# **CIS 550 Course Project:**

# 1033 & 2020

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# INTRODUCTION

#### 1. Team Members

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#### 2. Guest Credentials

**Host** cis550-proj.cl8t7peslmhx.us-east-1.rds.amazonaws.com

Port 3306 User guest

Password pT2&gS3@By
Primary Schema final\_project

**Secondary Schema** final\_project2 (For Testing)

### 3. Application Description

We are looking to foremost compare conflict and protest data this year in the US with police data over the last two decades. Through the Department of Defense's Law Enforcement Support Office (LESO), local and state law enforcement agencies can request surplus military equipment through LESO's 1033 Program. This equipment can range anywhere from popcorn machines to parkas, and from grenade launchers to mine-resistant armored transportation. Use of this equipment has been cited as a leading indicator, and perhaps cause, of an increasingly militaristic mindset amongst America's law enforcement agencies. This "warrior's" mindset was on full display during the Summer of 2020 when overwhelmingly peaceful protests in response to the murder of George Floyd were met with often violent responses from the nation's myriad law enforcement agencies. Our group's project seeks to determine whether or not a correlation exists between the use of 1033 equipment in a given county and an increase in the use of force against protesters in the same county. Users can search for the correlation between these two factors, as well as demographic information for every county, such as racial makeup, income, unemployment, and education, for every county in the United States.

## **ARCHITECTURE**

### 1. Technologies

MySQL for database management

Amazon AWS RDS for database hosting

Python/Jupyter Notebook for data cleansing and processing

MySQL Workbench for data ingestion, schema configuration, and testing

React.js for front-end development

Node.js for back-end development

### 2. Application Pages

**Home/Country Page:** The landing page with some country data, protest facts, and links to get to the other pages.

**State Search/Lookup Page:** The page where you can look up a state, which will call up the data, charts, graphs for that state.

**Similar Counties Page:** This is a page where you can search for a County, and it will populate with the N most similar counties based on a parameter the user specifies.

## 3. Application Features

- **Menu bar** for navigation
- A drop-down menu for users to select a state
- A drop-down submenu populated with all of the counties in the userselected state
- **Selecting** a given county will take users to a page that displays demographic, 1033 request, and protest data for said county, as well as for the county's home state and the nation as a whole, for comparison's sake. A list of the 10 counties with the most similar population will also be displayed.
- **Graphs** showing nationwide averages for 1033, demographic, and protest data
- Users will have the option of **generating a pdf report** of the county's data

## DATA

## 1. American Community Survey (ACS)

- https://www.kaggle.com/muonneutrino/us-census-demographic-data
- The ACS is an ongoing demographics survey conducted by the U.S. Census Bureau. It gathers information annually from 52 U.S. states and territories. The data here is taken from the DP03 and DP05 tables of the 2017 ACS 5-year estimates.
- 3220 rows, 37 columns; 0.6MB; 2017; statistics: 3220 counties, 324473330 people

## 2. General Services Administration (GSA) 1033 Program

- <a href="https://data.world/datasets/1033-program">https://data.world/datasets/1033-program</a>
- This CSV file represents \$1.5B of ~\$4.8B distributed by the GSA's 1033 Program, for years 2006-2014. All manner of equipment is here from helicopters to rifles, with prices, nomenclature, and NSNs. The 1033 Program allows local and state law enforcement agencies to receive surplus military equipment from the Department of Defense at little or no cost. Note that the police departments do not pay for the value of the gear, just the shipping and storage en route.
- 243492 rows, 12 columns; 22MB; 2006–2014

#### 3. US Crisis Monitor

- https://acleddata.com/special-projects/us-crisis-monitor/
- "ACLED systematically collects the dates, actors, locations, fatalities, and types
  of all political violence and demonstration events in the US using a
  methodology consistent with our global coverage of conflict and disorder
  around the world."
- 17675 rows, 28 columns; 3MB; 2020/05/24–2020/11/21; event breakdown: 16331 protests, 753 riots, 539 strategic developments, 42 violence against civilians, 5 battles

