Theory of concurrency

Lecture 5

Processes

Processes: Traces

- A trace of the behaviour of a process is
 - a finite sequence of symbols recording the events in which the process has engaged up to some moment in time.
- We ignore the possibility that two events occur simultaneously
 - record one of them first and then the other (the order does not matter)
- The notation:
 - $\langle x, y \rangle$ consists of two events, x followed by y.
 - $\langle x \rangle$ is a sequence containing only the event x.
 - <> is the empty sequence containing no events.

Processes: Traces

$$VMS = (coin \rightarrow (choc \rightarrow VMS))$$

X1. A trace of the simple vending machine *VMS* at the moment it has completed service of its first two customers

X2. A trace of the same machine before the second customer has extracted his *choc*

- Just observed alphabet events
 - no a completed transaction, no customer hunger, no machine readiness.
- **X3.** Before a process has engaged in any events, the notebook of the observer is empty.
- The empty trace <>
- Every process has this as its shortest possible trace.

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\begin{split} VMC = (in2p \rightarrow (large \rightarrow VMC \mid small \rightarrow out1p \rightarrow VMC) \\ \mid in1p \rightarrow (small \rightarrow VMC \mid in1p \rightarrow (large \rightarrow VMC \mid in1p \rightarrow STOP))) \end{split}
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Processes: Traces

X4. The complex vending machine *VMC* has the following seven traces of length 2 or less

· Only one of the four traces of length two can actually occur for a given machine.

X5. A trace of *VMC* if its first customer has ignored the warning is

- The traces does not record the *breakage*.
 - Breakage: no trace which extends this one, i.e.,
 - there is no event x such that a possible trace of VMC is $\langle in1p, in1p, in1p, x \rangle$

Processes: Operations on traces

- Traces play a central role in
 - recording, describing, and understanding the behaviour of processes.
- The notation:
 - *s*, *t*, *u* stand for traces
 - S, T, U stand for sets of traces
 - f, g, h stand for functions

Processes: Operations on traces: Catenation

- Catenation constructs a trace from a pair *s* and *t* by putting them in the order:

 - For example $\langle in1p \rangle^{\wedge} \langle in1p \rangle = \langle in1p, in1p \rangle$
- Unit $\langle in1p, in1p \rangle^{\} = \langle in1p, in1p \rangle$

L1.
$$s^{<} > = <>^{s} = s$$

Associativity

L2.
$$s \land (t \land u) = (s \land t) \land u$$

Various

L3.
$$s \wedge t = s \wedge u \equiv t = u$$

L4.
$$s \land t = u \land t \equiv s = u$$

L5.
$$s \land t = <> \equiv s = <> \land t = <>$$

Processes: Operations on traces: Catenation

• A function which maps traces to traces is f.

• The *strict* function maps the empty trace to the empty trace

- The *distributive* function distributes through catenation
 - $f(s \land t) = f(s) \land f(t)$
 - All distributive functions are strict.
 - A distributive function is uniquely defined by its effect on singleton sequences
 - $f(\langle a \rangle) = \langle b \rangle$
 - its effect on all longer sequences can be calculated by
 - distributing the function to each element and catenating the results.

Processes: Operations on traces: Catenation

• $t^n = t \wedge t \wedge ... \wedge t \wedge t$ is n copies of t catenated with each other, $n \in \mathbb{N}$.

L6.
$$t^0 = <>$$

L7.
$$t^{n+1} = t \wedge t^n$$

• Consequences:

L8.
$$t^{n+1} = t^n \wedge t$$

L9.
$$(s \land t)^{n+1} = s \land (t \land s)^n \land t$$

Processes: Operations on traces: Restriction

- The trace t is *restricted* to symbols in the set A
 - (t /A)
 - omit all symbols outside *A*.
- For example
 - $\langle around, up, down, around \rangle \land \{up, down\} = \langle up, down \rangle$
- Restriction is distributive and therefore strict

