

# Chapter 1. Affine Algebraic Sets

The aim of our seminar is to study the properties of the zero locus of a polynomial or several polynomials. The first task for us is to study algebraic sets, the common zeros of some polynomials.

## 1.1 Affine Algebraic Sets

Let  $n$  be a positive integer, and  $k$  any field. Consider the affine space  $k^n$ . If  $x = (x_1, \dots, x_n)$  is a point in  $k^n$  and  $P(X_1, \dots, X_n)$  is a polynomial, we denote  $P(x_1, \dots, x_n)$  by  $P(x)$ .

**1.1.1 Definition.** Let  $S$  be a subset of  $k[X_1, \dots, X_n]$ . We set

$$V(S) := \{x \in k^n \mid \forall P \in S, P(x) = 0\},$$

in other words, the  $x \in V(S)$  are the common zeros of all the polynomials in  $S$ . We call  $V(S)$  the **affine algebraic set** defined by  $S$ . When the set  $S$  is finite, we will often write  $V(F_1, \dots, F_r)$  instead of  $V(\{F_1, \dots, F_r\})$ .

In particular, if  $F \in k[X_1, \dots, X_n]$ , we call  $V(F)$  the **hypersurface** defined by  $F$ . And if  $\deg F = 1$ , we call  $V(F)$  a **hyperplane**.

**1.1.2 Proposition.**

1. The function  $V$  is decreasing: If  $S \subset S'$ , then  $V(S') \subset V(S)$ .
2. If  $S \subset k[X_1, \dots, X_n]$ ,  $I = (S)$ , we have  $V(S) = V(I)$ .
3. Since  $k[X_1, \dots, X_n]$  is Noetherian, every ideal is finitely generated:  $I = (f_1, \dots, f_r)$ , and hence every affine algebraic set is defined by a finite number of equations  $V(I) = V(f_1) \cap \dots \cap V(f_r)$ .
4. A point of  $k^n$  is an affine algebraic set: if  $a = (a_1, \dots, a_n) \in k^n$ , then  $\{a\} = V(X_1 - a_1, \dots, X_n - a_n)$ .
5. An arbitrary intersection of affine algebraic sets is an affine algebraic set:

$$\bigcap_j V(S_j) = V\left(\bigcup_j S_j\right).$$

6. A finite union of affine algebraic sets is an affine algebraic set: if  $I, J$  are ideals, then

$$V(I) \cup V(J) = V(IJ) = V(I \cap J).$$

**1.1.3 Definition.** By 1.1.2, we can find that the affine algebraic sets verify the axioms for closed sets that define a topology. The **Zariski topology** on  $k^n$  is the topology whose closed sets are the affine algebraic sets. Of course, any subset  $X$  of  $k^n$  inherits an induced topology (again called the Zariski topology) whose closed sets are the sets of the form  $X \cap V(I)$ ; in particular, if  $X$  is an affine algebraic set, then the closed sets of  $X$  are affine algebraic sets contained in  $X$ .

**1.1.4 Definition.** Consider  $f \in k[X_1, \dots, X_n]$ . Define  $D(f) := k^n - V(f)$ , which is a Zariski open set of  $k^n$  and is called a **standard open set**. The standard open sets are a basis for this topology; more precisely, any open set  $U$  is a finite union of standard open sets.

**1.1.5 Definition.** Let  $V$  be a subset of  $k^n$ . The set

$$I(V) := \{f \in k[X_1, \dots, X_n] \mid \forall x \in V, f(x) = 0\}$$

is called the **ideal of  $V$** .

In other words,  $I(V)$  is the set of polynomial functions which vanish on  $V$ . To show that it is indeed an

ideal, we consider the ring homomorphism

$$r : k[X_1, \dots, X_n] \rightarrow \mathcal{F}(V, k),$$

with image in the ring of all  $k$ -valued functions on  $V$  associating to a polynomial the restriction of the associated polynomial function to  $V$ . Then we can find that  $I(V) = \ker r$ . The image of  $r$ , which is isomorphic to  $k[X_1, \dots, X_n]/I(V)$ , is denoted by  $\Gamma(V)$ . The ring  $\Gamma(V)$  is called the **affine algebra** of  $V$ , which is a  $k$ -algebra of finite type.

