

# RPC: Remote Procedure Call

February 24, 2021

# Roadmap

Idea

Implementation

Transparency

RPC Semantics in the Presence of Faults

Further Reading

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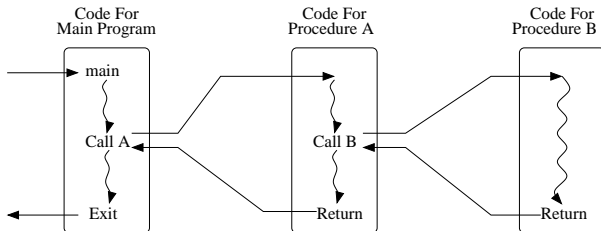
Further Reading

# Remote Procedure Call (RPC)

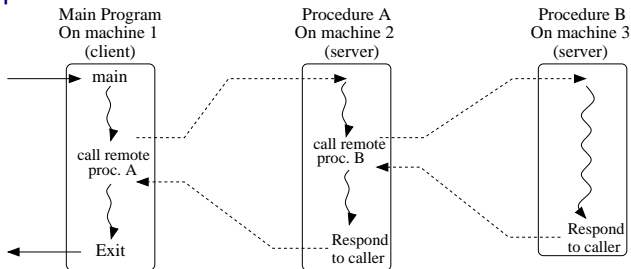
- ▶ Message-based programming with `send()`/`receive()` primitives is not convenient
  - ▶ depends on the communication protocol used (TCP vs. UDP)
  - ▶ requires the specification of an application protocol
  - ▶ akin to I/O
- ▶ Function/procedure call in a remote computer
  - ▶ is a familiar paradigm
  - ▶ eases transparency
  - ▶ is particularly suited for client-server applications

# RPC: the Idea

## Local procedure call:

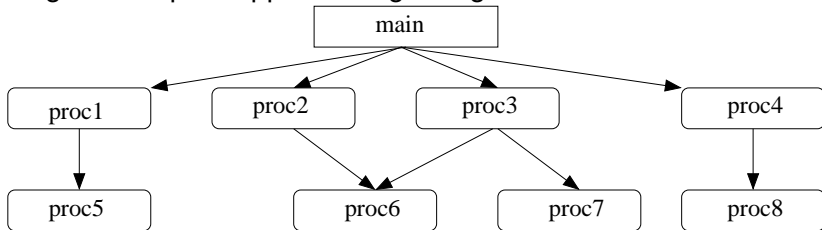


## Remote procedure call:

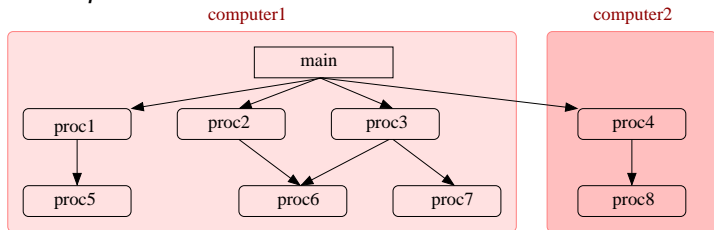


# Program Development with RPCs: the Vision

- Design/develop an application ignoring distribution



- Distribute *a posteriori*



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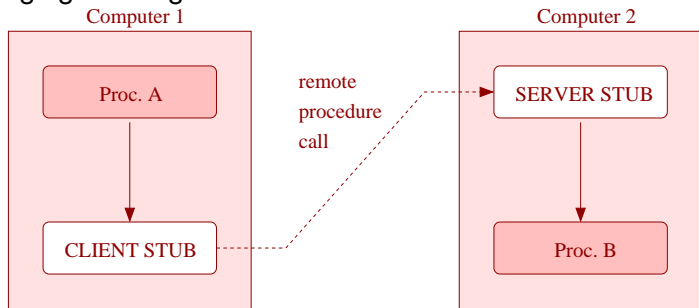
# RPC Stub Routines

- Ensure RPC transparency

**Client** invokes the **client stub** – a local function

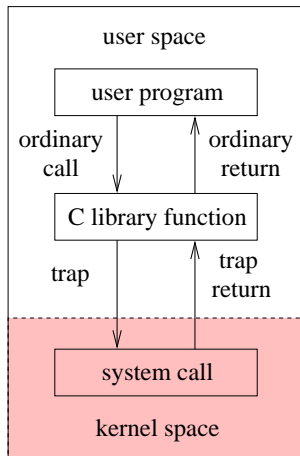
**Remote function** is invoked by the **server stub** – a local function

