AMP² Manual *0.9.5*

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CHAPTER

ONE

INSTALLATION AND EXECUTION

1.1 Installation AMP²

1.1.1 System requirements

AMP² supports Python 2.7 and 3. Currently, the package is not compatible with lower version than 2.7. AMP² is utilizes Python modules in the following with link to each site.

- numpy [https://www.numpy.org]
- scipy [https://www.scipy.org]
- spglib [https://atztogo.github.io/spglib]
- PyYAML [https://pypi.org/project/PyYAML]

These modules should be pre-installed. In addition, AMP² needs gnuplot to draw various figures.

1.1.2 Installation

To use AMP², please download the file from https://github.com/MDIL-SNU/AMP2 under the working directory.

1.2 Essential setting

1.2.1 Setting of configuration file

AMP² uses YAML style configuration file. All setting parameters used in AMP² can be controlled in "config.yaml". Before using AMP², proper pathes and mpi program command should be set to be suitable for your system. Following commands are the essential directories and programs to be set.

```
Directory:
   submit:
   src:
   pot_path_gga:
   pot_path_lda:
Program:
   vasp_std:
   vasp_gam:
   vasp_ncl:
```

(continues on next page)

```
gnuplot:
mpi_command:
```

Details for the commands are in Configuration.

1.3 Execution AMP²

You can execute AMP² using Python command as following.

- [src_path] is the path for directory of source codes for AMP².
- [path for configuration file] is the path for configuration file (config.yaml).
- [path for nodefile] is used to record the information of computing nodes such as PBS_nodefile in Portable Batch System (PBS) and HOSTNAME in Sun Grid Engine (SGE). In the PBS system, we recommand to use the command, "echo \$PBS_nodefile > nodefile". Also, users can save an arbitrary text by writing in the nodefile.
- [the number of cores] is the number of cores to be used in parallel computing.

For the convenience, we provide the shell script file (run.sh) as following.

Before execution, you need to modify 'node information', NPROC and conf. Then, you can execute AMP² using shell script as following.

```
sh run.sh
```

The shell script file can be easily integrated with job scheduler program such as PBS.

OVERVIEW

2.1 Preparing input files

Before running AMP², two input files should be prepared such as YAML style configuration file (config.yaml) and structure file. The details for input files are explained in *Input files*. The basic format of config.yaml and structure files are like below:

config.yaml:

```
directory:
submit: ./Submit
                                        # the path of structure file or the
→directory containg structure files
output: ./Output
                                        # the path of the directory where
→calculation is conducted
                                        # the path of the directory where
done: ./Done
⇒results are saved
error: ./ERROR
                                        # the path of the directory where_
→the materials with error are saved
                                        # the path of the directory of AMP2.
 src_path: ./src
⇒source codes
                                        # the path of directory for GGA
pot_path_gga: ./pot/PBE
→pseudopotential
pot_path_lda: ./pot/LDA
                                        # the path of directory for LDA
→pseudopotential
program:
vasp_std: ./vasp_std
                                        # the path of standard version of
\hookrightarrow VASP
vasp_gam: ./vasp_gam
                                        # the path of gamma-only version of...
\hookrightarrow VASP
vasp_ncl: ./vasp_ncl
                                        # the path of noncollinear version.
⇔of VASP
gnuplot: /usr/local/bin/gnuplot
                                        # the path of executable file for
→ gnuplot
mpi_command: mpirun
                                        # mpi command (ex. mpirun, mpiexec, .
⇔..)
vasp_parallel:
npar: 2
                                        # the number of bands that are...
→treated in parallel. It is same to NPAR tag in VASP.
                                       # the number of kpoints that are...
→treated in parallel. It is same to NPAR tag in VASP.
```

Structure file (VASP structure file format):

2.2 Running AMP²

You can execute AMP² using shell script as following.

```
sh run.sh
```

The details for shell script are mentioned in the section, "Execution AMP2" in *Installation and execution*.

2.3 Outputs

After starting the calculation, new directory is formed in *output_path* as the name of the structure file. (*name* directory is formed from *name.cif* or *POSCAR_name*.) Then, if calculation is well finished, the directory moves to *done_path*. If not, it moves to *error_path*. The following data are the examples of calculation results for Cr₂O₃. More details for output files are written in *Output*.

POSCAR_GGA:

```
relaxed poscar
1.000000000
  2.53085784423 1.46119145764
                      4.60391533726
           1.46119145764
 -2.53085784423
                      4.60391533726
  0.0
            -2.9223829153
                       4.60391533726
  Cr
     0
  4
     6
Selective dynamics
Direct
  0.348055231569
            0.651944768431
           0.946096221857 0.25
                                  T T T! 01
  0.553903778143
                       0.553903778143 T T T! 01
            0.25
  0.946096221857
                        0.946096221857 T T ! O1
             0.553903778143
                        0.446096221857 T T
  0.0539037781426 0.75
                                      T ! 01
                        0.0539037781426 T T
  0.75
             0.446096221857
                                      T ! 01
  0.446096221857
           0.0539037781426 0.75
                                  T T T! 01
```

