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# *Quantum Computing and Quantum Internet*

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# Quantum Error-Correction

## A General Framework

# Introduction

- To protect quantum states against the effects of noise we would like to develop *quantum error-correcting codes* based on similar principles to those used for developing the *classical error-correcting codes*
- There are some important differences between classical information and quantum information that require new ideas to be introduced to make such quantum error-correcting codes possible
- In particular, at first glance we have three rather formidable difficulties to deal with:

# Introduction

## **1. No Cloning**

- One might try to implement the repetition code quantum mechanically by duplicating the quantum state three or more times
- This is forbidden by the no-cloning theorem
- Even if cloning were possible, it would not be possible to measure and compare the three quantum states output from the channel

## **2. Errors are Continuous**

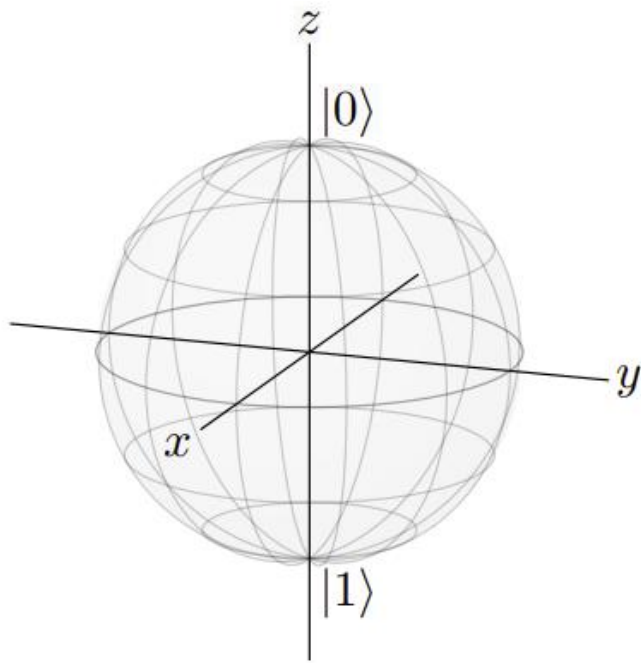
- A continuum of different errors may occur on a single qubit
- Determining which error occurred in order to correct it would appear to require infinite precision, and therefore infinite resources

# Introduction

## **3. Measurement Destroys Quantum Information**

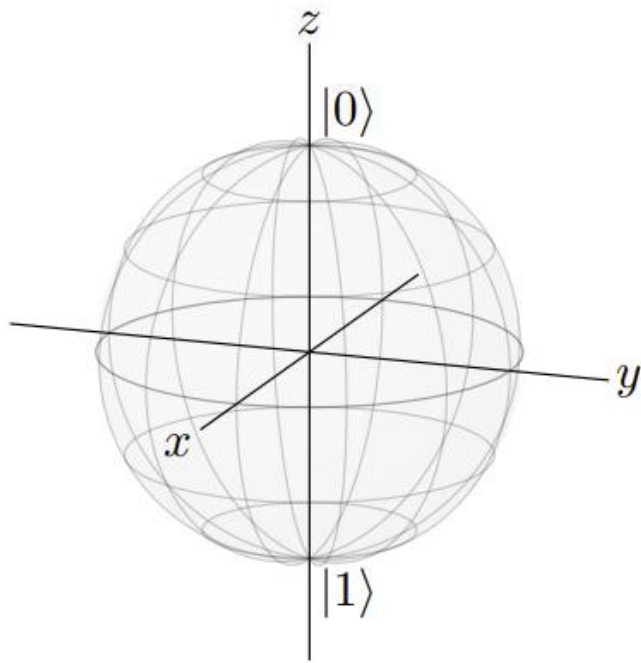
- Observation in quantum mechanics generally destroys the quantum state under observation and makes recovery impossible

# Decoherence



- Recall a qubit can be represented by a point on the Bloch sphere
- The north pole corresponds to  $|0\rangle$  and the south pole corresponds to  $|1\rangle$
- For a classical bit, these would be the only possible states, and the only error is for the bit to completely flip between the north and south poles
- For a qubit, however, every location on the Bloch sphere is a different state

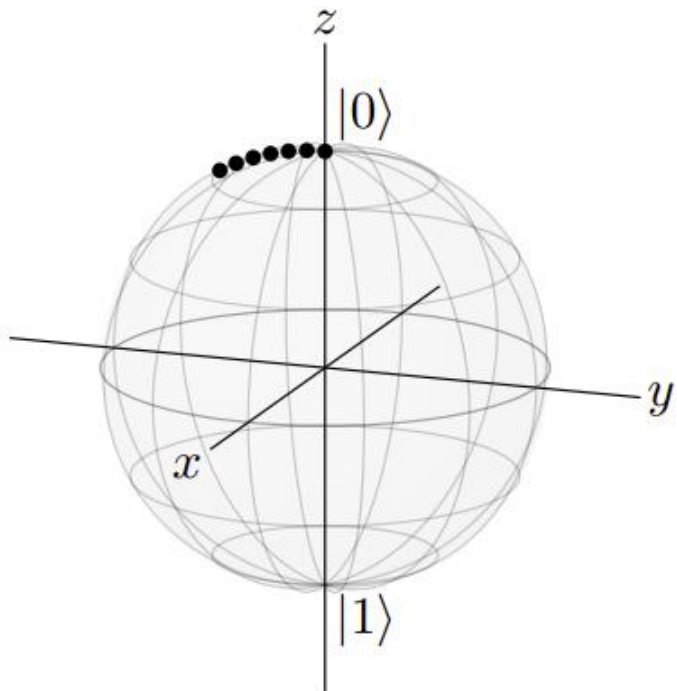
# Decoherence



- To deepen the analysis of the second issue (**Errors are Continuous**) let's come back to the Bloch sphere
- Recall a qubit can be represented by a point on the Bloch sphere
- The north pole corresponds to  $|0\rangle$  and the south pole corresponds to  $|1\rangle$
- For a classical bit, these would be the only possible states, and the only error is for the bit to completely flip between the north and south poles
- For a qubit, however, every location on the Bloch sphere is a different state

# Decoherence

- For example, beginning at  $|0\rangle$ , instead of completely flipping to  $|1\rangle$ , a qubit could experience a partial bit flip error, where it only rotates a little toward  $|1\rangle$

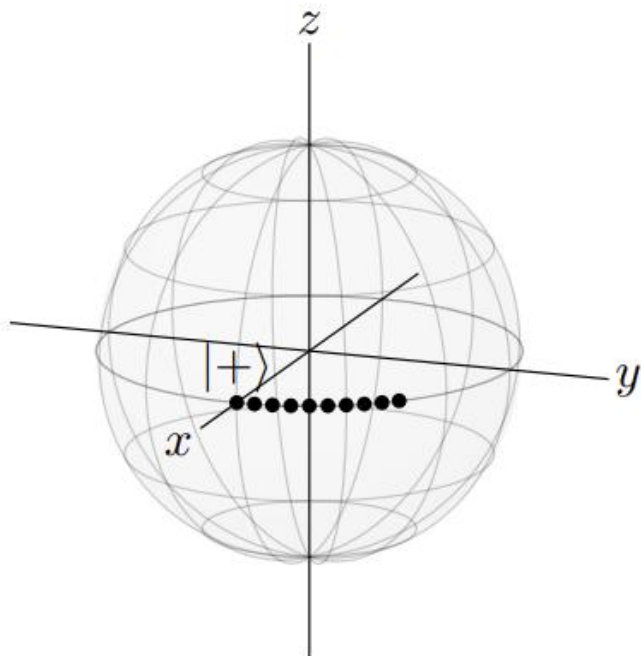


- Since a full *bit flip* corresponds to the  $X$  gate, and the  $X$  gate is a rotation about the  $x$ -axis by  $\pi = 180^\circ$ , a partial *bit flip* corresponds to rotating about the  $x$ -axis by some angle
- So, in the above figure, the state is moving leftward, down the Bloch sphere, in the  $yz$ -plane
- This small change is an error



# Decoherence

- To further complicate matters, a qubit's state is not just its latitude up and down the Bloch sphere, but also its longitude around the Bloch sphere
- For example, if a qubit initially in the  $|+\rangle$  state gets bumped to the side, we get a different state:



- This is called a *phase flip* error because rotations around the z-axis correspond to changes in the relative phase
- For example,  
 $|+\rangle = (|0\rangle + |1\rangle)/\sqrt{2}$  and  
 $|-\rangle = (|0\rangle - |1\rangle)/\sqrt{2}$   
lie on opposite sides of the equator

# Decoherence

- Since qubits are more sensitive to errors than classical bits, small interactions with the environment can move the qubit to a different location on the Bloch sphere
- This process is called **decoherence**
- In practice, decoherence is the biggest obstacle to building large-scale quantum computers, since it is very difficult to isolate a qubit from its environment while making it accessible for quantum gates and measurements

# Decoherence

- Next, we will see how to correct for *bit-flip* errors and then *phase-flip* errors
- Then, we will *combine* both types of error correction into what is known as the *Shor code*

# Bit-Flip Errors

# Bit-Flip Code

- To make it possible to correct *bit-flip errors*, we use **three physical qubits** to encode each logical qubit:

$$|0_L\rangle = |000\rangle, \quad |1_L\rangle = |111\rangle$$

where subscript **L** denotes a *logical qubit*

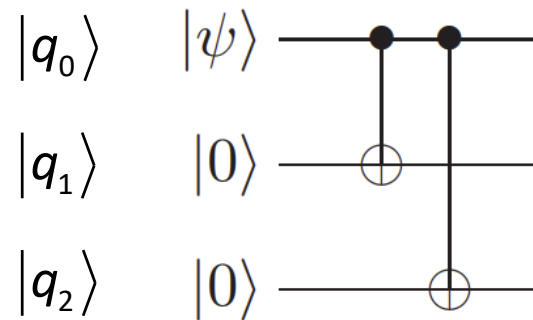
- A **logical qubit** is, in general, a superposition of  $|0_L\rangle$  and  $|1_L\rangle$

$$\alpha|0_L\rangle + \beta|1_L\rangle = \alpha|000\rangle + \beta|111\rangle$$

where it is understood that **superpositions of basis states are taken to corresponding superpositions of encoded states**

# Bit-Flip Code

- A way to create this encoding is illustrated in the following figure

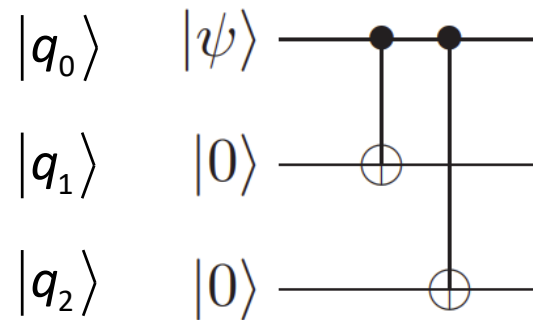


- Assume we have a single qubit in the state  $|\psi\rangle = \alpha|0\rangle + \beta|1\rangle$
- To encode this state using the bit-flip code we add two more qubits to our system, all initially in  $|0\rangle$ , so our three qubits are in the state

$$|\psi\rangle|0\rangle|0\rangle = (\alpha|0\rangle + \beta|1\rangle)|0\rangle|0\rangle = \alpha|000\rangle + \beta|100\rangle$$

# Bit-Flip Code

- Starting with this state



- Assume we have a single qubit in the state  $|\psi\rangle = \alpha|0\rangle + \beta|1\rangle$
- To encode this state using the bit-flip code we add two more qubits to our system, all initially in  $|0\rangle$ , so our three qubits are in the state

$$|\psi\rangle|0\rangle|0\rangle = \alpha|000\rangle + \beta|100\rangle \xrightarrow{CNOT_{0,1}} \alpha|000\rangle + \beta|110\rangle \xrightarrow{CNOT_{0,2}} \alpha|000\rangle + \beta|111\rangle$$

# Bit-Flip Code

- For the moment, let us first consider the case where a bit is completely flipped
- For example, say the **left qubit flips**, so

$$\begin{aligned}\alpha|000\rangle + \beta|111\rangle &\rightarrow \alpha|100\rangle + \beta|011\rangle \\ &= \beta|011\rangle + \alpha|100\rangle\end{aligned}$$

- We would like to *detect* this error and *correct* it
- Classically, we could just measure the bits, see which one disagrees with the others, and then flip it back to correct it



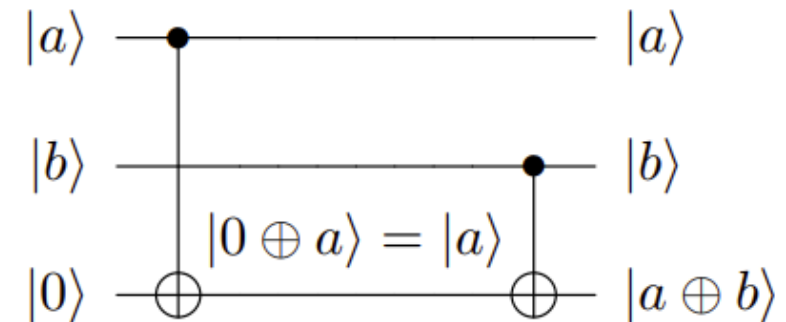
# Bit-Flip Code

- Quantumly, however, if we measure the qubits (or even just a single qubit), the state collapses to  $|100\rangle$  or  $|011\rangle$ , and we lose the superposition
- So, instead of measuring the qubits, we measure the **parity** of adjacent qubits
- Recall that the **parity** of two bits,  $a$  and  $b$ , can be calculated using **Exclusive OR**
- That is,

$$\text{parity}(a, b) = a \oplus b$$

- Also recall that

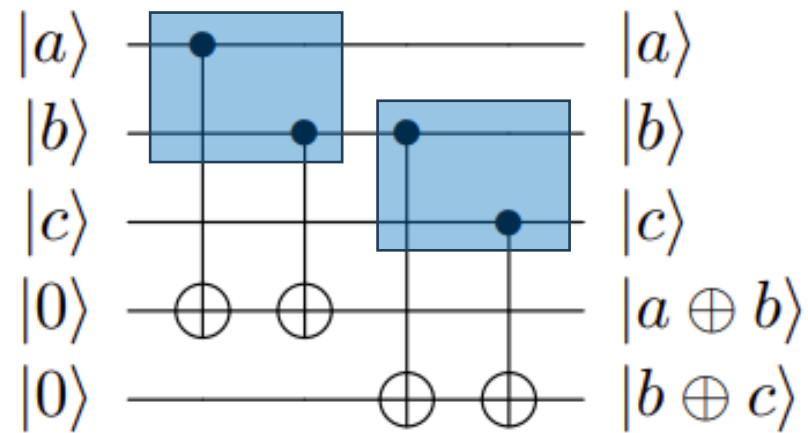
$$\text{CNOT}|a\rangle|b\rangle = |a\rangle|a \oplus b\rangle$$



- Then, we can use two CNOTs to calculate the parity of **two** qubits, putting the answer in an ancilla qubit

# Bit-Flip Code

- With **three** qubits, we can calculate the parities of adjacent qubits by doing this twice:



# Bit-Flip Code

- In this example, the parity of the left two qubits (01 and 10, in red) is 1, and the parity of the right two qubits (11 and 00, in blue) is 0

$$\beta|011\rangle + \alpha|100\rangle$$

$$\beta|011\rangle + \alpha|100\rangle$$

- This tells us that the left two qubits differ, and the right two qubits are the same
- Then, we know the left qubit has flipped, and we inferred this without directly measuring and collapsing the state
- This is called an *error syndrome*

# Bit-Flip Code

- To correct the error, we can simply apply  $(X \otimes I \otimes I)$  to  $\beta|011\rangle + \alpha|100\rangle$

$$\begin{aligned}(X \otimes I \otimes I)(\beta|011\rangle + \alpha|100\rangle) &= \beta(X \otimes I \otimes I)|011\rangle + \alpha(X \otimes I \otimes I)|100\rangle \\ &= \beta(X|0\rangle \otimes I|1\rangle \otimes I|1\rangle) + \alpha(X|1\rangle \otimes I|0\rangle \otimes I|0\rangle) \\ &= \beta(|1\rangle \otimes |1\rangle \otimes |1\rangle) + \alpha(|0\rangle \otimes |0\rangle \otimes |0\rangle) \\ &= \alpha|000\rangle + \beta|111\rangle\end{aligned}$$

thus, correcting the error

# NOTE

- One must pay close attention to the order of the qubits to avoid algebraic mistakes that can impact the output of the circuit
- If the order of the qubits is  $|q_2q_1q_0\rangle$ , then the operator  $(A \otimes B \otimes C)$ , when applied to  $|q_2q_1q_0\rangle$  behaves as follows:

$$(A \otimes B \otimes C)|q_2q_1q_0\rangle = A|q_2\rangle \otimes B|q_1\rangle \otimes C|q_0\rangle$$

- In this way, the operator  $(X \otimes I \otimes I)$  is able to correct a bit flip on the leftmost qubit  $|q_2\rangle$ , as shown in the previous slide

# Bit-Flip Code

- Now, assume there is a **partial flip**
- We have already seen that on the Bloch sphere, a rotation by angle  $\theta$  about the axis  $n = [n_x, n_y, n_z]$  is given by:

$$R_n(\theta) = e^{i\alpha} \left[ \cos\left(\frac{\theta}{2}\right) I - i \sin\left(\frac{\theta}{2}\right) (n_x X + n_y Y + n_z Z) \right],$$

where  $e^{i\alpha}$  is a global phase, so  $\alpha$  can be chosen as we please

- Now, a partial bit flip corresponds to a rotation about the x-axis by some angle  $\theta$ , so we have  $n = [1, 0, 0]$
- We also **choose**  $\alpha = \pi/2$

# Bit-Flip Code

- Then, the rotation corresponds to

$$i \left[ \cos\left(\frac{\theta}{2}\right) I - i \sin\left(\frac{\theta}{2}\right) X \right] = i \cos\left(\frac{\theta}{2}\right) I + \sin\left(\frac{\theta}{2}\right) X$$

- Letting  $\varepsilon = \sin(\theta/2)$ , we get  $\cos(\theta/2) = \sqrt{1 - \sin^2(\theta/2)} = \sqrt{1 - \varepsilon^2}$ , so the rotation

$$R_x(\theta) = i\sqrt{1 - \varepsilon^2} I + \varepsilon X = i\sqrt{1 - \varepsilon^2} \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix} + \varepsilon \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix} = \begin{bmatrix} i\sqrt{1 - \varepsilon^2} & \varepsilon \\ \varepsilon & i\sqrt{1 - \varepsilon^2} \end{bmatrix}$$

# Bit-Flip Code

- Since

$$R_x(\theta)|0\rangle = \begin{bmatrix} i\sqrt{1-\varepsilon^2} & \varepsilon \\ \varepsilon & i\sqrt{1-\varepsilon^2} \end{bmatrix} \begin{bmatrix} 1 \\ 0 \end{bmatrix} = \begin{bmatrix} i\sqrt{1-\varepsilon^2} \\ \varepsilon \end{bmatrix}$$

$$R_x(\theta)|1\rangle = \begin{bmatrix} i\sqrt{1-\varepsilon^2} & \varepsilon \\ \varepsilon & i\sqrt{1-\varepsilon^2} \end{bmatrix} \begin{bmatrix} 0 \\ 1 \end{bmatrix} = \begin{bmatrix} \varepsilon \\ i\sqrt{1-\varepsilon^2} \end{bmatrix}$$

- The partial bit flip maps

$$|0\rangle \rightarrow i\sqrt{1-\varepsilon^2}|0\rangle + \varepsilon|1\rangle$$

$$|1\rangle \rightarrow \varepsilon|0\rangle + i\sqrt{1-\varepsilon^2}|1\rangle$$



# Bit-Flip Code

- When  $\theta = \pi \rightarrow \varepsilon = 1$ , we get,

$$\begin{aligned}|0\rangle &\rightarrow |1\rangle \\ |1\rangle &\rightarrow |0\rangle\end{aligned}$$

which is a complete bit flip, or the  $X$  gate

- For example, if the left qubit partially flips,

$$\begin{aligned}\alpha|0\rangle|00\rangle + \beta|1\rangle|11\rangle &\rightarrow \alpha\left(i\sqrt{1-\varepsilon^2}|0\rangle + \varepsilon|1\rangle\right)|00\rangle + \beta\left(\varepsilon|0\rangle + i\sqrt{1-\varepsilon^2}|1\rangle\right)|11\rangle \\ &= \left(i\alpha\sqrt{1-\varepsilon^2}|000\rangle + \varepsilon\alpha|100\rangle\right) + \left(\beta\varepsilon|011\rangle + i\beta\sqrt{1-\varepsilon^2}|111\rangle\right) \\ &= \alpha i\sqrt{1-\varepsilon^2}|000\rangle + \beta\varepsilon|011\rangle + \alpha\varepsilon|100\rangle + \beta i\sqrt{1-\varepsilon^2}|111\rangle\end{aligned}$$

# Bit-Flip Code

$$|q_2 q_1 q_0\rangle$$

$$\alpha|0\rangle|00\rangle + \beta|1\rangle|11\rangle \rightarrow \alpha i\sqrt{1-\varepsilon^2} |000\rangle + \beta\varepsilon|011\rangle + \alpha\varepsilon|100\rangle + \beta i\sqrt{1-\varepsilon^2} |111\rangle$$

- Now, we **measure** the parity of adjacent qubits
- Labeling the qubits  $|q_2 q_1 q_0\rangle$ , we get the following possible outcomes with corresponding probabilities:
- **Outcomes #1:**  $\text{parity}(q_2, q_1) = 0$  and  $\text{parity}(q_1, q_0) = 0$  with probability

$$\begin{aligned} \left| \alpha i\sqrt{1-\varepsilon^2} \right|^2 + \left| \beta i\sqrt{1-\varepsilon^2} \right|^2 &= |\alpha|^2 (1-\varepsilon^2) + |\beta|^2 (1-\varepsilon^2) \\ &= (|\alpha|^2 + |\beta|^2) (1-\varepsilon^2) \\ &= (1-\varepsilon^2) \end{aligned}$$

# Bit-Flip Code

$$|q_2 q_1 q_0\rangle$$

$$\alpha|0\rangle|00\rangle + \beta|1\rangle|11\rangle \rightarrow \alpha i\sqrt{1-\varepsilon^2} |\textcolor{red}{000}\rangle + \beta\varepsilon|011\rangle + \alpha\varepsilon|100\rangle + \beta i\sqrt{1-\varepsilon^2} |\textcolor{red}{111}\rangle$$

- After the measurements the state collapses to

$$A\left(\alpha i\sqrt{1-\varepsilon^2} |\textcolor{red}{000}\rangle + \beta i\sqrt{1-\varepsilon^2} |\textcolor{red}{111}\rangle\right) = \alpha|000\rangle + \beta|111\rangle$$

where  $A = 1/i\sqrt{1-\varepsilon^2}$  is a normalization constant

- We see that the resulting state is already corrected, so we do not need to do anything further to correct the error
- That is, *the measurement fixed the error*

# Bit-Flip Code

$$|q_2 q_1 q_0\rangle$$

$$\alpha|0\rangle|00\rangle + \beta|1\rangle|11\rangle \rightarrow \alpha i \sqrt{1-\varepsilon^2} |000\rangle + \beta \varepsilon |011\rangle + \alpha \varepsilon |100\rangle + \beta i \sqrt{1-\varepsilon^2} |111\rangle$$

- **Outcomes #2:** parity( $q_2, q_1$ ) = 1 and parity( $q_1, q_0$ ) = 0 with probability

$$|\beta \varepsilon|^2 + |\alpha \varepsilon|^2 = (|\beta|^2 + |\alpha|^2) \varepsilon^2 = \varepsilon^2$$

and the state collapses to

$$B(\beta \varepsilon |011\rangle + \alpha \varepsilon |100\rangle) = \beta |011\rangle + \alpha |100\rangle$$

where  $B = 1/\varepsilon$  is a normalization constant

- To correct this state, we apply  $(X \otimes I \otimes I)$  so that it becomes

$$\beta |111\rangle + \alpha |000\rangle = \alpha |000\rangle + \beta |111\rangle$$

so we have corrected the error

# Bit-Flip Code

$$|q_2 q_1 q_0\rangle$$

$$\alpha|0\rangle|00\rangle + \beta|1\rangle|11\rangle \rightarrow \alpha i\sqrt{1-\varepsilon^2}|000\rangle + \beta\varepsilon|011\rangle + \alpha\varepsilon|100\rangle + \beta i\sqrt{1-\varepsilon^2}|111\rangle$$

- Furthermore, in the partial bitflip scenario we are analyzing, the following patterns:

$$\text{parity}(q_2, q_1) = 0 \text{ and } \text{parity}(q_1, q_0) = 1 \rightarrow 001 \text{ or } 110$$

$$\text{parity}(q_2, q_1) = 1 \text{ and } \text{parity}(q_1, q_0) = 1 \rightarrow 010 \text{ or } 101$$

will occur with probability 0

- Finally, we need to reset the ancilla qubits to  $|0\rangle$  so that we can reuse them, since we want to repeatedly do error correction to fix any errors that appear

# Bit-Flip Code

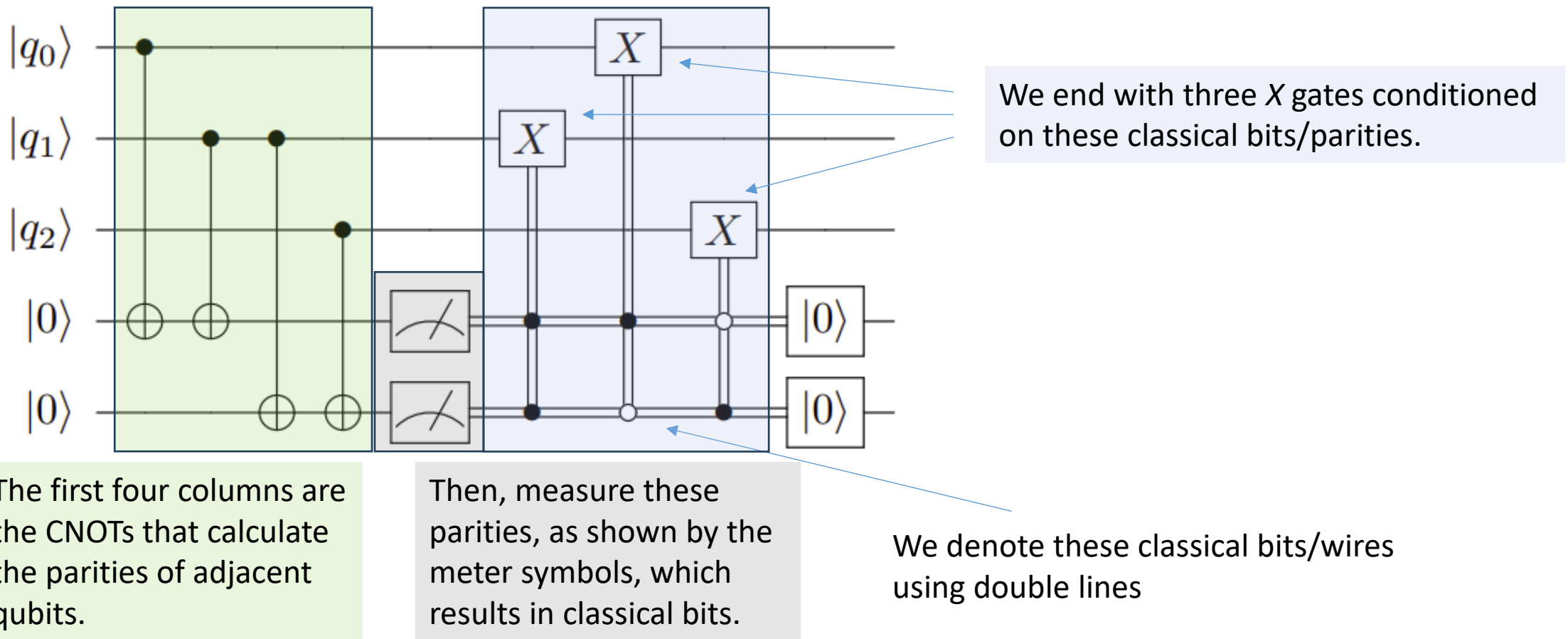
- We can do this by conditionally applying an  $X$  gate
- If we measured a parity to be 0, we know that the ancilla qubit is  $|0\rangle$ , so we leave it alone
- If we measured the parity to be 1, we know that the ancilla qubit is  $|1\rangle$ , and so we apply an  $X$  gate to it, turning it into a  $|0\rangle$

# Bit-Flip Code

- To summarize, when we have a *partial bit flip*, the measurement forces it to be corrected or to become a *complete bit flip*, which we can correct by using an  $X$  gate