## DATABASE

#### 1. Conversion Process

Data from the three input .csv files was cleaned and processed into four .csv output files. Each .csv file was ingested using the MySQL Workbench table data import wizard. An overview of the process for each table is shown here:

- 'acs2017\_county\_data.csv' county data → 'county.csv' → 'county' table with 3220 instances
- 'acs2017\_county\_data.csv' demographic data → 'demographic.csv' →
   'demographic' table with 3220 instances
- '1033prog.csv' → 'equipment.csv' → 'equipment' table with 241062 instances

• 'USA\_2020\_Nov21-1.csv' → 'protest.csv' → '**protest**' table with 17670 instances

### 2. Data Pre-Processing

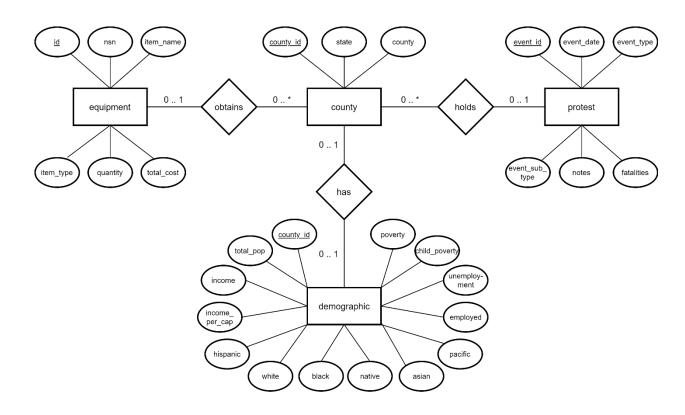
- Since only ACS has county id, extract county id, state and county from ACS as table County
- For county in each of the 3 datasets (Demographic, Protest, Equipment), put county and state to caps, remove the word "county" in ACS, and add county id by joining with table County on county and state
- For Demographic, convert to percentage where applicable
- For equipment, remove equipments that are not weapons (such as refrigerator)
- For number columns, check summary statistics and look for abnormalities
- For letter columns, look for NULL values and note any column with too many NULLs

#### 3. SQL DDL

- CREATE TABLE `county` (`county\_id` int NOT NULL, `state` varchar(20) NOT NULL, `county` varchar(21) NOT NULL, PRIMARY KEY (`county\_id`), KEY `county\_state\_index` (`state`), KEY `county\_county\_index` (`county`))
   ENGINE=InnoDB DEFAULT CHARSET=utf8mb4 COLLATE=utf8mb4\_0900\_ai\_ci;
- CREATE TABLE `demographic` (`county\_id` int NOT NULL, `total\_pop` int DEFAULT NULL, `hispanic` double DEFAULT NULL, `white` double DEFAULT NULL, `asian` double DEFAULT NULL, `pacific` double DEFAULT NULL, `income` int DEFAULT NULL, `income` int DEFAULT NULL, `income\_per\_cap` int DEFAULT NULL, `poverty` double DEFAULT NULL, `child\_poverty` double DEFAULT NULL, `employed` int DEFAULT NULL, `unemployment` double DEFAULT NULL, PRIMARY KEY (`county\_id`), KEY `demo\_total\_pop\_index` (`total\_pop`), KEY `demo\_hispanic\_index` (`hispanic`), KEY `demo\_white\_index` (`white`), KEY `demo\_black\_index` (`black`), KEY `demo\_native\_index` (`native`), KEY `demo\_asian\_index` (`asian`), KEY `demo\_pacific\_index` (`pacific`), KEY `demo\_income\_per\_cap\_index` (`income\_per\_cap`), KEY `demo\_poverty\_index` (`poverty`), KEY `demo\_unemployment\_index` (`unemployment`), CONSTRAINT `fk\_demo\_cid` FOREIGN KEY (`county\_id`) REFERENCES `county` (`county\_id`))
   ENGINE=InnoDB DEFAULT CHARSET=utf8mb4 COLLATE=utf8mb4\_0900\_ai\_ci;
- CREATE TABLE `equipment` (`id` int NOT NULL, `nsn` varchar(22) DEFAULT NULL, `item\_name` varchar(200) DEFAULT NULL, `item\_type` varchar(6) DEFAULT NULL, `quantity` int DEFAULT NULL, `total\_cost` decimal(19,2)

