Refinement Types for Elm

Master Thesis Report

Lucas Payr

13 April 2021

Topics of this Talk

- Background
 - Introduction to Elm
 - Introduction to Refinement Types
 - Motivation
 - Goals of the Thesis
- Formulizing the Elm Language
 - Defining the Type System
 - Infering the Type of the Max Function
- Extending the Elm Language
 - Defining Liquid Types
 - Revisiting the Max Function
 - The Inference Algorithm for liquid Types

Background

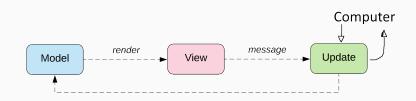
Background: Introduction to Elm

- Invented by Evan Czaplicki as his master-thesis in 2012.
- Website: elm-lang.org

Characteristics

- Pure Functional Language (immutable, no side effect, everything is a function)
- Compiles to JavaScript (in the future also to WebAssembly)
- ML-like Syntax (we say fun a b c for fun(a, b, c))
- Simpler than Haskell (no Type classes, no Monads, only one way to do a given thing)
- "No Runtimes errors" (running out of memory, function equality and non-terminating functions still give runtime errors.)

Background: Introduction to Elm



Background: Introduction to Refinement Types

Restricts the values of an existing type using a predicate.

Initial paper in 1991 by Tim Freeman and Frank Pfenning

- Initial concept was done in ML.
 - Allows predicates with only $\land, \lor, =$, constants and basic pattern matching.
- Operates over algebraic types.
- Needed to specify explicitly all possible Values.

Example

```
\forall t.\{a: \textit{List } t \mid a = \textit{Cons } (b:t) \ (c: \textit{List } t) \land c = \textit{Cons } (d:t) \ [\ ]\}
```

Background: Introduction to Refinement Types

Liquid Types (Logically Quantified Data Types) introduced in 2008

- Invented by Patrick Rondan, Ming Kawaguchi and Ranji Jhala
- Initial concept done in OCaml. Later also C, Haskell and TypeScript.
- Operates over Integers and Booleans, Tuples and Functions.
- Allows predicates with logical operators, comparisons and addition.

Example

$$\begin{aligned} a:&\{\nu: \mathit{Int} \mid 0 \leq a\} \rightarrow b: \{\nu: \mathit{Int} \mid 0 \leq b\} \\ &\rightarrow \{\nu: \mathit{Int} \mid 0 \leq \nu \ \land \ a-b \leq \nu \ \land \ b-a \leq \nu\} \end{aligned}$$

Background: Motivation

- Catching Division by zero in compile time
- Catching index-out-of-bounds errors in compile time
- Having natural numbers as a subtype of ingeters

Background: Goals of Thesis

- 1. Formal language similar to Elm
 - A formal syntax
 - A formal type system
 - A denotational semantic
 - A small step semantic (using K Framework) for rapid prototyping the language
 - Proof that the type system is valid with respect to the semantics.
- 2. Extension of the formal language with Liquid Types
 - Extending the formal syntax, formal type system and denotational semantic
 - Proof that the extension infers the correct types.
 - A Implementation (of the core algorithm) written in Elm for Elm.

Formulizing the Elm Language

We will use the Hindley-Milner type system (used in ML, Haskell and Elm)

We say

T is a mono type : \Leftrightarrow T is a type variable

 \vee T is a type application

 \vee T is a algebraic type

 \vee T is a product type

 \vee T is a function type

T is a poly type : $\Leftrightarrow T = \forall a.T'$

where T' is a mono type or poly type and a is a symbol

T is a $type :\Leftrightarrow T$ is a mono type $\vee T$ is a poly type.

Example

- 1. *Nat* ::= μ *C*.1 | *Succ C*
- 2. List = $\forall a.\mu C.Empty \mid Cons \ a \ C$
- 3. splitAt : $\forall a.Nat \rightarrow List \ a \rightarrow (List \ a, List \ a)$

The values of a type is the set corresponding to the type:

```
\mathsf{values}(\mathit{Nat}) = \{1, \mathit{Succ}\ 1, \mathit{Succ}\ \mathit{Succ}\ 1, \dots\}
\mathsf{values}(\mathit{List}\ \mathit{Nat}) = \bigcup_{n \in \mathbb{N}} \mathsf{values}_n(\mathit{List}\ \mathit{Nat})
\mathsf{values}_0(\mathit{List}\ \mathit{Nat}) = \{[\ ]\}
\mathsf{values}_n(\mathit{List}\ \mathit{Nat}) = \{\mathit{Cons}\ a\ b\ |\ a \in \mathsf{values}(\mathit{Nat}), b \in \mathsf{values}_{n-1}(\mathit{List}\ \mathit{Nat})\}
```

Let T_1 , T_2 be types.