# Bit-Flip Code

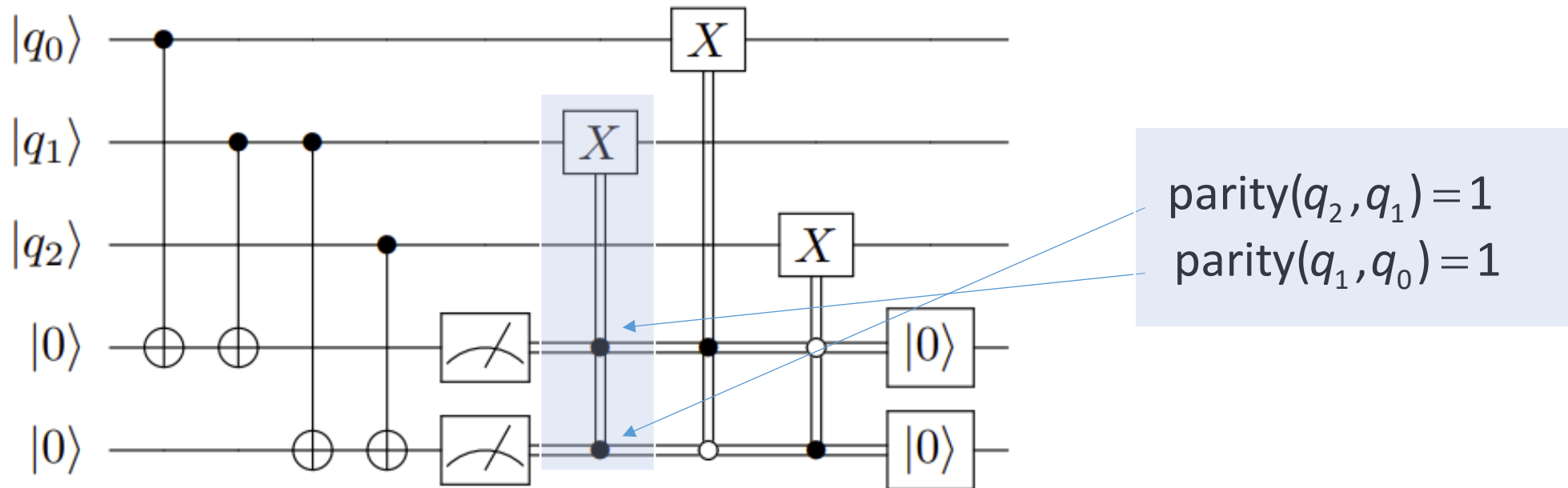
- Here is the circuit for the bit flip error





# Bit-Flip Code

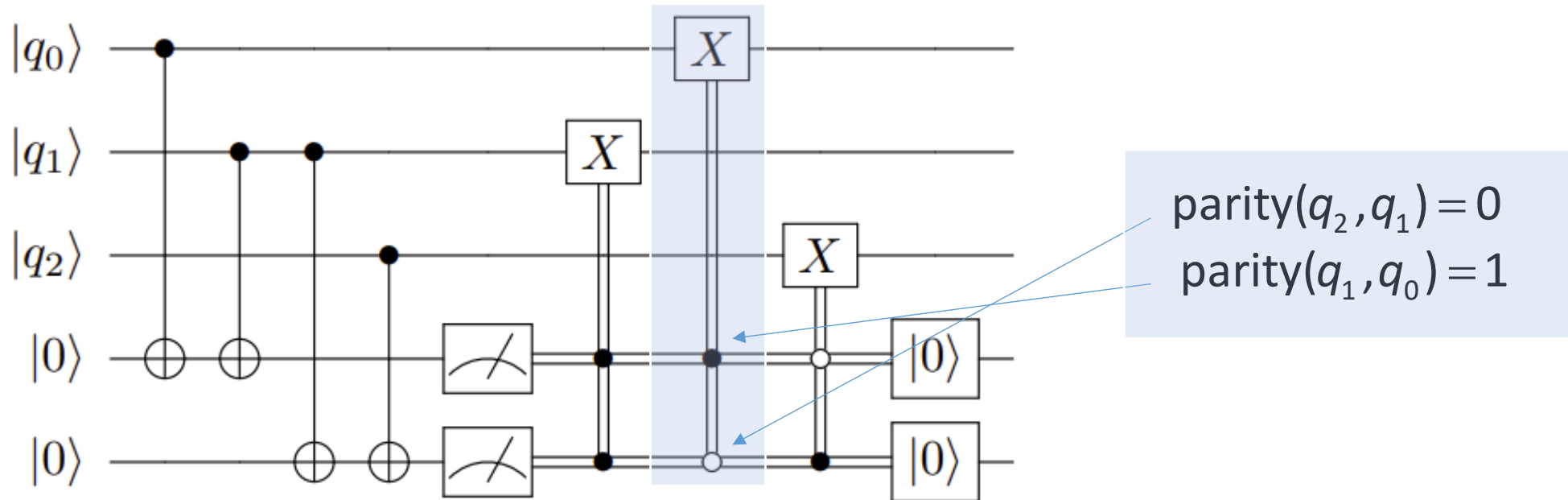
$\text{parity}(q_2, q_1) = 1$  and  $\text{parity}(q_1, q_0) = 1 \rightarrow 010$  or  $101$



If  $\text{parity}(q_2, q_1) = 1$  and  $\text{parity}(q_1, q_0) = 1$ , then  $q_1$  flipped, so we apply an  $X$  gate to  $q_1$  to correct it.

# Bit-Flip Code

$\text{parity}(q_2, q_1) = 0$  and  $\text{parity}(q_1, q_0) = 1 \rightarrow 001$  or  $110$

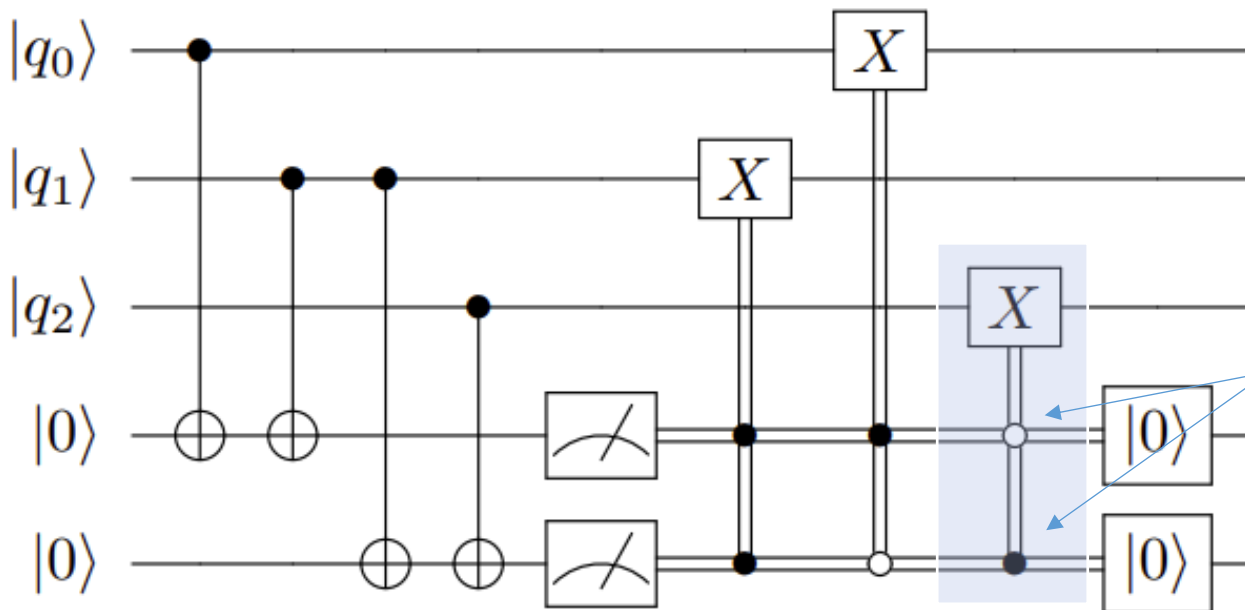


If  $\text{parity}(q_2, q_1) = 0$  and  $\text{parity}(q_1, q_0) = 1$ , then  $q_0$  flipped, so we apply an  $X$  gate to  $q_0$  to correct it.

# Bit-Flip Code

$$|q_2 q_1 q_0\rangle$$

$$\alpha|0\rangle|00\rangle + \beta|1\rangle|11\rangle \rightarrow \alpha i\sqrt{1-\varepsilon^2}|000\rangle + \beta\varepsilon|011\rangle + \alpha\varepsilon|100\rangle + \beta i\sqrt{1-\varepsilon^2}|111\rangle$$



$$\begin{aligned} \text{parity}(q_2, q_1) &= 1 \\ \text{parity}(q_1, q_0) &= 0 \end{aligned}$$

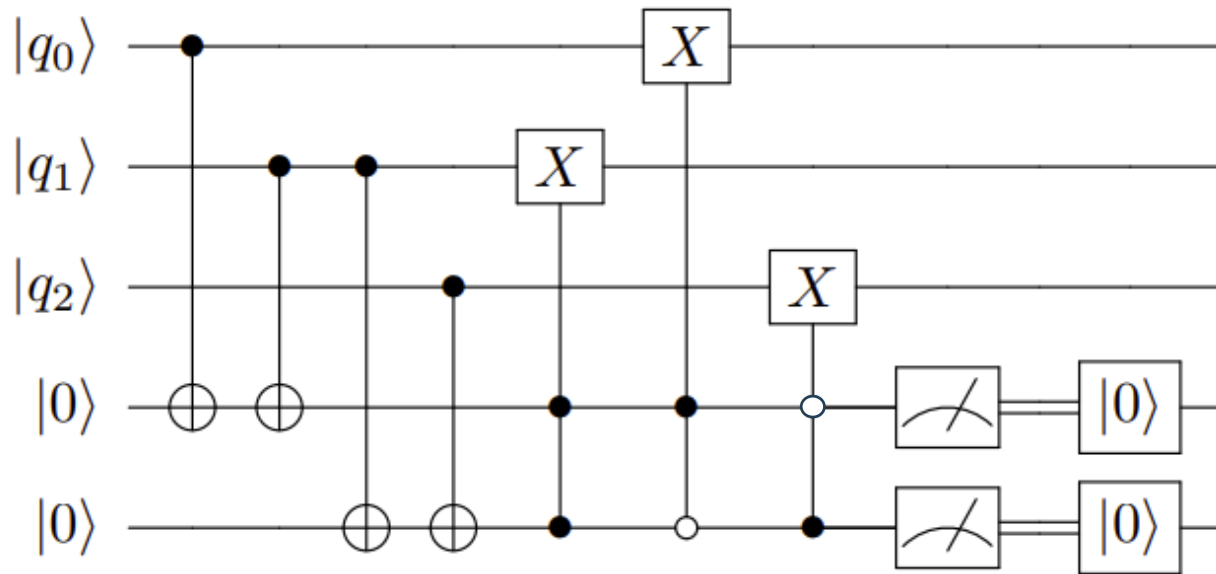
If  $\text{parity}(q_2, q_1) = 1$  and  $\text{parity}(q_1, q_0) = 0$ , then  $q_2$  flipped, so we apply an  $X$  gate to  $q_2$  to correct it.

# Bit-Flip Code

- We can modify the above circuit using *the principle of deferred measurement*, which says that *intermediate measurements that are used to control operations can be moved after the operations, and the controls can be replaced by quantum controls.*

# Bit-Flip Code

- Then, the previous quantum circuit to correct bit flips is equivalent to



- Phrased another way, we can collapse and then do the controlled operations, or we can do the controlled operations in superposition, and then collapse.

# Bit-Flip Code

- **Proof:** Let us prove this for our previous example, where the qubits started in the state  $\alpha|000\rangle + \beta|111\rangle$ , but then the left qubit partially flips with amplitude  $\varepsilon$

- From earlier, the state after the first four CNOTs

$$\alpha i\sqrt{1-\varepsilon^2}|000\rangle + \beta\varepsilon|011\rangle + \alpha\varepsilon|100\rangle + \beta i\sqrt{1-\varepsilon^2}|111\rangle$$

- If we include the ancilla qubits (in red), the above state becomes

$$\alpha i\sqrt{1-\varepsilon^2}|\textcolor{red}{00}000\rangle + \beta\varepsilon|\textcolor{red}{10}011\rangle + \alpha\varepsilon|\textcolor{red}{10}100\rangle + \beta i\sqrt{1-\varepsilon^2}|\textcolor{red}{00}111\rangle$$

- Recall the qubits are ordered as

$$|\text{parity}(q_2, q_1)\rangle |\text{parity}(q_1, q_0)\rangle |q_2\rangle |q_1\rangle |q_0\rangle$$

# Bit-Flip Code $\alpha i\sqrt{1-\varepsilon^2}|\text{00}000\rangle + \beta\varepsilon|\text{10}011\rangle + \alpha\varepsilon|\text{10}100\rangle + \beta i\sqrt{1-\varepsilon^2}|\text{00}111\rangle$

- Now, if we apply the controlled and anti-controlled (in red)  $X$  gates to correct the answers (in black), the state becomes

$$\begin{aligned}
 & \alpha i\sqrt{1-\varepsilon^2}|\text{00}000\rangle + \beta\varepsilon|\text{10}111\rangle + \alpha\varepsilon|\text{10}000\rangle + \beta i\sqrt{1-\varepsilon^2}|\text{00}111\rangle \\
 &= i\sqrt{1-\varepsilon^2}|\text{00}\rangle(\alpha|000\rangle + \beta|111\rangle) + \varepsilon|\text{10}\rangle(\alpha|000\rangle + \beta|111\rangle) \\
 &= \left(i\sqrt{1-\varepsilon^2}|\text{00}\rangle + \varepsilon|\text{10}\rangle\right)(\alpha|000\rangle + \beta|111\rangle) \\
 &= i\sqrt{1-\varepsilon^2}|\text{00}\rangle(\alpha|000\rangle + \beta|111\rangle) + \varepsilon|\text{10}\rangle(\alpha|000\rangle + \beta|111\rangle)
 \end{aligned}$$

# Bit-Flip Code

$$i\sqrt{1-\varepsilon^2}|00\rangle(\alpha|000\rangle + \beta|111\rangle) + \varepsilon|10\rangle(\alpha|000\rangle + \beta|111\rangle)$$

Measuring the ancilla qubits now, we get:

- parity( $q_2, q_1$ ) = 0 and parity( $q_1, q_0$ ) = 0 with probability  $1 - \varepsilon^2$ , and the state collapses to

$$|00\rangle(\alpha|000\rangle + \beta|111\rangle)$$

- parity( $q_2, q_1$ ) = 1 and parity( $q_1, q_0$ ) = 0 with probability  $\varepsilon^2$ , and the state collapses to

$$|10\rangle(\alpha|000\rangle + \beta|111\rangle)$$

Now, we apply an X gate to the left ancilla to reset it to 0, yielding

$$|00\rangle(\alpha|000\rangle + \beta|111\rangle)$$





# Phase-Flip Errors

# Phase-Flip Code

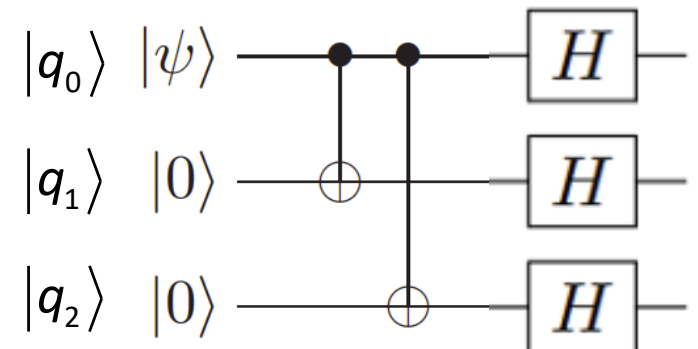
- We can similarly correct phase-flip errors by using **three physical qubits** to encode each **logical qubit**, but instead of using three  $|0\rangle$ 's and  $|1\rangle$ 's, we use three  $|+\rangle$ 's and  $|-\rangle$ 's, i.e.,

$$|0\rangle_L = |+++ \rangle, \quad |1\rangle_L = |-- - \rangle,$$

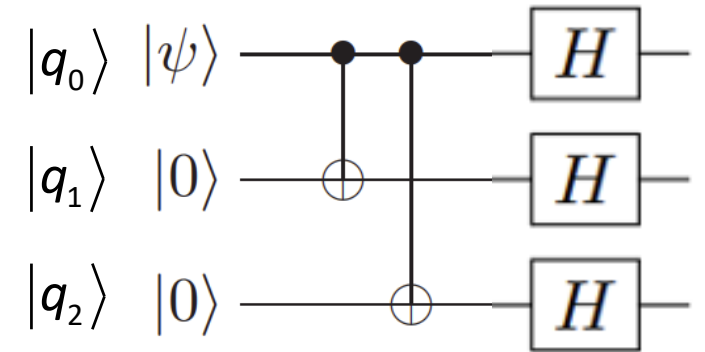
so, a general superposition is

$$\alpha|0\rangle_L + \beta|1\rangle_L = \alpha|+++ \rangle + \beta|-- - \rangle$$

which can be created by the following circuit



# Phase-Flip Code



- Let's prove it
- **Proof:** Assume that we have a single qubit in the state  $|\psi\rangle = \alpha|0\rangle + \beta|1\rangle$
- We want to encode this state using the bit-flip code
- We add two more qubits to our system, all initially in  $|0\rangle$ , so our three qubits are in the initial state

$$|\psi 00\rangle \equiv |\psi\rangle|00\rangle = (\alpha|0\rangle + \beta|1\rangle)|00\rangle = \alpha|000\rangle + \beta|100\rangle$$

