

Automata :- Automata theory is the study of abstract computing devices or machines.

Basically automaton = machine & Automata = machines  
OR

Automata is a Abstract models for different aspects of computation.  
OR

The study of mathematical properties of abstract computing machines

### Basic Mathematics

1] Sets :- Set is a collection of things called elements or members.

ex. 1.  $\{5\}$  - a set containing only one element 5.

2.  $\{1, 2, 3, \dots\}$  is a set of natural numbers.

A set can also be described using the notation.

$A = \{x | x \text{ is an odd integer, } x > 1\}$

(A is a set of all  $x$  such that  $x$  is an odd integer and  $x$  is greater than 1).

Equality of sets :- Two sets A & B are equal iff A & B contains the same elements or both are empty. If the two sets A and B are equal then we write  $A = B$ .

Empty set :- A set containing no element is called empty set. ( $\emptyset$ )

subset:- A set A is a subset of a set B, and we write  $A \subseteq B$ , iff every elements of A is an element of B.

Proper subset- IF  $A \subseteq B$  and  $A \neq B$ , then A is called a proper subset of B and it is written as  $A \subset B$ .

The Power set- The power set of a set A, denoted as  $P(A)$ , is a set of all subset of A.

ex if  $A = \{a, b, c\}$  then  $P(A) = \{\emptyset, \{a\}, \{b\}, \{c\}, \{ab\}, \{ac\}, \{bc\}, \{abc\}\}$

Operations on sets:-

Complement of a set:- Complement of a set A is represented as  $A'$ .  $A'$  is a set of every element that is not in A.

Union of two sets:- Union of two sets A and B

is represented as  $A \cup B$ . Union of two sets A and B is the set of elements in A or in B.

Intersection of two sets:-  $(A \cap B)$  is the set of elements which belong to both A and B.

set difference:-  $(A - B)$  is a set of those elements of A which are not in B.

$$A - B = \{x | x \in A \text{ and } x \notin B\}$$

Page No



1.  $A \cup B = B \cup A$  Commutative laws  
 $A \cap B = B \cap A$

2.  $A \cup (B \cup C) = (A \cup B) \cup C$  Associative laws.  
 $A \cap (B \cap C) = (A \cap B) \cap C$

3.  $A \cup (B \cap C) = (A \cup B) \cap (A \cup C)$  Distributive laws.  
 $A \cap (B \cup C) = (A \cap B) \cup (A \cap C)$

4.  $A \cup A = A$  Idempotent laws  
 $A \cap A = A$

5.  $A \cup (A \cap B) = A$  Absorptive laws.  
 $A \cap (A \cup B) = A$

6.  $(A \cup B)' = A' \cap B'$  De Morgan laws.  
 $(A \cap B)' = A' \cup B'$

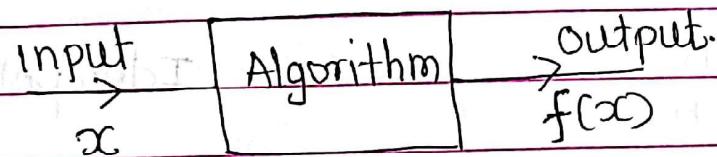
Relations:-

Theory of computation / program / algorithm

Theory of Computation :- computation refers to writing a program & execute it on a machine or computer.

program :- program express algorithms.

Algorithm :- is a recipe for carrying out input to output transformation.



$$f : D \rightarrow R$$

D :- represent domain of all input.

R :- Range of output.

every algorithm computes a function.

Basic goal :- to identify the class of functions which admit algorithms to compute them.

for function f There is an algorithm to compute f, and rest of function they do not admit any algorithm to compute them.

Set membership problem :- There is a set S and we have given any a(input), to decide whether a  $\in S$  or not.

function  $f$  is mapping of domain to some range.

$$f : D \rightarrow R$$

There is very natural set which is associated with any function. that set is called graph( $f$ )

$$\text{graph}(f) = \{ (a, b) \mid f(a) = b \}$$

tuple.

suppose we show that there is no algorithm to solve the set membership problem for the set  $\text{graph}(f)$ , then we can conclude that then there no algorithm to compute  $f$ .

our sets are going to be sets of finite strings

Symbols :- ex  $0, 1, a, b, \dots$

Nonempty

Alphabet :- An alphabet is a finite set of symbols.

ex :-  $\Sigma \{ 0, 1 \}$  - the binary alphabets.

$\Sigma \{ A, B, \dots, Z \}$  - the set of uppercase letters.

$\Sigma \{ 0, 1, \dots, 9 \}$  - decimal alphabets.

The symbol  $\Sigma$  (sigma) is used for alphabet.

$\Sigma \{ 0, 1, 2 \}$  - the ternary alphabet.

string :- It is a finite sequence of symbols over an alphabet.

ex :-  $\Sigma \{ 0, 1 \}$  then string is  $010, 11010$ , etc.

alphabet formed by our language understanding

suppose  $\Sigma$  is an alphabet then  $\Sigma^*$  denotes the set of all finite strings over  $\Sigma$

ex  $\Sigma = \{0, 1\}$  then  $\Sigma^*$  is the set of all finite binary strings.

finite means in terms of length of individual string  $\Sigma^*$  is an infinite set. but the length of individual string is finite.

Formal Language - A formal language  $L$  over the alphabet  $\Sigma$  is a subset of  $\Sigma^*$ .

ex  $\Sigma = \{0, 1\}$

$L = \{0, 1, 10, 11, 110, 1101010\} \subseteq \Sigma^*$

$L_1 = \{x \in \{0, 1\}^* \mid x \text{ has even no of } 0's \text{ & even no of } 1's\}$

$L_1 \subseteq \{0, 1\}^*$

$L$  proper subset.

We shall be concerned with the set membership problem of formal languages.

We have given a language  $L$  then given a string  $x$  & we have to decide whether that string  $x$  is member of language  $L$

$x \in L$

basically string is nothing but input to any algorithm & output is nothing but the solution to set membership problem. To solve this set membership problem we are having models of computation (Automata) of various kinds.

~~Models~~ ~~Computations~~

## 1. ~~Finite State Automata~~

The empty string :- The empty string is the string with zero occurrence of symbols.

denoted by  $\epsilon$ , is a string chosen from any alphabet <sub>es</sub>.

Length of string  $\rightarrow$  No of symbols in the string.

ex  $x = 11010$ , then  $|x| = 5$

Powers of an alphabet :- We can express the set of all strings of certain length from that alphabet by using an exponential notation.

$\Sigma^k$  - set of strings of length  $k$ , each of which chosen from  $\Sigma$  ex  $\Sigma^* = \Sigma^0 \cup \Sigma^1 \cup \Sigma^2 \cup \Sigma^3 \dots$

$\Sigma^0 = \{\epsilon\}$ ,  $\Sigma^1 = \{0, 1\}$ ,  $\Sigma^2 = \{00, 01, 10, 11\}$  etc.  
 $\Sigma^+ = \Sigma^1 \cup \Sigma^2 \cup \Sigma^3 \cup \dots$  set of nonempty strings.

Concatenation of strings :-

If  $x$  &  $y$  be strings.  $xy$  denotes concatenation of  $x$  &  $y$  i.e. string formed by making copy of  $x$  followed by string  $y$ .

i.e.  $x = a_1a_2 \dots a_n$  &  $y = b_1b_2 \dots b_n$  then

$$xy = a_1a_2 \dots a_n b_1b_2 \dots b_n$$

Finite State Automata (FSA)or  
Machines

set membership problem for language  $L$  is given  $x$ , to decide if  $x \in L$ .

To decide set membership problem we define machine or grammar & so on.

Let us understand FSA using example.

$$\Sigma = \{0, 1\}$$

$$L = \{x \in \{0, 1\}^* \mid x \text{ has even no of 0's}\}$$

011011010  $\in L$ , 1111  $\notin L$ , 01010  $\notin L$

Way to do:-

start scanning the string from its left end, go over the string one symbol at a time, to be ready with the ans as soon as the string is entirely scan.