### 1.1.6 Proposition.

1. The map  $I$  is decreasing: If  $V \subset V'$ , then  $I(V') \subset I(V)$ .
2. If  $V$  is an affine algebraic set, then  $V(I(V)) = V$ . It follows that the map  $V \mapsto I(V)$  is injective, and hence if  $V \subsetneq W$ , then there exists a polynomial which vanishes on  $V$  and does not vanish on  $W$ .
3.  $I \subset I(V(I))$ .

**1.1.7 Proposition.** Assume that  $k$  is infinite. Then  $I(k^n) = 0$ .

**Proof.**

We proceed by induction on  $n$ .

( $n = 1$ ).

Since a non-zero polynomial has only a finite number of roots, the proposition holds.

( $n > 1$ ).

Let  $P \in k[X_1, \dots, X_n]$  be a non-zero polynomial. We can write  $P(X_1, \dots, X_n) = a_r(X_1, \dots, X_{n-1})X_n^r + \dots$ . And by the inductive hypothesis, there is  $(x_1, \dots, x_{n-1}) \in k^{n-1}$  such that  $a_r(x_1, \dots, x_{n-1}) \neq 0$ . Then  $P(x_1, \dots, x_{n-1}, X_n)$  has at most  $r$  roots; and hence there is  $x_n \in k$  such that  $P(x_1, \dots, x_n) \neq 0$ .  $\square$

### 1.1.8 Example.

1.  $I(\emptyset) = k[X_1, \dots, X_n]$ .
2.  $I(\{(a_1, \dots, a_n)\}) = (X_1 - a_1, \dots, X_n - a_n)$ .  
Let  $P \in I(\{(a_1, \dots, a_n)\})$ , we can write  $P = (X_1 - a_1)Q_1 + \dots + (X_n - a_n)Q_n + c$  where  $c \in k$ . Since  $P(a_1, \dots, a_n) = 0$ , we have  $c = 0$ .
3. Let us assume that  $k$  is infinite and calculate the ideal

$$I(V) = I(V(Y^2 - X^3))$$

in  $k[X, Y]$ . It's clear that  $(Y^2 - X^3) \subset I(V)$ . Conversely, we know that any point in  $V$  can be written as  $(t^2, t^3)$ , with  $t \in k$  (if  $x \neq 0$ , we take  $t = y/x$  and if  $x = 0$ , we take  $t = 0$ ). Suppose that  $P \in I(V)$ . We divide  $P$  by  $Y^2 - X^3$  with respect to the variable  $Y$ :

$$P = (Y^2 - X^3)Q(X, Y) + a(X)Y + b(X).$$

It follows that for any  $t \in k$ ,  $P(t^2, t^3) = 0 = a(t^2)t^3 + b(t^2)$ . Since  $k$  is infinite, we deduce that  $a(T^2)T^3 + b(T^2) = 0$  in  $k[T]$ . Separating the terms of odd and even degrees we get  $a = b = 0$  and we have hence proved that  $I(V) = (Y^2 - X^3)$ .

## 1.2 Irreducibility

**1.2.1 Definition.** Let  $X$  be a non-empty topological space. The following are equivalent:

1. If we can write  $X$  in the form  $X = F \cup G$ , where  $F$  and  $G$  are closed sets in  $X$ , then  $X = F$  or  $X = G$ .
2. If  $U, V$  are two open sets of  $X$  and  $U \cap V = \emptyset$ , then  $U = \emptyset$  or  $V = \emptyset$ .
3. Any non-empty open set of  $X$  is dense in  $X$ .

Under these conditions we say that  $X$  is **irreducible**.

### 1.2.2 Proposition.

1. If  $X$  is irreducible and  $U$  is an open subset of  $X$ , then  $U$  is irreducible.
2. If  $X$  is of the form  $U_1 \cup U_2$ , where  $U_i$  are open and irreducible, and  $U_1 \cap U_2 = \emptyset$ , then  $X$  is irreducible.

