

Formal Proof of Type Preservation of the Dictionary Passing Transform for System F

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Abstract. Most popular strongly typed programming languages support function overloading. In combination with polymorphism this leads to essential language constructs, for example type classes in Haskell or traits in Rust. We introduce System F_O , a minimal language extension to System F, with support for overloading. We show that the Dictionary Passing Transform from System F_O to System F is type preserving.

1 Introduction

1.1 Overloading in Haskell

Without overloaded function names code becomes less readable, since we would need to define a unique name for every function, for example equality, on each type. Haskell, solves this problem using type classes. Essentially, type classes allow to declare overloaded function names with generic type signatures. We can give one of many specific meanings to a type class, by instantiating the type class for concrete types. When we invoke the overloaded function name, we determine the correct instance based on the concrete types of the applied arguments. Furthermore, Haskell allows to constrain bound type variables α via type constraints $Tc\ \alpha \Rightarrow \dots$ to only be substituted by a concrete type τ , if there exists an instance of Tc for τ .

Example: Overloading Equality in Haskell

Our goal is to overload the function `eq : $\alpha \rightarrow \alpha \rightarrow Bool$` with different meanings for different types substituted for α . We want to call `eq` on both `Nat` and `[Nat]` respectively. In Haskell we would solve the problem as follows:

```
class Eq  $\alpha$  where
  eq ::  $\alpha \rightarrow \alpha \rightarrow Bool$ 

instance Eq Nat where
  eq x y = x == y
```

```

instance Eq  $\alpha \Rightarrow$  Eq [ $\alpha$ ] where
  eq [] [] = True
  eq (x : xs) (y : ys) = eq x y && eq xs ys

.. eq 42 0 .. eq [42, 0] [42, 0] ..

```

First, type class **Eq** with a single generic function **eq** is declared and instantiated for **Nat**. Next, **Eq** is instantiated for [α], given that an instance **Eq** exists for type α . Finally, we can call **eq** on elements of type [**Nat**], since the constraint **Eq** $\alpha \Rightarrow$.. in the second instance resolves to the first instance.

1.2 Introducing System F_O

In our language extension to System F we give up high level language constructs. Instead, System F_O desugars type class functionality to overloaded variables. Using the **decl o in e'** expression we can introduce an new overloaded variable **o**. If declared as overloaded, **o** can be instantiated for type τ of expression **e** using the **inst o = e in e'** expression. In contrast to Haskell, it is allowed to overload **o** with arbitrary types. Shadowing other instances of the same type is allowed. Constraints can be introduced using the constraint abstraction $\lambda (o : \tau). e'$, resulting in expressions of constraint type $[o : \tau] \Rightarrow \tau'$. Constraints are eliminated implicitly by the typing rules.

Example: Overloading Equality in System F_O

Recall the Haskell example from above. The same functionality can be expressed in System F_O as follows:

```

decl eq in

inst eq : Nat  $\rightarrow$  Nat  $\rightarrow$  Bool
  =  $\lambda x. \lambda y. \dots$  in
inst eq :  $\forall \alpha. [eq : \alpha \rightarrow \alpha \rightarrow \text{Bool}] \Rightarrow [\alpha] \rightarrow [\alpha] \rightarrow \text{Bool}$ 
  =  $\Lambda \alpha. \lambda (eq : \alpha \rightarrow \alpha \rightarrow \text{Bool}). \lambda xs. \lambda ys. \dots$  in

.. eq 42 0 .. eq Nat [42, 0] [42, 0] ..

```

For convenience type annotations for instances are given. First, we declare **eq** to be an overloaded identifier and instantiate **eq** for **Nat**. Next, we instantiate **eq** for [α], given the constraint introduced by the constraint abstraction λ is satisfied. The actual implementations of the instances are omitted. Because System F_O is based on System F, we are required to bind type variables using type abstractions Λ and eliminate type variables using type application.