0 1 1 0 1 1 0 1 0  
↑

Initial state

of mind is even)

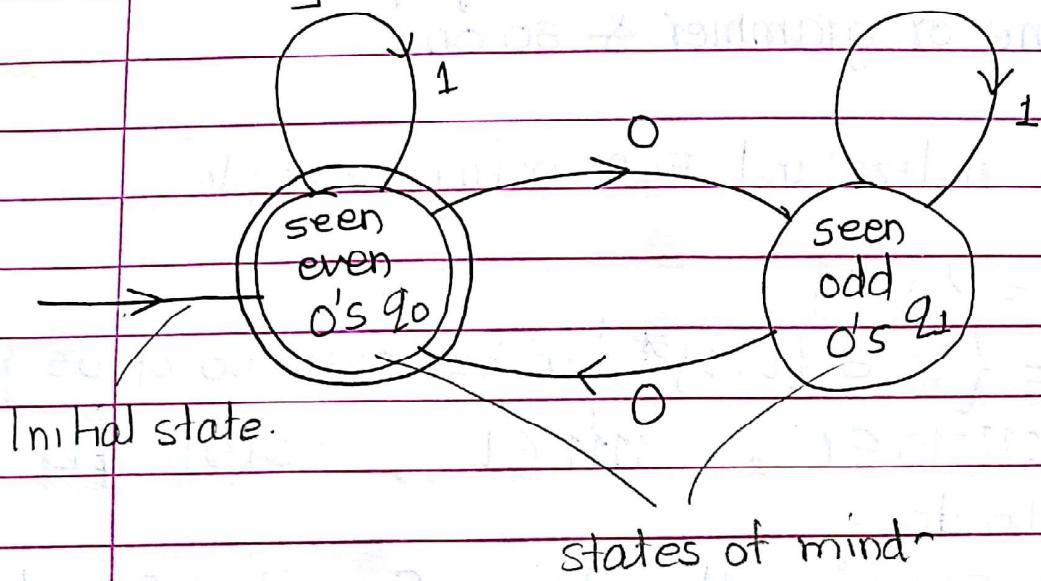
(0 no of zero)

so before reading any symbol of string initially the state of mind is even no of zero (initially zero no of 0's are there). As i read first symbol i.e 0 the state of mind change to odd, for next symbol state of mind remains same (it is 1) & so on.. so after reading the

the last symbol, whatever is the state of mind that decide whether given string is in L or not

Above same thing can be represented by diagram as follow.

As my mind has two state i will draw two states.



### Components

1. states - ( $Q$ ) set of finite states.
2. Alphabets ( $\Sigma$ ) - finite set of symbols.
3.  $\delta$  - state transition function.

$$\delta: Q \times \Sigma \rightarrow Q$$

$\delta$  tells us if i am in state  $Q$  & a symbol comes  $\Sigma$ , then which is the state i will go to.

$\delta$  is a mapping function whose domain is set of 'state & symbol' and range is set of states.

$Q$	$\Sigma$	0	1
$q_0$		$q_1$	$q_0$
$q_1$		$q_0$	$q_1$

state transition table

4. Initial state ( $q_0$ ) - Initial state of a machine (before scanning any symbol). This state is unique.
5. set of final states ( $F$ ) - There are one or many final / accepting state -  $F \subseteq Q$

Definition of FSA :- FSA M is 5 tuples

$$(Q, \Sigma, \delta, q_0, F), \text{ where.}$$

$Q$  - is a finite set of states.

$\Sigma$  - finite set of symbol.

$\delta$  is a state transition function.

$$\delta : Q \times \Sigma \rightarrow Q.$$

$q_0$  - Initial state  $q_0 \in Q$  (unique).

$F$  - set of final states  $F \subseteq Q$ .

ex 2.  $L_1 = \{x \in \{0,1\}^* \mid x \text{ has even no of 0's and has even no of 1's}\}$

→ Firstly, try to find all possibilities of states of mind.i.e.

1. even 0's even 1's

2. even 0's odd 1's

3. odd 0's odd 1's

4. odd 0's even 1's

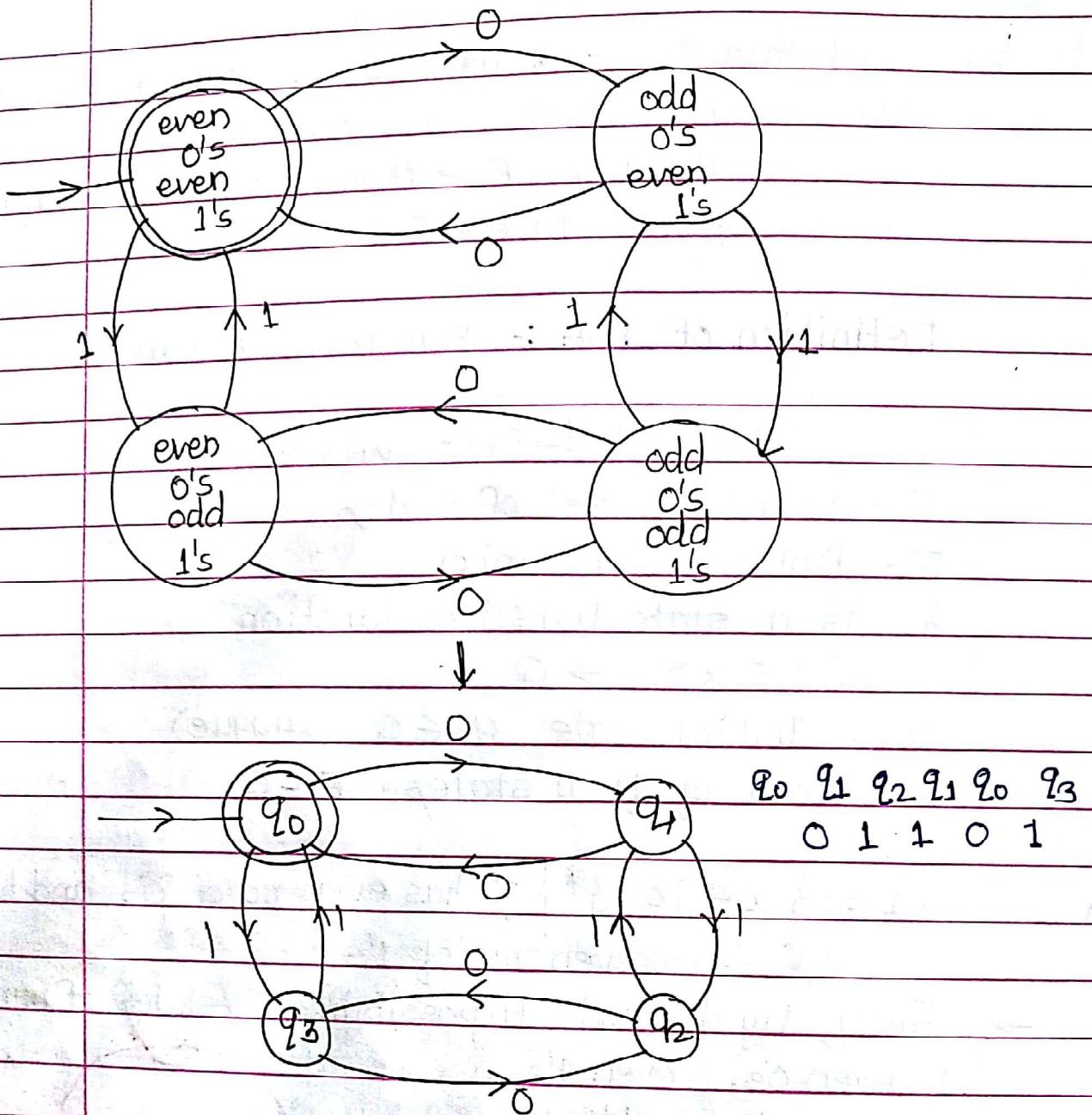
$$\delta : Q \times \Sigma \rightarrow Q$$

$$\delta : Q \times \Sigma^* \rightarrow Q$$

hat  $\delta$  is the function which tells the machine (FSA) will be after scanning the string  $x$ . starting from the state  $q$

suppose  $L_2 = \{00, 1100\}$  que : is  $L_2$  accepted by DFA M

Ans is No because  $L_2 \subseteq L(M)$  & required is  $L_2 = L(M)$ .