Band_gap_GGA.log:

```
Band gap: 2.734 eV (Indirect)

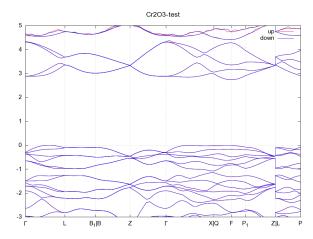
VBM: 0.2916667 0.0 0.0 : 3.366 eV

CBM: 0.42206 0.42206 -0.01078659 : 6.100 eV

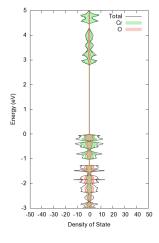
nVBM: 30 spin: 1

nCBM: 31 spin: 1
```

band_GGA.png:



dos_GGA.png:



2.4 List of source codes

AMP² consists of several python codes as follows:

- main.py: This is main code to run AMP².
- amp2_input.py: This is for generating input files for VASP from structure file.
- **kpoint.py:** This is for conducting a convergence test of k-points.
- **cutoff.py:** This is for conducting a convergence test of cutoff energy.
- relax.py: This is for conducting structure optimization.

- magnetic_ordering.py: This is for identifying the most stable magnetic spin ordering.
- band.py: This is for drawing band structure and estimating band gap.
- dos.py: This is for drawing density of states.
- hse_gap.py: This is for estimating band gap with PBE@HSE scheme.
- effm.py: This is for estimating effective masses of hole and electron.
- dielectric.py: This is for estimating dielectric tensor.
- get_result.py: This is for summarizing the calculation results.
- input_conf.py: This is for handling YAML type configuration.
- rerun_for_metal.py: This is a code to restart the all calculations without the on-site *U* term if the material was found to be metallic and *U* was applied.
- genetic_algorithm.py: This is for performing genetic algorithm to find the most stable magnetic spin ordering.
- genetic_operator.py: This is a package of modules for performing genetic algorithm.
- make_supercell.py: This is a code to build supercell to find magnetic primitive cell.
- mk_suprecell.py: This is a code to build supercell for the Ising coefficient.
- module_subr.py: This is a package of modules for 'mk_supercell.py'.
- module_amp2_input.py: This is a package of modules for generating input files for VASP from structure file.
- module_converge.py: This is a package of modules for convergence test.
- module_relax.py: This is a package of modules for structure optimization.
- module_AF.py: This is a package of modules for identifying the most stable magnetic spin ordering.
- module_GA.py: This is a package of modules for genetic algorithm.
- module_band.py: This is a package of modules for drawing band structure and calculating band gap.
- module_dos.py: This is a package of modules for drawing density of states.
- module_hse.py: This is a package of modules for calculating band gap with HSE@PBE scheme.
- module_effm.py: This is a package of modules for calculating effective mass.
- module_dielectric.py: This is a package of modules for calculating dielectric tensor.
- module_vasprun.py: This is a package of modules to run VASP.
- module log.py: This is a package of modules to record log.
- module_vector.py: This is a package of modules to calculate several properties such as distance between two points and angle.

Additionally, there are files for predefined variables.

- INCAR0: This is for default configuration for 'INCAR'.
- **U_table.yaml:** This is for default *U* parameters.
- pot_table.yaml: This is for default potential files.
- config_def.yaml: This is default configuration for 'config.yaml'.

CHAPTER

THREE

INPUT

3.1 Input files

3.1.1 Structure file

The valid formats for structure file are that for VASP and cif format. In the cif files, symmetry operator (_space_group_symop_[] or _symmetry_equiv_[]), atomic label (_atom_site_label), occupancy (_atom_site_occupancy) and fractional positions (_atom_site_fract_[]) must be included. The name of structure files must be formatted as name.cif or POSCAR_name where tag is used for identification.

VASP structure file format:

```
Primitive Cell
  1.000000000
     0.0 2.714895
                   2.714895
     2.714895 0.0 2.714895
             2.714895
     2.714895
   Si
   2
Selective dynamics
Direct
   0.5
         0.5
               0.5 T T T ! Si1
        0.75
               0.75 T T T! Si1
```

3.1.2 Configuration

All of parameters can be tuned in the configuration file as following. The detail for each parameter is explained in *Configuration*.

config.yaml:

```
directory:
                                          # the path of structure file or the...
 submit: ./Submit
→directory containg structure files
 output: ./Output
                                          # the path of the directory where_
→calculation is conducted
 done: ./Done
                                          # the path of the directory where_
\rightarrowresults are saved
                                          # the path of the directory where_
 error: ./ERROR
\rightarrowthe materials with error are saved
                                          # the path of the directory of AMP2
 src_path: ./src
⇔source codes
```