L1.
$$<> /A = <>$$

L2.
$$(s \land t) \land A = (s \land A) \land (t \land A)$$

• The effect on singleton sequences

L3.
$$< x > / A = < x > \text{if } x \in A$$

L4.
$$\langle y \rangle / A = \langle y \notin A \rangle$$

Processes: Operations on traces: Restriction

• For example, if
$$y \neq x$$
 $\langle x, y, x \rangle \land \{x\}$
 $= (\langle x \rangle \land \langle y \rangle \land \langle x \rangle) \land (\langle x \rangle \land \{x\})$ [by L2]
 $= \langle x \rangle \land \langle x \rangle$
 $= \langle x \rangle \land \langle x \rangle$ [by L3 and L4]
 $= \langle x, x \rangle$

· Restriction and set operations.

L5.
$$s \land \{\} = <>$$

L6.
$$(s \land A) \land B = s \land (A \cap B)$$

• These laws can be proved by induction on the length of s

L2. $(s \land t) \land A = (s \land A) \land (t \land A)$

L3. $< x > / A = < x > \text{ if } x \in A$

L4. $\langle y \rangle / A = \langle y \rangle$ if $y \notin A$

Processes: Operations on traces: Head and tail

- For nonempty sequence s
 - s_0 is its first element
 - s' is the result of removing the first element
- For example
 - $< x, y, x >_0 = x$
 - $\langle x, y, x \rangle$ ' = $\langle y, x \rangle$
- · Head and tail are undefined for the empty sequence.

L1.
$$(^ s)_0 = x$$

L2.
$$(^ s)' = s$$

L3.
$$s = (\langle s_0 \rangle \land s') \text{ if } s \neq \langle s \rangle$$

The law for proving whether two traces are equal

L4.
$$s = t \equiv (s = t = \iff V(s_0 = t_0 \land s' = t')).$$

Processes: Operations on traces: Star

- The set A^* is the set of all finite traces (including \iff) which use symbols in the set A.
- Traces from A^* restricted to A remain unchanged.

•
$$A^* = \{s \mid s \land A = s \}$$

• Consequences:

L1.
$$\Leftrightarrow \in A^*$$

L2.
$$\langle x \rangle \in A^* \equiv x \in A$$

L3.
$$(s \land t) \in A^* \equiv s \in A^* \land t \in A^*$$

- Used to determine whether a trace is a member of A^* . $(x, y) \in A^*$ $\equiv ((x)^* (y)) \in A^*$
 - E.g., if $x \in A$ and $y \notin A$ $\equiv (\langle x \rangle \in A^*) \land (\langle y \rangle \in A^*)$ [by L3]
- A recursive definition of A^* :

L4.
$$A^* = \{t \mid t = \iff V(t_0 \in A \land t' \in A^*)\}$$

[by L2]

 $\equiv true \land false$

 $\equiv false$

Processes: Operations on traces: Ordering

- If *s* is a copy of an initial subsequence of *t*
 - it is possible to find extension u of s such that $s \wedge u = t$.
 - s is a prefix of t
- An *ordering* relation
 - $s \le t = (\exists u \cdot s \land u = t)$

For example,

$$\langle x, y \rangle \le \langle x, y, x, w \rangle$$

 $\langle x, y \rangle \le \langle z, y, x \rangle \text{ iff } x = z$

• The \leq relation is a partial ordering, and its least element is \leq :

L1.
$$\ll \leq s$$

least element

L2.
$$s \leq s$$

reflexive

L3.
$$s \le t \land t \le s \Rightarrow s = t$$

antisymmetric

L4.
$$s \le t \land t \le u \Rightarrow s \le u$$

transitive

Processes: Operations on traces: Ordering

• The law for computing whether $s \leq t$ or not:

L5.
$$(\langle x \rangle^{\wedge} s) \le t \equiv t \ne \langle x \rangle \land x = t_0 \land s \le t'$$

• The prefixes of a given subsequence are totally ordered:

L6.
$$s \le u \land t \le u \Rightarrow s \le t \lor t \le s$$

• s is in t, if s is a subsequence of t:

L7.
$$s \text{ in } t = (\exists u, v \cdot t = u \land s \land v)$$

Various:

L8.
$$(^s)$$
 in $t \equiv t \neq <> \land ((t_0 = x \land s \leq t') \lor (^s in t'))$

Processes: Operations on traces: Ordering

- A function f from traces to traces is *monotonic* if it respects the ordering \leq :
 - $f(s) \le f(t)$ whenever $s \le t$
- All distributive functions are monotonic:

L9
$$s \le t \Rightarrow (s \land A) \le (t \land A)$$

- A *dyadic* function may be
 - monotonic in either argument, keeping the other argument constant.
- Catenation is monotonic in its second argument:

L10
$$t \le u \Rightarrow (s \land t) \le (s \land u)$$

· A function which is monotonic in all its arguments is simply monotonic.

Processes: Operations on traces: Length

- #t the length of the trace t.
 - # < x, y, x > = 3

L1.
$$\# <> = 0$$

L2.
$$\# < x > = 1$$

L3.
$$\#(s \land t) = (\#s) + (\#t)$$

• $\#(t \land A)$ – the number of occurrences in t of symbols from A.

L4.
$$\#(t \upharpoonright (A \cup B)) = \#(t \upharpoonright A) + \#(t \upharpoonright B) - \#(t \upharpoonright (A \cap B))$$

L5.
$$s \le t \Rightarrow \#s \le \#t$$

L6.
$$\#(t^n) = n \times (\#t)$$

- The number of occurrences of a symbol *x* in a trace *s*:
 - $s \downarrow x = \#(s \land \{x\})$

- A function traces(P) describes the complete set of all possible traces of a process P.
- **X1.** The only trace of the behaviour of the process STOP is <>.

$$traces(STOP) = \{ <> \}$$

X2. Two traces of the machine that ingests a coin before breaking

$$traces(coin \rightarrow STOP) = \{ <>, < coin > \}$$

X3. A clock that does nothing but *tick*

$$traces(\mu X \bullet tick \rightarrow X) = \{ \langle \rangle, \langle tick \rangle, \langle tick, tick \rangle, ... \} = \{ tick \}^*$$

- The set of traces may be infinite, but each individual trace is finite.
- **X4.** A simple vending machine

$$traces(\mu X \bullet coin \rightarrow choc \rightarrow X) = \{s \mid \exists n \bullet s \leq \langle coin, choc \rangle^n \}$$

- Laws for calculating set of traces.
- *STOP* has only one trace:

L1.
$$traces(STOP) = \{t \mid t = <>\} = \{<>\}$$

• A trace of $(c \rightarrow P)$ may be empty or not:

L2.
$$traces(c \rightarrow P) = \{t \mid t = <> \lor (t_0 = c \land t' \in traces(P))\} =$$
 $\{<>\} \cup \{ \land t \mid t \in traces(P)\}$

• A trace of a choice between is a trace of one of the alternatives:

L3.
$$traces(c \rightarrow P \mid d \rightarrow Q) = \{t \mid t = \Leftrightarrow \forall (t_0 = c \land t' \in traces(P)) \forall (t_0 = d \land t' \in traces(Q)) \}$$

• The single general law governing choice

L4.
$$traces(x : B \to P(x)) = \{t \mid t = <> V(t_0 \in B \land t' \in traces(P(t_0))) \}$$

- The set of traces of a recursively defined process.
- A recursively defined process is the solution of an equation X = F(X)
- Iteration of the function *F* by induction

$$F^{0}(X) = X$$

$$F^{n+1}(X) = F(F^{n}(X))$$

$$= F^{n}(F(X))$$

$$= \underbrace{F(\dots (F(F(X)))\dots)}_{n \text{ times}}$$

• If *F* is guarded, then:

L5. $traces(\mu X : A \cdot F(X)) = U_{n\geq 0} traces(F^n(STOP_A))$

1. $traces(STOP_A) = \{ < > \} = \{ s \mid s \in A^* \land \#s \le 0 \}$

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2. traces(F^{n+1}(STOP_A))

= traces(x : A \to F^n(STOP_A))   [def. F, F^{n+1}]

= \{t \mid t = \Leftrightarrow V(t_0 \in A \land t' \in traces(F^n(STOP_A)))\}   [L4]

= \{t \mid t = \Leftrightarrow V(t_0 \in A \land (t' \in A^* \land \# t' \leq n))\}   [ind. hyp.]