FSA M for L1 is

$$Q = \{q_0, q_1, q_2, q_3\}$$

$$\Sigma = \{0, 1\}$$

$$\begin{matrix} q_0 & q_1 & q_2 & q_1 & q_0 & q_3 \\ 0 & 1 & 1 & 0 & 1 \end{matrix}$$

$$q_0 = q_0$$

$$F = \{q_0\}$$

8

$\Sigma$	0	1
$q_0$	$q_1$	$q_3$
$q_1$	$q_0$	$q_2$
$q_2$	$q_1$	$q_3$
$q_3$	$q_2$	$q_0$

Let us consider FSA  $M = (Q, \Sigma, \delta, q_0, F)$

$$L(M) = \{ x \in \Sigma^* \mid \delta(q_0, x) \in F \}$$

$L(M)$  - represent language accepted by FSA  $M$

### Deterministic Finite state Automata (DFA)

Convention - 1.  $a, b, a_1, \dots, a_n$  etc used as elements of  $\Sigma$

2.  $x, y, z, x_1, \dots$  are strings over  $\Sigma$

The language accepted by  $M$ , called  $L(M)$  is defined as

$$L(M) = \{ x \in \Sigma^* \mid \delta(q_0, x) \in F \}$$

#### Definition of DFA -

A DFA can be described in 5 tuples i.e

$$M = (Q, \Sigma, \delta, q_0, F) \text{ where}$$

$Q$  = Finite set of states.

$\Sigma$  = Finite set of input symbols.

$\delta$  = transition function, which takes an argument  
a state & an input symbol & return a state

$q_0$  = start state  $q_0 \in Q$ .

$F$  = A set of final or accepting states,  $F \subseteq Q$ .  
for DFA  $\delta: Q \times \Sigma \rightarrow Q$ .

In DFA from each state on each input symbol  
there is exactly one transition.

Regular Language:- A language  $L$  is called regular

if there is a DFA  $M$  such that

$$L(M) = L$$

Every DFA associated with unique language.

Suppose  $M = (Q, \Sigma, \delta, q_0, F)$

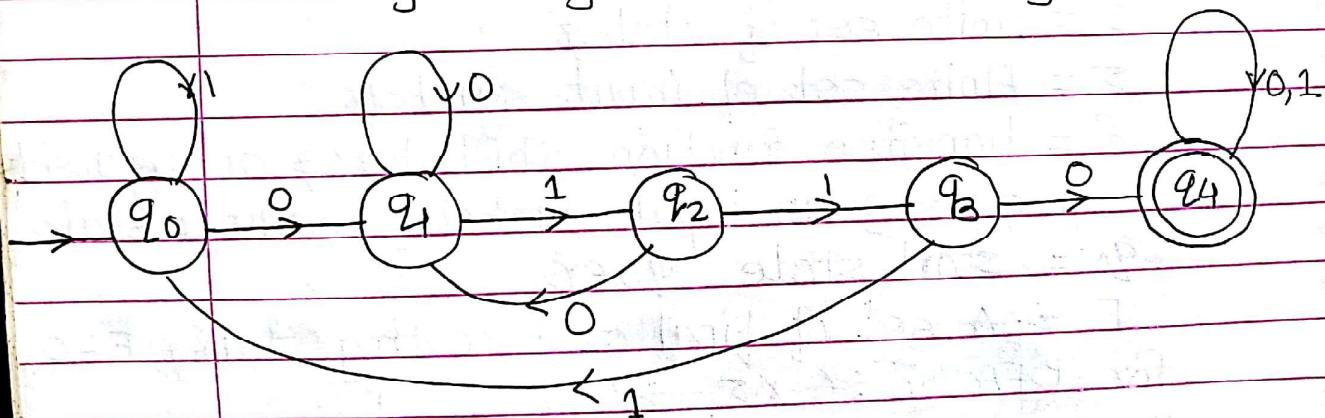
$x_1, x_2 \in \Sigma^*$  then

$$\begin{aligned} \delta(q, x_1) &= \delta(q, x_2) \quad \text{ideiomatically} \\ \Rightarrow \delta(q, x_1y) &= \delta(q, x_2y) \quad \forall y \in \Sigma^* \end{aligned}$$

Ex 3.  $L = \{x \in \{0,1\}^* \mid 0110 \text{ is a substring of } x\}$

Ans - To prove  $L$  is regular we need to design DFA

How to design DFA - consider yourself as a machine (DFA), and you have given a large sequence of input, at a time you are scanning one symbol and keeping some finite amount of information in your head & after scanning last symbol you should be ready with answer.



$q_0 \ q_0 \ q_0 \ q_1 \ q_2 \ q_3 \ q_4 \ q_4 \boxed{q_4}$  EF  
 1 1 0 1 1 0 1 1 1 EL

$q_0 \ q_0 \ q_0 \ q_1 \ q_2 \ q_1 \boxed{q_2} \ q_2 \boxed{q_2}$  EF  
 1 1 0 1 0 1 1 \$L

$L \subseteq \Sigma^*$  then the complement of  $L$  is denoted by

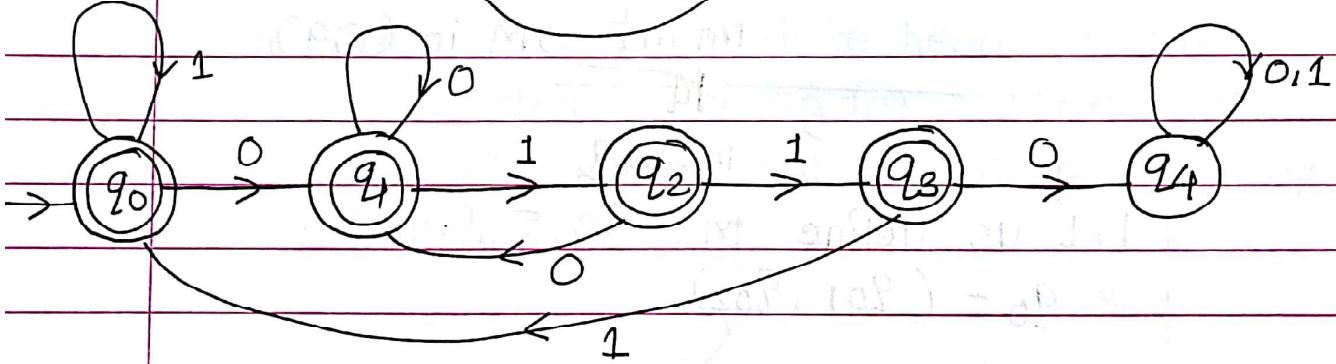
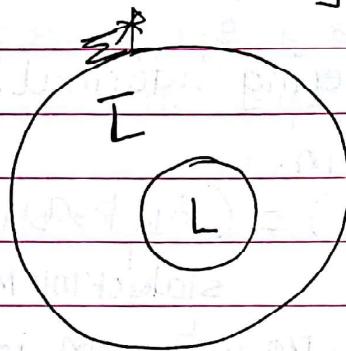
$$\bar{L} = \{x \in \Sigma^* \mid x \notin L\}$$

$$= \Sigma^* - L$$

if  $L$  is regular then  $\bar{L}$  is also regular.

considering example  $\exists$

$$\bar{L}(M) = \{x \in \{0, 1\}^* \mid \text{0110 does not occur as a substring in } x\}$$



