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BRIEF COMMUNICATION

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Fast and accurate long-read assembly with wtdbg2

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Existing long-read assemblers require thousands of central processing unit hours to assemble a human genome and are being outpaced by sequencing technologies in terms of both throughput and cost. We developed a long-read assembler wtdbg2 (https://github.com/ruanjue/wtdbg2) that is 2-17 times as fast as published tools while achieving comparable contiguity and accuracy. It paves the way for population-scale long-read assembly in future.

De novo sequence assembly reconstructs a sample genome from relatively short sequence reads. It is essential to the study of new species and structural genomic changes that often fail mappingbased analysis, as the reference genome may lack the regions of interest. With the rapid advances in single-molecule sequencing technologies by Pacific Biosciences (PacBio) and Oxford Nanopore Technologies (ONT), we are able to sequence reads of 10-100 kilobases (kb) at low cost. Such long reads resolve main repeat classes in primates and help to improve the contiguity of assemblies. Longread assembly has become a routine for bacteria and small genomes, thanks to the development of several high-quality assemblers¹⁻⁵. For mammalian genomes, however, existing assemblers may require substantial computing resources. The computing cost with commercial cloud services is comparable to the sequencing cost with one ONT's PromethION machine, which is capable of sequencing a human genome at 30-fold coverage in two days⁶. To address this issue, we developed wtdbg2, a new long-read assembler that is several times faster for large genomes with little compromise on the

Wtdbg2 broadly follows the overlap-layout-consensus paradigm. It advances the existing assemblers with a fast all-versus-all read alignment implementation and a layout algorithm based on fuzzy-Bruijn graph (FBG), which is a new data structure for sequence assembly that is related to sparse de Bruijn graphs (DBGs) and A-Bruijn graphs.

For mammalian genomes, current read overlappers^{7–9} split input reads into many smaller batches and perform all-versus-all alignment between batches. This strategy wastes computation time on repeated file I/O and on indexing and querying noninformative k-mers. These overlappers do not build a single hash table as they worry the hash table may take too much memory. This should not be a major concern. Wtdbg2 first loads all reads into memory and counts k-mer occurrences. It then takes each tiling 256 base pair subsequence on reads as one unit, defined as a bin (each small box in Fig. 1), and builds a hash table with keys being k-mers occurring twice or more in reads, and values being locations of associated bins on reads. For example, among PacBio reads sequenced from the CHM1 human genome to 60-fold coverage¹⁰, there are only 1.5 billion nonunique homopolymer-compressed 21-mers⁹. Staging raw

read sequences in memory and constructing the hash table takes 250 gigabytes (Gb) at the peak, which is comparable to the memory usage of short-read assemblers.

Sequence binning described above aims to speed up pairwise alignment with dynamic programming between binned sequences. With 256 bp binning, the dynamic programming matrix is 65,536 (256 \times 256) times smaller than a per-base dynamic programming matrix as is used by the Smith–Waterman algorithm¹¹. This reduces dynamic programming to a much smaller scale in comparison to k-mer based^{8,9} or base-level dynamic programming⁷.

FBG extends the basic ideas behind DBG to work with long noisy reads. In analogy to DBG, a 'base' in FBG is a 256 bp bin and a 'K-mer' or K-bin in FBG consists of K consecutive bins on reads. A vertex in FBG is a K-bin and an edge between two vertices indicates their adjacency on a read. Unlike DBG, different K-bins may be represented by a single vertex if they are aligned together based on all-versus-all read alignment. This treatment tolerates errors in noisy long reads. FBG is closer to sparse DBG12 than standard DBG in that it does not inspect every *K*-bin on reads. The sparsity reduces the memory to construct FBG. Furthermore, FBG explicitly keeps the read names and the offsets of bins going through each edge to retain long-range information without a separate 'read threading' step as with standard DBG assembly. After graph simplification^{4,13}, wtdbg2 writes the final FBG to disk with read sequences on edges contained in the file. Wtdbg2 constructs the final consensus with partial order alignment¹⁴ over edge sequences.