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```
pot_path_gga: ./pot/PBE
                                        # the path of directory for GGA_
→pseudopotential
 pot_path_lda: ./pot/LDA
                                         # the path of directory for LDA
→pseudopotential
program:
                                         # the path of standard version of_
 vasp_std: ./vasp_std
\hookrightarrow VASP
                                        # the path of gamma-only version of_
vasp_gam: ./vasp_gam
\hookrightarrow VASP
                                        # the path of noncollinear version.
vasp_ncl: ./vasp_ncl
⇔of VASP
                                        # the path of executable file for_
gnuplot: /gnuplot
⇔gnuplot
mpi_command: mpirun
                                         # mpi command (ex. mpirun, mpiexec, .
⇔..)
calculation:
magnetic_ordering: T
                                         # On/Off for the calculation to_
→idetify most stable magnetic spin ordering
                                        # On/Off for the calculation for_
band: T
→band structure and band gap
density_of_states: T
                                        # On/Off for the calculation for
→density of states
hse_oneshot: T
                                        # On/Off for the calculation for...
→HSE@PBE
 dielectric: T
                                         # On/Off for the calculation for_
→dielectric constant
 effective_mass: T
                                         # On/Off for the calculation for...
\rightarroweffective mass
potential_type: GGA
                                         # calculation scheme (LDA or GGA)
vasp_parallel:
                                        # the number of bands that are...
npar: 2
→treated in parallel. It is same to NPAR tag in VASP.
                                     # the number of kpoints that are...
→treated in parallel. It is same to NPAR tag in VASP.
cif2vasp:
                                         # the pseudopotential potential for_
 pot_name:
\hookrightarrowelement.
   GGA:
                                         # (Ex. GGA:\n Ge:Ge_d\n Cu:Cu_
\hookrightarrow pv)
   LDA:
                                         # the elements to carry out spin-
 soc_target:
\rightarroworbit coupling calculation (Ex. soc_target:\n - Bi\n -Pb)
                                        # U values for PBE+U calculation (Ex.
u value:
\rightarrow u_value:\n La: 7.5\n Ce: 8.5)
hybrid_oneshot:
alpha: 0.25
                                         # mixing parameter for hybrid_
→calculation. If "Auto" is set, the mixing parameter is set to be one of_
→permittivity and PBE0 calualation is performed.
 cutoff_df_dvb: 0.3
                                        # DF/DVB used to classify
→semiconductor candidates. (See paper)
 band_structure_correction: True # On/Off for the band structure.
\hookrightarrow correction
                                                                (continues on next page)
```

```
effective_mass:
    carrier_type:  # carrier type of effective mass to_
    be estimated
    - hole
    - electron
```

3.2 Configuration

AMP² uses YAML style configuration file. All configurations for AMP² can be controlled in "config.yaml". The default setting parameters are provided in config_def.yaml in source directory.

The commands for configuration are listed below.

3.2.1 Directory

All tags in directory define the path of directories used in AMP². If there is no directory in the path for Output, Done and ERROR, AMP² makes new directories.

• Submit: submit tag should be set to be the path for target materials. In AMP², user can designate a specific material or a bunch of materials as target materials. To perform the AMP² for a specific materials, submit path is set to be the structure file or the directory for continuous calculation. The valid formats for structure file are explained in /Input_and_Output/Input_files. For calculating a bunch of materials, Submit path is set to be the directory where the valid streture format files and directories for continuous calculation are placed.

Usage:

```
directory:
submit: [path of structure file] | [path of directory]
```

Default:

```
directory:
   submit: ./Submit
```

• Output: Output tag defines the path where the material on calculation is located.

Usage:

```
directory:
  output: [path of directory]
```

Default:

```
directory:
  output: ./Output
```

• Done: Done tag defines the path where calculated materials are saved.

Usage:

```
directory:
done: [path of directory]
```

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Default:

```
directory:
done: ./Done
```

• Error: Output tag defines the path saving the materials in which calculation error broke out.

Usage:

```
directory:
  error: [path of directory]
```

Default:

```
directory:
error: ./ERROR
```

• src_path: src_path tag should be set to be the directory for AMP² source codes.

Usage:

```
directory:
    src_path: [path of directory]
```

Default:

```
directory:
    src_path: ./src
```

• pot_path_GGA (pot_path_LDA): pot_path_GGA (pot_path_LDA) should be set to be the directory for pseudopotential provided by VASP.

Usage:

```
directory:
  pot_path_GGA: [path of directory]
  pot_path_LDA: [path of directory]
```

Default:

```
directory:
   pot_path_GGA: ./pot/PBE
   pot_path_LDA: ./pot/LDA
```

3.2.2 Program

The all tags in program determine the path of executable files except mpi_command.

• vasp_std: vasp_std tag should be set to be the path for standard version of VASP.

Usage:

```
Program:
vasp_std: [path]
```

Default:

```
Program:
vasp_std: ./vasp_std
```

• vasp_gam: vasp_gam tag should be set to be the path for gamma only version of VASP.

Usage:

```
Program:

vasp_gam: [path]
```

Default:

```
Program: vasp_gam: ./vasp_gam
```

• vasp_ncl: vasp_ncl tag should be set to be the path for non-collinear version of VASP. Though wrong path is set, most of calculations except spin-orbit coupling calculation can be conducted.

Usage:

```
Program:
vasp_ncl: [path]
```

Default:

```
Program:
   vasp_ncl: ./vasp_ncl
```

• **gnuplot:** gnuplot tag should be set to be the path for gnuplot. Though wrong path is set, most of calculations except drawing images can be conducted.

Usage:

```
Program:
gnuplot: [path]
```

Default:

```
Program:
    gnuplot: /usr/local/bin/gnuplot
```

• mpi_command: mpi_command tag should be set to be the operation command to conduct parallel computing calculation. The predefined commands are 'mpirun', 'jsrun', 'srun', 'mpiexec', 'mpiexec.hydra', 'mpich'. Except for the predefined commands, the command should include a flag to specify the number of processors like 'mpirun -np'.

Usage:

```
Program:

mpi_command: [command]
```

Default:

```
Program:

mpi_command: mpirun
```

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3.2.3 Calculation

The all tags in calculation determine whether the calculation is performed or not.

• magnetic_ordering: magnetic_ordering tag determines whether to identify the most stable magnetic spin ordering or not.

Usage:

```
Calculation:
magnetic_ordering: True | False
```

Default:

```
Calculation:
magnetic_ordering: True
```

• band: band tag determines whether to estimate the band gap and to draw band structure or not.

Usage:

```
Calculation:
band: True | False
```

Default:

```
Calculation:
band: True
```

• density_of_states: density_of_states tag determines whether to estimate the density of states or not.

Usage:

```
Calculation:
density_of_states: True | False
```

Default:

```
Calculation:
density_of_states: True
```

• hse_oneshot: hse_oneshot tag determines whether to perform the hybrid calculation or not. This hybrid calculation is conducted without full band searching and structure optimization. For hybrid calculation band calculation must be conducted.

Usage:

```
Calculation:
hse_oneshot: True | False
```

Default:

```
Calculation:
hse_oneshot: True
```

• **dielectric:** dielectric tag determines whether to estimate the dielectric constant or not. Dielectric constant is unphysical in metallic system. Thus, band structure calculation must be conducted to check whether it is metal or not.

Usage:

```
Calculation:
dielectric: True | False
```

Default:

```
Calculation:
dielectric: True
```

• effective_mass: effective_mass tag determines whether to estimate the hole (and/or electron) effective mass or not. For effective mass calculation band calculation must be conducted.

Usage:

```
Calculation:
effective_mass: True | False
```

Default:

```
Calculation:
effective_mass: True
```

• **potential_type** potential_type tag determines the functional scheme (LDA or GGA) for convergence test. Only one of them should be chosen.

Usage:

```
Calculation:
potential_type: GGA | LDA
```

Default:

```
Calculation:
potential_type: GGA
```

3.2.4 Vasp parallel

npar and kpar tags are used to enhance the efficiency of parallel computing calculation of VASP.

• npar: napr tag determines the number of bands that are treated in parallel. It is same to NPAR tag in VASP.

Usage:

```
vasp_parallel:
   npar: [integer]
```

Default:

```
vasp_parallel:
  npar: 2
```

• kpar: kpar tag determines the number of kpoints that are treated in parallel. It is same to KPAR tag in VASP.

Usage:

```
vasp_parallel:
   kpar: [integer]
```

Default:

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```
vasp_parallel:
   kpar: 2
```

3.2.5 cif2vasp

In AMP², input files for VASP calculation are automatically generated from structure files. These parameters can control the initial input files for VASP.

• **pot_name:** pot_name tag determines the pseudopotential potential for element. By default, the potential file (POTCAR) is built using the preset pseudopotential. (Preset pseudopotential: /In-put_and_Output/Configuration/potential)

Usage:

```
cif2vasp:
  pot_name:
    GGA:
     [element name]: [type of pseudopotential]
    LDA:
     [element name]: [type of pseudopotential]
```

• **soc_target:** soc_target tag determines the elements to carry out spin-orbit coupling calculation. In AMP², spin-orbit coupling calculation is performed only for band structure and density of states.

Usage:

```
cif2vasp:
    soc_target:
    - [element name]
    - Bi
```

Default:

```
cif2vasp:
   soc_target:
```

• u_value: u_value tag controls U values for PBE + Hubbard U method. By default, AMP² imposes U parameters for 3d transition metal. If all tag is used instead of element name, every U value is set to be the target value.

Usage:

```
cif2vasp:
   u_value:
    - [element name]: real
```

Default:

```
cif2vasp:
    u_value:
        V: 3.1
        Cr: 3.5
        Mn: 4
        Fe: 4
        Co: 3.3
        Ni: 6.4
```

(continues on next page)

```
Cu: 4
Zn: 7.5
```

3.2.6 Hybrid oneshot

Conventional density functional theory calculation like LDA and PBE underestimates band gap and somtimes it gives wrong results for small gap materials such as Ge and InAs. Thus, AMP² performs hybrid calculation for accurate band gap. In the previous study, it is shown that accurate band gap can be obtained using extremum points (valence band maximum and conduction band minimum) and optimized structure in PBE scheme. Since hybrid calculation demands high computational cost, this approach is imposed in AMP².

For the small gap materials with metallic band structure in PBE functionals, DOS (density of states) based correction scheme is applied in AMP². (See /Input_and_Output/Configuration/small_gap_correction)

Finally, AMP² provides a method to select mixing parameter using permittivity since there is an inverse correlation between mixing parameter and permittivity.