If  $M$  accepts  $L$  then  $M_1$  obtained from  $M$  by switching/Interchanging the set of final states with set of nonfinal state, will accept  $\bar{L}$

If  $L_1, L_2 \subseteq \Sigma^*$  then  $L = L_1 \cup L_2$

suppose,  $L_1$  and  $L_2$  are regular then  $L$  is also regular.

since  $L_1$  and  $L_2$  are regular, There are two DFAs  
say  $M_1$  and  $M_2$ , accepting these two languages  
respectively.

$$M_1 = (Q_1, \Sigma, \delta_1, q_{01}, F_1)$$

$$M_2 = (Q_2, \Sigma, \delta_2, q_{02}, F_2)$$

$M$  has  $Q = Q_1 \times Q_2$  as its set of states.

$$Q = \{(p, q) \mid p \in Q_1, q \in Q_2\}$$

[ DFA head keeping information about both m1c ]

Define  $\delta$  for  $M$ .

$$\delta((p, q), a) = (\underbrace{\delta_1(p, a)}_{\text{state of } M_1 \text{ in } p}, \underbrace{\delta_2(q, a)}_{\text{state of } M_2 \text{ in } q})$$

$$\begin{array}{c} M_1 \text{ in } p, M \text{ in } (p, q) \\ \hline a \\ \qquad \qquad \qquad M_2 \text{ in } q. \end{array}$$

Let us define  $M = (Q, \Sigma, \delta, q_0, F)$

$$q_0 = (q_{01}, q_{02})$$

We have maintain through the definition of  $\delta$ .

The invariant that

$$\forall x, \delta((q_{01}, q_{02}), x) = (p_1, p_2)$$

this will be the case iff

$$\delta_1(q_{01}, x) = p_1 \text{ and } \delta_2(q_{02}, x) = p_2$$

Machine  $M$  - set of final states

$$F = \{(p, q) \mid p \in F_1 \text{ or } q \in F_2\}$$

$$L(M) = L_1 \cup L_2 \quad \text{AND} \rightarrow L_1 \cap L_2$$

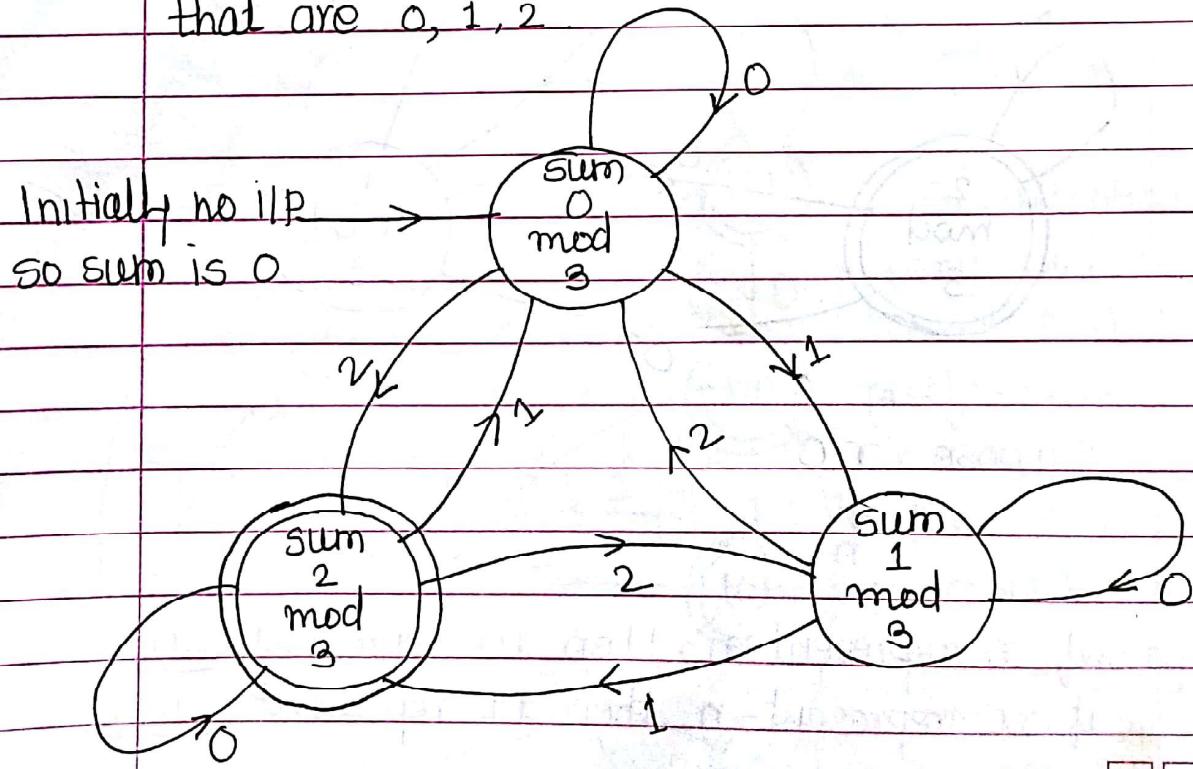
Page No.

$L_1 \cap L_2$  are regular then show  $L_1 \cap L_2$  is also regular. → refer previous proof.

- ex 4. ✓  $L_1 = \{x \in \{0, 1, 2\}^* \mid \text{The sum of digits in } x \text{ is } 2 \pmod{3}\}$
- $2101012 - \text{sum} = 07 \pmod{3} = (1 \pmod{3}) \notin L_1$
  - $12101012 - \text{sum} = 08 \pmod{3} = 02 \pmod{3} \in L_1$

wrong strategy - we keep in finite state head  
the sum of digit seen so far.  
wrong (sum can be arbitrary large &  
head can hold only finite amount of information)

Right strategy - keep in finite head the sum of  
digit seen so far modulo 3. so at  
any given time there are only three possibilities  
that are 0, 1, 2



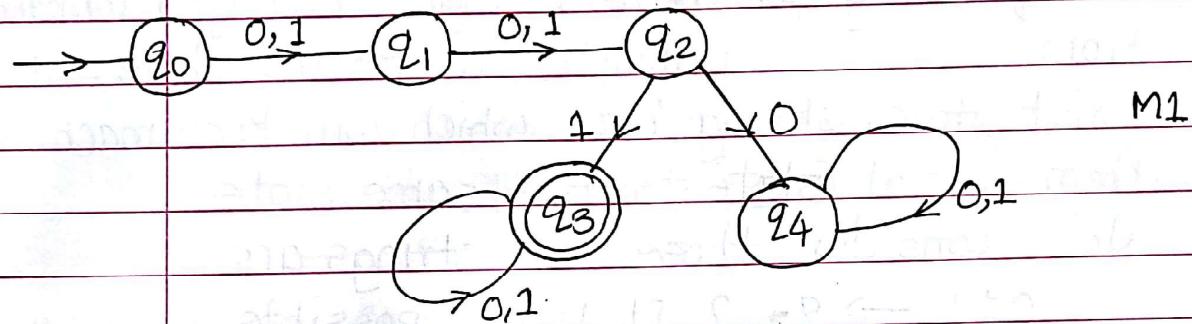
ex 6.  $L_1 = \{x \in \{0,1\}^* \mid \text{The Third bit from the left end is } 1\}$

$L_2 = \{x \in \{0,1\}^* \mid \text{The Third bit of } x \text{ from its right end is one}\}$