- Starting with this state, we apply the quantum circuit:

$$|\psi 00\rangle = \alpha|000\rangle + \beta|100\rangle \xrightarrow{CNOT_{0,1}} \alpha|000\rangle + \beta|110\rangle \xrightarrow{CNOT_{0,2}} \alpha|000\rangle + \beta|111\rangle$$

$$\xrightarrow{H^{\otimes 3}} \alpha|+++ \rangle + \beta|--- \rangle = \alpha|0\rangle_L + \beta|1\rangle_L \quad \square$$

# Phase-Flip Code

- The reason why we use  $|+\rangle$  and  $|-\rangle$  is because a **complete** phase flip (the  $Z$  gate) switches between these states:

$$|+\rangle = \frac{1}{\sqrt{2}}(|0\rangle + |1\rangle) \xrightarrow{Z} \frac{1}{\sqrt{2}}(|0\rangle - |1\rangle) = |-\rangle$$

$$|-\rangle = \frac{1}{\sqrt{2}}(|0\rangle - |1\rangle) \xrightarrow{Z} \frac{1}{\sqrt{2}}(|0\rangle + |1\rangle) = |+\rangle$$

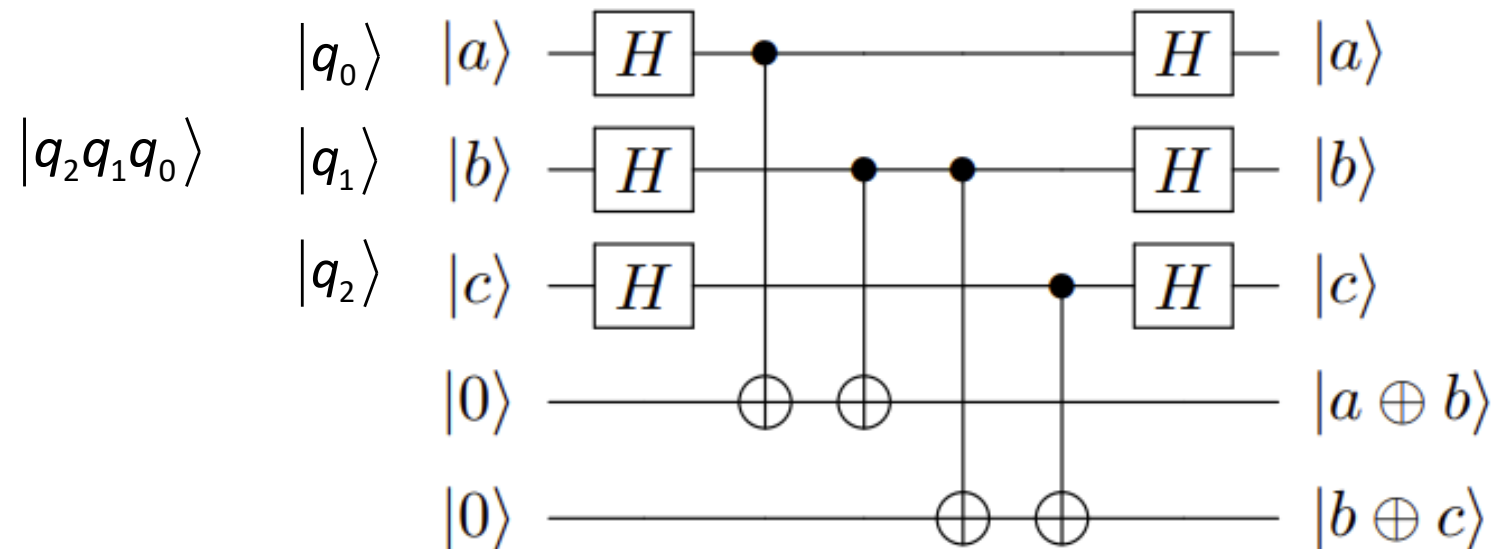
- Assume that the left qubit experiences a complete phase flip:

$$\alpha|+++ \rangle + \beta|--- \rangle \rightarrow \alpha| - ++ \rangle + \beta| + -- \rangle$$

then we detect and correct this just like we did for the bit-flip error, except working in the  $X$ -basis

# Phase-Flip Code

- So, we measure the parity of consecutive qubits in the  $X$ -basis, which is 0 if the number of minuses/plus is **even** and 1 if the number of minuses/plus is odd
- We will see later that the **parities** can be calculated using the following circuit



# Phase-Flip Code

- In our example where the left qubit ( $|q_2\rangle$ ) experienced a phase flip,

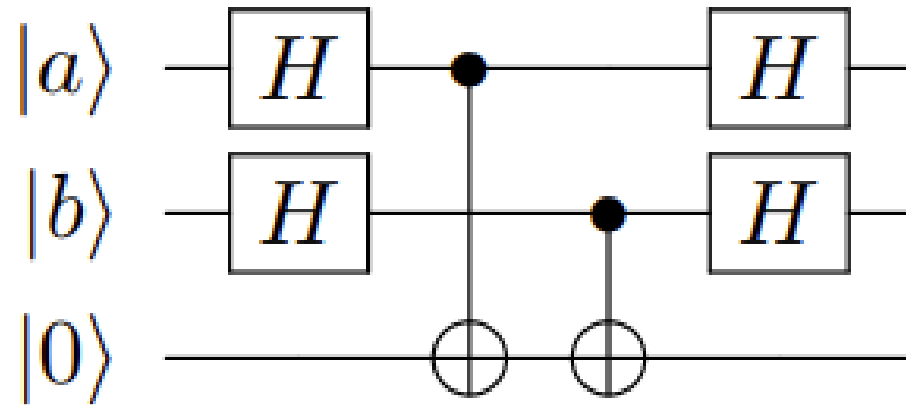
$$\alpha|+++ \rangle + \beta|--- \rangle \rightarrow \alpha|-++ \rangle + \beta|+-- \rangle$$

- Thus, we get **parity 1** for the left two qubits and **parity 0** for the right two qubits, implying that the first qubit is flipped
- So, we apply  $(Z \otimes I \otimes I)$ , restoring  $\alpha|+++ \rangle + \beta|--- \rangle$

$$\begin{aligned}(Z \otimes I \otimes I)(\alpha|-++ \rangle + \beta|+-- \rangle) &= \alpha(Z \otimes I \otimes I)|-\rangle|+\rangle|+\rangle + \beta(Z \otimes I \otimes I)|+\rangle|-\rangle|-\rangle \\ &= \alpha(Z|-\rangle) \otimes (I|+\rangle) \otimes (I|+\rangle) + \beta(Z|+\rangle) \otimes (I|-\rangle) \otimes (I|-\rangle) \\ &= \alpha|+\rangle \otimes |+\rangle \otimes |+\rangle + \beta|-\rangle \otimes |-\rangle \otimes |-\rangle \\ &= \alpha|+++ \rangle + \beta|--- \rangle\end{aligned}$$

# Phase-Flip Code

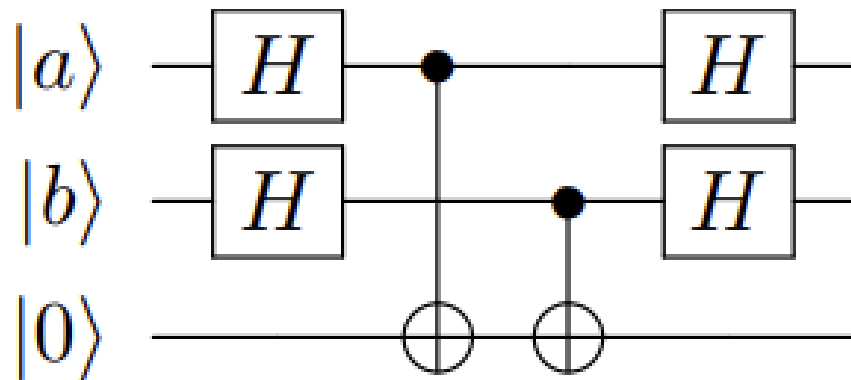
- Before delving into a more comprehensive analysis of phase-flip error correction, we want to demonstrate how to measure the **parity** of consecutive qubits in the  $X$ -basis
- Let's start with the following basic quantum circuit



# Phase-Flip Code

- We want to derive the resulting states at the end of the circuit in the following cases:

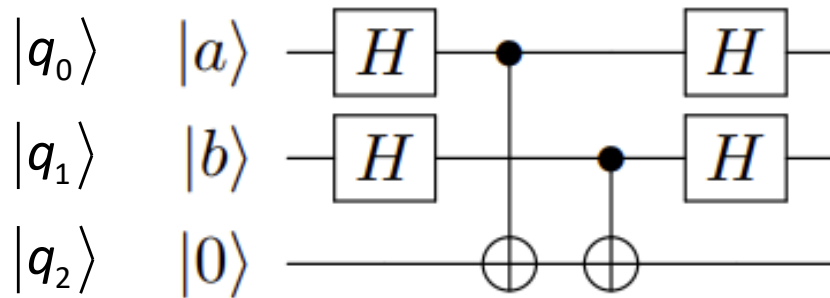
$$\begin{array}{ll} (a) \quad |a\rangle = |+\rangle, \quad |b\rangle = |+\rangle & (b) \quad |a\rangle = |+\rangle, \quad |b\rangle = |-\rangle \\ (c) \quad |a\rangle = |-\rangle, \quad |b\rangle = |+\rangle & (d) \quad |a\rangle = |-\rangle, \quad |b\rangle = |-\rangle \end{array}$$





# Phase-Flip Code

If we apply the quantum circuit to the above states, we obtain



$$|q_2 q_1 q_0\rangle = |0ba\rangle$$

- (a)  $|0++\rangle \xrightarrow{I \otimes H \otimes H} |000\rangle \xrightarrow{CNOT_{0,2}} |000\rangle \xrightarrow{CNOT_{1,2}} |000\rangle \xrightarrow{I \otimes H \otimes H} |0++\rangle$
- (b)  $|0-+\rangle \xrightarrow{I \otimes H \otimes H} |010\rangle \xrightarrow{CNOT_{0,2}} |010\rangle \xrightarrow{CNOT_{1,2}} |110\rangle \xrightarrow{I \otimes H \otimes H} |1-+\rangle$
- (c)  $|0+-\rangle \xrightarrow{I \otimes H \otimes H} |001\rangle \xrightarrow{CNOT_{0,2}} |101\rangle \xrightarrow{CNOT_{1,2}} |101\rangle \xrightarrow{I \otimes H \otimes H} |1+-\rangle$
- (d)  $|0--\rangle \xrightarrow{I \otimes H \otimes H} |011\rangle \xrightarrow{CNOT_{0,2}} |111\rangle \xrightarrow{CNOT_{1,2}} |011\rangle \xrightarrow{I \otimes H \otimes H} |0--\rangle$

# Phase-Flip Code

As a conclusion the quantum circuit outputs 0 when there is an even number of  $|-\rangle / |+\rangle$  and 1 when there is an odd number of  $|-\rangle / |+\rangle$

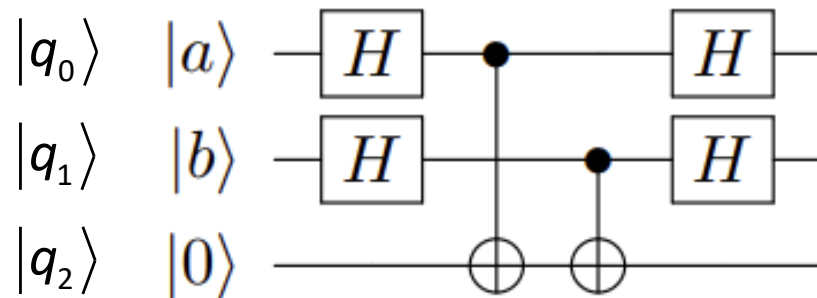
Therefore, we have proved that the quantum circuit analyzed provides the measure of the parity of two consecutive qubits, i.e.,  $|a\rangle$  and  $|b\rangle$

$$(a) \quad |0++\rangle \xrightarrow{\text{Quantum Circuit}} |0++\rangle$$

$$(b) \quad |0-+\rangle \xrightarrow{\text{Quantum Circuit}} |1-+\rangle$$

$$(c) \quad |0+-\rangle \xrightarrow{\text{Quantum Circuit}} |1+-\rangle$$

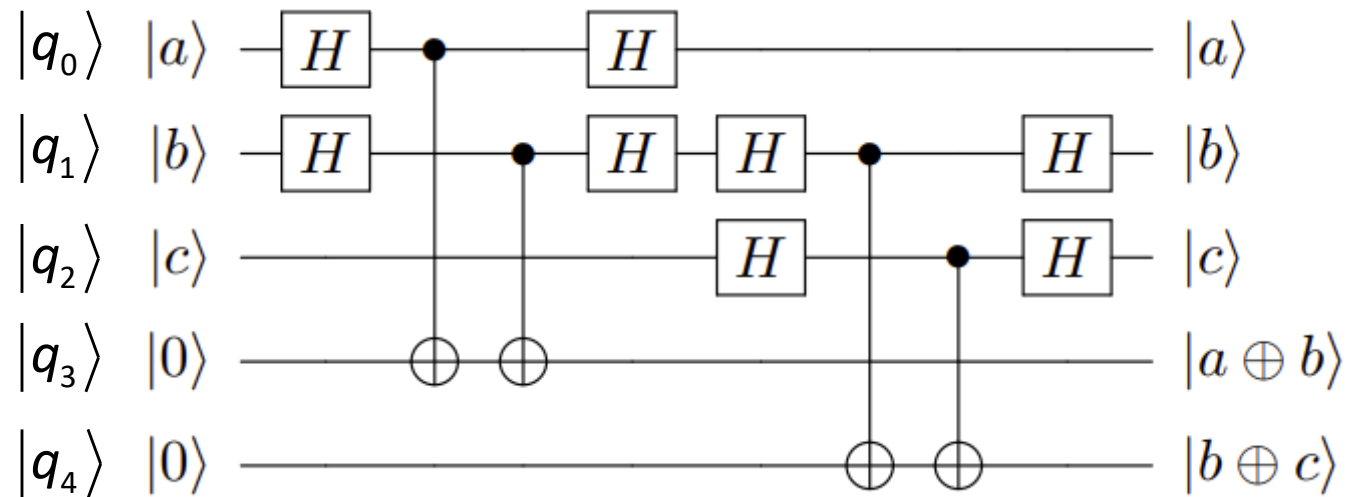
$$(d) \quad |0--\rangle \xrightarrow{\text{Quantum Circuit}} |0--\rangle$$