- DEFAULT NULL, `county\_id` int DEFAULT NULL, PRIMARY KEY (`id`), KEY `fk\_equip\_cid\_idx` (`county\_id`), KEY `equip\_nsn\_index` (`nsn`), KEY `equip\_item\_name\_index` (`item\_name`), KEY `equip\_item\_type\_index` (`item\_type`), KEY `equip\_quantity\_index` (`quantity`), KEY `equip\_total\_cost\_index` (`total\_cost`), CONSTRAINT `fk\_equip\_cid` FOREIGN KEY (`county\_id`) REFERENCES `county` (`county\_id`)) ENGINE=InnoDB DEFAULT CHARSET=utf8mb4 COLLATE=utf8mb4 0900 ai ci;
- CREATE TABLE `protest` (`event\_id` int NOT NULL, `event\_date` date DEFAULT NULL, `event\_type` varchar(26) DEFAULT NULL, `sub\_event\_type` varchar(34) DEFAULT NULL, `notes` varchar(1581) DEFAULT NULL, `fatalities` int DEFAULT NULL, `county\_id` int DEFAULT NULL, PRIMARY KEY (`event\_id`), KEY `fk\_protest\_cid\_idx` (`county\_id`), KEY `protest\_event\_date\_index` (`event\_date`), KEY `protest\_event\_type\_index` (`event\_type`), KEY `protest\_event\_sub\_type\_index` (`sub\_event\_type`), KEY `protest\_fatalities\_index` (`fatalities`), CONSTRAINT `fk\_protest\_cid` FOREIGN KEY (`county\_id`) REFERENCES `county` (`county\_id`)) ENGINE=InnoDB DEFAULT CHARSET=utf8mb4 COLLATE=utf8mb4\_0900\_ai\_ci;

#### 4. ER Diagram



#### 5. Normal Form Definition & Justification

**Third Normal Form (3NF):** For every relation scheme R and for every  $X \to A$  that holds over R, either  $A \in X$  (trivial), or X is a superkey for R, or A is a member of some key for R.

**Boyce-Codd Normal Form (BCNF):** For every relation scheme R and for every  $X \rightarrow A$  that holds over R, either  $A \in X$  (trivial) or X is a superkey for R.

A justification for each relation is given here:

- County: The candidate keys are county\_id and state, county. The functional dependencies are county\_id → state, county and state, county → county\_id. The relation violates BCNF since the left-hand side of each dependency is not a superkey. However, it satisfies 3NF since the right-hand side of each dependency is a member of some key.
- Demographic: The key is county\_id and the functional dependencies are county\_id → total\_pop, hispanic, white, black, native, asian, pacific, income, income\_per\_cap, poverty, child\_poverty, employed, unemployment. The relation satisfies BCNF since the left-hand side of each dependency is a superkey of county\_id.
- **Equipment:** The key is id and the functional dependencies are id → nsn, item\_name, item\_type, quantity, total\_cost, county\_id. The relation satisfies BCNF since the left-hand side of each dependency is a superkey of id.
- Protest: The key is event\_id and the functional dependencies are event\_id →
  event\_date, event\_type, sub\_event\_type, notes, fatalities, county\_id. The
  relation satisfies BCNF since the left-hand side of each dependency is a
  superkey of event\_id.

In summary, the county relation satisfies 3NF but not BCNF, while the demographic, equipment, and protest relations satisfy BCNF. Since BCNF is strictly stronger than 3NF, all relations satisfy 3NF. Therefore, the database is in 3NF.

# **QUERIES**

### 1. Query 1: Country Statistics

Returns protest and equipment statistics for the entire country.