We evaluated wtdbg2 v.2.5 on four datasets along with CANU-1.8 (ref. 3), FALCON-180831 (ref. 1) Flye-2.3.6 (ref. 2), MECAT-180314 (ref. 5) and Ra-190327 (Table 1, see Supplementary Table 1 for more datasets). We used minimap2 to align assembled contigs to the reference genome and to collect metrics. Depending on datasets, wtdbg2 is 2-17 times as fast as the closest competitors. Its contiguity and assembly accuracy are generally comparable to other assemblers. Wtdbg2 assemblies sometimes cover fewer reference genomes, which is a weakness of wtdbg2, but its contigs tend to have fewer duplicates (metric '% genome covered more than once' in Table 1). The low redundancy rate is particularly evident for the Col-0/Cvi-0 Arabidopsis thaliana dataset that has a relatively high heterozygosity of ~1%. On a *Musa schizocarpa* (banana) ONT dataset sequenced to 45-fold coverage¹⁵, wtdbg2 delivers a 507 Mb assembly with 1.0 Mb N50. While this is not as good as the published result, it is larger and more contiguous than the Flye and Ra assemblies (Methods).

For samples close to the reference genome, we also compared the consensus accuracy before and after signal-based polishing ¹⁶ when applicable. Without polishing, CANU, Flye and MECAT tend to produce better consensus sequences. This is probably because they perform at least two rounds of error correction or the consensus

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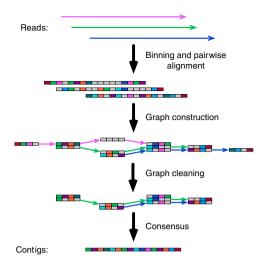


Fig. 1 | Outline of the wtdbg2 algorithm. Wtdbg2 groups 256 bp into a bin, a small box in the figure. Bins/boxes with the same color suggest they share *k*-mers, except that a gray bin does not match other bins due to sequencing errors. Wtdbg2 performs all-versus-all alignment between binned reads and constructs the fuzzy-Bruijn assembly graph, where a vertex is a four-bin segment and an edge connects two vertices if they are both present on a read. Wtdbg2 then trims tips and pops bubbles and produces the final contig sequences from the consensus of read subsequences attached to each edge.

step, while wtdbg2 applies one round of consensus only. After Quiver polishing, the consensus accuracy of all assemblers is very close and notably higher than the accuracy of consensus without polishing. This observation reconfirms that polishing consensus is still necessary¹⁷ and suggests that the pre-polishing consensus accuracy is not obviously correlated with post-polishing accuracy. In the past, Quiver was taking a small fraction of total assembly time, but it is now several times slower than wtdbg2 (7 wall-clock hours for *C. elegans* and 37 wall-clock hours for CHM1) and becomes the new bottleneck. This calls for future improvement to the polishing step.

We assembled four additional human datasets (Table 2). Wtdbg2 finishes each assembly in <2 d on a single computer. This performance broadly matches the throughput of a PromethION machine. In comparison, Flye and CANU required ~5,000 and ~40,000 central processing unit (CPU) hours, respectively, to assemble NA12878 (refs. ^{2,18}). For this sample, wtdbg2 uses 235 Gb memory, less than half of memory used by Flye. Partly due to the relatively low memory footprint, wtdbg2 is scalable to huge nonhuman genomes. It can assemble axolotl, with a 32 Gb genome, in 2 d using 1.2 terrabytes of memory. The NG50 is 392 kb, longer than the published assembly¹⁹.

Ten years ago, when the Illumina sequencing technology entered the market, the sheer volume of data effectively decommissioned all aligners and assemblers developed earlier. History repeats itself. Affordable population-scale long-read sequencing is on the horizon. Wtdbg2 is an assembler that is able to keep up with the throughput and the cost. With heterozygote-aware consensus algorithms and