= \{t \mid (t = \Leftrightarrow V(t_0 \in A \land t' \in A^*)) \land \# t' \leq n + 1\}   [property of #]

= \{t \mid t \in A^* \land \# t \leq n + 1\}   [T* L4]
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• The conclusion follows by L5.

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X2. VMS is defined as VMS = (coin \rightarrow (choc \rightarrow VMS))
• Prove that traces(VMS) = U_{n>0}\{s \mid s \leq \langle coin, choc \rangle^n\}
• Proof : induction on n.
traces(F^n(VMS)) = \{t \mid t \leq \langle coin, choc \rangle^n \} \text{ with } F(X) = coin \rightarrow choc \rightarrow X
 1. traces(STOP) = \{ < > \} = \{ s \mid s < < coin, choc > 0 \}
                                                                                     [C L6]
2. traces(coin \rightarrow choc \rightarrow F^n(STOP))
         = \{ <>, < coin> \} \cup \{ < coin, choc> \land t \mid t \in traces(F^n(STOP)) \}
                                                                                                                  [L2 twice]
         = \{ <>, <coin> \} \cup \{ <coin, choc> \land t \mid t \leq <coin, choc>^n \}
                                                                                                                  [ind. hyp.]
         = \{s \mid s = <> \lor s = <coin > \lor \exists t \cdot s = <coin, choc > ^ t \land t \leq <coin, choc > ^ \}
         = \{s \mid s \leq (coin, choc)^{n+1} \}
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L6. $t^0 = <>$ **L2.** $traces(c \to P) = \{t \mid t = <> V(t_0 = c \land t' \in traces(P))\} = \{<>\} \cup \{<c> \land t \mid t \in traces(P)\}$ **L5.** $traces(\mu X : A \bullet F(X)) = U_{n>0} \ traces(F^n(STOP_A))$

• <> is a trace:

L6.
$$\Leftrightarrow$$
 \in $traces(P)$

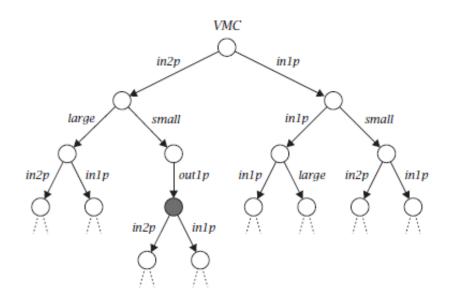
• The prefix is a trace:

L7.
$$s \land t \in traces(P) \Rightarrow s \in traces(P)$$

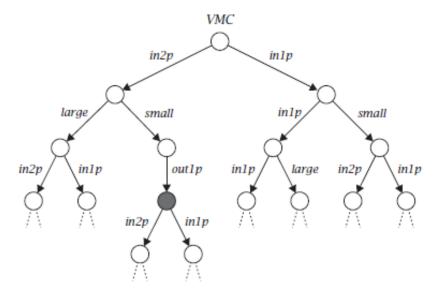
• Every occurring event is in the alphabet:

L8.
$$traces(P) \subseteq (\alpha P)^*$$

- The trace of the behaviour of a process up to any node on the tree is
 - the sequence of labels on the path from the root of the tree to that node.
- In the tree for *VMC*
 - the trace for the path from the root to the black node is $\langle in2p, small, out1p \rangle$



- All initial subpaths of a path in a tree are also paths in the same tree.
- *The empty trace* defines the path from the root to itself.
- Any set of traces satisfying L6 and L7 constitutes a mathematical representation for
 - a tree with no duplicate labels on branches emerging from a single node.
 - The traces of a process are the set of paths from the root to some node in the tree.
 - Conversely, each path from the root of a tree to a node uniquely specifies a trace.



- A process which behaves the same as P after all the actions in the trace s ($s \in traces(P)$)
 - P / s (P after s)
- If s is not a trace of P, (P / s) is not defined.