• **alpha:** alpha tag determines a mixing parameter for hybrid calculation. As we mentioned above, mixing parameter in PBE0 has a inverse correlation with permittivity. If alpha: auto is used, the mixing parameter is determined as one of permittivity.

Usage:

```
hybrid_oneshot:
alpha: [real] | Auto
```

Default:

```
hybrid_oneshot:
alpha: 0.25
```

• cutoff_df_dvb: cutoff_df_dvb tag controls D_{VB}/D_F used to classify semiconductor candidates.

Usage:

```
hybrid_oneshot:
cutoff_df_dvb: [real]
```

Default:

```
hybrid_oneshot:
cutoff_df_dvb: 0.3
```

• band_structure_correction: band_structure_correction determines whether to conduct scissor-correction for band structure or not.

Usage:

```
hybrid_oneshot:
band_structure_correction: True | False
```

Default:

```
hybrid_oneshot:
band_structure_correction: True
```

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3.2.7 Effective_mass

In AMP², effective mass tensor is estimated using semiclassical transport theory. The details are explained in the paper.

• carrier_type: carrier_type tag determines the type of carrier (hole or electron) to be estimated.

Usage:

```
effective_mass:
   carrier_type:
        - hole | electron
```

Default:

```
effective_mass:
   carrier_type:
    - hole
    - electron
```

• **temperature_for_fermi:** It controls the temperature to estimate the hole or electron distribution based on the Fermi-Dirac function.

Usage:

```
effective_mass:
   temperature_for_fermi: [real]
```

Default:

```
effective_mass:
  temperature_for_fermi: 300
```

3.3 Advanced configuration

For advanced users, AMP² provides some additional configuration parameters written in the default configuration file ('/src/cpnfig_def.yaml').

config_def.yaml:

```
directory:
 submit: ./Submit
                                            # the path of structure file or the directory.
→containg structure files
 output: ./Output
                                            # the path of the directory where calculation.
\hookrightarrow is conducted
                                            # the path of the directory where results are_
 done: ./Done
\rightarrowsaved
 error: ./ERROR
                                            # the path of the directory where the_
→materials with error are saved
                                            # the path of the directory of AMP2 source_
 src_path: ./src
\hookrightarrow codes
                                           # the path of directory for GGA_
pot_path_gga: ./pot/PBE
→pseudopotential
pot_path_lda: ./pot/LDA
                                           # the path of directory for LDA_
\rightarrowpseudopotential
```