Dataset	Metric	CANU	FALCON	Flye	MECAT	Ra	Wtdbg2
				<u> </u>			
Caenorhabditis elegans	Total length (≥50 kbp)	106.5 Mb	100.8 Mb	102.0 Mb	102.1Mb	108.1 Mb	104.8 Mb
Bristo reference strain	% reference genome covered	99.58	99.16	99.29	99.51	99.55	99.37
PacBio x80	% genome covered more than once	0.33	0.25	0.15	0.35	0.69	0.13
	NG75 (75% ref. in contigs longer than NG75)	1,884,280	935,802	1,275,590	1,424,674	1,320,829	2,255,274
	NG50 (50% ref. in contigs longer than NG50)	2,677,990	1,629,544	1,926,198	2,113,456	2,047,105	3,596,268
	NGA50 (50% ref. in alignments longer than NGA50)	1,283,814	980,062	1,087,075	1,119,713	1,019,386	1,365,602
	No. of alignment breakpoints	681	192	284	278	724	177
	BUSCO (% complete single-copy genes)	98.2%	88.1%	98.4%	97.0%	90.9%	97.5%
	No. of substitutions/1Mb (pre-/post-polish)	64.1 / 62.2	233.2 / 50.1	61.6 / 57.6	65.9 / 62.8	309.9 / 66.8	83.8 / 60.3
	No. of insertions/1Mb (pre-/post-polish)	31.1 / 22.4	592.7 / 19.4	29.8 / 21.8	43.9 / 21.9	3,011.2 / 24.3	110.6 / 20.8
	No, of deletions/1Mb (pre-/post-polish)	152.8 / 55.1	1,822.7 / 56.7	381.4 / 56.9	366.0 / 57.9	144.1 / 53.1	343.0 / 57.7
	Wall-clock time over 32 CPUs (prepolish)	9 h 30 m	2h 06 m	2 h 58 m	3h 08 m	2 h 23 m	26 m
Drosophila melanogaster	Total length (≥50 kbp)	135.0 Mb		130.7 Mb		126.5 Mb	127.4 Mb
ISO1 ref. strain	% reference genome covered	91.74		89.40		86.35	89.34
ONT x32	% genome covered more than once	1.19		0.14		0.68	0.22
	NG75	714,013		1,367,004		685,943	1,752,322
	NG50	4,298,595		6,016,667		1,898,336	10,631,323
	NGA50	1,837,928		2,210,468		1,700,400	2,989,107

Table 1 Evaluating	g long-read assemblies (continued)						
Dataset	Metric	CANU	FALCON	Flye	MECAT	Ra	Wtdbg2
	No. of alignment breakpoints	823		248		225	276
	No. of substitutions per 1 Mb (pre-polish)	847.6		1,318		1,976.2	1,109.2
	No. of insertions per 1Mb (pre-polish)	255.9		10,669.9		4,388.7	371.2
	No. of deletions per 1Mb (pre-polish)	7,168.2		1,901.3		2,324.6	9,746.3
	Wall-clock time over 32 CPUs (pre-polish)	22 h 23 m		1h 41m		2 h 10 m	50 m
A. thaliana	Total length (≥50 kbp)	196.5 Mb	138.1 Mb	122.3 Mb	188.4 Mb	133.3 Mb	125.0 Mb
F1 generation of	% reference genome covered	99.04	97.03	93.55	97.47	92.52	92.66
Col-0 and Cvi-0	% genome covered more than once	47.61	11.35	3.72	51.46	3.38	1.08
strains (~1% heterozygosity)	NG75	460,325	4,810,976	180,227	1,096,121	404,218	2,182,254
	NG50	873,036	7,979,657	370,306	3,525,236	1,210,836	8,707,235
PacBio ×185	No. of alignment breakpoints	3,059	2,102	1,674	2,573	2,078	1,777
	BUSCO (% complete single-copy genes)	43.8%	91.9%	93.1%	49.2%	87.8%	90.3%
	Wall-clock time over 32 CPUs (pre-polish)	30 h 42 m	(by PacBio)	20 h 3 m	11 h 33 m	18 h 33 m	1 h 12 m
Human	Total length (≥50 kbp)	2,837 Mb	2,938 Mb				2,712 Mb
CHM1 cell line	% reference genome covered	89.33	90.13				86.03
PacBio ×100	% genome covered more than once	0.53	0.72				0.02
	NG75	3,793,440	7,726,658				4,387,668
	NG50	17,570,750	26,132,317				18,220,221
	NGA50	7,128,216	9,262,902				8,017,241
	No. of alignment breakpoints	1,795	7,966				1,619
	BUSCO (% complete single-copy genes)	91.3%	91.5%				90.5%
	No. of substitutions per 1 Mb (post-polish)	961.5	966.6				963.6
	No. of insertions per 1 Mb (post-polish)	142.8	140.1				140.2
	No. of deletions per 1Mb (post-polish)	140.0	137.6				141.1
	Total CPU hours (pre-polish CPU hours)	22,750	68,789				2,506 (632)

