

# I3307 - Theory of Computation

Binary alphabet is  $\{0, 1\}$

English alphabet is  $\{a, b, \dots, z\}$

- \* **Alphabet:** ( $\Sigma$ ) a finite set of symbols
- \* **String:** finite sequence of symbols from an alphabet.

Note: the empty string  $\lambda$  contains no symbols.

• length of a string is its length as a sequence.  $|\text{abbab}| = 5$

•  $\Sigma^*$ : set of all possible strings in an alphabet.  $|\lambda| = 0$

• **Concatenation** ( $\circ$ ):  $u = a_1 a_2 \dots a_n$   
 $v = b_1 b_2 \dots b_m$      $u \circ v = uv = a_1 a_2 \dots a_n b_1 b_2 \dots b_m$

•  $\lambda u = u \lambda = u$

• in general,  $uv \neq vu$

•  $|uv| = |u| + |v|$

• **Reverse**:  $u = a_1 a_2 \dots a_n$      $u^R = a_n a_{n-1} \dots a_1$

•  $(uv)^R = v^R u^R$

• **Power**:  $u^n = \underbrace{u u u \dots u}_{n \text{ times}}$

•  $u^0 = \lambda$

•  $u$  palindrome  $\Leftrightarrow u^n$  palindrome

- \* **Language**: set of strings defined over an alphabet.

• **union**:  $L_1 \cup L_2 = \{w \mid w \in L_1 \text{ or } w \in L_2\}$  inclusive-or: can be either

• **intersection**:  $L_1 \cap L_2 = \{w \mid w \in L_1 \text{ and } w \in L_2\}$  or both.

• **Complement**:  $(\bar{L}) = \{w \mid w \notin L\}$  unless specified, consider the complement with respect to  $\Sigma^*$ .

• **Concatenation**:  $L_1 \circ L_2 = \{w = xy \mid x \in L_1, y \in L_2\}$

• **Reverse**:  $L_1^R = \{w^R \mid w \in L\}$

• **Power**:  $L^n = \underbrace{L \circ L \circ L \dots \circ L}_{n \text{ times}}$      $L^n = L \circ L^{n-1}$

•  $L^0 = \lambda$

Note: just like strings, we can denote  $L^k$  as  $L^k$  for simplicity.

• **Kleene Closure**:  $L^* = \bigcup_{i \in \mathbb{N}} L^i = \{\lambda, \underbrace{\dots, \dots, \dots}_{L^1}, \underbrace{\dots, \dots, \dots}_{L^2}, \dots\}$

• **Positive Closure**:  $L^+ = L^* \setminus \{\lambda\}$   $L^+$  is closed under concatenation.

•  $L^* = L^* L^*$      $(L^*, \circ)$  is closed  $\leftarrow x \in L^*, y \in L^* \Rightarrow xy = xy \in L^*$

Reminder:

to prove something false: counter example

to prove it true: Method 1:

taking general values  
 $(\text{let } x \in, y \in, \dots)$

Method 2: Induction

{ - prove true for base case  
- assume true for  $K^i$   
- prove true for  $K^{i+1}$

\* **Regular Expressions**: pattern that matches a set of strings.  
It uses (\*) the Kleene star that indicates the pattern repeats 0 or more times.

ex:  $ab(a^*)$  matches ab, aba, abaaa, etc...

$L(r)$  is the language of the set of strings matching 'r'.

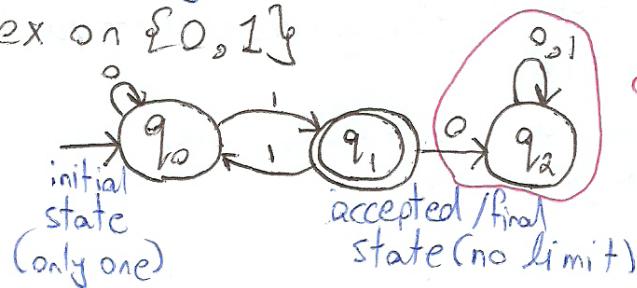
$$\cdot L(r_1 + r_2) = L(r_1) \cup L(r_2) \quad \text{ex: } (a+b) \text{ matches } a \text{ or } b.$$