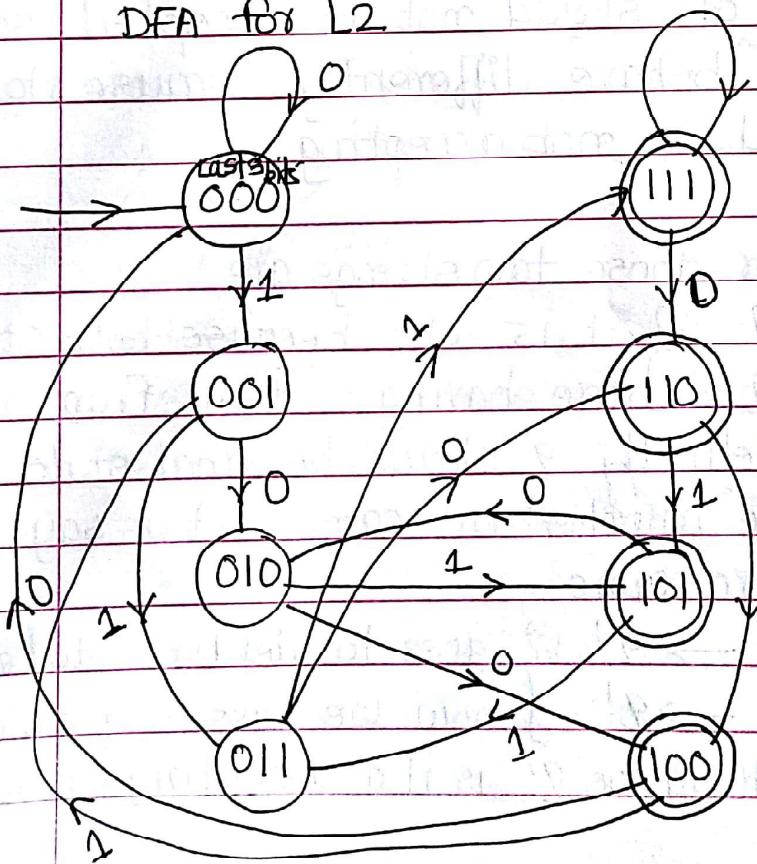
$\rightarrow 1000100 \notin L_1$

$1000100 \in L_2$

DFA for  $L_1$



DFA for  $L_2$



for accepting  $L_2$

any DFA needs at least 8 states.

proof:- suppose  $M$  accept  $L_2$  & has less than 8 states

consider running  $M$  with following 8 strings:  
 $000, 001, 010, 011, 100, 101, 110, 111$

No  $\boxed{110}, \boxed{111}$

String      states

- 000 → at the end M reached at  $q_1$
- 001 →  $q_2$
- 010
- 011
- 101
- 110
- 111
- 100

there are total no of states are seven or less  
 no of string we have is eight. now you rememb  
 from \_\_\_\_\_ principle, it means there will  
 exist two string here which will take machine  
 from initial state to the same state.

Now consider those two strings are

$011 \rightarrow q$  } It is not possible because  
 $100 \rightarrow q$  } 100 should be accepted  
 whereas 011 should not be accepted. so  
 m/c cant behave differently. because state is  
 either final or non accepting.

now consider those two strings are.

$101 \rightarrow q$  } it is ok because both strings  
 $111 \rightarrow q$  } are having 3rd bit from right  
 is 1. and definitely q should be final state  
 now imagine another bit came let us say  
 another zero came

$101^0 \rightarrow q'$  } goes to identical state  
 $111^0 \rightarrow q'$  } now we have a problem  
 now what should be  $q'$  is it a accepting or non accepting

so whatever we have contradicted initially that is wrong hence L2 requires at least 8 states.

Time complexity of algorithm which implements DFA is  $O(n)$  where  $n = \text{Length of input.}$

Ex 8 show that  $L = \{0^n 1^n \mid n \geq 0\}$  is not regular.

Ans:  $000111 \in L$

$001 \notin L$  Proof method - Proof by contradiction

Assume L is accepted by a DFA. Let that DFA have some K states.

Imagine there is very long string which is in L now consider the sequence of states M goes through.

$q_0 q_{i1} q_{i2} q_{i3}$

$x x x x x \dots x x x x$

$q_0 \xrightarrow{u} q_{i1} \xrightarrow{v} q_{i2} \xrightarrow{w} q_f$

$M = (Q, \Sigma, \delta, q_0, F)$

$$\delta(q_0, u) = q_i$$

$$\delta(q_i, v) = q_f$$

$$\delta(q_i, w) = q_f \in F$$

String  $uwu$  take m/c from  $q_0$  to final state,

$\therefore uwu \in L$

What about  $uww \in L$

$uww \in L \quad i \geq 0$

Mod-01lec-06 by Biswas prob

## Pumping Lemma for regular languages:

Let  $L$  is regular then there exists a constant  $K$  (depends only on the language)

1) such that for all  $x \in L$  &  $|x| \geq K$ ,

There exist  $uvw$  satisfying

1]  $uv^lw = x$       L string  $u, v, l, w$ .

2]  $|uv| \leq K$ ,  $|v| > 0$  (The length of  $v$  is non-zero)

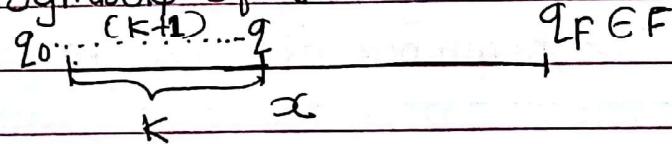
3] for all  $i$ ,  $i \geq 0$ ,  $uv^iw \in L$

Proof :- since  $L$  is regular. There is DFA  $M$  to accept  $L$

Let  $k$  be the number of states in  $M$ .

Let  $x$  is in  $L$  &  $|x| \geq k$ , Now consider the first

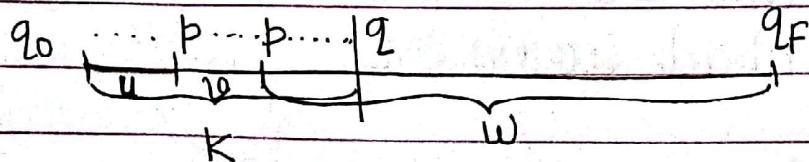
$k$  symbols of  $x$



$$\delta(q_0, x) = q_F \in F$$

For an  $n$  length string, the length of sequence of states the DFA goes through is  $(n+1)$

∴ by pigeon-hole principle there will be at least one state which will occur more than once in this sequence on first  $k$  symbol on string  $x$ .



$$\delta(q_0, x) = q_F \in F$$

$$\delta(q_0, u) = p$$

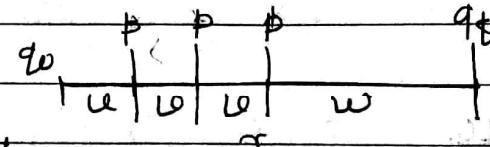
$u$  = is a string of  $x$  which took  $m$  into state  $p$  which occurs one more

$$\delta(p, \varphi) = p$$

$$\delta(p, w) = q_f$$

Now let us determine the behaviour of M for the string  $uw\varphi$

$$\delta(q_f, uw\varphi) = q_f$$



$$\delta(\delta(\delta(\delta(q_0, u), v), v), w) = q_f$$

$\Rightarrow uwvw \in L \rightarrow$  if I put any no. of copies of  $v$  still for  $\delta(q_0, uw) = q_f$  that string is in  $L$ .

if value of  $i=0$

$$uw^0\varphi, v^0=\epsilon \therefore \text{Hence}$$

$$u, w \in L \\ q_0 \xrightarrow{P} q_f$$

ex 9.  $L = \{x \in \{0,1\}^* \mid 0^n 1^n, n \geq 0\}$  is not regular.

Proof  $\rightarrow$  Let  $L$  be regular. Let  $K$  be the pumping lemma constant for  $L$ . Consider the string  $x = 0^K 1^K$ .

From pumping lemma, there exist  $u, v, w$  satisfying the three condition of lemma.

$$\underbrace{000\dots}_{K} \underbrace{011\dots}_{K} 1$$

condition 1 & 2 imply that  $v$  consist only of zeros because  $w$  it self consist of only zero.

considering condition 3. where  $i=0$

$$\therefore uw \in L \dots \dots \text{condition 3}$$

In  $uw$  no of zero is strictly less than the no of 1's so by definition of  $L$   $uw \notin L$

$\therefore$  so we have a contradiction so whatever assumption we had made is wrong.