- The stub routines communicate with one another by exchanging messages

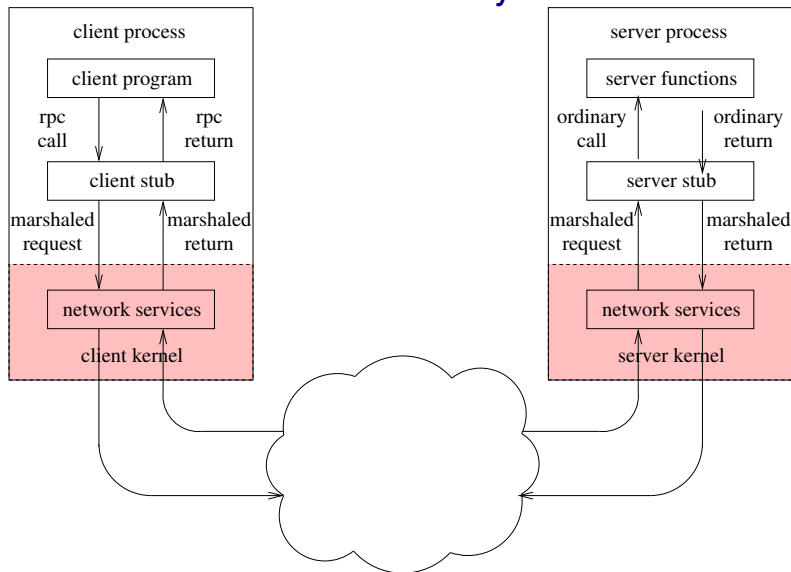




# Well Known Trick: also Used for System Calls



# Typical Architecture of an RPC System



**Obs.** RPC is typically implemented on top of the transport layer (TCP/IP)

# Client Stub

## Request

1. Assembles message: **parameter marshalling**
2. Sends message, via `write()` / `sendto()` to server
3. Blocks waiting for response, via `read()` / `recvfrom()`
  - ▶ Not in the case of **asynchronous RPC**

## Response

1. Receives responses
2. Extracts the results (**unmarshalling**)
3. Returns to client
  - ▶ Assuming **synchronous RPC**

# Server Stub

## Request

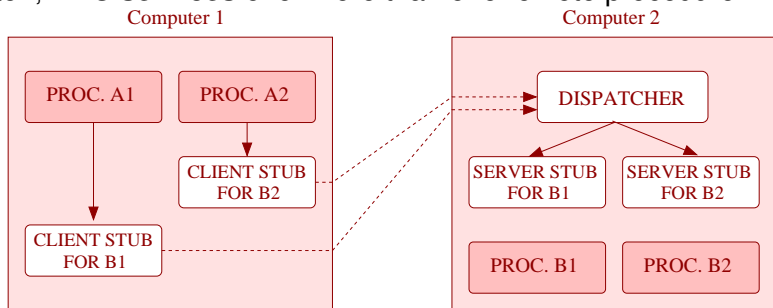
1. Receives message with request, via `read()` / `recvfrom()`
2. Parses message to determine arguments (**unmarshalling**)
3. Calls function

## Response

1. Assembles message with the return value of the function
2. Sends message, via `write()` / `sendto()`
3. Blocks waiting for a new request

# RPC: Dispatching

- ▶ Often, **RPC services** offer more than one remote procedure:



- ▶ The identification of the procedure is performed by the **dispatcher**
  - ▶ This leads to a hierarchical name space (**service, procedure**)

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# Transparency: Platform Heterogeneity

Problems at least two:

1. Different architectures use different formats
  - ▶ 1's-complement vs. 2's complement
  - ▶ big-endian vs. little-endian
  - ▶ ASCII vs. UTF-??
2. Languages or compilers may use different representations for composite data-structures

Solution mainly two:

standardize format in the wires

- + needs only two conversions in each platform
- may not be efficient

receiver-makes-right

# Transparency: Addresses as Arguments

**Issue** The meaning of an address (C pointer) is specific to a process

**Solution** Use **call-by-copy/restore** for parameter passing

- + Works in most cases
- Complex
  - ▶ The same address may be passed in different arguments
- Inefficient
  - ▶ For complex data structures, e.g. trees



# Transparency in the Presence of Faults

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  - ▶ Must save most recent responses for replay, if the request is not **idempotent**

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**Issue** A client cannot distinguish between loss of a request, loss of a response or a server crash

- ▶ The absence of a response may be caused by a slow network/server

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**RPC Semantics in the Presence of Faults**