**1.2.3 Theorem.** Let  $V$  be an affine algebraic set equipped with its Zariski topology. Then,  $V$  irreducible  $\Leftrightarrow I(V)$  prime  $\Leftrightarrow \Gamma(V)$  integral.

#### Proof.

We only need to show  $V$  irreducible  $\Leftrightarrow I(V)$  prime.

If  $IJ \subset I(V)$  where  $I, J$  are ideals, we have  $V \subset V(I) \cup V(J)$ . Thus,  $V \subset V(I)$  or  $V \subset V(J)$ . And hence,  $I \subset I(V)$  or  $J \subset I(V)$ ; that is  $I(V)$  is prime.

Conversely, if  $V \subset U \cup W$  where  $U, W$  are closed sets, we have  $I(U)I(W) \subset I(V)$ . Hence,  $I(U) \subset I(V)$  or  $I(W) \subset I(V)$ . Therefore,  $V \subset U$  or  $V \subset W$  and  $V$  is irreducible.  $\square$

**1.2.4 Corollary.** Assume that  $k$  is infinite. Then the affine space  $k^n$  is irreducible.

**1.2.5 Proposition.** Let  $X$  be a topological space and  $Y$  a subspace of  $X$ . Then if  $Y$  is irreducible, so is its closure  $\overline{Y}$ . If  $U$  is an open set of  $X$ , then the maps  $Y \mapsto \overline{Y}$  and  $Z \mapsto Z \cap U$  are mutually inverse bijections between the irreducible closed sets  $Y$  in  $U$  and the irreducible closed sets  $Z$  in  $X$  which meet  $U$ .

**1.2.6 Theorem-Definition.** Let  $V$  be a non-empty affine algebraic set. We can write  $V$  uniquely (up to permutation) in the form  $V = V_1 \cup \dots \cup V_r$ , where sets  $V_i$  are irreducible affine algebraic sets and  $V_i \not\subset V_j$  for  $i \neq j$ . The sets  $V_i$  are called the **irreducible components** of  $V$ .

#### Proof.

(Existence).

We proceed by contradiction. Assume there exist non-decomposable affine algebraic sets and we pick one whose ideal is maximal amongst all such sets. (Such a  $V$  exists since the ring  $k[X_1, \dots, X_n]$  is Noetherian.) Since  $V$  is not irreducible, we can write  $V = U \cup W$ , where  $U, W \neq V$  and  $U, W$  are closed.

It follows by injectivity and of  $I$  that  $I(V) \subsetneq I(U), I(W)$ . By maximality of  $I(V)$ , it follows that  $U$  and  $W$  are composable:  $U = U_1 \cup \dots \cup U_r, W = W_1 \cup \dots \cup W_s$ , but  $V$  is decomposable, which gives us a contradiction.

(Uniqueness).

Assume given two expressions:  $V = V_1 \cup \dots \cup V_r = W_1 \cup \dots \cup W_s$ . We have  $V_i = V \cap V_i = (W_1 \cap V_i) \cup \dots \cup (W_s \cap V_i)$ . Since  $V_i$  is irreducible, there is a  $j$  such that  $V_i = W_j \cap V_i$ ; that is  $V_i \subset W_j$ . Likewise, there is a  $l$  such that  $W_j \subset V_l$ , and hence  $V_i \subset V_l$ , which implies by hypothesis that  $i = l$  and hence  $V_i = W_j$ .  $\square$

## 1.3 The Nullstellensatz

This is one of the first fundamental theorems of algebraic geometry. It controls the correspondence between affine algebraic sets and ideals; in particular, it enables us to calculate  $I(V(I))$ . **In this section, we assume that  $k$  is algebraically closed.**



**Note** Algebraically closed fields are always infinite.

**1.3.1 Theorem. (Weak Nullstellensatz)** Let  $I \subset k[X_1, \dots, X_n]$  be a proper ideal. Then  $V(I)$  is non-empty.