We define the partial order \sqsubseteq on types as

 $T_1 \sqsubseteq T_2 :\Leftrightarrow T_2$ is an instance of T_1

Example: $\forall a.a \sqsubseteq \forall a.List \ a \sqsubseteq List \ Nat$

$$\begin{split} \overline{\Gamma}:&\Gamma\to\mathcal{T}\\ \overline{\Gamma}(T):=&\forall a_1\dots\forall a_n.\,T_0\\ &\text{such that }\{a_1,\dots,a_n\}=\mathsf{free}(T')\setminus\{a\mid (a,\underline{\ \ \ })\in\Gamma\}\\ &\text{where }a_i\in\mathcal{V}\text{ for }i\in\mathbb{N}_0^n\text{ and }T_0\text{ is the mono type of }T. \end{split}$$

We say $\overline{\Gamma}(T)$ is the most general type of T.

Example: $\forall a. \forall b. \text{List } (a, b)$ is the most general type of List (a, b).

```
max =
  \a -> \b ->
  if
      (<) a b
  then
      b
  else
      a</pre>
```

Starting with leaves of the AST: a and b.

$$\frac{(a,\overline{\Gamma}(T))\in\Delta}{\Gamma,\Delta\vdash a:T}$$

New rules:

$$\overline{\Gamma, \Delta \cup \{(a, \overline{\Gamma}(T))\} \vdash a : T} \quad \overline{\Gamma, \Delta \cup \{(b, \overline{\Gamma}(T))\} \vdash b : T}$$

```
max =
  \a -> \b ->
  if
    (<) a b
  then
    b     --> a1
  else
    a     --> a2
```

Next we derive the type for (<) a b.

$$\frac{\Gamma, \Delta \vdash "(<)" : \mathit{Int} \to \mathit{Int} \to \mathit{Bool}}{\Gamma, \Delta \vdash e_1 : T_1 \to T_2 \quad \Gamma, \Delta \vdash e_2 : T_1}{\Gamma, \Delta \vdash e_1 e_2 : T_2}$$

New rule:

$$\frac{\Gamma, \Delta \vdash e_1 : \mathit{Int} \quad \Gamma, \Delta \vdash e_2 : \mathit{Int}}{\Gamma, \Delta \vdash "(<)" \ e_1 \ e_2 : \mathit{Bool}}$$

$$\overline{\Gamma, \Delta \cup \{(a, \overline{\Gamma}(T))\}} \vdash a : T \qquad \overline{\Gamma, \Delta \cup \{(b, \overline{\Gamma}(T))\}} \vdash b : T$$

$$\underline{\Gamma, \Delta \vdash e_1 : Int} \quad \Gamma, \Delta \vdash e_2 : Int}$$

$$\underline{\Gamma, \Delta \vdash "(<)"} \ e_1 \ e_2 : Bool$$

The most general type of Int is Int

New rule:

$$\Gamma, \Delta \cup \{(\mathtt{a}, \mathit{Int}), (\mathtt{b}, \mathit{Int})\} \vdash "(<) \ \mathtt{a} \ \mathtt{b}" : \mathit{Bool}$$

Next we apply the rule for if-expressions.

New rule:

$$\overline{\Gamma, \Delta \cup \{(a, Int), (b, Int)\}} \vdash \text{"if}(<) \text{ a b then b else a"} : Int$$

$$\frac{\Gamma, \Delta \cup \{(a, \overline{\Gamma}(T_1))\} \vdash e : T_2}{\Gamma, \Delta \vdash " \setminus " \ a " - > " \ e : T_1 \to T_2}$$

The most general type of Int is Int

Therefore we conclude

$$\overline{\Gamma, \Delta \cup \{(a, \mathit{Int})\}} \vdash "ackslash b - \mathsf{sif}\ (<) \ \mathtt{a}\ \mathtt{b}\ \mathtt{then}\ \mathtt{b}\ \mathtt{else}\ \mathtt{a}" : \mathit{Int} o \mathit{Int}$$

$$\Gamma, \Delta \vdash \text{``} \backslash \text{a-} > \backslash \text{b-} > \text{if (<)} \text{ a b then b else a''} : \textit{Int} \to \textit{Int} \to \textit{Int}$$