(continues on next page)

```
program:
 vasp_std: ./vasp_std
                                        # the path of standard version of VASP
 vasp_gam: ./vasp_gam
                                        # the path of gamma-only version of VASP
                                      # the path of noncollinear version of VASP
 vasp_ncl: ./vasp_ncl
                                    # the path of executable file for gnuplot
 gnuplot: /usr/local/bin/gnuplot
 mpi_command: mpirun
                                        # mpi command (ex. mpirun, mpiexec, ...)
vasp_parallel:
 npar: 2
                                        # the number of bands that are treated in_
→parallel. It is same to NPAR tag in VASP.
                                        # the number of kpoints that are treated in...
\rightarrowparallel. It is same to NPAR tag in VASP.
calculation:
 kp_test: T
                                        # On/Off for convergence test of k-points
 encut_test: T
                                        # On/Off for convergence test of cutoff energy
                                        # On/Off for structure optimization
 relaxation: T
 magnetic_ordering: T
                                        # On/Off for calculation to identify most_
⇒stable magnetic spin ordering
                                       # On/Off for the calculation for band_
 band: T
⇒structure and band gap
density_of_states: T
                                       # On/Off for the calculation for density of_
⇔states
                                        # On/Off for the calculation for HSE@PBE
hse_oneshot: T
dielectric: T
                                        # On/Off for the calculation for dielectric.
⇔constant.
 effective_mass: T
                                       # On/Off for the calculation for effective_
 potential_type: GGA
                                        # calculation scheme (LDA or GGA)
cif2vasp:
                                        # the pseudopotential potential for element.
 pot_name:
   GGA:
                                        # (Ex. GGA:\n Ge:Ge_d\n Cu:Cu_pv)
   LDA:
 soc_target:
                                        # the elements to carry out spin-orbit_
→coupling calculation (Ex. soc_target:\n - Bi\n - Pb)
                                        # U values for PBE+U calculation (Ex. u_
\rightarrow value:\n La: 7.5\n Ce: 8.5)
max_nelm: 100
                                        # the maximum number of iteration for_
\rightarrowstructure optimization.
convergence_test:
                                        # convergence condition for energy (eV/atom)._
 enconv: 0.01
\rightarrowNegative value indicates that energy is not used as the condition.
prconv: 10
                                        # convergence condition for pressure (bar)._
\rightarrowNegative value indicates that pressure is not used as the condition.
 foconv: -1
                                        # convergence condition for force (eV/angst)...
→ Negative value indicates that force is not used as the condition.
initial_kpl: 1
                                        # Minimum value for the convergence test of k-
→points. It corresponds to the largest mesh grid in the three directions.
max_kpl: 20
                                        # Maximum value for the convergence test of k-
\hookrightarrowpoints. It corresponds to the largest mesh grid in the three directions.
 enstart: 200
                                        # Minimum value for the convergence test of_
⇔cutoff energy
 enstep: 50
                                        # Interval for the convergence test of cutoff_
⊶energy
 enmax: 1000
                                      # Maximum value for the convergence test of _
                                                                         (continues on next page)
→cutoff energy
```

```
potential_type: GGA
                            # Calculation scheme for convergence test._
→User have to choose one potential among the GGA, LDA and HSE.
relaxation:
                                     # Calculation scheme for structure_
 potential_type:
- GGA
max_iteration: 10
                                     # The maximum iteration number from
→previously optimized structure
 converged_ionic_step: -1
                                    # The tolerance of steps for iteration. Until
→the relaxation finishes within the tolerance, we iterate the structure relaxation.
\rightarrowfrom previously optimized structure. In negative value, it is neglected.
length_tolerance: 0.002  # The tolerance of length (ratio). Until the_
→relaxation finishes within the tolerance, we iterate the structure relaxation from_
→previously optimized structure. In negative value, it is neglected.
 angle_tolerance: 0.01
                                     # The tolerance of angle (degrees). Until the
→relaxation finishes within the tolerance, we iterate the structure relaxation from_
{\rightarrow} previously\ optimized\ structure. In negative value, it is neglected.
                                     # The energy tolerance (eV) to break the loop.
 energy: -1
→for structure optimization in VASP. In negative value, it is neglected.
                                     # The pressure tolerance (bar) to break the_
pressure: 10
→loop for structure optimization in VASP. In negative value, it is neglected.
                                    # The force tolerance (eV/angst) to break the
force: 0.02
→loop for structure optimization in VASP. In negative value, it is neglected.
band_calculation:
 kspacing_for_band: 0.01
                                     # The distance between adjacent points in the_
→band structure (2pi/ang).
                                     # Set the lines to calculate the band gap. In.
 type_of_kpt: all
→the 'all', AMP2 calculates the eigenvalues along the lines connecting every_
→combination of high symmetric k-points. In the 'band', AMP2 calculates the
→eigenvalue along the line to draw band structure.
 y_min: 3
                                 # The minimum energy range for band structure.
                                     # The maximum energy range from conduction_
y_max: 2
→band minimum for band structure.
                                    # Calculation scheme for band structure. User
potential_type:
→can choose one or more potential among the GGA, LDA and HSE.
   - GGA
density_of_states:
 kp_multiplier: all
                                      # Multiplier for k-points for smooth figure.
 y_min: 3
                                      # The minimum energy range for density of...
⇔states.
 y_max: 2
                                     # The maximum energy range from conduction_
→band minimum for density of states.
potential_type:
                                     # Calculation scheme for density of states._
→User can choose one or more potential among the GGA, LDA and HSE.
hybrid_oneshot:
alpha: 0.25
                                     # Mixing parameter for hybrid calculation. If
→'Auto' is set, the mixing parameter is set to be one of permittivity and PBEO_
→calualation is performed.
 fermi_width: 0.3
                                     # The energy range for DF
 vb_dos_min: 1
                                     # The energy range for DVB
 vb_dos_max: 3
                                     # The energy range for DVB
 cutoff_df_dvb: 0.3
                                   # DF/DVB used to classify semiconductor
                                                                    (continues on next page)
→candidates. (See paper)
```

```
potential_type:
                                      # The potential used for lattice parameter.
→optimization and for identifying the points at VBM and VBM. If one variable is,
→inserted, AMP2 uses the lattice parameter and the points of VBM and CBM with that
→potential. If two variables are inserted, AMP2 uses the lattice parameter with_

ightharpoonup above potential and the points of VBM and CBM with below potential. (Ex. potential_
\rightarrowtype:\n - - HSE\n - GGA)
   - GGA
dielectric:
                                      # Multiplier for k-points for dielectric.
 kp_multiplier: all
→constant.
                                       # Calculation scheme for dielectric constant.
 potential_type:
\hookrightarrowUser can choose one or more potential among the GGA and LDA
   - GGA
effective_mass:
                                       # carrier type of effective mass to be
 carrier_type:
\hookrightarrow estimated
   - hole
   - electron
 temperature_for_fermi: 300
                                      # The temperature to estimate the Fermi_
\rightarrow distribution
 fermi_for_cutoff: 0.99
                                       # Boundary condition for valid Fermi...
\rightarrow distribution (1-f)
```

3.3.1 To get more accurate band gap

We suggest two approaches to get more accurate band gap.