X1.
$$(VMS / \langle coin \rangle) = (choc \rightarrow VMS)$$

$$VMS = (coin \rightarrow (choc \rightarrow VMS))$$

$$X2. (VMS / < coin, choc>) = VMS$$

X3.
$$(VMC / \langle in1p \rangle^3) = STOP$$

X4. To avoid loss arising from installation of *VMCRED*, the owner decides to eat the first chocolate himself $VMCRED = \mu X \bullet (coin \rightarrow choc \rightarrow X \mid choc \rightarrow coin \rightarrow X)$

•
$$(VMCRED / < choc >) = VMS2$$
. $VMS2 = (coin \rightarrow VMCRED)$

• In a tree of P, (P / s) is the *subtree* with the root at the end of the path labelled by the symbols of s.

- The laws for the meaning of the operator /.
- After doing nothing, a process remains unchanged:

L1.
$$P / <> = P$$

• After engaging in s^t , the behaviour of P is the same as that of (P / s) after engaging in t:

L2.
$$P / (s^t) = (P / s) / t$$

• After engaging in event c, the behaviour of a process is as defined by this initial choice:

L3.
$$(x : B \to P(x)) / \langle c \rangle = P(c)$$
 if $c \in B$

• /<c> is the inverse of the prefixing operator $c \rightarrow$:

L3A.
$$(c \to P) / = P$$

• The traces of (P / s):

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L4. traces(P / s) = \{t \mid s \land t \in traces(P)\} if s \in traces(P)
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- A process *P* never stops iff
 - $\forall s : traces(P) \cdot P / s \neq STOP$
- A process *P* is cyclic if in all circumstances it is possible for it to return to its initial state:
 - $\forall s : traces(P) \bullet \exists t \bullet (P / (s^t) = P)$

- STOP is trivially cyclic.
- If a process is cyclic, then it also never stops.
 - What about inverse?

X5. Are the following processes cyclic?

•
$$RUN_A = (x : A \rightarrow RUN_A)$$

• VMS

$$VMS = (coin \rightarrow (choc \rightarrow VMS))$$

- $(choc \rightarrow VMS)$
- $(coin \rightarrow VMS)$

- The use of / in a recursively defined process may *invalidate* its guards
 - multiple solutions for the recursive equations.

• For example

$$\cdot X = (a \rightarrow (X / \langle a \rangle))$$

- is not guarded
- has as its solution any process of the form $a \to P$ for any P.
 - $Proof: (a \rightarrow ((a \rightarrow P) / \langle a \rangle)) = (a \rightarrow P)$ by L3A.

• / operator is never used the in recursive process definitions.

Processes: More operations on traces: Change of symbol

- f^* maps a sequence of symbols in A^* to a sequence in B^* by
 - applying *f* to each element of the sequence,
 - *f* is a function mapping symbols from a set *A* to symbols in a set *B*.
- *double* is a function which doubles its integer argument
 - double* (<1, 5, 3, 1>) = <2, 10, 6, 2>

• A starred function is distributive and strict:

L2.
$$f^*(\langle x \rangle) = f(\langle x \rangle)$$

L3.
$$f^*(s \land t) = f^*(s) \land f^*(t)$$

Processes: More operations on traces: Change of symbol

Consequences

L1.
$$f^*(<>) = <>$$

L2. $f^*() = f()$
L3. $f^*(s^t) = f^*(s) ^ f^*(t)$

L4.
$$f^*(s)_0 = f(s_0)$$
 if $s \neq <>$

L5. #
$$f^*(s) = \#s$$

- Not a law in general: $f^*(s \land A) = f^*(s) \land f(A)$, where $f(A) = \{f(x) \mid x \in A\}$.
- The counterexample: $f^*(\langle b \rangle \land \{c\})$

$$f(b) = f(c) = c \text{ where } b \neq c$$

$$= f^*(<>) \qquad [since b \neq c]$$

$$= <>> \qquad [L1]$$

$$\neq <<>>$$

$$= <<>> \land \{c\}$$

$$= f^*(<<<>) \land f(\{<<<>>\}) \qquad [since f(c) = c]$$

• This law is true if *f* is a one-one function (injection)

L6.
$$f^*(s \land A) = f^*(s) \land f(A)$$
 if f is an injection.