#### Proof.

Since every ideal is contained in a maximal ideal and the map  $V$  is decreasing, we can assume that  $I$  is maximal. Then let  $L = k[X_1, \dots, X_n]/I$ , which is both a field and a  $k$ -algebra of finite type. By the

Theorem 0.5.6, it follows that  $\partial_k L = \dim_K L = 0$ , which implies that  $L$  is algebraic over  $k$ . Since  $k$  is algebraically closed, then  $L = k$ . Therefore, for all  $X_i$ , there is  $a_i \in k$  such that  $X_i - a_i \in I$ . It follows by  $(X_1 - a_1, \dots, X_n - a_n)$  is a maximal ideal that  $I = (X_1 - a_1, \dots, X_n - a_n)$  and  $(a_1, \dots, a_n) \in V(I)$ .  $\square$

**1.3.2 Theorem. (Nullstellensatz)** *Let  $I$  be an ideal of  $k[X_1, \dots, X_n]$ . Then*

$$I(V(I)) = \sqrt{I}.$$

**Proof.**

We set

$$R = k[X_1, \dots, X_n], \quad I = (P_1, \dots, P_r) \quad \text{and} \quad V = V(I).$$

Since  $F^m(x) = 0 \Rightarrow F(x) = 0$ , then  $\sqrt{I} \subset I(V(I))$ . It remains to show  $I(V(I)) \subset \sqrt{I}$ ; that is, take  $F \in I(V(I))$ , show  $F \in \sqrt{I}$ . Since we have

$$\begin{aligned} F \in \sqrt{I} &\Leftrightarrow \exists m, F^m \in I \\ &\Leftrightarrow \exists m, F^m \in IR_F \\ &\Leftrightarrow IR_F = (1), \end{aligned}$$

we only need to show  $IR_F = (1)$ . But the ring  $R_F$  is isomorphic to  $k[X_1, \dots, X_n, T]/(1 - TF)$ . To show  $IR_F = (1)$ , it's sufficient to show  $J = k[X_1, \dots, X_n, T]$ , where  $J := (P_1, \dots, P_r, 1 - TF)$ .

Assume  $J \neq k[X_1, \dots, X_n, T]$ . By the Weak Nullstellensatz, it follows that  $V(J) \neq \emptyset$ . Take a point  $(x_1, \dots, x_n, t) \in V(J)$ . Then, for all  $1 \leq i \leq r$ ,  $P_i(x_1, \dots, x_n) = 0$ . Thus,  $(x_1, \dots, x_n) \in V$  and  $F(x_1, \dots, x_n) = 0$ . Hence,  $1 - TF$  can't vanish at  $(x_1, \dots, x_n, t)$ , which leads a contradiction.  $\square$

**1.3.3 Corollary.** *Consider  $F \in k[X_1, \dots, X_n]$ ,  $F = F_1^{\alpha_1} \cdots F_r^{\alpha_r}$ , where the  $F$  are irreducible and non-associated and  $\alpha_i > 0$ . We then have:*

1.  $I(V(F)) = (F_1 \cdots F_r)$ . In particular, if  $F$  is irreducible, then  $I(V(F)) = (F)$ .
2. The decomposition of  $V(F)$  into irreducible components is given by  $V(F) = V(F_1) \cup \cdots \cup V(F_r)$ . In particular, if  $F$  is irreducible, then  $V(F)$  is as well.

**1.3.4 Corollary.** *Let  $V$  be an affine algebraic set. We associate to  $V$  its ideal  $I(V)$  and its affine algebra  $\Gamma(V) \simeq k[X_1, \dots, X_n]/I(V)$ , which is a reduced  $k$ -algebra of finite type.*

**1.3.5 Corollary.** *There is a decreasing bijection  $W \mapsto I(W)$ , whose inverse is  $I \mapsto V(I)$ , between affine algebraic sets in  $k^n$  and radical ideals in  $k[X_1, \dots, X_n]$ . Moreover, we have:*

1.  $W$  irreducible  $\Leftrightarrow I(W)$  prime  $\Leftrightarrow \Gamma(W)$  integral;
2.  $W$  is a point  $\Leftrightarrow I(W)$  maximal  $\Leftrightarrow \Gamma(W) = k$ .