• Band calculation with hybrid functional

In the basic version, the band calculation is performed using PBE scheme. However, users can add the tags below to use hybrid functional for structure optimization and band calculation.

```
relaxation:
   potential_type:
    - HSE
band_calculation:
   potential_type:
    - HSE
```

• Using HSE@PBE scheme with hybrid structure

Second approach is still using HSE@PBE method but the optimized structure is calculated using hybrid functional. Since the band calculation with hybrid functional is too expensive, the k-points corresponding to the VBM and CBM are determined by using GGA method. For this calculation, users can use the commands below. Here, if potential_type in hybrid_oneshot is the main category, the method tags (HSE and GGA) are child subcategory not parent subcategory. Please be careful.

```
relaxation:
  potential_type:
    - GGA
    - HSE
hybrid_oneshot:
```

(continues on next page)

```
potential_type:

- HSE

- GGA
```

3.3.2 Organic crystal

Organic crystals usually have lower Young's modulus than inorganic materials. Thus, the error in the structural parameters can be substantial and they require high precision for calculation. The tags below can control the precision of calculation.

```
cif2vasp:
   INCAR:
    EDIFF: 1e-08

convergence_test:
   enconv: 0.001
   prconv: 1

relaxation:
   pressure: 1
   force: 0.002
```

CHAPTER

FOUR

OUTPUT

4.1 Output files

AMP² makes directory for each configuration file as its name (from name.cif or POSCAR_name). When the calculation is on progress, the directory is placed in output path in the configuration. If calculation is well finished, the calculation directory is moved to done path. If any error breaks out, it is move to error path.

Each directory includes several sub-directory as follow;

4.1.1 INPUT0

Directory for input files for VASP calculation.

- POSCAR_rlx_POT: Optimized structure file with POT functional.
- **KPOINTS:** Converged k-points file
- INCAR: VASP input file with converged cutoff energy and ground-state magnetic ordering

4.1.2 kptest

Directory for k-point convergence test.

• kpoint.log: Calculation log for k-points convergence test

4.1.3 encut

Directory for cutoff energy convergence test.

• cutoff.log: Calculation log for cutoff energy convergence test

4.1.4 relax_POT (POT = GGA or LDA)

Directory for structure relaxation.

4.1.5 magnetic_ordering

Directory for identifying magnetic spin ordering.

4.1.6 band_POT (POT = GGA or LDA)

Directory for band structure and band gap calculation.

4.1.7 dos_POT (POT = GGA or LDA)

Directory for density of states calculation.

4.1.8 dielectric_POT (POT = GGA or LDA)

Directory for dielectric constant calculation.

4.1.9 hybrid POT1 POT2 (POT = GGA or LDA)

Directory for band gap calculation with hybrid oneshot scheme.

4.1.10 effm_POT (POT = GGA or LDA)

Directory for effective mass calculation.

4.1.11 Results

Directory for calculation results.

- POSCAR GGA: Optimized structure
- Band_gap_GGA.log: Information of band gap
- band_GGA.png (band_GGA.pdf): Band structure image
- band_corrected.png (band_corrected.pdf): Corrected band structure image
- Band_gap_hybrid_GGA.log: Information of band gap with HSE@PBE scheme
- dos_GGA.png (dos_GGA.pdf): Density of states image
- dielectric_GGA.log: Information of dielectric constant
- effective_mass_hole_GGA.log: Information of effective mass of hole
- effective_mass_electron_GGA.log: Information of effective mass of electron
- **Properties.json:** Summarized material properties

4.1.12 INPUT0 old

Directory for input files for VASP calculation with ferromagnetic ordering. If more stable magnetic spin ordering is obsevred, this directory is made.

4.1.13 relax_POT_old (POT = GGA or LDA)

Directory for structure relaxation with ferromagnetic ordering. If more stable magnetic spin ordering is obsevred, this directory is made.

4.1.14 name_with_U

Directory for AMP² calculation with DFT+U calculation. If the material is metallic and DFT+U calculation has been conducted, all of results move to this directory.

Additionally, AMP² provides log file as amp2.log for tracing the calculation.

4.1. Output files

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CHAPTER

FIVE

EXAMPLES

5.1 Introduction

AMP²includes several examples (for Si, Ge and NiO) in AMP2/examples/.

5.2 Execute AMP²

Before running examples, please set the configuration to be suitable for your system. (See *Installation and execution*) Then, you can execute AMP² using shell script as following.

```
sh run.sh
```

5.3 Calculation results

When the calculation is finished, Sub-directory is generated in Done path. (Ex. /Done/Si) In the Sub-directory/Results, you can obtain optimized structure, band gap, band structure, density of states, dielectric constant and effective mass of hole and electron.

5.3.1 Si

Si is a typical example of semiconductor. Therefore, we calculate all properties supported by AMP² in this example.

Optimized structure (/Results/POSCAR_rlx_GGA)

```
relaxed poscar
1.000000000
   0.0
         2.73243086189
                         2.73243086189
   2.73243086189 -0.0
                        2.73243086189
   2.73243086189
                   2.73243086189
   Si
Selective dynamics
Direct
          0.5
   0.5
                0.5 T T T ! Si1
   0.75
        0.75
                0.75 T T T ! Si1
```

Band gap (/Results/band_gap_GGA.log)

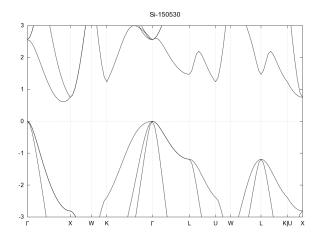
```
Band gap: 0.612 eV (Indirect)

VBM: 0.0 0.0 0.0 : 5.649 eV

CBM: 0.4166667 0.0 0.4166667 : 6.261 eV

nVBM: 4 spin: 1
nCBM: 5 spin: 1
```