Extending the Elm Language

Extending the Elm Language: Defining Liquid Types

```
IntExp ::= \mathbb{Z}
              | IntExp + IntExp |
              | IntExp \cdot \mathbb{Z}
      Q ::= True
              False
              | IntExp < V
             |\mathcal{V}| < IntExp
              | \mathcal{V} = IntExp
              |Q \wedge Q|
              |Q \vee Q|
              |\neg Q|
```

Extending the Elm Language: Defining Liquid Types

```
T is a liquid type :\Leftrightarrow T is of form \{a: Int \mid r\} where T_0 is a type, a is a symbol, r \in \mathcal{Q}, Nat := \mu C.1 \mid Succ \ C and Int := \mu \_.0 \mid Pos \ Nat \mid Neg \ Nat. \lor \ T is of form a : \{b : Int \mid r\} \to T where a, b are symbols, r \in \mathcal{Q} and T is a liquid types.
```

Extending the Elm Language: Defining Liquid Types

Subtyping Condition

We say c is a Subtyping Condition : $\Leftrightarrow c$ is of form $T_1 <:_{\Theta,\Lambda} T_2$ where T_1, T_2 are a liquid types or templates, Θ is a type variable context and $\Lambda \subset \mathcal{Q}$.

```
max =
  \a -> \b ->
  if
      (<) a b
  then
      b
  else
      a</pre>
```

Again starting at a and b.

$$\begin{split} \{\nu: \mathit{Int}|\ \nu = \mathit{a}\} <:_{\Theta, \Lambda} \{\nu: \mathit{Int}|\ r\} \\ \frac{\left(\mathit{a}, \{\nu: \mathit{Int}|\ r\}\right) \in \Delta \quad \left(\mathit{a}, \{\nu: \mathit{Int}|\ r\}\right) \in \Theta}{\Gamma, \Delta, \Theta, \Lambda \vdash \mathit{a}: \{\nu: \mathit{Int}|\ \nu = \mathit{a}\} \end{split}$$

New rule:

```
max =
  \a -> \b ->
  if
    (<) a b
  then
    b    --> {v:Int| v = b }
  else
    a    --> {v:Int| v = a }
```

```
max =
  \a -> \b ->
  if
    (<) a b --> Bool
  then
    b --> {v:Int| v = b }
  else
    a --> {v:Int| v = a }
```

We skip the rule for (<) a b: The inferred type is Bool

Liquid Type Inference: Infering the Type of the Max Function

$$\begin{split} \overline{\Gamma, \Delta \cup \{(\mathsf{a}, \{\nu : \mathit{Int} | \ r_0\}), (\mathsf{b}, \{\nu : \mathit{Int} | \ r_1\})\}, \Theta, \Lambda \vdash "(<)" \ e_1 \ e_2 : \mathit{Bool} } \\ & \Gamma, \Delta, \Theta, \Lambda \vdash e_1 : \mathit{Bool} \quad e_1 : e_1' \\ & \frac{\Gamma, \Delta, \Theta, \Lambda \cup \{e_1'\} \vdash e_2 : T \quad \Gamma, \Delta, \Theta, \Lambda \cup \{\neg e_1'\} \vdash e_3 : T}{\Gamma, \Delta, \Theta, \Lambda \vdash "if" \ e_1 \ "then" \ e_2 \ "else" \ e_3 : T} \end{split}$$

New rule:

$$\begin{split} &\{ \big(a, \{ \nu : \mathit{Int} | \mathit{r}_0 \} \big), \big(b, \{ \nu : \mathit{Int} | \mathit{r}_1 \} \big) \} \in \Delta \\ & \Gamma, \Delta, \Theta, \Lambda \cup \{ a < b \} \vdash \mathtt{b} : \{ \nu : \mathit{Int} | \mathit{r}_2 \} \\ & \Gamma, \Delta, \Theta, \Lambda \cup \{ \neg (a < b) \} \vdash \mathtt{a} : \{ \nu : \mathit{Int} | \mathit{r}_2 \} \\ \hline & \Gamma, \Delta, \Theta, \Lambda \vdash \text{``if''} \ \mathtt{a} < \mathtt{b} \ \text{``then''b''} \ \text{``else''} \ \mathtt{a} : \{ \nu : \mathit{Int} | \mathit{r}_2 \} \end{split}$$

We have yet to provide a judgement for the following rules.