**1.3.6 Proposition.**  *$V$  is finite  $\Leftrightarrow \Gamma(V)$  is a finite-dimensinal  $k$ -vector space.*

**Proof.**

( $\Rightarrow$ ). Consider the ring homomorphism  $r$  in 1.1.5. When  $V$  is finite,  $\mathcal{F}(V, k)$  is a finite-dimensinal  $k$ -vector space; and hence,  $\Gamma(V) = \text{im } r$  is finite-dimensinal.

( $\Leftarrow$ ). Let  $\overline{X_i}$  be the image of  $X_i$  in  $\Gamma(V)$ . The elements  $1, \overline{X_i}, \overline{X_i}^2, \dots$  are linear dependent, and hence in  $\Gamma(V)$  there is an identity

$$a_s \overline{X_i}^s + \cdots + a_1 \overline{X_i} + a_0 = 0,$$

where  $a_j \in k$  and  $a_s \neq 0$ . If  $u = (x_1, \dots, x_n)$  is an arbitrary point of  $V$ , it follows that we also have

$$a_s x_i^s + \cdots + a_1 x_i + a_0 = 0$$

and hence there are only a finite number of possible values for the  $i$ th coordinate of  $u$  and hence also for  $u$ .  $\square$

Then, let's fix an arbitrary affine algebraic set  $V$  and consider algebraic sets contained in  $V$ .

**1.3.7 Definition.** If  $W$  is an algebraic affine set contained in  $V$ , then  $I(V) \subset I(W)$  and  $I(W)$  determine an ideal  $I_V(W)$  of the ring  $\Gamma(V)$  (namely its image, which is simply the set of  $f \in \Gamma(V)$  which vanish on  $W$ ). We have an isomorphism  $\Gamma(V)/I_V(W) \simeq \Gamma(W)$ , from which it follows that this ideal is also radical. We note that if  $I$  is an ideal of  $\Gamma(V)$ , then we can define  $V(I)$  either as the set of zeros of functions of  $I$  on  $V$ :

$$V(I) := \{x \in V \mid \forall f \in I, f(x) = 0\}$$

or, which amounts to the same thing, by setting  $V(I) = V(r^{-1}(I))$ , where  $r$  is the canonical projection of  $k[X_1, \dots, X_n]$  onto  $\Gamma(V)$ .

**1.3.8 Proposition.** There are mutually inverse decreasing bijections  $W \mapsto I_V(W)$  and  $I \mapsto V(I)$  between affine algebraic subsets contained in  $V$  and radical ideals of  $\Gamma(V)$ . Moreover, we have:

1.  $W$  irreducible  $\Leftrightarrow I_V(W)$  prime  $\Leftrightarrow \Gamma(W)$  integral,
2.  $W$  is a point  $\Leftrightarrow I_V(W)$  maximal  $\Leftrightarrow \Gamma(W) = k$ ,
3.  $W$  is an irreducible component of  $V \Leftrightarrow I_V(W)$  is a minimal prime ideal of  $\Gamma(V)$ .

**1.3.9 Definition.** To any  $x \in V$ , there corresponds a homomorphism of  $k$ -algebras  $\chi_x : \Gamma(V) \rightarrow k, f \mapsto f(x)$  whose kernel is the maximal ideal

$$\mathfrak{m}_x := I(\{x\}) = \{f \in \Gamma(V) \mid f(x) = 0\}.$$

The  $k$ -algebra homomorphisms  $\chi : \Gamma(V) \rightarrow k$  are also called the **characters** of  $\Gamma(V)$ .

**1.3.10 Proposition.** The points of  $V$  are in bijective correspondence with the maximal ideal of  $\Gamma(V)$ , or, alternatively, with characters of  $\Gamma(V)$ .

