from one to the other. Of course, we will have to extend this correspondence to morphisms: in other words, we must show it is functial.

**1.5.3 Proposition-Definition.** Let  $\varphi: V \to W$  be a morphism. For any  $f \in \Gamma(W)$ , we set  $\varphi^*(f) = f \circ \varphi$ . Then  $\varphi^*$  is a morphism of k-algebras,  $\varphi^*\Gamma(W) \to \Gamma(V)$ .

Now, we can regard  $\Gamma$  as a contravariant funtor from the category of affine algebraic sets with regular maps to the category of k-algebras with k-algebra morphisms.

We can calculate  $\varphi^*$  in the following way: let  $V \subset k^n$  and  $W \subset k^m$  be two affine algebraic sets and let  $\varphi: V \to W$  be a morphism, written in the form  $\varphi = (\varphi_1, \dots, \varphi_m)$ , where  $\varphi_i \in \Gamma(V)$ . We denote by  $\eta_i$  the *i*th coordinate function on W, which is the image of  $Y_i$  in  $\Gamma(W)$ . Then  $\varphi^*(\eta_i) = \varphi_i$ . If the functions  $\varphi_i$  are restriction to V of polynomials  $P_i(X_1, \dots, X_n)$ , then the homomorphism

$$\varphi^*: k[Y_1, \dots, Y_m]/I(W) \to k[X_1, \dots, X_n]/I(V)$$

is given by  $Y_i \mapsto \overline{P_i}(X_1, \dots, X_n)$ .

If  $\varphi(x) = y$ , then it is easily checked that  $(\varphi^*)^{-1}(\mathfrak{m}_x) = \mathfrak{m}_y$ .

# **1.5.4 Example.**

- 1. If  $\varphi$  is the projection  $V(F) \subset k^2 \to k$ , where  $\varphi(x,y) = x$ , then  $\varphi^*$  is the map from  $\Gamma(k) = k[X]$  to k[X,Y]/(F) which associates X to X.
- 2. Consider the parameterisation of  $V(Y^2 X^3)$  by  $t^2, t^3$ . We have

$$\varphi^* : k[X, Y]/(Y^2 - X^3) \to k[T],$$

which is given by  $\varphi^*(\overline{X}) = T^2$  and  $\varphi^*(\overline{Y}) = T^3$ .

Next, we will study the properties of the functor  $\Gamma$ .

**1.5.5 Proposition.** The functor  $\Gamma$  is fully faithful. In other words, the map  $\gamma: \varphi \mapsto \varphi^*$  from  $\operatorname{Reg}(V,W)$  to  $\operatorname{Hom}_{k\text{-}\mathbf{Alg}}(\Gamma(W),\Gamma(V))$  is bijective.

#### Proof.

We assume  $V \subset k^n$  and  $W \subset k^m$  are affine algebraic sets and the coordinate functions on W by  $\eta_i$ . ( $\Gamma$  is faithful).

Let  $\varphi$  and  $\psi$  are two morphisms from V to W such that  $\varphi^* = \psi^*$ . Then we have  $\varphi_i = \varphi^*(\eta_i) = \psi^*(\eta_i) = \psi_i$ ; and hence  $\varphi = \psi$ .

( $\Gamma$  is fully faithful).

Let  $\theta: \Gamma(W) \to \Gamma(V)$  be a homomorphism of k-algebras. We set  $\varphi_i = \theta(\eta_i) \in \Gamma V$ . We consider the map  $\varphi: V \to k^m$  whose coordinates are the elements  $\varphi_i$ . It remains to show the image of  $\varphi$  is contained in W. Consider  $F(Y_1, \ldots, Y_m) \in I(W)$  and  $x \in V$ . We have

$$F(\varphi_i(x)) = F(\theta(\eta_1)(x), \dots, \theta(\eta_n)(x))$$

$$= F(\theta(\eta_1), \dots, \theta(\eta_n))(x)$$

$$= \theta(F(\eta_1, \dots, \eta_n))(x)$$

$$= 0.$$

Therefore,  $\varphi$  is a morphism from V to W and  $\Gamma$  is fully faithful.

**1.5.6 Corollary.** Let  $\varphi: V \to W$  be a morphism. Then  $\varphi$  is an isomorphism if and only if  $\varphi^*$  is an isomorphism. It follows that V and W are isomorphic if and only if  $\Gamma(V)$  and  $\Gamma(W)$  are isomorphic.

**1.5.7 Example.** The morphism  $\varphi: k \to V(Y^2 - X^3)$  given by  $\varphi(t) = (t^2, t^3)$  is not an isomorphism.

**1.5.8 Definition.** Let  $\varphi: V \to W$  be a morphism. We say that  $\varphi$  is **dominant** if the closure of its image (in the Zariski topology) is equal to the whole of W,  $\overline{\varphi(V)} = W$ .

- **1.5.9 Proposition.** Let  $\varphi: V \to W$  be a morphism.
  - 1.  $\varphi$  dominant  $\Leftrightarrow \varphi^*$  injective.
  - 2. Assume that  $\varphi$  is dominant and V is irreducible. Then W is irreducible.

## Proof.

(1). If  $\varphi$  is dominant and  $f \in \ker \varphi^*$ , then  $f\varphi = 0$  and hence f vanishes on  $\varphi(V)$ . Since f is continuous, f vanishes on  $\overline{\varphi(V)} = W$ . Conversely, set  $X = \overline{\varphi(V)}$ . This is an affine algebraic set contained in W. Assume  $X \neq W$ . Then there exists a non-zero  $f \in \Gamma(W)$  which vanishes on X. But then  $f\varphi = \varphi^*(f) = 0$ , which is a contradiction.

**1.5.10 Theorem.** Assume that k is algebraically closed. The functor  $\Gamma$  is then an equivalence of categories

between the category of affine algebraic sets with regular maps and the category of reduced k-algebras of finite type with homomorphisms of k-algebras. (This means that the functor is fully faithful and essentially surjective.)

## Proof.

Let A be a reduced k-algebra of finite type. Since A is of finite type, we can write  $A \simeq k[X_1, \dots, X_n]/I$ , and since A is reduced, the ideal I is radical. We set V = V(I). We have  $I(V) = \sqrt{I} = I$  by the Nullstellensatz, and hence  $A \simeq \Gamma(V)$ .

**1.5.11 Definition.** Let V be an irreducible affine algebraic set, so the ring  $\Gamma(V)$  is integral. The field of fractions of  $\Gamma(V)$  is called the field of rational functions on V and is denoted by K(V).



**Note** If  $f \in K(V)$ , then f can be written in the form f = g/h, where  $g, h \in \Gamma(V)$  and  $h \neq 0$ . We can therefore consider f to be a function defined on the standard open set D(h) defined by  $h(x) \neq 0$ .