$$\{\nu: T \mid \nu = \mathtt{a}\} <:_{\Theta, \Lambda} \{\nu: T \mid r\}$$

$$\underline{(\mathtt{a}, \{\nu: T \mid r\}) \in \Delta \quad (\mathtt{a}, \{\nu: T \mid r\}) \in \Theta}$$

$$\Gamma, \Delta, \Theta, \Lambda \vdash \mathtt{a}: \{\nu: T \mid \nu = \mathtt{a}\}$$

$$\{\nu: T \mid \nu = \mathtt{b}\} <:_{\Theta, \Lambda} \{\nu: T \mid r\}$$

$$\underline{(\mathtt{b}, \{\nu: T \mid r\}) \in \Delta \quad (\mathtt{b}, \{\nu: T \mid r\}) \in \Theta}$$

$$\Gamma, \Delta, \Theta, \Lambda \vdash \mathtt{b}: \{\nu: T \mid \nu = \mathtt{b}\}$$

$$\{(\mathtt{a}, \{\nu: Int \mid r_0\}), (\mathtt{b}, \{\nu: Int \mid r_1\})\} \in \Delta$$

$$\Gamma, \Delta, \Theta, \Lambda \cup \{\mathtt{a} < \mathtt{b}\} \vdash \mathtt{b}: \{\nu: Int \mid r_2\}$$

$$\Gamma, \Delta, \Theta, \Lambda \cup \{\neg(\mathtt{a} < \mathtt{b})\} \vdash \mathtt{a}: \{\nu: Int \mid r_2\}$$

$$\overline{\Gamma, \Delta, \Theta, \Lambda \vdash \text{``if''} a < \texttt{b''then''b'''else''} a: \{\nu: Int \mid r_2\} }$$

Subtyping Rule

$$\frac{\Gamma, \Delta, \Theta, \Lambda \vdash e : T_1 \quad T_1 <_{:\Theta,\Lambda} \quad T_2 \quad \text{wellFormed}(T_2, \Theta)}{\Gamma, \Delta, \Theta, \Lambda \vdash e : T_2}$$

$$\{a_1 : Int \mid r_1\} <_{:\Theta,\Lambda} \{a_2 : Int \mid r_2\} : \Leftrightarrow \\ \text{Let } \{(b_1, T_1), \dots, (b_n, T_n)\} = \Theta \text{ in } \\ \forall k_1 \in \text{value}_{\Gamma}(T_1), \dots, \forall k_n \in \text{value}_{\Gamma}(T_n). \\ \forall n \in \mathbb{N}. \forall e \in \Lambda. \\ [[e]]_{\{(a_1,n),(b_1,k_1),\dots,(b_n,k_n)\}} \\ \land [[r_1]]_{\{(a_1,n),(b_1,k_1),\dots,(b_n,k_n)\}} \\ \Rightarrow [[r_2]]_{\{(a_2,n),(b_1,k_1),\dots,(b_n,k_n)\}}$$

Find $r_2 \in \mathcal{Q}$ such that

$$[[((a < b) \land \nu = b) \Rightarrow r_2]]_{\{(a, \{\nu: Int \mid r_0\}), (b, \{\nu: Int \mid r_1\})\}}$$

and

$$[[(\neg(a < b) \land \nu = a) \Rightarrow r_2]]_{\{(a, \{\nu: Int \mid r_0\}), (b, \{\nu: Int \mid r_1\})\}}$$

are valid.

Use SMT-Solver to find a solution.

Sharpest solution: $r_2 := ((a < \nu \land \nu = b) \lor (\neg(\nu < b) \land \nu = a))$

```
We say T is a template :\Leftrightarrow T is of form \{\nu : Int \mid [k]_S\}
where k \in \mathcal{K} and S : \mathcal{V} \nrightarrow \mathcal{Q}
\lor T is of form a : \{\nu : Int \mid [k]_S\} \rightarrow T
where k \in \mathcal{K}, T is a template and S : \mathcal{V} \nrightarrow IntExp.
```