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# RPC Semantics in the Presence of Faults (Spector82)

**Question** What can a client expect when there is a fault?

**Answer** Depends on the semantics in the presence of faults provided by the RPC system

**At-least-once** Client stub must keep retransmitting until it obtains a response

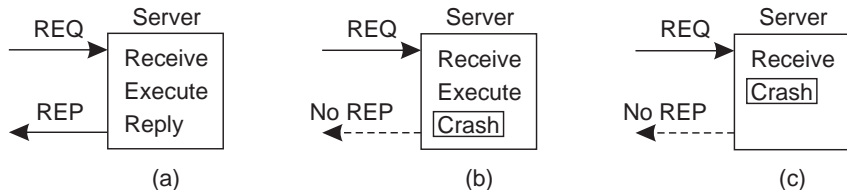
- ▶ Be careful with non-idempotent operations
- ▶ Spector allows for zero executions in case of server failure

**At-most-once** Not trivial if you use a non-reliable transport, e.g. UDP.

- ▶ If the RPC uses TCP, it may report an error when the TCP connection breaks

**Exactly-once** Not always possible to ensure this semantics, especially if there are external actions that cannot be undone

# Faults and Exactly-once Semantics



**Problem** In the case of external actions, e.g. file printing, it is virtually impossible to ensure Exactly-once Semantics

**Server policy** One of two:

1. Send an **ACK** after printing
2. Send an **ACK** before printing

**Client policy** One of four:

1. Never resend the request
2. Always resend the request
3. Resend the request when it receives an **ACK**
4. Resend the request when it does not receive an **ACK**

# Server Faults and Exactly-once Semantics

**Scenario** Server crashes and quickly recovers so that it is able to handle client retransmission, but **it has lost all state**

Let

A: ACK

P: print

C: crash

**Fault scenarios** (ACK $\rightarrow$ P)

1. A $\rightarrow$ P $\rightarrow$ C
2. A $\rightarrow$ C ( $\rightarrow$ P)
3. C ( $\rightarrow$ A $\rightarrow$ P)

**Fault scenarios** (P $\rightarrow$ ACK)

1. P $\rightarrow$ A $\rightarrow$ C
2. P $\rightarrow$ C ( $\rightarrow$ A)
3. C ( $\rightarrow$ P $\rightarrow$ A)

## Client

### Reissue Strategy

Always
Never
When Ack
When not Ack

OK = Text printed once

### Strategy A $\rightarrow$ P

APC	AC(P)	C(AP)
Dup	OK	OK
OK	Zero	Zero
Dup	OK	Zero
OK	Zero	OK

Dup = Text printed twice

## Server

### Strategy P $\rightarrow$ A

PAC	PC(A)	C(PA)
Dup	Dup	OK
OK	OK	Zero
Dup	OK	Zero
OK	Dup	OK

Zero = Text not printed at all

**Conclusion** No combined strategy works on every fault scenario

► What if server saved state on disk?



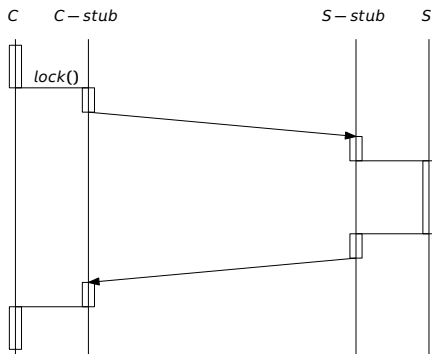
# At-least-once vs. At-most-once

- Consider a locking service using two RPCs:

```
lock()
```

```
unlock()
```

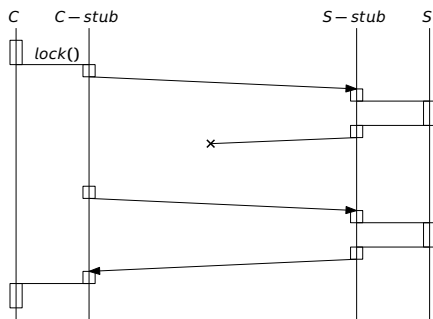
No failures and no message loss



- It does not matter the semantics supported by the RPC library

# At-least-once vs. At-most-once: Lost Response

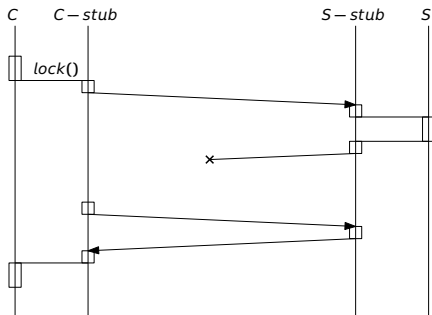
## At-least-once



- ▶ Remote procedure may be invoked more than once
  - ▶ If procedure is not **idempotent**:
    - ▶ RPC must include an id as argument
    - ▶ Server must keep table with responses previously sent
  - ▶ Is `lock()` an idempotent procedure?