$$|q_2 q_1 q_0\rangle = |0ba\rangle$$

# Phase-Flip Code

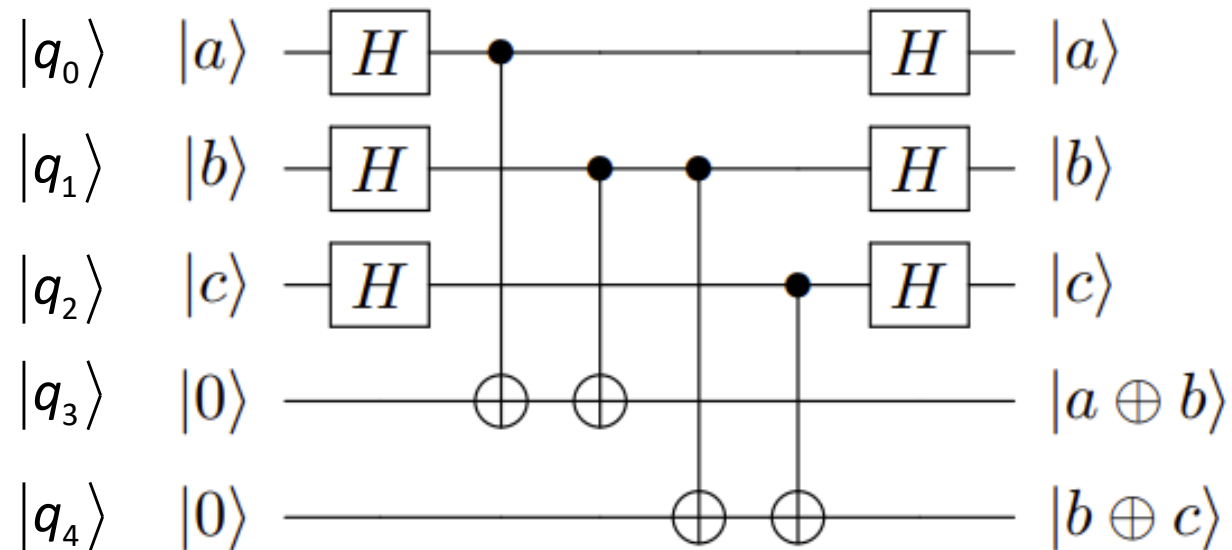
Using two copies of the above circuit, we can calculate the parity of adjacent qubits in the  $X$ -basis using the following circuit:



$$|q_4 q_3 q_2 q_1 q_0\rangle = |00cba\rangle$$

# Phase-Flip Code

Considering that  $H^2=I$ , the quantum circuit of the previous slide is equivalent to



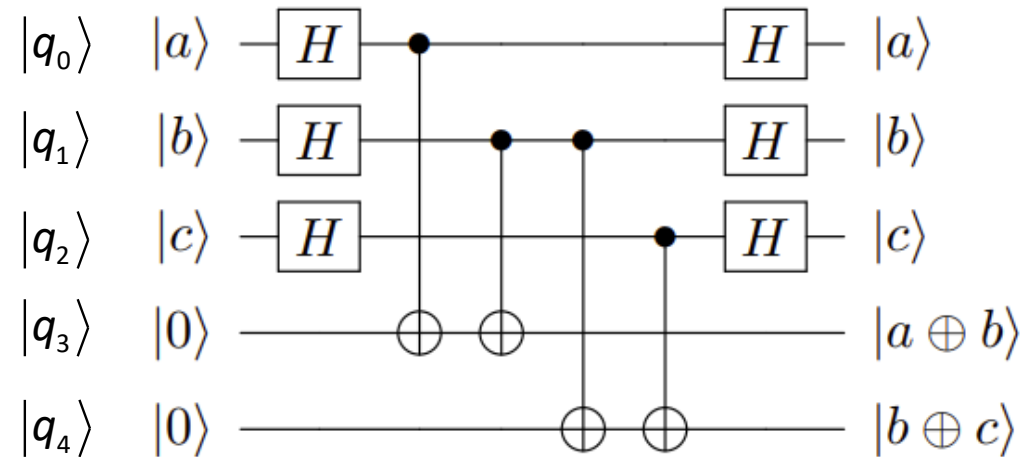
$$|q_4 q_3 q_2 q_1 q_0\rangle = |00cba\rangle \quad (a) \quad |a\rangle = |+\rangle, \quad |b\rangle = |+\rangle, \quad |c\rangle = |+\rangle$$

$$(b) \quad |a\rangle = |+\rangle, \quad |b\rangle = |-\rangle, \quad |b\rangle = |+\rangle$$

# Phase-Flip Code

$$|q_4 q_3 q_2 q_1 q_0\rangle = |00cba\rangle$$

Let's check a few cases



$$(a) \quad |a\rangle = |+\rangle, \quad |b\rangle = |+\rangle, \quad |c\rangle = |+\rangle$$

$$(b) \quad |a\rangle = |+\rangle, \quad |b\rangle = |-\rangle, \quad |c\rangle = |+\rangle$$

$$(a) \quad |00cba\rangle = |00+++ \rangle \xrightarrow{I^{\otimes 2} H^{\otimes 3}} |00000\rangle \xrightarrow{CNOT_{0,3}} |00000\rangle \xrightarrow{CNOT_{1,3}} |00000\rangle$$

$$\xrightarrow{CNOT_{1,4}} |00000\rangle \xrightarrow{CNOT_{2,4}} |00000\rangle \xrightarrow{I^{\otimes 2} H^{\otimes 3}} |\mathbf{00}+++ \rangle$$

$$(b) \quad |00cba\rangle = |00+-+ \rangle \xrightarrow{I^{\otimes 2} H^{\otimes 3}} |00010\rangle \xrightarrow{CNOT_{0,3}} |00010\rangle \xrightarrow{CNOT_{1,3}} |01010\rangle$$

$$\xrightarrow{CNOT_{1,4}} |11010\rangle \xrightarrow{CNOT_{2,4}} |11010\rangle \xrightarrow{I^{\otimes 2} H^{\otimes 3}} |\mathbf{11}+-+ \rangle$$

# Phase-Flip Code

$$|q_4 q_3 q_2 q_1 q_0\rangle = |00cba\rangle$$

From the above partial results, we can observe that:

- Qubits  $q_3$  and  $q_4$  hold the parities of the couples  $q_0, q_1$  and  $q_1, q_2$  respectively. In case a) the parities are both 0 since  $q_0, q_1$ , and  $q_1, q_2$  are in the same state. In case b) the parities are both 1 since  $q_0, q_1$ , and  $q_1, q_2$  are couples with qubits in different states.
- The states of qubits  $q_0, q_1$ , and  $q_2$  **remain unchanged**

$$\begin{aligned}
 (a) \quad |00cba\rangle &= |00+++ \rangle \xrightarrow{I^{\otimes 2} H^{\otimes 3}} |00000\rangle \xrightarrow{CNOT_{0,3}} |00000\rangle \xrightarrow{CNOT_{1,3}} |00000\rangle \\
 &\xrightarrow{CNOT_{1,4}} |00000\rangle \xrightarrow{CNOT_{2,4}} |00000\rangle \xrightarrow{I^{\otimes 2} H^{\otimes 3}} |\mathbf{00}+++ \rangle \\
 (b) \quad |00cba\rangle &= |00+-+ \rangle \xrightarrow{I^{\otimes 2} H^{\otimes 3}} |00010\rangle \xrightarrow{CNOT_{0,3}} |00010\rangle \xrightarrow{CNOT_{1,3}} |01010\rangle \\
 &\xrightarrow{CNOT_{1,4}} |11010\rangle \xrightarrow{CNOT_{2,4}} |11010\rangle \xrightarrow{I^{\otimes 2} H^{\otimes 3}} |\mathbf{11}+-+ \rangle
 \end{aligned}$$

# Phase-Flip Code

$$|q_4 q_3 q_2 q_1 q_0\rangle = |00cba\rangle$$

Let's analyze two more cases

$$(c) \quad |00cba\rangle = |00+- -\rangle \xrightarrow{I^{\otimes 2} H^{\otimes 3}} |00011\rangle \xrightarrow{CNOT_{0,3}} |01011\rangle \xrightarrow{CNOT_{1,3}} |00011\rangle \\ \xrightarrow{CNOT_{1,4}} |10011\rangle \xrightarrow{CNOT_{2,4}} |10011\rangle \xrightarrow{I^{\otimes 2} H^{\otimes 3}} |\mathbf{10}+- -\rangle$$

$$(d) \quad |00cba\rangle = |00--+\rangle \xrightarrow{I^{\otimes 2} H^{\otimes 3}} |00110\rangle \xrightarrow{CNOT_{0,3}} |00110\rangle \xrightarrow{CNOT_{1,3}} |01110\rangle \\ \xrightarrow{CNOT_{1,4}} |11110\rangle \xrightarrow{CNOT_{2,4}} |01110\rangle \xrightarrow{I^{\otimes 2} H^{\otimes 3}} |\mathbf{01}--+\rangle$$

- Verifying that the rules that were observed in the previous slide still apply is an easy task

# Phase-Flip Code

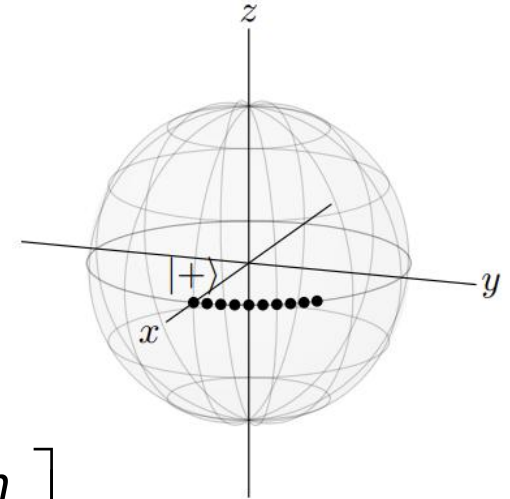
- As we saw earlier, a **partial phase flip** corresponds to a rotation about the z-axis by some angle  $\theta$

- Using

$$R_n(\theta) = e^{i\alpha} \left[ \cos\left(\frac{\theta}{2}\right) I - i \sin\left(\frac{\theta}{2}\right) (n_x X + n_y Y + n_z Z) \right], \quad n = [n_x, n_y, n_z]$$

- with  $\alpha = \pi/2$  and  $\varepsilon = \sin(\vartheta/2)$ , but now with  $n = [0, 0, 1]$ , we get that the rotation is

$$\begin{aligned} R_z(\theta) &= e^{i\frac{\pi}{2}} \left( \sqrt{1-\varepsilon^2} I - i\varepsilon Z \right) = \left( \cos\frac{\pi}{2} + i \sin\frac{\pi}{2} \right) \left( \sqrt{1-\varepsilon^2} I - i\varepsilon Z \right) \\ &= i \left( \sqrt{1-\varepsilon^2} I - i\varepsilon Z \right) = i\sqrt{1-\varepsilon^2} I + \varepsilon Z \quad \rightarrow \end{aligned}$$





# Phase-Flip Code

$$\begin{aligned} &= i\sqrt{1-\varepsilon^2}I + \varepsilon Z = i\sqrt{1-\varepsilon^2} \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix} + \varepsilon \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix} \\ &= \begin{bmatrix} i\sqrt{1-\varepsilon^2} + \varepsilon & 0 \\ 0 & i\sqrt{1-\varepsilon^2} - \varepsilon \end{bmatrix} \end{aligned}$$

- Thus

$$R_z(\theta) = \begin{bmatrix} i\sqrt{1-\varepsilon^2} + \varepsilon & 0 \\ 0 & i\sqrt{1-\varepsilon^2} - \varepsilon \end{bmatrix}, \quad \varepsilon = \sin\left(\frac{\theta}{2}\right)$$

# Phase-Flip Code

- We can now derive

$$R_z(\theta)|0\rangle = \begin{bmatrix} i\sqrt{1-\varepsilon^2} + \varepsilon & 0 \\ 0 & i\sqrt{1-\varepsilon^2} - \varepsilon \end{bmatrix} \begin{bmatrix} 1 \\ 0 \end{bmatrix} = \begin{bmatrix} i\sqrt{1-\varepsilon^2} + \varepsilon \\ 0 \end{bmatrix} = \left(i\sqrt{1-\varepsilon^2} + \varepsilon\right) \begin{bmatrix} 1 \\ 0 \end{bmatrix} = \left(i\sqrt{1-\varepsilon^2} + \varepsilon\right)|0\rangle$$

$$R_z(\theta)|1\rangle = \begin{bmatrix} i\sqrt{1-\varepsilon^2} + \varepsilon & 0 \\ 0 & i\sqrt{1-\varepsilon^2} - \varepsilon \end{bmatrix} \begin{bmatrix} 0 \\ 1 \end{bmatrix} = \begin{bmatrix} 0 \\ i\sqrt{1-\varepsilon^2} - \varepsilon \end{bmatrix} = \left(i\sqrt{1-\varepsilon^2} - \varepsilon\right) \begin{bmatrix} 0 \\ 1 \end{bmatrix} = \left(i\sqrt{1-\varepsilon^2} - \varepsilon\right)|1\rangle$$

- Thus, the *partial phase flip* maps

$$|0\rangle \rightarrow \left(i\sqrt{1-\varepsilon^2} + \varepsilon\right)|0\rangle$$

$$|1\rangle \rightarrow \left(i\sqrt{1-\varepsilon^2} - \varepsilon\right)|1\rangle$$

**Note:** When  $\theta = \pi \rightarrow \varepsilon = 1$ , we get  $|0\rangle \rightarrow |0\rangle$  and  $|1\rangle \rightarrow -|1\rangle$ , which is a complete phase flip, or the Z gate