**1.3.11 Proposition-Definition.** Let  $V$  be an affine algebraic set and let  $f \in \Gamma(V)$  be non-zero. The set

$$D_V(f) = V - V(f) = \{x \in V \mid f(x) \neq 0\}$$

(which we denote by  $D(f)$  when there is no risk of confusion) is called a **standard open set** of  $V$ . Every open set in  $V$  is a finite union of standard open sets.

## 1.4 Intersection of Plane Curves

We will now show that the intersection of two plane curves without common components is finite. In this section,  $k$  is an arbitrary field.

Fix  $F, G \in k[X, Y]$ , which are non-zero polynomials without common factors.

**1.4.1 Lemma.** There is a non-zero polynomial  $d \in k[X]$  and polynomials  $A, B \in k[X, Y]$  such that  $d = AF + BG$ . (In other words,  $d \in (F, G)$ .)

**Proof.**

Regard  $F, G$  as polynomials in  $k(X)[Y]$ . Since  $F, G$  have no common factors, they are co-prime in  $k(X)[Y]$ . Then, by Bézout's identity, it follows that there are  $A, B \in k(X)[Y]$  such that

$$AF + BG = 1.$$

Canceling denominators, we can get the identity in the lemma. □

**1.4.2 Theorem.** The ring  $k[X, Y]/(F, G)$  is a finite dimensional  $k$ -vector space.

**Proof.**

By 1.4.1, there are polynomials  $d_1 \in k[X], d_2 \in k[Y]$ , such that  $d_1, d_2 \in (F, G)$ . Let  $s = \deg(d_1), t = \deg(d_2)$ . We have all the  $X^i Y^j$ 's ( $i < s, j < t$ ) consist a basis of  $k[X, Y]/(F, G)$ .  $\square$

**1.4.3 Theorem.**  $V(F) \cap V(G)$  is finite.

**Proof.**

Since  $(F, G) \subset I(V(F, G))$ , we have a surjective homomorphism  $k[X, Y]/(F, G) \rightarrow \Gamma(V(F, G))$ , which is also a  $k$ -linear map. Therefore,  $\Gamma(V(F, G))$  is finite-dimensional. And by 1.3.6, it follows that  $V(F, G)$  is finite.  $\square$

## 1.5 Morphisms

In this section we will assume that the field  $k$  is infinite.

**1.5.1 Definition.** Let  $V \subset k^n$  and  $W \subset k^m$  be two affine algebraic sets and let  $\varphi : V \rightarrow W$  be a map which we can write in the form  $\varphi = (\varphi_1, \dots, \varphi_m)$ , where  $\varphi_i : V \rightarrow k$ . We say that  $f$  is **regular** (or a **morphism**) if its components  $f_i$  are polynomial (in other words,  $f_i \in \Gamma(V)$ ). We denote the set of regular maps from  $V$  to  $W$  by  $\text{Reg}(V, W)$ .



**Note** It is clear that we obtain in this way a category: the identity is a morphism, as is the composition of two morphisms. We note that morphisms are continuous map for the Zariski topology (which is to say that the preimage of an algebraic set is again a algebraic set), but converse is false (for example, any bijective map from  $k$  to  $k$  is continuous for the Zariski topology but is not necessarily polynomial).

**1.5.2 Example.**

1. The elements of  $\Gamma(V)$ , particularly the coordinate functions, are morphisms from  $V$  to  $k$ .
2. The bijective affine maps from  $k^n$  to itself are isomorphisms: they correspond to polynomials of degree 1.
3. Consider  $V \subset k^n$ . The projection  $f$  from  $V$  to  $k^p$  ( $p \leq n$ ), given by  $\varphi(x_1, \dots, x_n) = (x_{i_1}, \dots, x_{i_p})$ , is a morphism.
4. Let  $V$  be the parabola  $V(Y - X^2)$  and let  $f$  be the projection  $\varphi : V \rightarrow k, (x, y) \mapsto x$ . Then  $f$  is an isomorphism, whose inverse is given by  $x \mapsto (x, x^2)$ .
5. The map  $\varphi : k \rightarrow V(X^3 + Y^2 - X^2)$ , given by the parameterisation  $x = t^2 - 1, y = t(t^2 - 1)$  (obtained by intersection with the line  $Y = tX$ ), is a morphism but not an isomorphism ( $\varphi$  is not injective).
6. The map  $\varphi : k \rightarrow V(Y^2 - X^3)$  given by the parameterisation  $t \mapsto (t^2, t^3)$  is a bijective morphism, but we will see further on that it is not an isomorphism.