FALCON requires PacBio-style read names and does not work with ONT data or the A4 strain of *D. melanogaster* that was downloaded from SRA database. The *A. thaliana* assembly by FALCON is acquired from the PacBio website as our assembly is fragmented. MECAT produces fragmented assemblies for the ONT dataset. Human assemblies were performed by the developers of each assembler. Base-level evaluations and NGA50 are only reported when the sequenced strain or individual is close to the reference genome. BUSCO scores are computed for genomes sequenced to 50-fold coverage or higher.

Table 2 | Wtdbg2 performance on other human genomes. Performance metrics were obtained on a machine with 96 CPU cores. Cov., sequencing coverage; NG50, 50% of the reference genome are in contigs longer than this length

Dataset	Technology	Cov.	CPU hours	Real hour	Peak RAM (Gb)	NG50 (Mb)
NA12878	Nanopore	36	1,513	26	235	10.3
NA19240	Nanopore	35	1,197	19	226	4.4
NA24385	PacBio CCS	28	410	6	108	11.8
HG00733	PacBio Sequel	93	1,906	37	338	29.2

phased assembly planned for future, wtdbg2 and upcoming tools might fundamentally change the current practices on sequence data analysis.

Online content

Any methods, additional references, Nature Research reporting summaries, source data, extended data, supplementary information, acknowledgements, peer review information; details of author contributions and competing interests; and statements of data and code availability are available at https://doi.org/10.1038/s41592-019-0669-3.

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Methods

The wtdbg2 algorithm. Wtdbg2 reads all input sequences into memory and encodes each base with 2 bits. By default, it selects a quarter of k-mers based on their hash code and counts their occurrences using a hash table with 46-bit key to store a k-mer and 17-bit value to store its count. Wtdbg2 filters out a k-mer occurring once or over 1,000 times in reads, and then scans the reads again to build a hash table for the remaining k-mers and their positions in bins.

For all-versus-all read alignment, wtdbg2 traverses each read, from the longest to the shortest, and uses the hash table to retrieve the reads that share k-mers with the read in query. It takes each bin as a base pair and applies Smith–Watermanlike dynamic programming between binned sequences, penalizing gaps and mismatching bins that do not share k-mers. Wtdbg2 retains alignments no shorter than 8×256 bp. After finishing alignments for all reads, wtdbg2 frees the hash table but keeps the all-versus-all alignments in memory (alignments are also written to disk as intermediate results).

At this step, wtdbg2 drops base sequences. It only sees binned sequences and the alignments between them. On an L-long binned sequence $B = b_1b_2...b_L$, a K-bin $B_{Ki} = b_ib_{i+1} \cdots b_{i+K-1}$ is a K-long subsequence starting at the ith position on B. If binned sequences B and B' can be aligned, we can infer the overlap length between K-bins B_{Ki} and $B'_{Ki'}$ by lifting their coordinates between the two sequences based on the alignment. We say two K-bins B_{Ki} and $b'_{Ki'}$ are equivalent if the overlap length between them is K (that is, the two bins are completely aligned). Using the all-versus-all alignment, wtdbg2 collects a maximal nonredundant set Ω of K-bins such that no K-bin in Ω is equivalent to others. For each K-bin in Ω , its coverage is defined as the number of equivalent K-bins in all reads. Wtdbg2 records the locations and coverage of each K-bin.

