

## 2 State of the art

In 1902, Bertrand Russell wrote Gottlob Frege a letter, pointing out a paradox in Gottlob's definition of sets in his paper *Begriffsschrift* [Fre84]. Up until this point mathematicians expected that the naive theory of sets is well formed. Russell's paradox gave a contradiction to this assumption:

Given the set of all sets that do not contain themselves  $R = \{x | x \notin x\}$ , then  $R \in R$  and  $R \notin R$ .

To fix this problem, Russell added a basic theory of types in the appendix of *principia mathematica* [WR27], that at the time was currently in the printer. This rushed theory was not perfect, but it marks the first appearance of type theory.

### 2.1 Type Theory

Russell thought that the main pain point of set theory was that sets could be defined implicitly, (without listing all elements). Type theory is therefore by design constructive. Every element has exactly one type. Once the type of an element is given, it can not change. This is a big difference to sets, where elements can live in any amount of different sets.

In Russells original *ramified theory of types* defined a order amongst the predicates. The lowest predicates could only reason about types. Higher predicates could reason only about lower predicates. This made the type of functions not only depend on the types of its arguments but also on the types of predicates contained in the definition of the function. Thus the type of a functions could get very complicated even for simple functions [KLN04].

In 1921 Leon Chwistek and Frank Ramsey noticed that if one would allow recursive type definitions, the complexity could be avoided. This new theory is general referred as *simple type theory*. It does as follows

#### Definition 2.1: simple type theory

1. 0 is a type;
2. If  $t_1, \dots, t_n$  are simple types, then also  $(t_1, \dots, t_n)$  is a simple type,  $n = 0$  is allowed: then we obtain the simple type  $()$ ;
3. All simple types can be constructed using rules 1 and 2.

We use  $t, u, t_1, \dots$  as metavariables over simple types [KLN04].

$()$  stands for the type of the propositions and the type of the individuals [KLN04].

At that time another method of dealing with Russell's paradox was Ernst Zermelo's axiomatic set theory. That then was further refined by Abraham Fraenkel in 1920 to what is now known as Zermelo-Fraenkels set theory (ZF). Mathematicians would then continue using ZF over type theory, as is was more expressive.

It was only in the 1950s that type theory found its use in Fortran's compiler and type

checker. A compiler turns language expressions into program code. A type checker ensures that an expression has a specific type and essentially proves that the program is well-typed. Fortran is a well known programming language from the 1950 that is still in use. It's one of the first languages with a compiler. And the first language to be used for a consumer and not just purely in academia.

Between 1934 and 1969 Haskell Curry and William Howard noticed that proofs could be represented as programs with the proven statement being the type of said program, more explicitly between natural deduction and lambda calculus. This realization, now known as the *Curry-Howard-Correspondence*, resulted in the invention of proof checking programs.

The next big step for type theory was in 1972 as Per Martin-Löf introduced a new form of type theory, now known as the Martin-Löf type theory. Martin-Löf took the Curry-Howard-Correspondence and extend it to be able to write statements in predicate logic. To do so, he introduced dependent types. Dependent types essentially extended typically type theory with quantifiers. Note that dependent types do not use predicate logic but are rather dependent types are equivalent to statements written in predicate logic.

## 2.2 Dependent types and Refinement types

Because dependent types have the same expressiveness as statements in predicate logic it can be used to check proofs written in predicate logic. This checking process is currently still far from automatic, but it has the benefit of producing bulletproof proofs. If instead we want to use types for automatic checking or even proving, then dependent types can't help. Instead we need a type system that includes a method of proving any statement written in it. Such types are called refinement types.

The main theory behind refinement types was developed by Tim Freeman and Frank Pfenning in 1991 in the paper titled *Refinement Types for ML* [FP91]. The original paper only allowed the predicates  $\wedge$  and  $\vee$  to reason about types. The underlying method for inferring the types was based on the already implemented type inference for ML. A type inference finds the most general type for a given expression. This type inference, also called Hindley-Milner-Type inference has become essential not only for refinement types in general, but also for all modern functional programming languages.