# Phase-Flip Code

- Let us see how a partial phase flip transforms  $|+\rangle$  and  $|-\rangle$

$$\begin{aligned}|+\rangle &= \frac{1}{\sqrt{2}}(|0\rangle + |1\rangle) \rightarrow \frac{1}{\sqrt{2}} \left[ \left( i\sqrt{1-\varepsilon^2} + \varepsilon \right) |0\rangle + \left( i\sqrt{1-\varepsilon^2} - \varepsilon \right) |1\rangle \right] \\ &= i\sqrt{1-\varepsilon^2} \left( \frac{|0\rangle + |1\rangle}{\sqrt{2}} \right) + \varepsilon \left( \frac{|0\rangle - |1\rangle}{\sqrt{2}} \right) \\ &= i\sqrt{1-\varepsilon^2} |+\rangle + \varepsilon |-\rangle\end{aligned}$$

$$\begin{aligned}|-\rangle &= \frac{1}{\sqrt{2}}(|0\rangle - |1\rangle) \rightarrow \frac{1}{\sqrt{2}} \left[ \left( i\sqrt{1-\varepsilon^2} + \varepsilon \right) |0\rangle - \left( i\sqrt{1-\varepsilon^2} - \varepsilon \right) |1\rangle \right] \\ &= \varepsilon \left( \frac{|0\rangle + |1\rangle}{\sqrt{2}} \right) + i\sqrt{1-\varepsilon^2} \left( \frac{|0\rangle - |1\rangle}{\sqrt{2}} \right) \\ &= \varepsilon |+\rangle + i\sqrt{1-\varepsilon^2} |-\rangle\end{aligned}$$

# Phase-Flip Code

- Using this, if we have a logical qubit in the state

$$\alpha|0\rangle_L + \beta|1\rangle_L = \alpha|+++ \rangle + \beta|--- \rangle = \alpha|+\rangle|++ \rangle + \beta|-\rangle|-- \rangle$$

a partial phase flip on the left qubit transforms this to

$$\begin{aligned} & \alpha \left( i\sqrt{1-\varepsilon^2} |+\rangle + \varepsilon |-\rangle \right) |++ \rangle + \beta \left( \varepsilon |+\rangle + i\sqrt{1-\varepsilon^2} |-\rangle \right) |-- \rangle \\ &= \alpha i\sqrt{1-\varepsilon^2} |+++ \rangle + \alpha \varepsilon |-++ \rangle + \beta \varepsilon |+- - \rangle + \beta i\sqrt{1-\varepsilon^2} |-- - \rangle \\ &= \alpha i\sqrt{1-\varepsilon^2} |+++ \rangle + \beta \varepsilon |+- - \rangle + \alpha \varepsilon |-++ \rangle + \beta i\sqrt{1-\varepsilon^2} |-- - \rangle \end{aligned}$$

# Phase-Flip Code

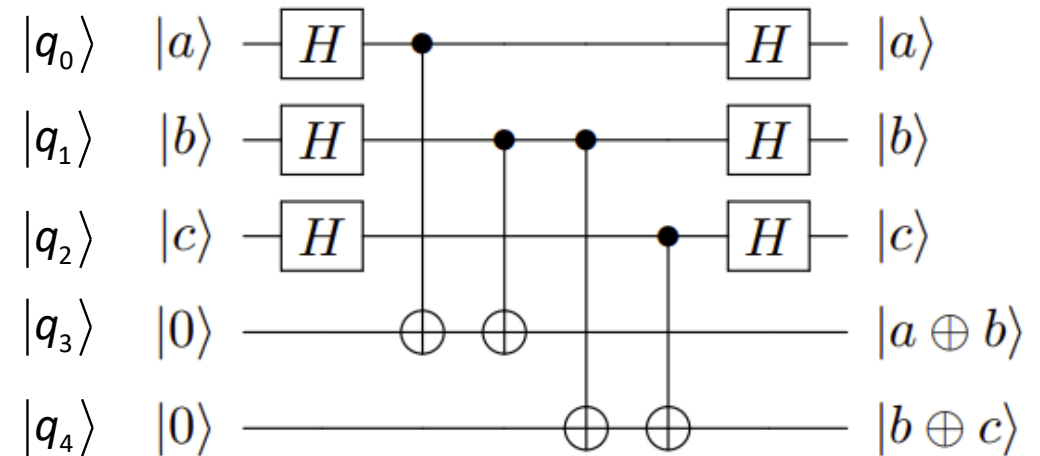
$$\alpha i \sqrt{1 - \varepsilon^2} |+++ \rangle + \beta \varepsilon |+- - \rangle + \alpha \varepsilon |-++ \rangle + \beta i \sqrt{1 - \varepsilon^2} |-- - \rangle$$

$$|q_2 q_1 q_0 \rangle$$

Now, we measure the parity of adjacent qubits in the  $X$ -basis (i.e., whether the number of  $|-\rangle$  s is even or odd). We get

- parity( $q_2, q_1$ )=0 and parity ( $q_1, q_0$ )=0 with probability

$$\begin{aligned} \left| \alpha i \sqrt{1 - \varepsilon^2} \right|^2 + \left| \beta i \sqrt{1 - \varepsilon^2} \right|^2 &= |\alpha|^2 (1 - \varepsilon^2) + |\beta|^2 (1 - \varepsilon^2) \\ &= (|\alpha|^2 + |\beta|^2) (1 - \varepsilon^2) \\ &= (1 - \varepsilon^2) \end{aligned}$$



# Phase-Flip Code

$$\alpha i \sqrt{1 - \varepsilon^2} |+++ \rangle + \beta \varepsilon |+- - \rangle + \alpha \varepsilon |-++ \rangle + \beta i \sqrt{1 - \varepsilon^2} |-- - \rangle$$
$$|q_2 q_1 q_0 \rangle$$

and the state collapses to

$$A \left( \alpha i \sqrt{1 - \varepsilon^2} |+++ \rangle + \beta i \sqrt{1 - \varepsilon^2} |-- - \rangle \right) = \alpha |000 \rangle + \beta |111 \rangle$$

where,  $A = 1 / i \sqrt{1 - \varepsilon^2}$  is a normalization constant

- We see that the resulting state is already corrected, so we do not need to do anything further to correct the error
- That is, the measurement fixed the error

# Phase-Flip Code

$$\frac{\alpha i \sqrt{1-\varepsilon^2}}{\varepsilon} |+++ \rangle + \beta \varepsilon |+- - \rangle + \alpha \varepsilon |-++ \rangle + \frac{\beta i \sqrt{1-\varepsilon^2}}{\varepsilon} |--- \rangle$$

$$|q_2 q_1 q_0 \rangle$$

- parity( $q_2, q_1$ )=1 and parity ( $q_1, q_0$ )=0 with probability

$$|\beta \varepsilon|^2 + |\alpha \varepsilon|^2 = (|\beta|^2 + |\alpha|^2) \varepsilon^2 = \varepsilon^2$$

and the state collapses to

$$B(\beta \varepsilon |+- - \rangle + \alpha \varepsilon |-++ \rangle) = \beta |+- - \rangle + \alpha |-++ \rangle$$

where,  $B=1/\varepsilon$  is a normalization constant

To correct this state, we apply  $Z \otimes I \otimes I$  so that it becomes

$$(Z \otimes I \otimes I)(\beta |+- - \rangle + \alpha |-++ \rangle) = \beta (Z \otimes I \otimes I) |+- - \rangle + \alpha (Z \otimes I \otimes I) |-++ \rangle$$

$$= \beta |--- \rangle + \alpha |+++ \rangle = \alpha |+++ \rangle + \beta |--- \rangle$$

so, we have corrected the error.

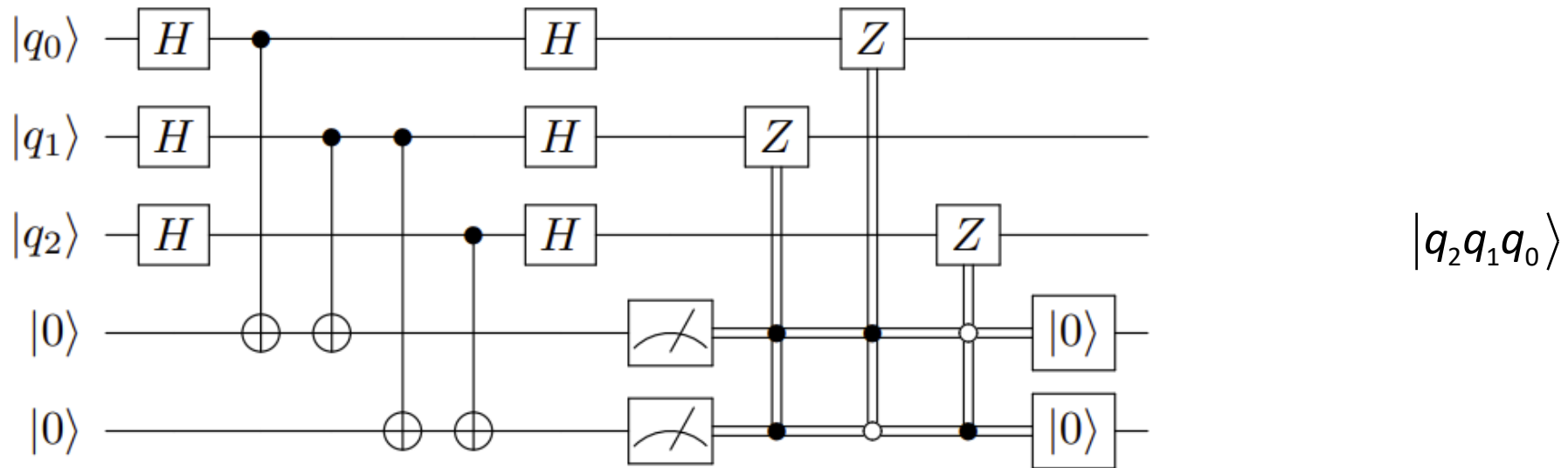
# Phase-Flip Code

- $\text{parity}(q_2, q_1)=0$  and  $\text{parity}(q_1, q_0)=1$  with probability 0
- $\text{parity}(q_2, q_1)=1$  and  $\text{parity}(q_1, q_0)=1$  with probability 0



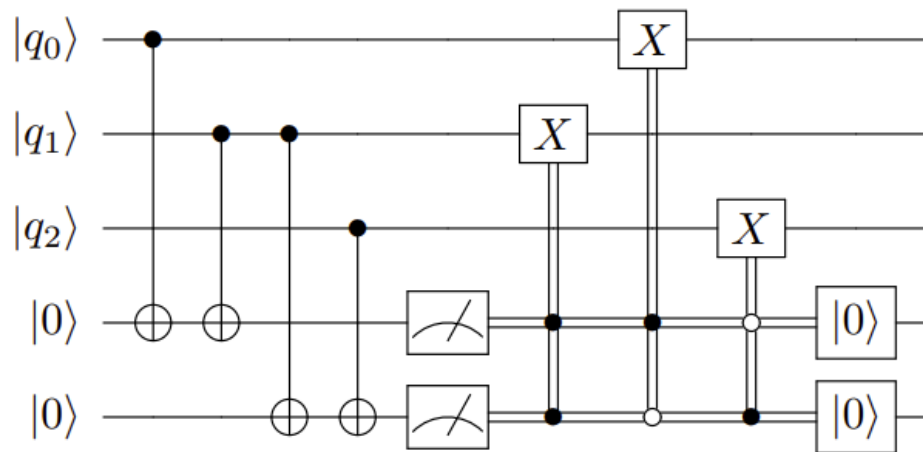
# Phase-Flip Code

- To summarize, when we have a partial phase flip, the measurement forces it to be corrected or to become a complete bit flip, which we can correct by applying an  $Z$  gate
- The quantum circuit for this procedure is shown below:

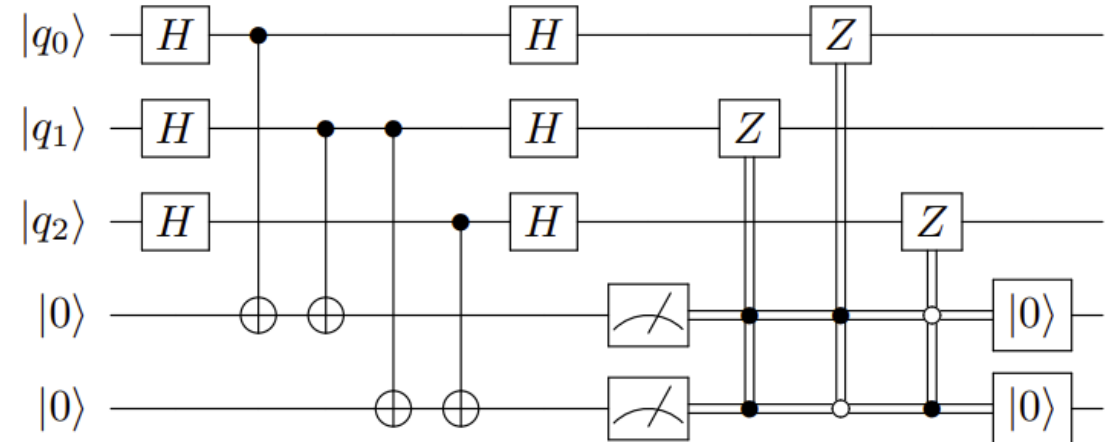


# Phase-Flip Code

- The circuit is the same as the bit-flip circuit, except we apply Hadamard gates before and after the four CNOTs that calculate the parity of consecutive qubits. This because we work in the  $X$ -basis

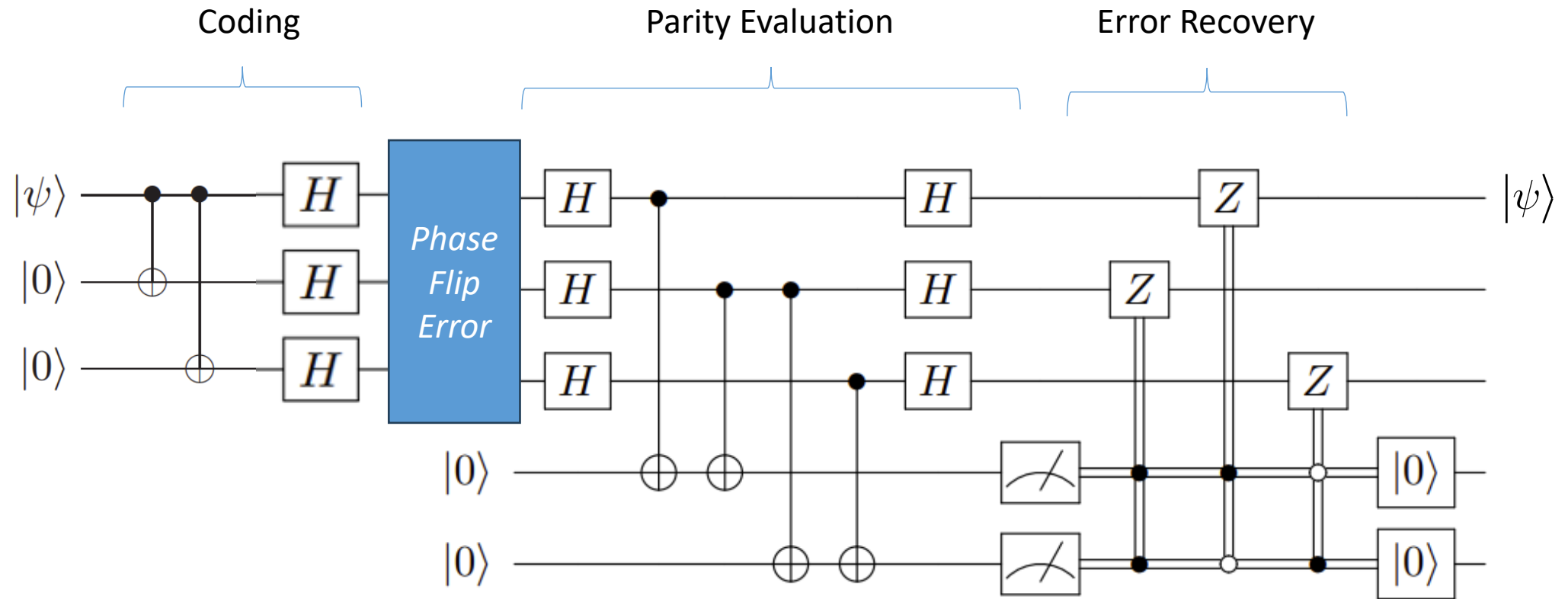


Bit-Flip quantum circuit



Phase-Flip quantum circuit

# Phase-Flip Code



Phase-Flip quantum circuit: the full chain

Shor Code

# Shor Code

- There is a simple quantum code that can protect against the effects of an *arbitrary error* on a **single qubit**!
- The code is known as the **Shor code**, after its inventor
- The code combines the three-qubit *phase-flip* and *bit-flip codes*