Band structure (/Results/band_GGA.png and /Results/band_GGA.pdf)



Band gap from HSE@PBE (/Results/band_hybrid_GGA.log)

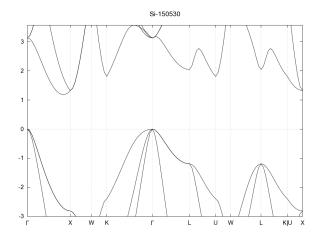
```
Band gap: 1.187 eV (Indirect)

VBM: 0.0 0.0 0.0 : 5.289 eV

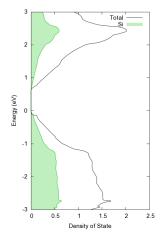
CBM: 0.4166667 0.0 0.4166667 : 6.477 eV

nVBM: 4 spin: 1
nCBM: 5 spin: 1
```

$Corrected\ band\ structure\ (/Results/band_GGA_corrected.png\ and\ /Results/band_GGA_corrected.pdf)$



Density of states (/Results/dos_GGA.log)



Dielectric constant (/Results/dielectric_GGA.log)

```
Dielectric tensor (electronic contribution):
   12.936
               0.000
                         -0.000
              12.936
   0.000
                           0.000
   -0.000
             0.000
                          12.936
Dielectric tensor (ionic contribution):
   0.000
           0.000
                         0.000
   0.000
              -0.000
                          -0.000
   0.000
              -0.000
                           0.000
Dielectric constant diagonalization (electronic):
                                                    12.936
                                                               12.936
                                                0.000
                                                          -0.000
Dielectric constant diagonalization (ionic):
                                                                      0.000
Averaged static dielectric constant:
                                        12.936
```

Effective mass of hole (/effective_mass_hole_GGA.log)

```
hole
-0.266 -0.000 -0.000
-0.000 -0.266 -0.000
-0.000 -0.000 -0.266

Diagonalized effective mass: -0.266 -0.266
```

Effective mass of electron (/Results/effective_mass_hole_GGA.log)

```
electron
0.287 0.000 0.000
0.000 0.287 0.000
0.000 0.000 0.287
Diagonalized effective mass: 0.287 0.287
```

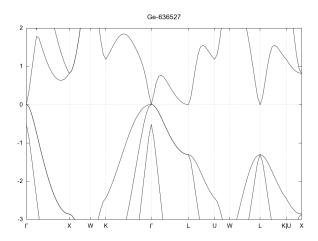
5.3.2 Ge

Ge is a well-known semiconductor with metallic band structure in conventional DFT schemes like LDA and PBE. In AMP², however, we can obtain the reliable band gap and band structure due to the band gap correction scheme. In this example, we calculate corrected band structure.

Band gap (/Results/band_gap_GGA.log)

```
This system is metallic.
! If it is not hybrid calculation, additional search is required for hybrid.
->calculation.
```

Band structure (/Results/band_GGA.png and /Results/band_GGA.pdf)



Band gap from HSE@PBE (/Results/band_hybrid_GGA.log)

```
Band gap: 0.161 eV (Direct)

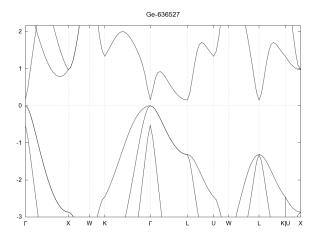
VBM: 0.0 0.0 0.0 : 2.875 eV

CBM: 0.0 0.0 0.0 : 3.036 eV

nVBM: 4 spin: 1

nCBM: 5 spin: 1
```

Corrected band structure (/Results/band_GGA_corrected.png and /Results/band_GGA_corrected.pdf)



5.3.3 NiO

NiO is one of the antiferromagnetic materials. In this example, we show the most stable magnetic spin ordering for NiO and its electronic configurations (band strucrue and density of states).

Optimized structure (/Results/POSCAR_rlx_GGA)

```
relaxed poscar
1.000000000
   1.47786935879 0.853248272122 4.82278497551
   -1.47786935879 0.853248272122 4.82278497551
       -1.70649654425 4.82278497551
   Νi
        0
   2
       2
Selective dynamics
Direct
   0.5
         0.5 0.5 T T T! Ni1_up
        -0.0
   -0.0
               0.0 T T T! Ni1_down
   0.750000037602
                 0.750000037602 0.750000037602 T T T! 01
   0.249999962398 0.249999962398
                                  0.249999962398 T T T! 01
```

Band gap (/Results/band_gap_GGA.log)

```
Band gap: 3.433 eV (Indirect)

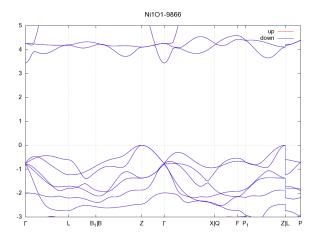
VBM: 0.5 0.5 0.5 : 6.242 eV

CBM: 0.0 0.0 0.0 : 9.675 eV

nVBM: 16 spin: 1

nCBM: 17 spin: 2
```

Band structure (/Results/band_GGA.png and /Results/band_GGA.pdf)



Density of states (/Results/dos_GGA.log)

5.3. Calculation results