Modern refinement Types are mostly influenced by a paper in 2008 by Patrick Rondon, Ming Kawaguchi and Ranji Jhala titled *Liquid Types*. Where as the approach in 1991 used the Hindley-Milner Inference with small modifications, this new method extends the Inference with new methods to ensure that the new forms of types can be inferred. These resulting refinement types now allow arbitrary predicates written in propositional logic for integers with the order relations  $\leq$  and  $<$ , addition and multiplication with constants.

## 2.3 Introduction for Elm

The programming language Elm was developed by Evan Czaplicki as his master thesis. While it is a pure functional language with a lot of similarities to the programming language Haskell, the initial emphasis was on it being a reactive programming language.

In reactive programming effects are modelled as data streams, as a sequence of effects. Initially this was implemented in Elm by a data type called *Signal*. The signal had Addresses, that pointed to a single position in the event sequence. From there one could look at the next position or even the previous one. This allowed for “time-travel debugging”, allowing the debugger not only to go step by step through the program but also step by step backwards.

While this method of modelling events was a very direct implementation of the mathematical model (a sequence of effects), it turned out to be not very useful and the community around Elm started to use a special architecture, now known as *The Elm Architecture* (TEA). Now a program is more like a state machine with events being state transitions:

We call the state of a program the *Model*, the events are called messages or *Msg* for short. The TEA has three functions:

```
init : Model
```

```
update : Msg -> Model -> Model
```

```
view : Model -> Html Msg
```

The program starts with an *init* model. The model then gets displayed on screen with the *view* function. The result of the *view* function is a website written in HTML. This resulting HTML allows for messages (for example by pressing a button). These messages then get sent one at a time through the *update* function. This in return changes the model, which again changes the HTML on the website.

Sadly the real world is not as simple. With this setup, there is no way to have time-related messages or randomness. For this there exist also *Commands* or *Cmd* for short, that can give the computer tasks, once the task is finished the computer returns a message. Some tasks should run continuously (like for example the passing of time), for that it is also possible to subscribe to a task. The extended Architecture now looks as follows:

```
init : Model
```

```
update : Msg -> Model -> (Model, Cmd Msg)
```

```
subscription : Model -> Sub Msg
```

```
view : Model -> Html Msg
```

This architecture is the main reason why good Elm programs seldomly use recursive functions. Instead of recursive functions the TEA can be used: Using the *Command* system we can return the original *Msg* through the *Command* system back to *update* function again. As the *Command* system is asynchronous, this means that the computer only processes a command once there is enough working memory available. If a recursive function loops indefinitely, this would not freeze the computer. On the other side this slows down recursive function calls, even if it is not for long. So in some cases recursive functions are still used in Elm, though this has also to do with Elm allowing recursive types. For this thesis we will not look at the TEA and not at recursive functions or recursive types.

While Elm claims that it has no runtime errors, this is technically not true. There exist three types of runtime errors: running out of memory, non terminating functions and comparing functions. For this thesis we can safely ignore the first two types of runtime errors. The reason why Elm has problems comparing functions is because it uses the mathematical definition of equal. Two functions  $f$  and  $g$  are equal if  $\forall x. f(x) = g(x)$ . For infinite sets, like the reals, this is impossible to check numerically. Thus elm can not reason about  $(\lambda x. x * 2) = (\lambda x. x + x)$ . A relative easy way to solve this would be to not allow comparisons of function within the type system. As the time of this writing the development team behind Elm has decided against a fix in the type systems, this has to do with the effort of getting rid of constraint type variables within the internal type system of elm and the fix would instead involve adding a new constraint type variable just to exclude functions.

Elm has a lot of hidden features that are intentionally not mentioned in the official guides and will only be pointed out by the compiler once they are necessary. These features include recursive types, opaque types, extendable records and constraint type variables. For this thesis we will not consider any of these features as they only effect more experienced Elm developers.

## References

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