We have associated to an affine algebraic set  $V$  its affine algebra  $\Gamma(V)$  and started to set up a dictionary allowing us to pass from one to the other. Of course, we will have to extend this correspondence to morphisms: in other words, we must show it is functorial.

**1.5.3 Proposition-Definition.** Let  $\varphi : V \rightarrow W$  be a morphism. For any  $f \in \Gamma(W)$ , we set  $\varphi^*(f) = f \circ \varphi$ . Then  $\varphi^*$  is a morphism of  $k$ -algebras,  $\varphi^* \Gamma(W) \rightarrow \Gamma(V)$ .

Now, we can regard  $\Gamma$  as a contravariant functor from the category of affine algebraic sets with regular maps to the category of  $k$ -algebras with  $k$ -algebra morphisms.

We can calculate  $\varphi^*$  in the following way: let  $V \subset k^n$  and  $W \subset k^m$  be two affine algebraic sets and let  $\varphi : V \rightarrow W$  be a morphism, written in the form  $\varphi = (\varphi_1, \dots, \varphi_m)$ , where  $\varphi_i \in \Gamma(V)$ . We denote by  $\eta_i$  the  $i$ th coordinate function on  $W$ , which is the image of  $Y_i$  in  $\Gamma(W)$ . Then  $\varphi^*(\eta_i) = \varphi_i$ . If the functions  $\varphi_i$  are

restriction to  $V$  of polynomials  $P_i(X_1, \dots, X_n)$ , then the homomorphism

$$\varphi^* : k[Y_1, \dots, Y_m]/I(W) \rightarrow k[X_1, \dots, X_n]/I(V)$$

is given by  $Y_i \mapsto \overline{P_i}(X_1, \dots, X_n)$ .

If  $\varphi(x) = y$ , then it is easily checked that  $(\varphi^*)^{-1}(\mathfrak{m}_x) = \mathfrak{m}_y$ .

#### 1.5.4 Example.

1. If  $\varphi$  is the projection  $V(F) \subset k^2 \rightarrow k$ , where  $\varphi(x, y) = x$ , then  $\varphi^*$  is the map from  $\Gamma(k) = k[X]$  to  $k[X, Y]/(F)$  which associates  $X$  to  $X$ .
2. Consider the parameterisation of  $V(Y^2 - X^3)$  by  $t^2, t^3$ . We have

$$\varphi^* : k[X, Y]/(Y^2 - X^3) \rightarrow k[T],$$

which is given by  $\varphi^*(\overline{X}) = T^2$  and  $\varphi^*(\overline{Y}) = T^3$ .

Next, we will study the properties of the functor  $\Gamma$ .

**1.5.5 Proposition.** *The functor  $\Gamma$  is fully faithful. In other words, the map  $\gamma : \varphi \mapsto \varphi^*$  from  $\text{Reg}(V, W)$  to  $\text{Hom}_{k\text{-Alg}}(\Gamma(W), \Gamma(V))$  is bijective.*

**Proof.**

We assume  $V \subset k^n$  and  $W \subset k^m$  are affine algebraic sets and the coordinate functions on  $W$  by  $\eta_i$ .

( $\Gamma$  is faithful).

Let  $\varphi$  and  $\psi$  are two morphisms from  $V$  to  $W$  such that  $\varphi^* = \psi^*$ . Then we have  $\varphi_i = \varphi^*(\eta_i) = \psi^*(\eta_i) = \psi_i$ ; and hence  $\varphi = \psi$ .

( $\Gamma$  is fully faithful).