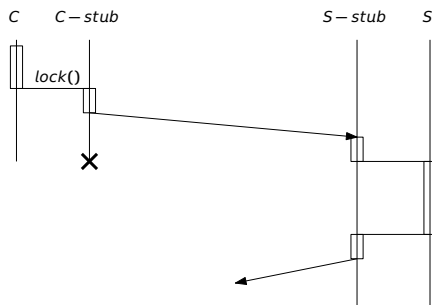
# At-least-once vs. At-most-once: Lost Response

## At-most-once (UDP?):



- ▶ There is no guarantee that the procedure will be executed
  - ▶ But in that case, the caller should receive an exception
- ▶ The RPC middleware ensures that the procedure is not executed more than once
  - ▶ RPC requests include an id
  - ▶ RPC system keeps table with responses
- ▶ What would be different if using TCP?

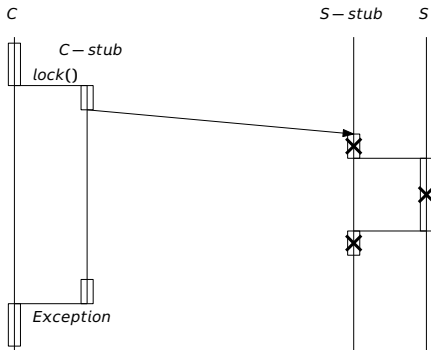
# At-least-once vs. At-most-once: Client crash



- Again, the RPC semantics is irrelevant

# At-least-once vs. At-most-once: Server crash

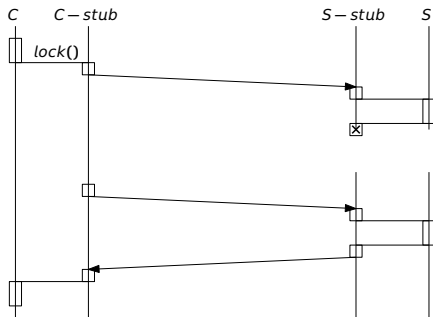
## At-most-once



- ▶ Client does not know if server granted it the lock
  - ▶ Depends on when the server crashed
- ▶ Client, **not RPC**, may ask the server (or just retry)
  - ▶ Server needs to remember state across reboots
    - ▶ E.g. store locks state on disk
- ▶ Is this different from an exception upon message loss?

## At-least-once vs. At-most-once: Server crash

## At-least-once



- ▶ Server may run the procedure several times
  - ▶ Client stub may send several requests before giving up
- ▶ Server needs to remember previous requests across reboots (if requests are not **idempotent**). E.g.:
  - ▶ Store table request ids on disk
  - ▶ Check the request table on each request

# At-least-once vs. At-most-once: Conclusions

## Message loss

### At-least-once

- ▶ Suits if requests are idempotent

### At-most-once

- ▶ Appropriate when requests are not idempotent

## Server crashes

- ▶ No clear advantage: the service itself may have to take special measures

**Upon an exception** can the caller tell whether the cause is message loss or server crash?

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Further Reading



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- ▶ Tanenbaum e van Steen, *Distributed Systems, 2nd Ed.*
  - ▶ Section 4.2 *Remote Procedure Call*, except subsection 4.2.4
  - ▶ Subsection 8.3.2 *RPC Semantics in the Presence of Failures*
- ▶ Birrel and Nelson, *"Implementing Remote Procedure Calls"*, ACM Transactions on Computer Systems, Vol. 2, No. 1, February 1984, Pages 39-59
- ▶ A. Spector, *"Performing Remote Operations Efficiently on a Local Computer Network"*, Communications of the ACM, Vol. 25, No. 4, April 1982, Pages 246-260
- ▶ Martin Kleppmann *Part of Lecture on RPC* of the Concurrent and Distributed Systems Course at the University of Cambridge
- ▶ Martin Kleppmann *Lecture notes of Concurrent and Distributed Systems Course* at the University of Cambridge
  - ▶ Section 1.3: Example Remote Procedure Calls (RPC)