$\therefore \underline{L \text{ is not regular}}$

ex 10.  $L = \{x \in \{1\}^* \mid x = 1^{n^2}, n \geq 1\}$  is not regular

Proof

$n=1$	$1 \in L$	* The length of string must
$n=2$	$1^4 = 1111 \in L$	be perfect square
$n=3$	$1^9 = 111111111 \in L$	
	$11111 \notin L (1^5)$	

suppose  $L$  is regular. Let  $K$  be the pumping lemma constant for  $L$ .

Consider  $1^{K^2} \in L$

$$1^{K^2} = u v w \quad |u|, |v| \leq K, |w| > 0$$

$|uvw| \leq K$ ,  $|v| \leq K$ ,  $|w| > 0$   $v$  is a non empty string whose length is minimum 1 & maximum  $K$

$$|1^m 1^{K^2} 1^m| \leq K^2 + K$$

$1^m \in L, K^2 + K > K^2$  what we can say about length of  $uv^m w$

$$1^{K^2} \xrightarrow{\text{next}} 1^{(K+1)^2} = K^2 + 2K + 1 \quad * \text{The length of } 1^m 1^K 1^m \text{ is } K^2 \text{ & maximum length of } 1^m \text{ is } K$$

$$\therefore K^2 + K \leq K^2 + 2K + 1 \quad \therefore |uv^m w| \leq K^2 + K$$

so we have a contradiction so whatever assumption we had made is wrong.

$\therefore \underline{L \text{ is not regular}}$

Is the converse of pumping lemma true?

Converse: If  $L$  satisfies the condition of pumping lemma then  $L$  is regular.

→ Converse is false.

Proof is by counter example :-

$$L = \{a^i b^j c^k \mid i, j, k \geq 0 \text{ if } i=1 \text{ then } j=k\}$$

$a b b b c c c \in L$  suppose  $k=3$ ,  $x \in L$ ,  $|x| \geq 3$ .

$a a a b c c c \in L$  if  $x$  has exactly 2 a's

so  $a a b b b \dots b c c \dots c$

Let  $u = \epsilon$ ,  $v = aa$ ,  $w = \text{rest of } x$ .

otherwise, choose  $u = \epsilon$ ,  $v$  as the first symbol and rest as  $w$ .

so far  $uvvuw \in L$ .

$aaaaaw \in L$ .

$vw \in L$ .

∴ It satisfy all condition of lemma so it is regular, but actually this language  $L$  is not regular.

Generalized Pumping Lemma for regular Language:-

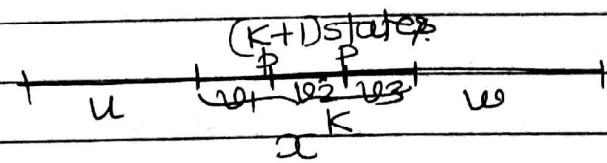
Let  $L$  be the regular language then there is a constant  $k$  (depending on  $L$ ) such that for all  $x \in L$ ,  $|x| \geq k$  and  $x = uvw$ , where  $|v|=k$

We have that there exist  $v_1, v_2, v_3$  such that

$v = v_1 v_2 v_3$ , and for all  $i \geq 0$  the string

$v_1 v_2^i v_3 w \in L$

suppose  $L$  is regular then there is DFA  $M$  to accept  $L$ . Let  $M$  have  $k$  states.



Ex 11. Prove that

$$L = \{ a^i b^j c^k \mid i, j, k \geq 0 \text{ if } i=1, \text{ then } j=k \}$$

is not regular (use:- Generalized pumping lemma)

→ Let  $L$  be regular, Let  $k$  be the constant of Lemma  
Now consider string

$$a b^k c^k \in L \text{ (because } i=1 \text{ then } j=k\text{)}$$

break up string in  $u, v, w$ .

$$u=a. \quad v=b^k \quad w=c^k$$

Now length is between  $\frac{i}{2}$  to  $k$

$$\begin{array}{c} u v_1 v_2 v_3 w \\ \downarrow \quad | \quad - | - c \\ a \quad b \quad (all b) \end{array}$$

on pumping we will get the string. (No of  $b$  get increased)  
 $a b^l c^k$  where  $l > k$

so lemma says  $a b^l c^k \notin L$  but it contradicted the definition of language.

∴  $L$  is not regular.

General structure to prove language is not regular using pumping lemma.

step 1: consider given  $L$  is regular.

step 2: Given any  $k$ , we choose an  $\underline{z} \in L$ , now given any  $u, v, w$  satisfying constraints.

step 3: We find  $i \geq 0$  such that  $u v^i w \notin L$

## Nondeterministic Finite Automata (NFA)

- NFA is generalization of Finite Automata
- NFA also will not accept anything other than regular languages.

Definition of NFA :-

NFA can be described in 5 tuples

$$M = (Q, \Sigma, \delta, q_0, F) \text{ where.}$$

$Q$  is the finite set of states of  $M$ .

$\Sigma$  is the finite set of symbols

$\delta$  : is a transition function.

$q_0$  : is the initial state of  $M$ .

$F$  - set of final or accepting states,  $F \subseteq Q$ .

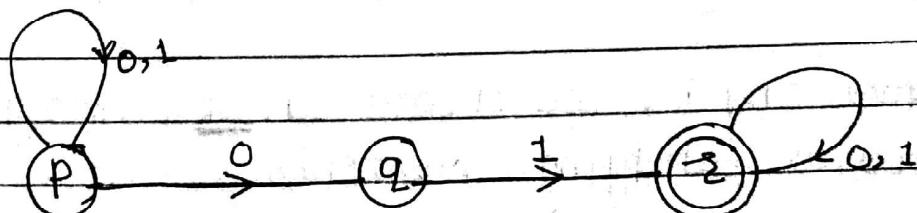
$\delta : Q \times \Sigma \rightarrow P(Q)$  (Power set of  $Q$ )

set of all  $\downarrow$  subsets of  $Q$ .

ex  $P(\{1, 2\}) = \{\emptyset, \{1\}, \{2\}, \{1, 2\}\}$

$\delta(q, a) = R \subseteq Q$ , In NFA from each state on each input symbol, there can be zero, one or more transitions

ex 01.



$$L(M) = \{ x \in \{0, 1\}^* \mid x \text{ contains substring } 01 \}$$

$$\delta(P, 0) = \{P, Q\}$$

$$\delta(P, 1) = \{P\}$$

$$\delta(Z, 0) = \{Z\}$$

$$\delta(Q, 0) = \emptyset$$

$$\delta(Q, 1) = \{Z\}$$

$$\delta(Z, 1) = \{Z\}$$

Language accepted by NFA :- Let consider NFA M then  $L(M)$  is the language accepted by M informally defined as.

$$L(M) = \{x \in \Sigma^* \mid x \text{ can take M from } q_0 \text{ to one of its final states}\}$$

for input  $x$  we are going to find all possible states that NFA can go to and if one of those states is included in final states then we are saying that the string  $x$  is accepted by NFA M.

$$\therefore \delta : Q \times \Sigma^* \rightarrow P(Q)$$

$\delta(p, x) = R \subseteq Q$  then definition of  $\delta$  will insure that  $R$  is the set of all states M can go to on string  $x$  from state  $p$ .

$$\delta(p, \epsilon) \rightarrow \{p\} \quad - \text{base case.}$$

We need to define  $\delta(p, xa)$  using the value for  $\delta(p, x)$

$$\text{Let } \delta(p, x) = R \subseteq Q$$

$$\delta(p, xa) = \bigcup_{r \in R} \delta(r, a)$$

Formally

$$L(M) = \{x \in \Sigma^* \mid \delta(q_0, x) \cap F \neq \emptyset\}$$

\* If  $L$  is accepted by DFA, then  $L$  is accepted also by an NFA.

- Reason: because every DFA is also an NFA.

There is no language which is accepted by NFA and not by DFA.