**Columns:** country, population, peaceful, non\_peaceful, fatalities, peaceful\_per\_100K, non\_peaceful\_per\_100K, equip\_qty, weapon\_qty, equip\_cost, weapon\_cost, cost\_per\_equip, cost\_per\_weapon, equip\_cost\_per\_cap, weapon\_cost\_per\_cap

WITH d1 AS (SELECT "United States" AS country, SUM(total\_pop) AS population FROM demographic),

p1 AS (SELECT COUNT(\*) AS peaceful FROM protest WHERE sub\_event\_type="Peaceful protest"),

p2 AS (SELECT COUNT(\*) AS non\_peaceful FROM protest WHERE event\_type<> "Strategic Developments" AND sub\_event\_type<> "Peaceful protest"), p3 AS (SELECT COUNT(\*) AS num\_fatalities FROM protest WHERE event\_type<> "Strategic Developments" AND fatalities>0),

e1 AS (SELECT SUM(quantity) AS equip\_qty, SUM(total\_cost) AS equip\_cost FROM equipment WHERE item\_type<>"WEAPON"),

e2 AS (SELECT SUM(quantity) AS weapon\_qty, SUM(total\_cost) AS weapon\_cost FROM equipment WHERE item\_type="WEAPON")

SELECT country, population, peaceful, non\_peaceful, num\_fatalities AS "fatalities", ROUND((peaceful/population\*100000),2) AS peaceful\_per\_100K,

ROUND((non\_peaceful/population\*100000),2) AS non\_peaceful\_per\_100K, equip\_qty, weapon\_qty, equip\_cost, weapon\_cost, ROUND((equip\_cost/equip\_qty),2) AS cost\_per\_equip, ROUND((weapon\_cost/weapon\_qty),2) AS cost\_per\_weapon, ROUND((equip\_cost/population),2) AS equip\_cost\_per\_cap,

ROUND((weapon\_cost/population),2) AS weapon\_cost\_per\_cap FROM d1, p1, p2, p3, e1, e2;

### 2. Query 2: State/Territory Statistics

Returns protest and equipment statistics for each state/territory.

**Columns:** state, state, population, peaceful, non\_peaceful, peaceful\_per\_100K, non\_peaceful\_per\_100K, equip\_qty, weapon\_qty, equip\_cost, weapon\_cost, cost\_per\_equip, cost\_per\_weapon, equip\_cost\_per\_cap, weapon\_cost\_per\_cap

WITH d1 AS (SELECT state, SUM(total\_pop) AS population FROM demographic d JOIN county c ON d.county\_id=c.county\_id GROUP BY state), p1 AS (SELECT state, COUNT(\*) AS peaceful FROM protest p JOIN county c ON p.county\_id=c.county\_id WHERE sub\_event\_type="Peaceful protest" GROUP BY state),

p2 AS (SELECT state, COUNT(\*) AS non\_peaceful FROM protest p JOIN county c ON p.county\_id=c.county\_id WHERE event\_type<>"Strategic Developments" AND sub\_event\_type<>"Peaceful protest" GROUP BY state),

e1 AS (SELECT state, SUM(quantity) AS equip\_qty, SUM(total\_cost) AS equip\_cost FROM equipment e JOIN county c ON e.county\_id=c.county\_id WHERE item\_type<>"WEAPON" GROUP BY state),

e2 AS (SELECT state, SUM(quantity) AS weapon\_qty, SUM(total\_cost) AS weapon\_cost FROM equipment e JOIN county c ON e.county\_id=c.county\_id WHERE item\_type="WEAPON" GROUP BY state)

SELECT d1.state, population, peaceful, non\_peaceful,

ROUND((peaceful/population\*100000),2) AS peaceful\_per\_100K,

ROUND((non\_peaceful/population\*100000),2) AS non\_peaceful\_per\_100K, equip\_qty, weapon\_qty, equip\_cost, weapon\_cost, ROUND((equip\_cost/equip\_qty),2) AS cost\_per\_equip, ROUND((weapon\_cost/weapon\_qty),2) AS cost\_per\_weapon, ROUND((equip\_cost/population),2) AS equip\_cost\_per\_cap,