Two K-bins in Ω may have an overlap up to K-1 bins. The vertex set V of FBG is intended to be an Ω s subset in which no K-bins overlap with each other. To construct V, wtdbg2 traverses each nonredundant K-bin in the descending order of their initial coverage. Given a K-bin B_K , wtdbg2 reduces its coverage by deducting the number of K-bins already in V that overlap with B_K . If the reduced coverage is \geq 3 and higher than half of the initial coverage, B_K will be added to V; otherwise it will be ignored. After the construction of V, wtdbg2 adds an edge between two K-bins if they are located on the same read. There are often multiple edges between two K-bins. Wtdbg2 retains one edge and keeps the count. An edge covered by <3 reads are discarded. This generates FBG. The coverage thresholds can be adjusted on the wtdbg2 command line.

Assembling evaluation datasets. With wtdbg2, we specified the genome size and sequence technology on the command line, which automatically applies multiple options. Specifically, we used 'xrs -g100m' for C. elegans, 'xsq -g125m' for A. thaliana, 'xrs -g144m' for D. melanogaster A4 strain, 'xron -g144m' for the ISO1 strain, 'xrs -g3g' for CHM1, 'xont -g3g' for human NA12878 and NA19240 ONT reads, 'xsq -g3g' for HG00733, 'xccs -g3g' for NA24385 and 'xrs -g3g' for the axolotl dataset. Here, option 'x' specifies the preset. 'rs' uses homopolymer-compressed" (HPC) 21-mer. Both 'sq' and 'ont' apply 15-mer to genomes smaller than 1 Gb but use HPC 19-mer for larger genomes. Note that $4^{15} = 1$ Gb. We change the type of k-mers for larger genomes to avoid nonspecific seed hits, which reduce the performance. We use shorter k-mers for Nanopore data due to their higher error rates and relatively low coverage in our evaluation. Increasing k-mer length for Nanopore helps to resolve paralogous regions but reduces alignment sensitivity and leads to more fragmented assemblies for data at ~30-fold coverage.

For CANU, Flye and MECAT, we similarly specified the genome size and the sequencing technology only. The FALCON configure file for assembling *C. elegans* is provided as Supplementary Data. The FALCON *A. thaliana* assembly was downloaded at http://bit.ly/pbpubdat. We are using AC:GCA_000983455.1 for the CANU CHM1 assembly and AC:GCA_001297185.1 for the FALCON CHM1 assembly.

Assembling the *M. schizocarpa* (banana) dataset. The authors who produced the dataset failed to run CANU, so we skipped CANU and MECAT (which is based on CANU). This is a nanopore dataset to which FALCON is not applicable. We used wtdbg2's nanopore preset for large genome for assembly ('-xont -g600m -k0 -p19') and got an 507 Mb assembly with N50 = 1.0 Mb for contigs longer than 10 kb. Flye assembled a 505 Mb genome with N50 = 300 kb. The authors of the dataset managed to get N50 = 2.1 Mb with Ra on all raw reads. However, with Ra, we could only produce a small assembly of 490 Mb at 643 kb N50. Instead, we get the best

contiguity with miniasm, which generated a 520 Mb assembly with N50 = 1.9 Mb. Wtdbg2 is roughly ten times as fast as Flye and Ra.

Evaluating assemblies. To count alignment breakpoints, we mapped all assemblies to the corresponding reference genomes with minimap2 under the option '--paf-no-hit -cxasm20 -r2k -z1000,500'. We used the companion script paftools.js to collect various metrics (command line: 'paftools.js asmstat -q50000 -d.1', where '-q' sets the minimum contig length and '-d' sets the max sequence divergence). To count substitutions and gaps, we applied a different minimap2 setting '-cxasm5 --cs -r2k'. This setting introduces more alignment breakpoints but avoids poorly aligned regions harboring spuriously high number of differences that are likely caused by large-scale variations and skew the counts. We used 'paftools.js call' to call variations.

Reporting Summary. Further information on research design is available in the Nature Research Reporting Summary linked to this article.