Conversion of NFA  $M$  to equivalent DFA  $M'$

NFA  $M$  to DFA  $M'$  -

Idea is → the states of  $M'$ , on reading  $x$ , will remember in its states, the set of states NFA  $M$  could have been on reading  $x$ .

Let

$$M = (Q, \Sigma, \delta, q_0, F)$$

$$M' = (Q', \Sigma, \delta', q_0', F')$$

is a set of power set  
of  $P(Q)$

$Q'$  - The set of states of DFA to be the  $P(Q)$

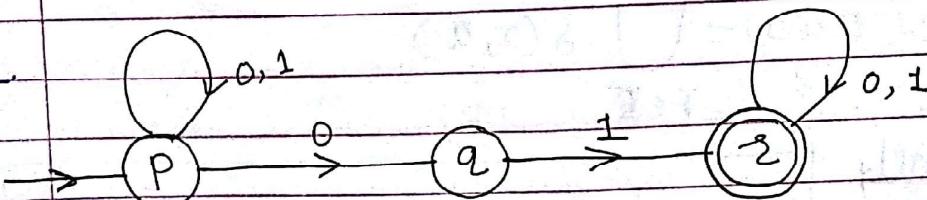
$$\delta'(R, a) = R' \quad R \subseteq Q$$

$$\text{where } R' = \bigcup_{r \in R} \delta(r, a)$$

$$q_0' = \{q_0\}$$

$$F' = \{R \subseteq Q \mid R \cap F \neq \emptyset\}$$

ex 02.



Convert above NFA to equivalent DFA.

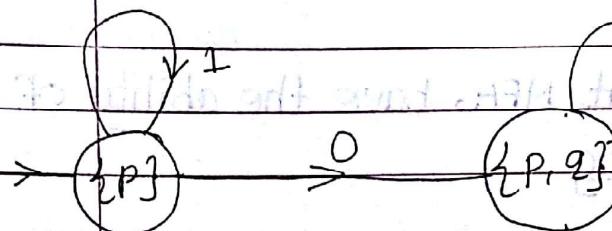
Equivalent DFA  $M'$ :

so from above defn

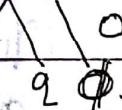
Initial state for DFA is  $p$  & we should always start with initial state.

	0	1
$\rightarrow \{P\}$	$\{P, Q\}$	$\{P\}$
$\{P, Q\}$	$\{P, Q\}$	$\{P, Z\}$
$\{P, T\}$	$\{P, Q, T\}$	$\{P, T\}$
$\{P, Q, T\}$	$\{P, Q, Z\}$	$\{P, T\}$

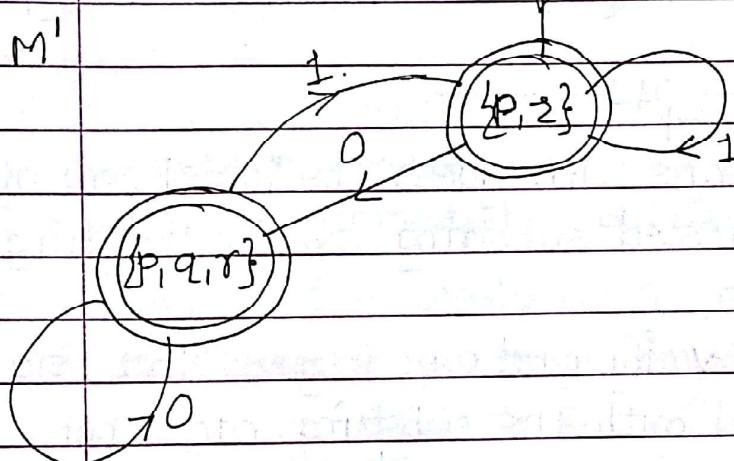
- so we found p another state which is reachable from p. so now consider that state  $q$



DFA is in  $\{P, Q\}$



$$\text{Union} = \{P, Q\}$$



$\{P, Q\}$

1

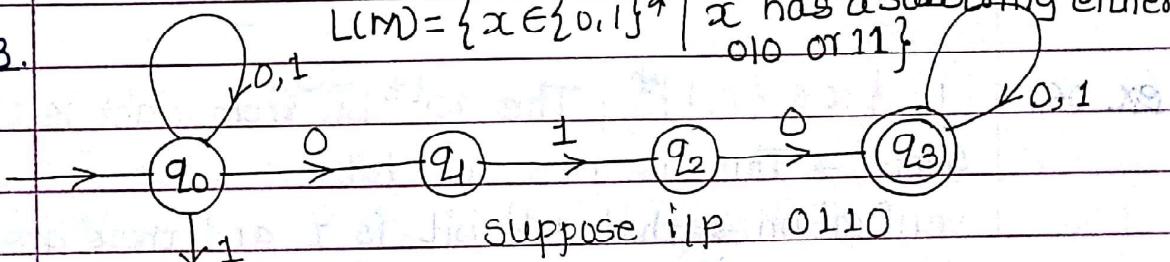
P

1

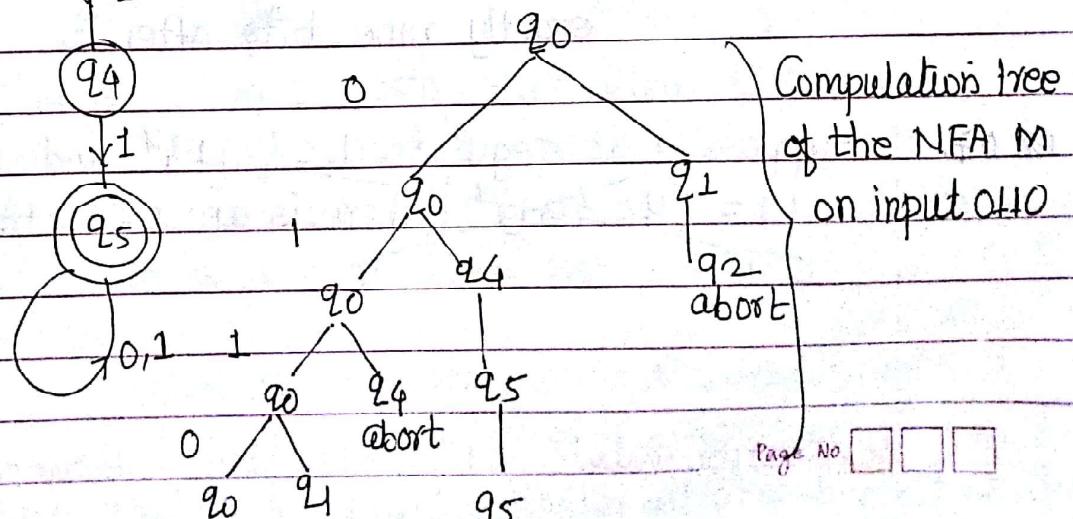
Q

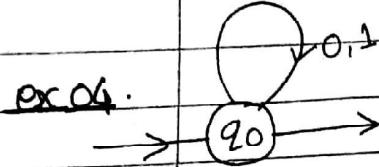
ex 03.

$L(M) = \{x \in \{0, 1\}^* \mid x \text{ has a substring either } 010 \text{ or } 11\}$



suppose if p = 0110





ex 04.  $L(M) = \{x \in \{0,1\}^* \mid \text{The forth bit from the right end is } 1\}$

You may consider that NFA have the ability of guessing and checking

ex 05.  $L = \{x \in \{0,1\}^* \mid x \text{ has } 010 \text{ as substring}\}$

                      010  
                     ↑

Guessing - means NFA guess the initial zero of interested substring come in the string.

Checking → It verify whatever is guess that zero end with the substring 010 or not.

Where is the first zero of <sup>sub</sup>string 010

ex 06.  $L = \{x \in \{0,1\}^* \mid \text{The } 10^{\text{th}} \text{ bit from right is } 1\}$

Guess → This is the 10<sup>th</sup> bit.