$$\cdot L(r_1 r_2) = L(r_1) L(r_2) = \{xy \mid x \in L(r_1) \text{ and } y \in L(r_2)\}$$

$$\cdot L(r^*) = \{x_1 x_2 x_3 \dots x_n \mid x_i \in L(r)\}$$

Notes:  $L((a+b)^*)$  = any string in  $\{a, b\}^*$   $\neq L(a^* + b^*)$  = any string in  $\{a\}^*$  or  $\{b\}^*$

\* **Automata**: ex on  $\{0, 1\}$



**dead end**: a state that can never send us to an accepted state. Not adding a transition is equivalent of that transition being a dead end.

**Deterministic Final Automata (DFA)**: only one transition can leave a state for the same symbol.

defined by  $(Q, \Sigma, \delta, q_0, F)$   
 finite set of states      input alphabet      initial state  
 ex:  $\delta \begin{array}{|c|} \hline 0 & 1 \\ \hline q_0 & q_0 q_1 \\ q_1 & q_1 q_1 \\ \hline \end{array}$       transition function      set of final states



**Regular Language**: accepted by a certain DFA.

- $L$  is regular  $\Leftrightarrow \bar{L}$  is regular.
- $L_1$  and  $L_2$  are regular  $\Rightarrow$  so is  $(L_1 \cup L_2)$  and  $(L_1 \cap L_2)$

**Grammar**:  $(V, \Sigma, R, S)$

$$\text{ex: } \begin{array}{l} S \rightarrow xS \\ S \rightarrow y \\ S \rightarrow yT \\ T \rightarrow z \end{array} \quad \begin{array}{l} \text{abbreviated} \\ S \rightarrow xSlyTyT \\ T \rightarrow z \end{array}$$

A grammar is regular if the language defined by it is regular.

$S \Rightarrow xS \Rightarrow xxS \Rightarrow \dots$   
 at any point we can also replace  $S$  with  $y$  or  $yT$   
 which is  $yZ$  then?  
 $S \Rightarrow xS \Rightarrow xy \quad \{x, xy, xyz, \dots\}$   
 $\Rightarrow xyz \quad \{xx, xxy, xxyz, \dots\}$   
 $\Rightarrow \dots \quad \{xxx, \dots\}$   
 Language defined by this grammar.

Nondeterministic Final Automata (NFA): multiple paths of the same symbol

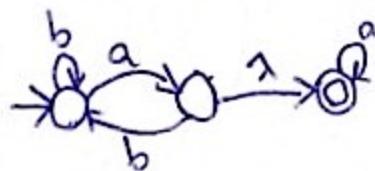
[DFA is a type of NFA] can leave each state.

defined by  $(Q, \Sigma, \Delta, q_0, F)$

set of states  
alphabet  
transition function  
initial state  
set of final states

powerset of  $Q$ :  
 $\Delta = Q \times \Sigma \rightarrow P(Q)$   
 $\{q_1\}, \{q_2\}, \{q_1, q_2\}, \dots \}$

NFAs can also have  $\lambda$ -transitions:



When traversing, taking a  $\lambda$  transition is optional

$\Rightarrow$  there are multiple ways to traverse an NFA with the same input string.

A string only has to match one path to a final state to be accepted by an NFA.