Let  $\theta : \Gamma(W) \rightarrow \Gamma(V)$  be a homomorphism of  $k$ -algebras. We set  $\varphi_i = \theta(\eta_i) \in \Gamma V$ . We consider the map  $\varphi : V \rightarrow k^m$  whose coordinates are the elements  $\varphi_i$ . It remains to show the image of  $\varphi$  is contained in  $W$ . Consider  $F(Y_1, \dots, Y_m) \in I(W)$  and  $x \in V$ . We have

$$\begin{aligned} F(\varphi_i(x)) &= F(\theta(\eta_1)(x), \dots, \theta(\eta_m)(x)) \\ &= F(\theta(\eta_1), \dots, \theta(\eta_m))(x) \\ &= \theta(F(\eta_1, \dots, \eta_m))(x) \\ &= 0. \end{aligned}$$

Therefore,  $\varphi$  is a morphism from  $V$  to  $W$  and  $\Gamma$  is fully faithful.  $\square$

**1.5.6 Corollary.** *Let  $\varphi : V \rightarrow W$  be a morphism. Then  $\varphi$  is an isomorphism if and only if  $\varphi^*$  is an isomorphism. It follows that  $V$  and  $W$  are isomorphic if and only if  $\Gamma(V)$  and  $\Gamma(W)$  are isomorphic.*

**1.5.7 Example.** The morphism  $\varphi : k \rightarrow V(Y^2 - X^3)$  given by  $\varphi(t) = (t^2, t^3)$  is not an isomorphism.

**1.5.8 Definition.** *Let  $\varphi : V \rightarrow W$  be a morphism. We say that  $\varphi$  is **dominant** if the closure of its image (in the Zariski topology) is equal to the whole of  $W$ ,  $\overline{\varphi(V)} = W$ .*

**1.5.9 Proposition.** *Let  $\varphi : V \rightarrow W$  be a morphism.*

1.  $\varphi$  dominant  $\Leftrightarrow \varphi^*$  injective.
2. Assume that  $\varphi$  is dominant and  $V$  is irreducible. Then  $W$  is irreducible.

**Proof.**

(1). If  $\varphi$  is dominant and  $f \in \ker \varphi^*$ , then  $f\varphi = 0$  and hence  $f$  vanishes on  $\varphi(V)$ . Since  $f$  is continuous,  $f$  vanishes on  $\overline{\varphi(V)} = W$ . Conversely, set  $X = \overline{\varphi(V)}$ . This is an affine algebraic set contained in  $W$ . Assume  $X \neq W$ . Then there exists a non-zero  $f \in \Gamma(W)$  which vanishes on  $X$ . But then  $f\varphi = \varphi^*(f) = 0$ , which is a contradiction.



(2). It follows from (1) and 1.3.5. □

**1.5.10 Theorem.** *Assume that  $k$  is algebraically closed. The functor  $\Gamma$  is then an equivalence of categories between the category of affine algebraic sets with regular maps and the category of reduced  $k$ -algebras of finite type with homomorphisms of  $k$ -algebras. (This means that the functor is fully faithful and essentially surjective.)*

**Proof.**

Let  $A$  be a reduced  $k$ -algebra of finite type. Since  $A$  is of finite type, we can write  $A \simeq k[X_1, \dots, X_n]/I$ , and since  $A$  is reduced, the ideal  $I$  is radical. We set  $V = V(I)$ . We have  $I(V) = \sqrt{I} = I$  by the Nullstellensatz, and hence  $A \simeq \Gamma(V)$ . □

**1.5.11 Definition.** *Let  $V$  be an irreducible affine algebraic set, so the ring  $\Gamma(V)$  is integral. The field of fractions of  $\Gamma(V)$  is called the field of rational functions on  $V$  and is denoted by  $K(V)$ .*



**Note** *If  $f \in K(V)$ , then  $f$  can be written in the form  $f = g/h$ , where  $g, h \in \Gamma(V)$  and  $h \neq 0$ . We can therefore consider  $f$  to be a function defined on the standard open set  $D(h)$  defined by  $h(x) \neq 0$ .*