ROUND((weapon\_cost/population),2) AS weapon\_cost\_per\_cap FROM d1 LEFT OUTER JOIN p1 on d1.state=p1.state LEFT OUTER JOIN p2 on d1.state=p2.state LEFT OUTER JOIN e1 ON d1.state=e1.state LEFT OUTER JOIN e2 ON d1.state=e2.state;

### 3. Query 6: Highest Population Counties

Returns demographic, protest, and equipment statistics for the 10 highest population counties in a particular state.

**Columns:** county, population, income\_per\_cap, poverty, unemployment, events, events\_per\_100K, item\_qty, item\_cost, cost\_per\_item, cost\_per\_cap

WITH d1 AS (SELECT c.county\_id, county, total\_pop AS population, income\_per\_cap, poverty, unemployment FROM demographic d JOIN county c ON d.county\_id=c.county\_id WHERE state="Pennsylvania" GROUP BY county\_id), p1 AS (SELECT c.county\_id, COUNT(\*) AS num\_events FROM protest p JOIN county c ON p.county\_id=c.county\_id WHERE state="Pennsylvania" GROUP BY county\_id), e1 AS (SELECT c.county\_id, SUM(quantity) AS item\_qty, SUM(total\_cost) AS item\_cost FROM equipment e JOIN county c ON e.county\_id=c.county\_id WHERE state="Pennsylvania" GROUP BY county\_id)

SELECT county, population, income\_per\_cap, poverty, unemployment, num\_events AS "events", ROUND((num\_events/population\*100000),2) AS events\_per\_100K, item\_qty, item\_cost, ROUND((item\_cost/item\_qty),2) AS cost\_per\_item, ROUND((item\_cost/population),2) AS cost\_per\_cap FROM d1 LEFT OUTER JOIN p1 ON d1.county\_id=p1.county\_id LEFT OUTER JOIN e1 on e1.county\_id=d1.county\_id ORDER BY population DESC LIMIT 10;

### 4. Query 8: Most Similar Counties

Returns demographic, protest, and equipment statistics for a particular county and the 10 most similar counties based on population size.

**Columns:** county, state, population, income\_per\_cap, poverty, unemployment, events, events\_per\_100K, item\_qty, item\_cost, cost\_per\_item, cost\_per\_cap

WITH d1 AS (SELECT c.county\_id, state, county, total\_pop AS population, income\_per\_cap, poverty, unemployment FROM demographic d JOIN county c ON d.county\_id=c.county\_id GROUP BY county\_id),

p1 AS (SELECT c.county\_id, COUNT(\*) AS num\_events FROM protest p JOIN county c ON p.county\_id=c.county\_id GROUP BY county\_id),

e1 AS (SELECT c.county\_id, SUM(quantity) AS item\_qty, SUM(total\_cost) AS item\_cost FROM equipment e JOIN county c ON e.county\_id=c.county\_id GROUP BY county\_id),

o1 AS (SELECT d1.county\_id, population, income\_per\_cap, poverty, unemployment, num\_events, (num\_events/population\*100000) AS events\_per\_100K, item\_qty, item\_cost, (item\_cost/population) AS item\_cost\_per\_cap FROM d1 LEFT OUTER JOIN e1 ON d1.county\_id=e1.county\_id LEFT OUTER JOIN p1 on p1.county\_id=d1.county\_id WHERE state="Pennsylvania" AND county="Philadelphia")

SELECT county, state, population, income\_per\_cap, poverty, unemployment, num\_events AS "events", ROUND((num\_events/population\*100000),2) AS events\_per\_100K, item\_qty, item\_cost, ROUND((item\_cost/item\_qty),2) AS cost\_per\_item, ROUND((item\_cost/population),2) AS cost\_per\_cap FROM d1 LEFT OUTER JOIN p1 ON d1.county\_id=p1.county\_id LEFT OUTER JOIN e1 on d1.county\_id=e1.county\_id ORDER BY ABS((SELECT population FROM o1) - population) LIMIT 11;

## **5. Query 11: Additional Country Statistics**

Returns the number of events per 100K people and the item cost per capita for the entire country, majority/minority white counties, and the lowest/highest poverty counties.