# Shor Code

- We **begin** with the *phase-flip* code:

$$\begin{aligned} |0_L\rangle &= |+++ \rangle \\ &= \frac{1}{\sqrt{2}}(|0\rangle + |1\rangle) \frac{1}{\sqrt{2}}(|0\rangle + |1\rangle) \frac{1}{\sqrt{2}}(|0\rangle + |1\rangle) \\ &= \left(\frac{1}{\sqrt{2}}\right)^3 (|0\rangle + |1\rangle)(|0\rangle + |1\rangle)(|0\rangle + |1\rangle) \end{aligned}$$

# Shor Code

- Next, we replace each qubit with three qubits using the **bit-flip encoding**:

$$|0\rangle \rightarrow |000\rangle, \quad |1\rangle \rightarrow |111\rangle$$

- Thus, each logical qubit is encoded using **nine** physical qubits:

$$|0_L\rangle = \left(\frac{1}{\sqrt{2}}\right)^3 (|000\rangle + |111\rangle)(|000\rangle + |111\rangle)(|000\rangle + |111\rangle)$$

# Shor Code

- Similarly, we begin with  $|1_L\rangle = |---\rangle$  and replace  $|0\rangle \rightarrow |000\rangle$ ,  $|1\rangle \rightarrow |111\rangle$

$$|1_L\rangle = \left(\frac{1}{\sqrt{2}}\right)^3 (|000\rangle - |111\rangle)(|000\rangle - |111\rangle)(|000\rangle - |111\rangle)$$

- The nine-qubit Hilbert space is of dimension  $2^9 = 512$ , but the **logical qubits** reside in a sub-space of dimension 2



# Shor Code

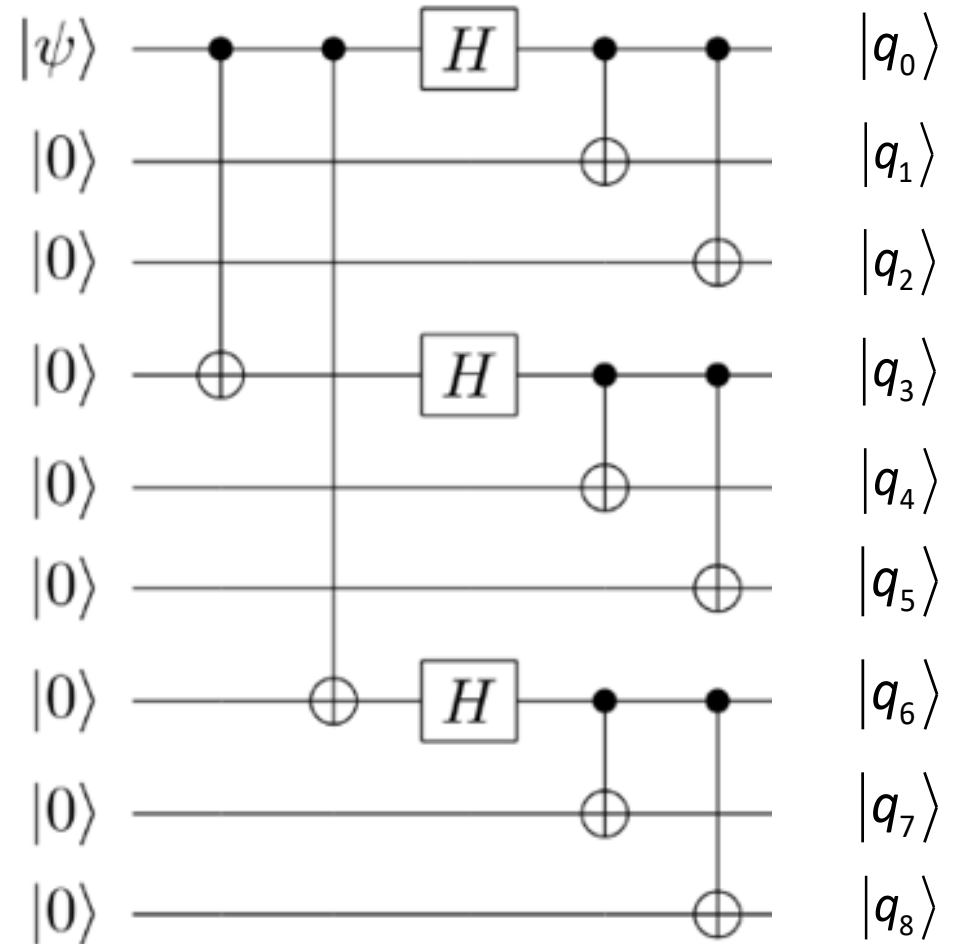
- Then, the state of a general logical qubit is

$$\begin{aligned}\alpha|0\rangle_L + \beta|1\rangle_L = & \frac{\alpha}{2^{3/2}}(|000\rangle + |111\rangle)(|000\rangle + |111\rangle)(|000\rangle + |111\rangle) \\ & + \frac{\beta}{2^{3/2}}(|000\rangle - |111\rangle)(|000\rangle - |111\rangle)(|000\rangle - |111\rangle)\end{aligned}$$

- This encoding is called the *Shor code*, and it is named after its inventor, Peter Shor, who proposed it in 1995 and, by doing so, invented quantum error correction

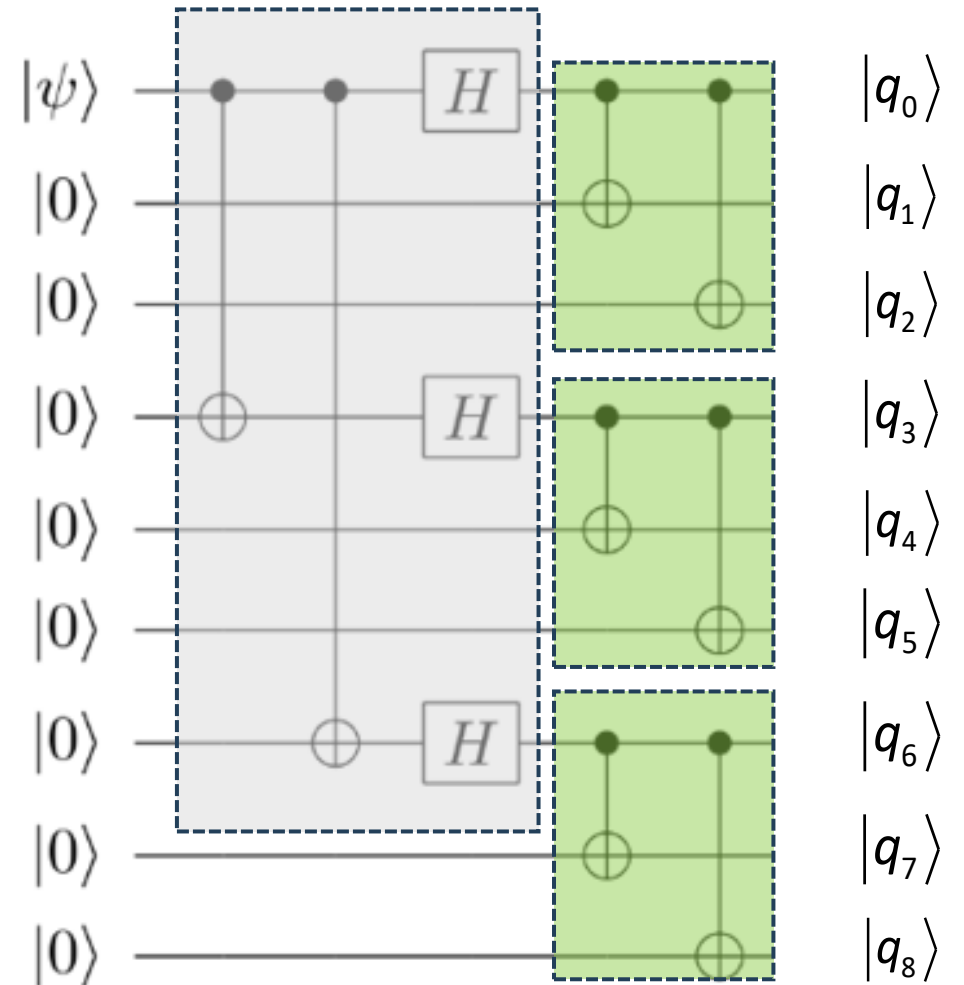
# Shor Code

- The Shor code uses nine **physical** qubits to encode one **logical** qubit
- A way to create this encoding is shown in the figure



# Shor Code/Proof

- The first part of the circuit (the large dashed box in gray to the left) encodes the qubit using the three-qubit *phase flip code*
- The second part of the circuit (the three dashed boxes in green) encodes each of these three qubits using the *bit flip code*, using three copies of the *bit flip code* encoding circuit
- This method of encoding using a hierarchy of levels is known as *concatenation*



# Shor Code/Proof

- The initial state is

$$|\psi\rangle|00\rangle|000\rangle|000\rangle, \quad |\psi\rangle = \alpha|0\rangle + \beta|1\rangle$$

- Therefore

$$\begin{aligned} |\psi\rangle|00\rangle|000\rangle|000\rangle &= (\alpha|0\rangle + \beta|1\rangle)|00\rangle|000\rangle|000\rangle \\ &= \alpha|000\rangle|000\rangle|000\rangle + \beta|100\rangle|000\rangle|000\rangle \end{aligned}$$

- The state of the circuit before the Hadamard gates can be obtained as follows:

$$\alpha|000\rangle|000\rangle|000\rangle + \beta|100\rangle|000\rangle|000\rangle \xrightarrow{CNOT_{0;3,6}} \alpha|000\rangle|000\rangle|000\rangle + \beta|100\rangle|100\rangle|100\rangle$$

$\uparrow$   
 $q_0$

$\uparrow$   
 $q_3$

$\uparrow$   
 $q_6$

$\uparrow$   
 $q_0$

$\uparrow$   
 $q_3$

$\uparrow$   
 $q_6$

**NOTE:** The CNOT gate has a subscript of three numbers. The first number indicates the control qubit, followed by a semicolon and two numbers separated by a comma, which indicate the target qubits.

# Shor Code/Proof

- After the Hadamard gates on the 0<sup>th</sup>, 3<sup>rd</sup>, and 6<sup>th</sup> qubits

$$\xrightarrow{H_0 H_3 H_6} \alpha H|0\rangle|00\rangle H|0\rangle|00\rangle H|0\rangle|00\rangle + \beta H|1\rangle|00\rangle H|1\rangle|00\rangle H|1\rangle|00\rangle$$

**NOTE:** The  $H$  gate has a subscript indicating the qubit to which it is applied.

$$= \alpha |+\rangle|00\rangle |+\rangle|00\rangle |+\rangle|00\rangle + \beta |-\rangle|00\rangle |-\rangle|00\rangle |-\rangle|00\rangle$$

$$= \alpha \frac{1}{\sqrt{2}}(|0\rangle + |1\rangle)|00\rangle \frac{1}{\sqrt{2}}(|0\rangle + |1\rangle)|00\rangle \frac{1}{\sqrt{2}}(|0\rangle + |1\rangle)|00\rangle$$

$$+ \beta \frac{1}{\sqrt{2}}(|0\rangle - |1\rangle)|00\rangle \frac{1}{\sqrt{2}}(|0\rangle - |1\rangle)|00\rangle \frac{1}{\sqrt{2}}(|0\rangle - |1\rangle)|00\rangle$$

$$= \alpha \frac{1}{\sqrt{2}}(|000\rangle + |100\rangle) \frac{1}{\sqrt{2}}(|000\rangle + |100\rangle) \frac{1}{\sqrt{2}}(|000\rangle + |100\rangle)$$

$$+ \beta \frac{1}{\sqrt{2}}(|000\rangle - |100\rangle) \frac{1}{\sqrt{2}}(|000\rangle - |100\rangle) \frac{1}{\sqrt{2}}(|000\rangle - |100\rangle)$$

# Shor Code/Proof

- The final state is obtained by performing  $CNOT_{0;1,2}, CNOT_{3;4,5}, CNOT_{6;7,8}$

$$\begin{aligned} & \xrightarrow{CNOT_{0;1,2} \otimes CNOT_{3;4,5} \otimes CNOT_{6;7,8}} \alpha \frac{1}{\sqrt{2}} CNOT_{0;1,2} (|000\rangle + |100\rangle) \frac{1}{\sqrt{2}} CNOT_{3;4,5} (|000\rangle + |100\rangle) \frac{1}{\sqrt{2}} CNOT_{6;7,8} (|000\rangle + |100\rangle) \\ & + \beta \frac{1}{\sqrt{2}} CNOT_{0;1,2} (|000\rangle - |100\rangle) \frac{1}{\sqrt{2}} CNOT_{3;4,5} (|000\rangle - |100\rangle) \frac{1}{\sqrt{2}} CNOT_{6;7,8} (|000\rangle - |100\rangle) \\ & = \alpha \left( \frac{1}{\sqrt{2}} \right)^3 (|000\rangle + |111\rangle)(|000\rangle + |111\rangle)(|000\rangle + |111\rangle) \\ & \quad + \beta \left( \frac{1}{\sqrt{2}} \right)^3 (|000\rangle - |111\rangle)(|000\rangle - |111\rangle)(|000\rangle - |111\rangle) \\ & = \alpha |0_L\rangle + \beta |1_L\rangle \quad \square \end{aligned}$$

# Shor Code

- Let us see how to correct bit flips and phase flips using the Shor code, *beginning* with *bit flips*
- First, remember that the qubits are ordered  $q_8 q_7 \dots q_0$ , where  $q_0$  is the top qubit while  $q_8$  is the bottom qubit
- Let's assume that  $q_0$  and  $q_5$  both experience complete bit flips
- Then, the state of the system is

$$\begin{aligned}
 & \frac{\alpha}{2^{3/2}} (|100\rangle + |011\rangle)(|001\rangle + |110\rangle)(|000\rangle + |111\rangle) \\
 & + \frac{\beta}{2^{3/2}} (|100\rangle - |011\rangle)(|001\rangle - |110\rangle)(|000\rangle - |111\rangle)
 \end{aligned}$$