Processes: More operations on traces: Interleaving

- A sequence s is an *interleaving* of two sequences t and u if
 - it can be split into a series of subsequences
 - with alternate subsequences extracted from t and u.
- s is an interleaving of t and u:

$$s = <1, 6, 3, 1, 5, 4, 2, 7> t = <1, 6, 5, 2, 7> and $u = <3, 1, 4>$$$

• A recursive definition of interleaving:

L1.
$$\Leftrightarrow$$
 interleaves $(t, u) \equiv (t = \iff \land u = \iff)$

L2. s interleaves $(t, u) \equiv s$ interleaves (u, t)

L3.
$$(\langle x \rangle^{\wedge} s)$$
 interleaves $(t, u) \equiv$

$$(t \neq <> \land t_0 = x \land s \ interleaves \ (t', u)) \lor (u \neq <> \land u_0 = x \land s \ interleaves \ (t, u'))$$

Processes: More operations on traces: Composition

- ✓ is a symbol for successful termination of the process.
 - · can appear only at the end of a trace.
- (s; t) is the composition of s and t.
 - *t* is a trace which start when *s* has successfully terminated.
- If \checkmark does not occur in s, then t cannot start:

L1.
$$s$$
; $t = s$ if $\neg (< \sqrt{>} in s)$

• If \checkmark does occur at the end of s, it is removed and t is appended to the result:

L2.
$$(s \land < \sqrt{>}); t = s \land t$$
 if $\neg (< \sqrt{>} \text{ in } s)$

• All symbols after the first occurrence of ✓ are irrelevant and should be discarded:

L2A.
$$(s \land < \sqrt{>} \land < u>)$$
; $t = s \land t$ **if** $\neg (< \sqrt{>} in s)$

Processes: More operations on traces: Composition

• Associativity:

L3.
$$s$$
; $(t; u) = (s; t); u$

• Monotonicity in first and second argument:

L4A.
$$s \le t \Rightarrow ((u; s) \le (u; t))$$

L4B.
$$s \le t \Rightarrow ((s; u) \le (t; u))$$

• Strictness in first argument:

• ✓ is the left unit:

L6.
$$<\sqrt{>}$$
; $t = t$

• \checkmark is the right unit, if \checkmark never occurs except at the end of a trace:

L7.
$$s : < \sqrt{>} = s \text{ if } \neg (< \sqrt{>} \text{ in } (s))$$

Processes: More operations on traces: Subscription

• s[i] denotes the i^{th} element of the sequence s ($0 \le i \le \# s$):

L1.
$$s[0] = s_0 \land s[i + 1] = s'[i]$$
 if $s \neq <>$

L2.
$$(f^*(s))[i] = f(s[i])$$
 for $i < \#s$

Processes: More operations on traces: Reversal

• \underline{s} is formed by taking elements of sequence s in reverse order.

• Some laws for reversal:

L2.
$$\leq x \geq = \leq x >$$

L3.
$$s^{t} = t^{s}$$

L4.
$$\underline{s} = s$$

L5.
$$\underline{s}[i] = s[\#s - i - 1]$$
 for $i \le \#s$

Processes: More operations on traces: Selection

• If s is not a sequence of pairs, $s \downarrow a$ denotes the number of occurrences of a in s.

- For sequence of pairs s, $s \downarrow x$ is the result of
 - selecting from *s* all pairs with first element *x* and
 - replacing each pair by its second element.
 - $s = \langle a.7, b.9, a.8, c.0 \rangle$
 - $s \downarrow a = <7$, 8 >and $s \downarrow d = <>$

L1.
$$\ll \downarrow x = \ll$$

L2.
$$(\langle y.z \rangle \wedge t) \downarrow x = t \downarrow x$$
 if $y \neq x$

L3.
$$(\langle x.z \rangle \wedge t) \downarrow x = \langle z \rangle \wedge (t \downarrow x)$$