**Columns:** events\_per\_100K\_national, white, non\_white, low\_poverty, high\_poverty, item\_cost\_per\_cap\_national, white, non\_white, low\_poverty, high\_poverty

WITH p1 AS (SELECT COUNT(\*) AS num\_events FROM protest),

```
pop1 AS (SELECT SUM(total_pop) AS population FROM demographic), e1 AS (SELECT SUM(total_cost) AS item_cost FROM equipment),
```

d2 AS (SELECT county\_id, total\_pop FROM demographic WHERE (hispanic+black+native+asian+pacific) <= white),

p2 AS (SELECT COUNT(\*) AS num\_events FROM protest p JOIN d2 ON

p.county\_id=d2.county\_id),

pop2 AS (SELECT SUM(total\_pop) AS population FROM d2),

e2 AS (SELECT SUM(total\_cost) AS item\_cost FROM equipment e JOIN d2 ON e.county\_id=d2.county\_id),

d3 AS (SELECT county\_id, total\_pop FROM demographic WHERE (hispanic+black+native+asian+pacific)>white),

p3 AS (SELECT COUNT(\*) AS num\_events FROM protest p JOIN d3 ON p.county\_id=d3.county\_id),

pop3 AS (SELECT SUM(total\_pop) AS population FROM d3),

e3 AS (SELECT SUM(total\_cost) AS item\_cost FROM equipment e JOIN d3 ON e.county\_id=d3.county\_id),

d4 AS (SELECT county\_id, total\_pop FROM demographic ORDER BY poverty LIMIT 322),

p4 AS (SELECT COUNT(\*) AS num\_events FROM protest p JOIN d4 ON p.county\_id=d4.county\_id),

pop4 AS (SELECT SUM(total\_pop) AS population FROM d4),

e4 AS (SELECT SUM(total\_cost) AS item\_cost FROM equipment e JOIN d4 ON e.county\_id=d4.county\_id),

d5 AS (SELECT county\_id, total\_pop FROM demographic ORDER BY poverty DESC LIMIT 322),

p5 AS (SELECT COUNT(\*) AS num\_events FROM protest p JOIN d5 ON p.county\_id=d5.county\_id),

pop5 AS (SELECT SUM(total\_pop) AS population FROM d5),

e5 AS (SELECT SUM(total\_cost) AS item\_cost FROM equipment e JOIN d5 ON e.county\_id=d5.county\_id)

SELECT ROUND((p1.num\_events/pop1.population\*100000),2) AS

events\_per\_100K\_national, ROUND((p2.num\_events/pop2.population\*100000),2) AS white, ROUND((p3.num\_events/pop3.population\*100000),2) AS non\_white,

ROUND((p4.num\_events/pop4.population\*100000),2) AS low\_poverty,

ROUND((p5.num\_events/pop5.population\*100000),2) AS high\_poverty,

ROUND((e1.item\_cost/pop1.population),2) AS item\_cost\_per\_cap\_national,

ROUND((e2.item\_cost/pop2.population),2) AS white,

ROUND((e3.item\_cost/pop3.population),2) AS non\_white,

ROUND((e4.item\_cost/pop4.population),2) AS low\_poverty, ROUND((e5.item\_cost/pop5.population),2) AS high\_poverty FROM p1, pop1, e1, p2, pop2, e2, p3, pop3, e3, p4, pop4, e4, p5, pop5, e5;

## PERFORMANCE EVALUATION

#### 1. Overview

We tried to "push" SELECT/PROJECT statements to the base relations whenever possible to minimize intermediate results. Pushing PROJECT statements reduces the size of result tuples, while pushing SELECT statements reduces the number of tuples. We also tried to reorder joins such that smaller tables were joined first. TEXT fields were converted to VARCHAR to reduce the size of tuples. All primary keys, foreign keys, and referenced columns were indexed to reduce the cost of read operations. Finally, queries were rewritten if the measured execution time was significantly worse than the expected execution time.