Data availability

C. elegans and A. thaliana Ler-0 reads are available at the PacBio public datasets portal: http://bit.ly/pbpubdat. We downloaded SRR5439404 for the D. melanogaster A4 strain, SRR6702603 for the D. melanogaster reference ISO1 strain, ERR2571284 through ERR2571302 for M. schizocarpa (banana; MinION reads only), PRJNA378970 for axolotl, SRR7615963 for HG00733, and ERR2631600 and ERR2631601 for NA19240. CHM1 reads were acquired from SRP044331 (http:// bit.ly/chm1p6c4 for raw signals), NA12878 reads from http://bit.ly/na12878ont (release 5) and NA24385 from http://bit.ly/NA24385ccs. For the A. thaliana Col-0/ Cvi-0 dataset, the FASTQ files at SRA (AC, PRJNA314706) were not processed properly. J. Chin, the first author of the paper1 describing the dataset, provided us with reprocessed raw reads, which are now hosted at public file transfer protocol (FTP) site ftp://ftp.dfci.harvard.edu/pub/hli/col0-cvi0/. The CHM1 CANU and FALCON assemblies and the axolotl assembly are available at NCBI (GCA_000983455.1, GCA_001297185.1 and GCA_002915635.1, respectively). All the evaluated assemblies generated by us can be obtained at ftp://ftp.dfci.harvard. edu/pub/hli/wtdbg/. The FTP site also provides the detailed command lines and the FALCON configuration files.

Code availability

The wtdbg2 source code is hosted by GitHub at: https://github.com/ruanjue/wtdbg2.

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Author contributions

J.R. conceived the project, designed the algorithm and implemented wtdbg2. H.L. contributed to the development and drafted the manuscript. Both authors evaluated the results and revised the manuscript.

Competing interests

The authors declare no competing interests.

Additional information

 $\label{eq:supplementary information} \textbf{Supplementary information} \ is available for this paper at \ https://doi.org/10.1038/s41592-019-0669-3.$

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Software and c	ode				
	ut availability of computer code				
Data collection	No software is used for data collection.				
Data analysis	Wtdbg2-2.5, minimap2-2.15, CANU-1.8, FALCON-180831, Flye-2.3.6, MECAT-180314 and Ra-190327				
Data analysis For manuscripts utilizing customers	om algorithms or software that are central to the research but not yet described in published literature, software must be made available to editors/reviewers.				
	deposition in a community repository (e.g. GitHub). See the Nature Research guidelines for submitting code & software for further information.				
Data					
Policy information about <u>availability of data</u>					
- Accession codes, un - A list of figures that	nclude a <u>data availability statement</u> . This statement should provide the following information, where applicable: ique identifiers, or web links for publicly available datasets have associated raw data restrictions on data availability				
	lies (except those publicly available in GenBank) can be obtained at ftp://ftp.dfci.harvard.edu/pub/hli/wtdbg/. The FTP site also provides nes and configuration files.				
Field-speci	fic reporting				
Please select the one b	elow that is the best fit for your research. If you are not sure, read the appropriate sections before making your selection.				
X Life sciences	Behavioural & social sciences Ecological, evolutionary & environmental sciences				

Life sciences study design

Il studies must disclose on these points even when the disclosure is negative.			
Sample size	Not applicable as the study does not include any statistical analysis		
Data exclusions	No data were excluded from analysis		
Replication	Not applicable. The study uses deterministic algorithms without statistical analysis.		
Randomization	Not applicable as no statistical analysis is involved.		
Blinding	Not applicable as no data acquisition or statistical analysis is involved.		

Reporting for specific materials, systems and methods

We require information from authors about some types of materials, experimental systems and methods used in many studies. Here, indicate whether each material, system or method listed is relevant to your study. If you are not sure if a list item applies to your research, read the appropriate section before selecting a response.

Materials & experimental systems		Methods			
n/a Invol	ved in the study	n/a	Involved in the study		
	ntibodies	\boxtimes	ChIP-seq		
⊠ □ E	ukaryotic cell lines	\boxtimes	Flow cytometry		
⊠ □ Pa	alaeontology	\boxtimes	MRI-based neuroimaging		
⊠	nimals and other organisms		•		
⊠ П	uman research participants				
⊠ □ c	linical data				