# Shor Code

- To detect this, we measure the *parities of adjacent qubits* within each triplet
- In this example, we would get:

left triplet	parity( $q_0, q_1$ )=1	parity( $q_1, q_2$ )=0
middle triplet	parity( $q_3, q_4$ )=0	parity( $q_4, q_5$ )=1
right triplet	parity( $q_6, q_7$ )=0	parity( $q_7, q_8$ )=0

$$\begin{aligned}
 & \begin{array}{ccc}
 \boxed{q_0 q_1 q_2} & \boxed{q_3 q_4 q_5} & \boxed{q_6 q_7 q_8} \\
 \downarrow \downarrow \downarrow & \downarrow \downarrow \downarrow & \downarrow \downarrow \downarrow
 \end{array} \\
 & \frac{\alpha}{2^{3/2}} (|100\rangle + |011\rangle)(|001\rangle + |110\rangle)(|000\rangle + |111\rangle) \\
 & + \frac{\beta}{2^{3/2}} (|100\rangle - |011\rangle)(|001\rangle - |110\rangle)(|000\rangle - |111\rangle)
 \end{aligned}$$

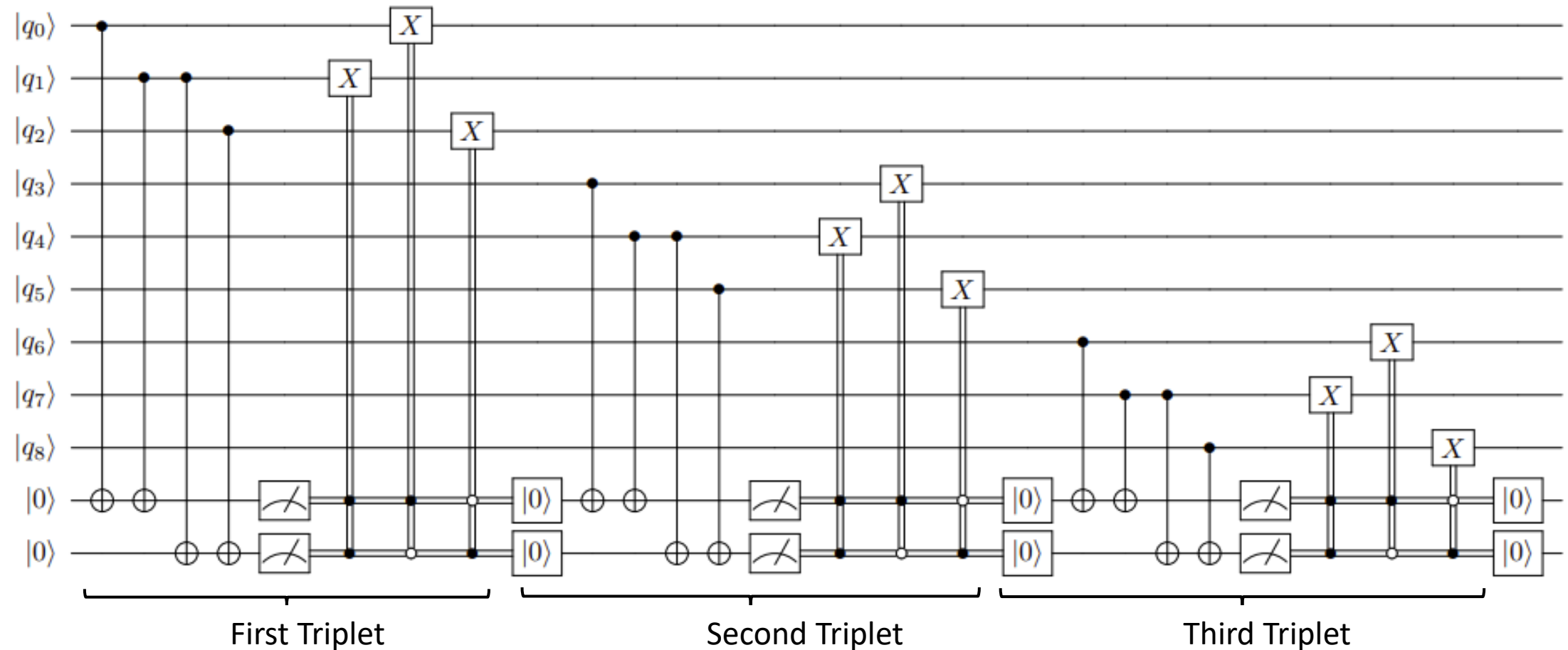


# Shor Code

- This tells us that the *0<sup>th</sup> qubit* and *5<sup>th</sup> qubit* have *flipped*, so we can apply  $X$  gates to those two qubits to correct them
- Similarly, if there is a *partial bit flip*, measuring all the parities to be zero collapses the state and automatically corrects it, or if there is a discrepancy, we apply  $X$  to the appropriate bit to correct it
- This also works with *partial bit flips*
- Measuring the parities of adjacent qubits might collapse the state and correct the errors, or it might collapse the state into a full bit flip, which we correct as previously described

# Shor Code

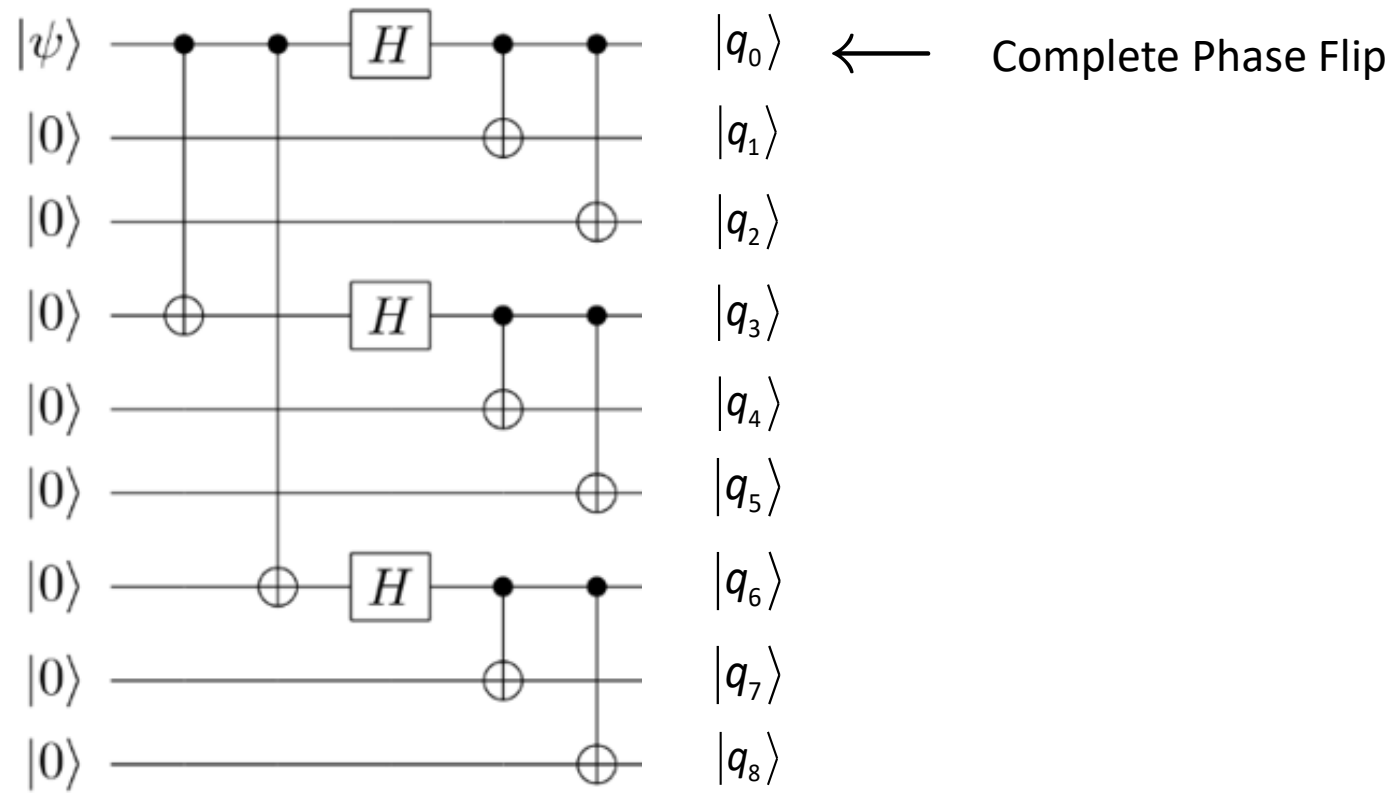
Bit flips can be corrected in the Shor code using the following quantum circuit



# Shor Code

- The *first third* of the circuit measures the parities of adjacent qubits in the top three qubits, correct any errors, and reset the ancillas
- The *middle third* of the circuit calculates the parities of adjacent qubits in the next triplet, correcting any errors
- Finally, it does the same for the *last triplet of qubits*

# Shor Code



# Shor Code

- Next, let us see how the Shor code also allows us to correct *phase flips*
- Say  $q_0$  experiences a *complete phase flip*
- The system is initialized in the following state

$$\begin{aligned}\alpha|0\rangle_L + \beta|1\rangle_L = & \frac{\alpha}{2^{3/2}}(|000\rangle + |111\rangle)(|000\rangle + |111\rangle)(|000\rangle + |111\rangle) \\ & + \frac{\beta}{2^{3/2}}(|000\rangle - |111\rangle)(|000\rangle - |111\rangle)(|000\rangle - |111\rangle)\end{aligned}$$

- Then, due to the phase shift of  $q_5$  the state of the system becomes

$$\begin{aligned}& \frac{\alpha}{2^{3/2}}(|000\rangle - |111\rangle)(|000\rangle + |111\rangle)(|000\rangle + |111\rangle) \\ & + \frac{\beta}{2^{3/2}}(|000\rangle + |111\rangle)(|000\rangle - |111\rangle)(|000\rangle - |111\rangle)\end{aligned}$$

# Shor Code

- Then, we can measure the *phase parity* of adjacent triplets, i.e., whether the number of  $(|000\rangle - |111\rangle)/\sqrt{2}$  triplets is even or odd
- This is similar to the *phase flip code*, where we measured the parity in the  $X$  basis, which whether the number of  $|-\rangle$  was even or odd
- In our example, we would get

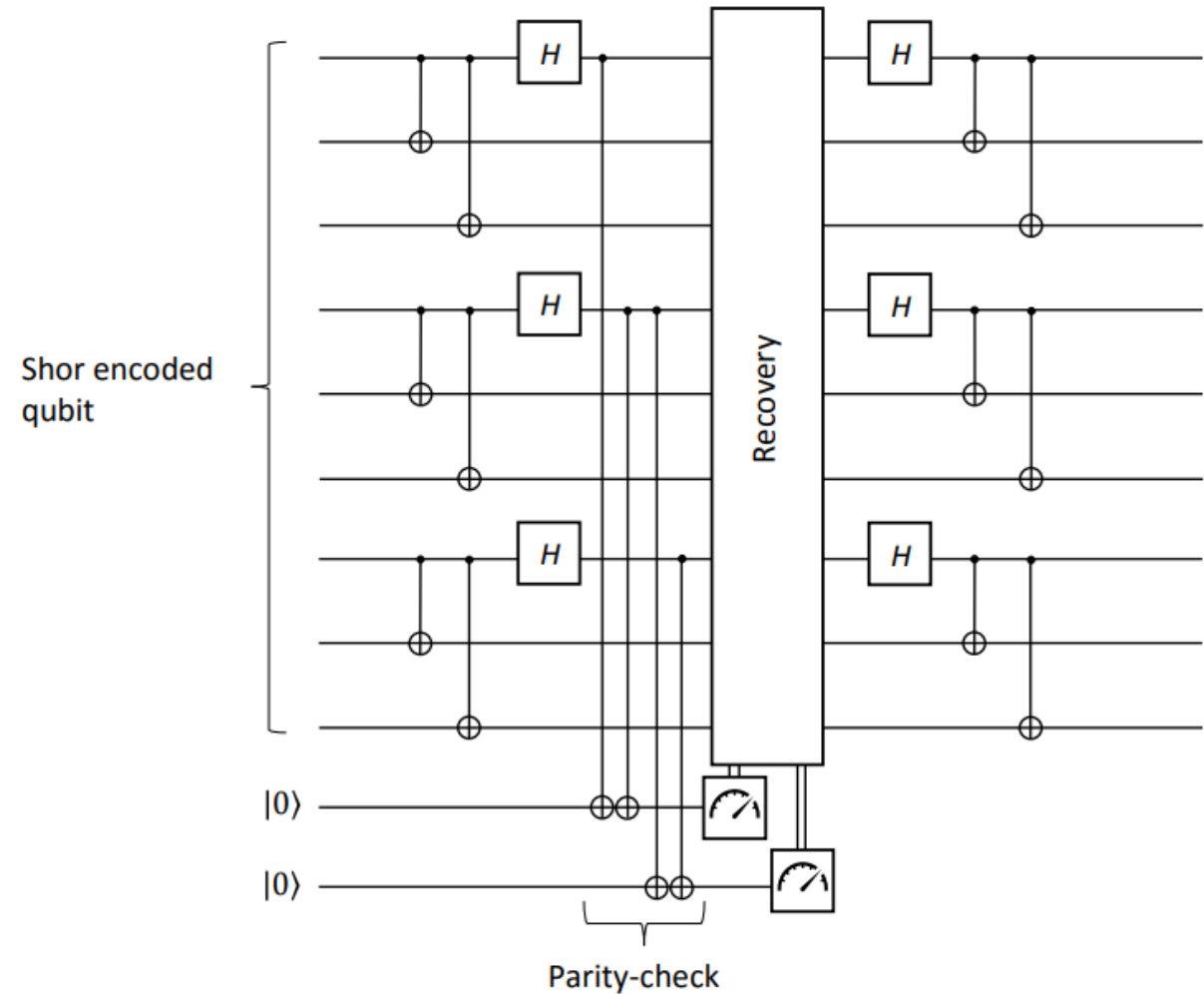
$$\text{parity}(\text{triplet}_0, \text{triplet}_1) = 1, \text{parity}(\text{triplet}_1, \text{triplet}_2) = 0$$

- This indicates that the first triplet needs to be flipped, so we can apply the  $Z$  gate to either  $q_0$ ,  $q_1$ , or  $q_2$ , correcting the error

# Shor Code

The key idea here is to detect which of the three blocks of three qubits has experienced a change of sign.

This is achieved using the circuit shown on the figure.



# Shor Code

- Similarly, when there is a partial phase flip, if we measure all the phase parities and get zero, the state collapsed and corrected the error, and if there was a discrepancy in phase parities, we apply a Z gate to the appropriate triplet to correct it
- By alternating between correcting bit-flip errors and phase-flip errors, the Shor code corrects all quantum errors, assuming *each triplet experiences at most one bitflip error, and at most one triplet experiences a phase-flip error*