## 2. Detailed Optimization Steps

- 1. Converted all TEXT fields to VARCHAR
  - a. **County:** `state` text NOT NULL, `county` text NOT NULL → `state` varchar(20) NOT NULL, `county` varchar(21) NOT NULL
  - b. Equipment: `nsn` text, `item\_name` text, `item\_type` text → `nsn` varchar(22) DEFAULT NULL, `item\_name` varchar(200) DEFAULT NULL, `item\_type` varchar(6) DEFAULT NULL
  - c. Protest: `event\_type` text, `sub\_event\_type` text, `notes` text → `event\_type` varchar(26) DEFAULT NULL, `sub\_event\_type` varchar(34) DEFAULT NULL, `notes` varchar(1581) DEFAULT NULL

#### 2. Indexed primary keys

- a. **County:** PRIMARY KEY (`county\_id`)
- b. **Demographic:** PRIMARY KEY (`county\_id`)
- c. **Equipment:** PRIMARY KEY ('id')
- d. Protest: PRIMARY KEY (`event\_id`)
- 3. Indexed foreign keys
  - a. **Equipment:** KEY `fk\_equip\_cid\_idx` (`county\_id`)
  - b. **Protest:** KEY `fk\_protest\_cid\_idx` (`county\_id`)

#### 4. Indexed referenced columns

- a. County: KEY `county\_state\_index` (`state`), KEY `county\_county\_index` (`county`)
- b. Demographic: KEY `demo\_total\_pop\_index` (`total\_pop`), KEY `demo\_hispanic\_index` (`hispanic`), KEY `demo\_white\_index` (`white`), KEY `demo\_black\_index` (`black`), KEY `demo\_native\_index` (`native`), KEY `demo\_asian\_index` (`asian`), KEY `demo\_pacific\_index` (`pacific`), KEY `demo\_income\_per\_cap\_index` (`income\_per\_cap`), KEY `demo\_poverty\_index` (`poverty`), KEY `demo\_unemployment\_index` (`unemployment`)
- c. **Equipment:** KEY `fk\_equip\_cid\_idx` (`county\_id`), KEY `equip\_nsn\_index` (`nsn`), KEY `equip\_item\_name\_index` (`item\_name`), KEY `equip\_item\_type\_index` (`item\_type`), KEY `equip\_quantity\_index` (`quantity`), KEY `equip\_total\_cost\_index` (`total\_cost`)
- d. Protest: KEY `protest\_event\_date\_index` (`event\_date`), KEY `protest\_event\_type\_index` (`event\_type`), KEY `protest\_event\_sub\_type\_index` (`sub\_event\_type`), KEY `protest\_fatalities\_index` (`fatalities`)
- 5. Rewrote queries 9a, 9b, and 9c (query 9a is shown as an example)

  Before:

WITH date1 AS (SELECT event\_date FROM protest **GROUP BY event\_date**), p1 AS (SELECT event\_date FROM protest p JOIN county c ON p.county\_id=c.county\_id WHERE state="Pennsylvania"), p2 AS (SELECT date1.event\_date, IFNULL(**COUNT(p1.event\_date)**,0) AS num\_events FROM date1 LEFT OUTER JOIN p1 ON date1.event\_date=p1.event\_date GROUP BY date1.event\_date ORDER BY date1.event\_date) SELECT \* FROM p2;

#### **After:**

WITH date1 AS (SELECT **DISTINCT** event\_date FROM protest), p1 AS (SELECT event\_date, **COUNT(\*) AS num\_events** FROM protest p JOIN county c ON p.county\_id=c.county\_id WHERE state="Pennsylvania" **GROUP BY event\_date**),

p2 AS (SELECT date1.event\_date, IFNULL(**num\_events**,0) AS num\_events FROM date1 LEFT OUTER JOIN p1 ON date1.event\_date=p1.event\_date GROUP BY date1.event\_date ORDER BY date1.event\_date) SELECT \* FROM p2;