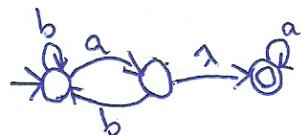
Nondeterministic Final Automata (NFA) : multiple paths of the same symbol can leave each state.  
 [DFA is a type of NFA]

defined by  $(Q, \Sigma, \Delta, q_0, F)$

$\begin{matrix} \uparrow & \uparrow & \uparrow & \uparrow & \uparrow \\ \text{set of states} & \text{alphabet} & \text{transition function} & \text{initial state} & \text{set of final states} \end{matrix}$

$\Delta = Q \times \Sigma \rightarrow P(Q)$  powerset of  $Q$ :  $\{\emptyset, \{q_1\}, \{q_2\}, \{q_1, q_2\}, \dots\}$

NFAs can also have  $\lambda$ -transitions:



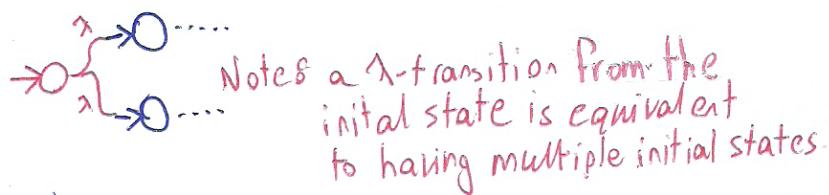
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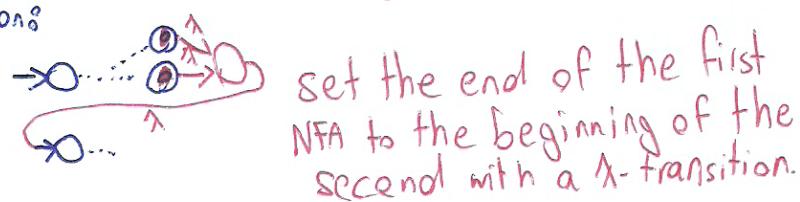
- Every NFA has an equivalent ( $\approx$ ) DFA.
- Every NFA  $\approx$  to an NFA with one single accepting states



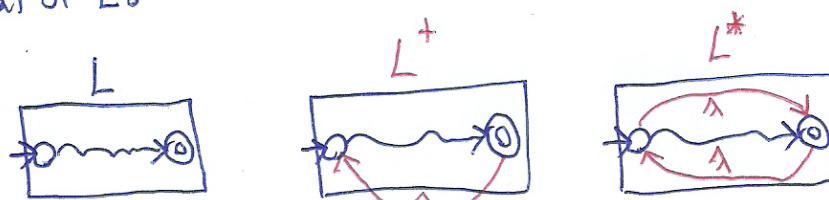
- Union with NFAs



- NFA concatenations

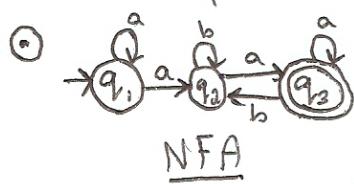


- NFA of  $L^*$  from that of  $L$

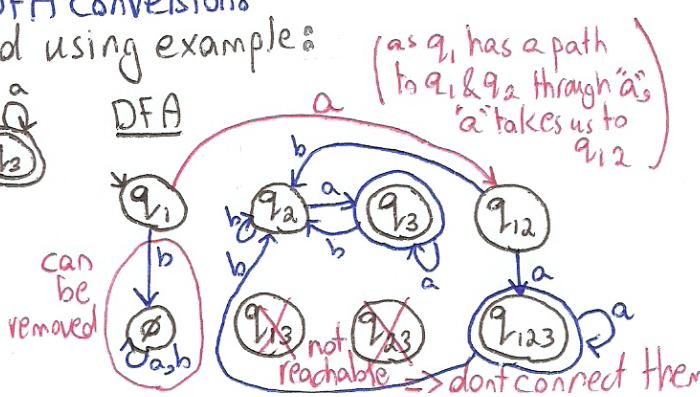


- NFA to DFA conversion

explained using example:



DFA



- For  $q_{12}$  and 'b':  
 $q_1 + b \rightarrow \emptyset \} \rightarrow \emptyset q_{12}$   
 $q_2 + b \rightarrow q_2 \} \text{ which is } q_{12}$
- 'a':  
 $q_1 + a \rightarrow q_1 \& q_{12} \} \rightarrow q_{1,23}$   
 $q_2 + a \rightarrow q_3 \} \rightarrow q_{1,23}$