We define $\mathcal{K} := \{ \kappa_i \mid i \in \mathbb{N} \}.$

- 1. (Split) Split the subtyping conditions over dependent function into subtyping conditions over simple liquid types.
- 2. (Init) Compute Q = Init(V) where V is the set of all occurring variables and initiate the mapping A for very key κ_i with the set of resulting predicates with Q.
- 3. (Solve) Check for very subtyping condition if the current mapping *A* violates the subtyping condition.
- 4. (Weaken) If so, weaken the mapping by removing any predicate that violates the subtyping condition and repeat
- 5. One the algorithm terminates we have obtained the strongest refinements that can be build by conjunction over predicates in *Q*.

```
Split : \mathcal{C} \nrightarrow \mathcal{P}(\mathcal{C}^-)
Split(a : \{\nu : Int \mid q_1\} \to T_2 <:_{\Theta,\Lambda} a : \{\nu : Int \mid q_3\} \to T_4) =
        \{\{\nu : Int \mid q_3\} <: \Theta \land \{\nu : Int \mid q_1\}\} \cup Split(T_2 <: \Theta \cup \{(a, q_2)\} \land T_4\})
Split(\{\nu : Int \mid q_1\} <: \Theta \land \{\nu : Int \mid q_2\}) =
        \{\{\nu : Int \mid q_1\} < :_{\Theta,\Lambda} \{\nu : Int \mid q_2\}\}
\mathcal{C} := \{c \mid c \text{ is a subtyping condition}\}\
    C^- := \{ \{ \nu : Int \mid q_1 \} < :_{\Theta, \Lambda} \{ \nu : Int \mid q_2 \} \}
```

 $| (q_1 \in \mathcal{Q} \lor q_1 = [k_1]_{S_1} \text{ for } k_1 \in \mathcal{K}, S_1 \in \mathcal{V} \nrightarrow IntExp)$ $\land (q_2 \in \mathcal{Q} \lor q_2 = [k_2]_{S_2} \text{ for } k_2 \in \mathcal{K}, S_2 \in \mathcal{V} \nrightarrow IntExp) \}.$

$$\begin{aligned} &\mathsf{Solve}: \mathcal{P}(\mathcal{C}^-) \times (\mathcal{K} \nrightarrow \mathcal{P}(\mathcal{Q})) \rightarrow (\mathcal{K} \nrightarrow \mathcal{P}(\mathcal{Q})) \\ &\mathsf{Solve}(\mathcal{C}, A) = \\ &\mathsf{Let} \ S := \{(k, \bigwedge \mathcal{Q}) \mid (k, \mathcal{Q}) \in A\}. \\ &\mathsf{If there exists} \ (\{\nu : Int \mid q_1\} <:_{\Theta, \Lambda} \{\nu : Int \mid [k_2]_{S_2}\}) \in \mathcal{C} \ \mathsf{such that} \\ &\neg (\forall z \in \mathbb{Z}. \forall i_1 \in \mathsf{value}_{\Gamma}(\{\nu : Int \mid r_1'\}) \ldots \forall i_n \in \mathsf{value}_{\Gamma}(\{\nu : Int \mid r_n'\}) \\ & \qquad \qquad [[r_1 \land p]]_{\{(\nu, z), (b_1, i_1), \ldots, (b_n, i_n)\}} \Rightarrow [[r_2]]_{\{(\nu, z), (b_1, i_1), \ldots, (b_n, i_n)\}}) \\ &\mathsf{then Solve}(\mathcal{C}, \mathsf{Weaken}(\mathcal{C}, \mathcal{A})) \ \mathsf{else} \ \mathcal{A} \end{aligned}$$

SMT statement:

$$\left(\left(\bigwedge_{j=0}^{n} [r'_{j}]_{\{(\nu,b_{j})\}}\right) \wedge r_{1} \wedge p\right) \wedge \neg r_{2}$$

with free variables $\nu \in \mathbb{Z}$ and $b_i \in \mathbb{Z}$ for $i \in \mathbb{N}_1^n$.

SMT statement:

$$\neg((\bigwedge_{i=0}^{n} [r'_j]_{\{(\nu,b_j)\}}) \wedge r_1 \wedge p) \vee r_2$$

with free variables $\nu \in \mathbb{Z}$ and $b_i \in \mathbb{Z}$ for $i \in \mathbb{N}_1^n$ and $r_2 := [q]_{S_2}$.

Conclusion

Positives

- Can catch index-out-of-bounds errors in compile time
- Can catch (some) division by zero errors in compile time
- Can define the natural numbers as a subtype of the integers.

Negatives

- The capabilities of liquid types directly depend on the predicates included in Init(V).
- Increasing the size of Init(V) increases the computation type by a lot. (~ quadratic time)
- The set of inferrable refinements is always a proper subset of the set of refinements annotatable. Thus, the type system is no longer complete.

Conclusion

I therefore come to the conclusion, that liquid types are not a proper fit for Elm.

Started thesis in July 2019

Expected finish in April 2021