## 3. Timings

All tests were conducted on a db.t2.micro (1vCPU, 20 GiB SSD, 1GiB RAM) database instance using the default configuration parameters from the "AWS Database Set-up Tutorial". Each database query with a pre-optimization execution time of at least 0.3 seconds was tested 10 times per schema. The (unoptimized) schema 'final\_project2' was used to record pre-optimization times, while the (optimized) schema 'final\_project' was used to record post-optimization times. The min, max, and average times (in seconds) for each query are summarized in the table below.

	Pre-Optimization		Post-Optimization				
Query	Min	Max	Avg	Min	Max	Avg	% Change
Query 1	0.406	0.485	0.449	0.281	0.344	0.297	-33.8
Query 2	0.922	1.094	0.987	0.609	0.688	0.636	-35.6
Query 8	0.469	0.531	0.503	0.328	0.359	0.331	-34.1
Query 9a	8.938	9.547	9.108	0.063	0.110	0.091	-99.0
Query 9b	8.906	9.954	9.269	0.047	0.110	0.077	-99.2
Query 9c	9.094	9.313	9.175	0.047	0.078	0.061	-99.3
Query 10a	0.312	0.375	0.333	0.187	0.203	0.195	-41.3
Query 10b	0.843	0.906	0.864	0.578	0.610	0.595	-31.1
Query 10c	0.328	0.344	0.339	0.094	0.110	0.102	-70.0
Query 10d	0.312	0.359	0.328	0.078	0.094	0.080	-75.7
Query 11	1.125	1.188	1.150	0.468	0.500	0.481	-58.2
Query 12c	0.313	0.375	0.339	0.328	0.344	0.334	-1.3
Query 12d	0.297	0.375	0.331	0.328	0.359	0.336	1.4
Query 13c	0.281	0.344	0.311	0.312	0.344	0.320	3.1
Query 13d	0.281	0.359	0.326	0.312	0.329	0.325	-0.4
						Avg:	-45.0

## 4. Findings

Among all timed queries, the average execution time was reduced by 45%. 11 of the 15 queries benefited from the optimization. In queries 1, 2, 8, 10a, 10b, 10c, 10d, and 11, the reduction ranged from 33.8% to 75.7%. Queries 9a, 9b, and 9c were rewritten to place the aggregation on 'event\_date' in result p1 before the join with result p2. This decreased the execution time by more than 99% without affecting the final result in terms of the returned tuples. Queries 12c, 12d, 13c, and 13d showed no

performance improvement. In each, a full scan on the ~240K row 'equipment' table is required, resulting in a high query cost both pre- and post-optimization. Indexing has less of an effect on small tables or large tables where most of the rows need to be processed.

Changing the 'total\_cost' column in 'equipment' from type DECIMAL(19,2) to type int saved ~0.3 seconds in some of the more complex queries. There was no observed performance difference between DECIMAL(19,2) and DECIMAL(10,2), which has a smaller range. We wanted cost to have a decimal representation, so DECIMAL(19,2) was kept despite the cost.

Overall, rewriting inefficient queries had the biggest impact on performance, followed by indexing the primary/foreign keys, followed by indexing the referenced columns. In particular, indexing 'county\_id', which is a primary key in 'county' and 'demographic' and a foreign key in 'equipment' and 'protest', had a large impact since it is used extensively in joins. In contrast, indexing the referenced columns had a smaller impact on performance since they less frequently accessed, if at all. In general, indexing can greatly reduce the read cost but may slightly increase the update cost. It also uses a marginal amount of storage on the disk drive. Since the tested database workload was entirely reads, this resulted in a significant performance gain in most of the timed queries with at worst no performance loss.

## TECHNICAL CHALLENGES