A little caveat: the second instance needs to recursively call **eq** for sublists but System F_O 's formalization does not actually support recursive recursive let bindings or recursive instances. Extending System F_O recursive let bindings or instances should be straight forward but is subject to further work.

1.3 Translating between System F_O and System F

The Dictionary Passing Transform translates well typed System F_O expressions to well typed System F expressions. The overall goal will be to formally show that the

Dictionary Passing Transform is in fact correct. The translation drops `decl o in` expressions and replaces `inst o = e in e'` expressions with `let oτ = e in e'` expressions, where `oτ` is an unique name with respect to type τ of `e`. Constraint abstractions $\lambda (o : \tau). e'$ translate to normal lambda bindings $\lambda o_{\tau}. e'$. Similarly constraint types $[o : \tau] \Rightarrow \tau'$ are translated to function types $\tau \rightarrow \tau'$. Invocations of overloaded function names are translated to the correct variable name bound by the former instance, now let binding. Implicitly resolved constraints in System F_O must be explicitly passed as arguments in System F.

Example: Dictionary Passing Transform

Recall the System F_O example from above. We use indices to ensure unique names. Applying the Dictionary Passing Transform results in the following well typed System F expression:

```

let eq1 : Nat → Nat → Bool
  = λx. λy. .. in
let eq2 : ∀α. (α → α → Bool) → [α] → [α] → Bool
  = Λα. λeq1. λxs. λys. .. in

.. eq1 42 0 .. eq2 Nat eq1 [42, 0] [42, 0] ..

```

First we drop the `decl` expression and replace `inst` definitions with `let` bindings. Inside the second instance the constraint abstraction is translated into a normal function. Invocations of `eq` are translated to the correct unique names `eqi`. When invoking `eq2` the correct instance to resolve the former constraint must be eliminated explicitly by passing `eq1` as argument.

1.4 Related Work

There exist other Systems to formalize overloading.

Bla, Bla & Bla introduced System O [CITE], a language extension to the Hindley Milner System, preserving full type inference. Aside from using Hindley Milner as base system, System O differs from System F_O by embedding constraints into \forall -types. Constraints can not be introduced on the expression level, instead constraints are introduced via explicit type annotations of instances. ... ?

2 Preliminary

2.1 Dependently Typed Programming in Agda

Agda is a dependently typed programming language and proof assistant. [CITE] Agda's type system is based on Martin L  f's intuitionistic type theory [CITE] and allows to construct proofs based on the Curry Howard correspondence. The Curry Howard correspondence is an isomorphic relationship between programs written in dependently typed languages and mathematical proofs written in first order logic. Because of the Curry Howard correspondence, programs in Agda correspond to proofs and formulae correspond to types. Hence, if a type checked Agda program implies that our proofs are sound, given we do not use unsafe Agda features and assuming Agda is implemented

correctly. Agda is appealing to programmers, because proving in Agda is similar to functional programming using common concepts, for example pattern matching, currying and inductive data types. Further, Agda has a couple useful support features, for example proving with interactive holes and automatic proof search.

2.2 Design Decisions for the Agda Formalization

– Sorts – Extrinsic typing

3 System F

3.1 Specification

We will first look at System F, our target language of the Dictionary Passing Transform. The specification includes Syntax, Typing and Semantic.

Sorts

System F only requires two sorts, e_s for expressions and τ_s for types.

```
data Sort : Set where
  e_s : Sort
  τ_s : Sort

Sorts : Set
Sorts = List Sort
```

Going forward, we use s as variable name for sorts and S for a list of sorts.

Syntax

System F's syntax is represented in a single data type `Term` indexed by a list of sorts S and a sort s . The length of S represents the amount of bound variables and the elements s_i of the list provide the sort of the variable bound at that position. The second index s represents the sort of the term itself.

```
data Term : Sorts → Sort → Set where
  ' _      : s ∈ S → Term S s
  tt       : Term S e_s
  λ'x→_    : Term (S ▷ e_s) e_s → Term S e_s
  Λ'α→_    : Term (S ▷ τ_s) e_s → Term S e_s
  ' _      : Term S e_s → Term S e_s → Term S e_s
  ' •      : Term S e_s → Term S τ_s → Term S e_s
  let'x= _ 'in _ : Term S e_s → Term (S ▷ e_s) e_s → Term S e_s
  'T       : Term S τ_s
  '⇒       : Term S τ_s → Term S τ_s → Term S τ_s
  ∀'α_     : Term (S ▷ τ_s) τ_s → Term S τ_s
```

Variables $'x$ are represented as references $s \in S$ to an element in S . Memberships of type $s \in S$ are defined analogous to natural numbers and can either be `here` or `there` x where x is another membership. In consequence we can only reference already bound variables, in a similar fashion to debruijn indices. The unit element `tt` and unit type `'T` represent base types. We will use shorthands `Var` S $s = s \in S$, `Expr` $S = \text{Term } S \ e_s$ and `Type` $S = \text{Term } S \ \tau_s$ and variable names x , e and τ respectively.

Renaming

Renamings ρ of type `Ren` S_1 S_2 are defined as total functions mapping variables `Var` S_1 s to variables `Var` S_2 s preserving the sort s of the variable.

```
Ren : Sorts → Sorts → Set
Ren S1 S2 = ∀ {s} → Var S1 s → Var S2 s
```

Applying a renaming `Ren` S_1 S_2 to a term `Term` S_1 s yield a new term `Term` S_2 s where variables are references to elements in S_2 .

```
ren : Ren S1 S2 → (Term S1 s → Term S2 s)
ren ρ ('x) = ' (ρ x)
ren ρ tt = tt
ren ρ (λ'x → e) = λ'x → (ren (extr ρ) e)
ren ρ (Λ'α → e) = Λ'α → (ren (extr ρ) e)
ren ρ (e1 · e2) = (ren ρ e1) · (ren ρ e2)
ren ρ (e • τ) = (ren ρ e) • (ren ρ τ)
ren ρ (let'x = e2 'in e1) = let'x = (ren ρ e2) 'in ren (extr ρ) e1
ren ρ 'T = 'T
ren ρ (τ1 ⇒ τ2) = ren ρ τ1 ⇒ ren ρ τ2
ren ρ (∀'α τ) = ∀'α (ren (extr ρ) τ)
```

Under binders we need to extend the renaming using `extr` : `Ren` S_1 $S_2 \rightarrow \text{Ren } (S_1 \triangleright s)$ $(S_2 \triangleright s)$. The weakening of a term can be defined as shifting all variables by one.

```
wk : Term S s → Term (S ▷ s') s
wk = ren there
```

Because variables are represented as references to a list, we shift them by wrapping a given reference in the `there` constructor.

Substitution

Substitutions σ of type `Sub` S_1 S_2 are similar to renamings but rather than mapping variables to variables, substitutions map variables to terms.

```
Sub : Sorts → Sorts → Set
Sub S1 S2 = ∀ {s} → Var S1 s → Term S2 s
```

Context

Typing

Semantics

3.2 Soundness

Progress

Subject Reduction

4 System F_O

4.1 Specification

Sorts

Syntax

Renaming

Substitution

Context

Constraint Solving

Typing

5 Dictionary Passing Transform

5.1 Translation

Sorts

Terms

Renaming

Substitution

Context

5.2 Type Preservation

Renaming

Substitution

Variables

Terms

6 Conclusion and Further Work

6.1 Hindley Milner with Overloading

6.2 Semantic Preservation of System F_O

6.3 Conclusion

References

Declaration

I hereby declare, that I am the sole author and composer of my thesis and that no other sources or learning aids, other than those listed, have been used. Furthermore, I declare that I have acknowledged the work of others by providing detailed references of said work.

I also hereby declare that my thesis has not been prepared for another examination or assignment, either in its entirety or excerpts thereof.

Place, Date

Signature