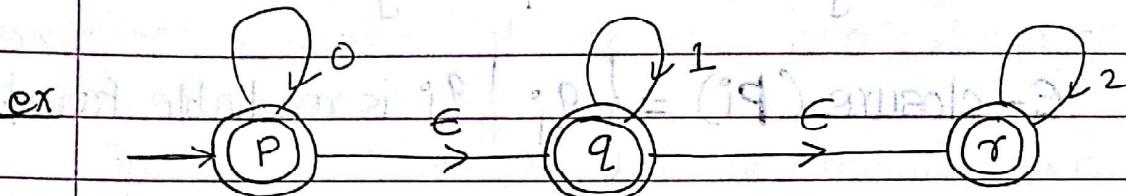
Verification → that 10<sup>th</sup> bit is 1 and there are exactly nine bits after it.

ex 07. Suppose L is regular,  $L \subset \{0,1\}^*$  and

~~$L_1 = \{y \in \{0,1\}^* \mid \text{There is an } x \in L \text{ from which is}$~~

## NFA with $\epsilon$ -transition :-

- It is a generalization of NFA.
- It allows transition not only on symbols but also on  $\epsilon$  (Epsilon).



consider initially system is in  $P$ . now if  $p$  is 2 came.  
now m/c have chooses to not use that input  
symbol  $\Rightarrow$  immediately, but it can take transition  
over  $\epsilon$   $\Rightarrow$  it would be  $q$ , again from that  $q$  it  
take another  $\epsilon$  to go to state  $r$

$$\therefore \epsilon\epsilon 2 \Rightarrow 2$$

$$1|p=2$$

$$P \quad r$$

$$\epsilon\epsilon 2$$

suppose i have a string

$$a_1 \quad a_2 \quad \dots \quad a_n \quad \&$$

I have a m/c NFA that allow  $\epsilon$  transition  
above string is equal to padding n number of  
 $\epsilon$  before the first symbol of string or in between  
two symbol or after the last symbol.

$$\therefore \epsilon\epsilon a_1 \epsilon\epsilon a_2 \dots \epsilon\epsilon a_n \epsilon\epsilon \epsilon$$

so when i want to find the states through which  
SIAF can go I should consider all possible  $\epsilon$  transitions

001112 ∈ L

211 ∉ L

$$L(M) = \{ 0^i 1^j 2^k \mid i, j, k \geq 0 \}$$

A language L is accepted by an NFA with ε-transition if and only if L is accepted by a DFA.

ε-closure ( $p_i$ ) = {  $q_j \mid q_j$  is reachable from  $p_i$  using 0 or more ε transitions }

$$\epsilon\text{-closure}(p) = \{ p, q, r \}$$

We can reach to P with zero ε- or are there only.

$$\epsilon\text{-closure}(q) = \{ q, \epsilon \}$$

$$\epsilon\text{-closure}(r) = \{ r \}$$

Suppose M is NFA with ε transition.

$$M = (Q, \Sigma, \delta, q_0, F)$$

~~$\delta: Q \times \Sigma \cup \{\epsilon\} \rightarrow P(Q)$~~

$$\hat{\delta}: Q \times \Sigma^* \rightarrow P(Q)$$

$\hat{\delta}(p, x) =$  The set of all states M can be in starting from p on input x }

$$\hat{\delta}(p, \epsilon) = \epsilon\text{-closure}(p) = \{ p, q, r \}$$

Subset of Q.

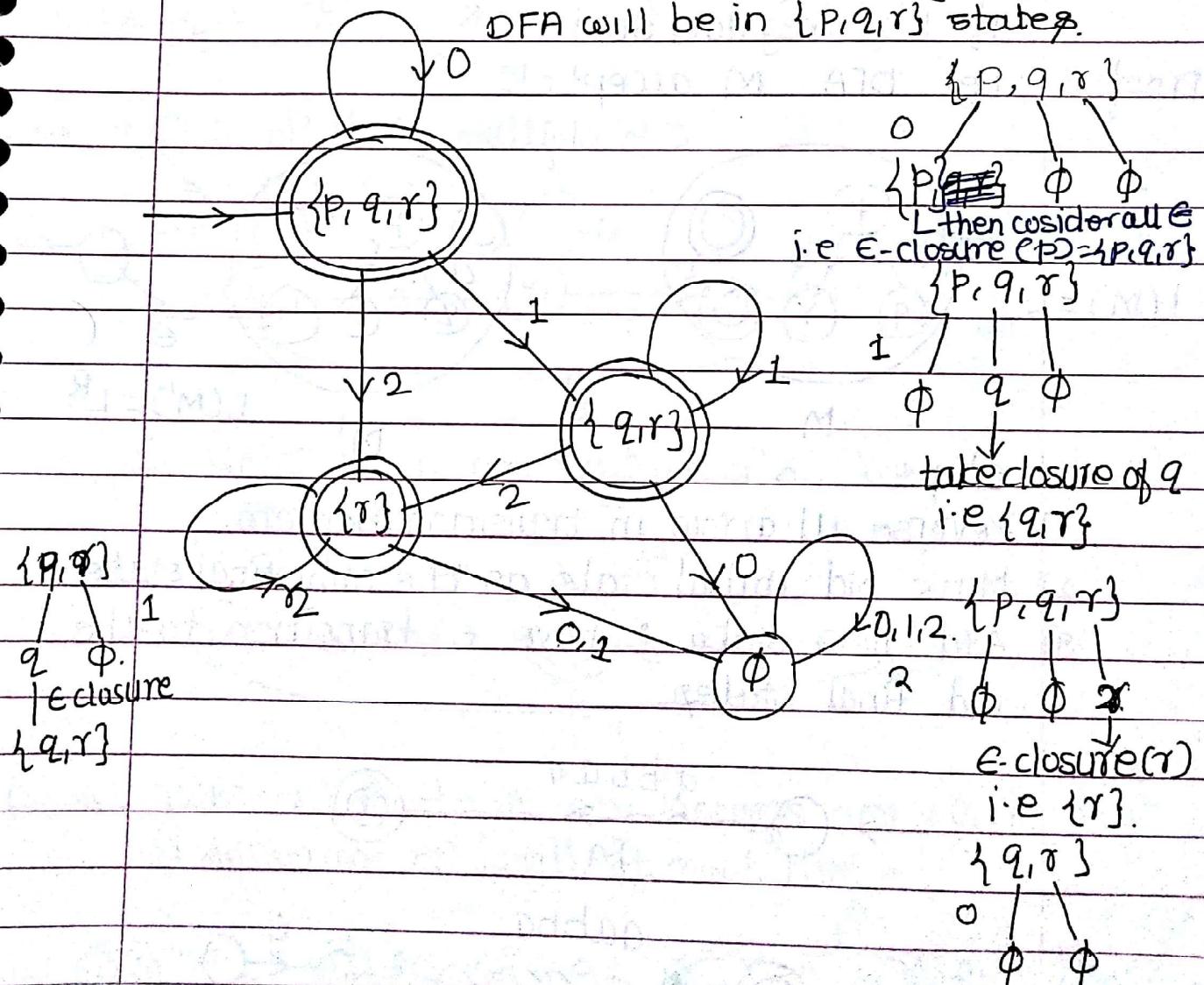
$\epsilon$ -closure( $P$ ),  $P \subseteq Q$ .

$$= \bigcup_{q_i \in P} \epsilon\text{-closure}(q_i)$$

Conversion of NFA with  $\epsilon$  to equivalent DFA :-

In general DFA is having states that are subset of states of (NFA with  $\epsilon$ ) M.

There are three states so initially DFA can be in either  $P$ ,  $q$  or  $r$ . (without seeing any 1/p).  
DFA will be in  $\{P, q, r\}$  states.



Reversal of the string :-

Suppose given string is  $x$  then reversal of  $x$  is denoted as  $x^R$

$$x = 011 \text{ then } x^R = 110$$

Reversal of Language -

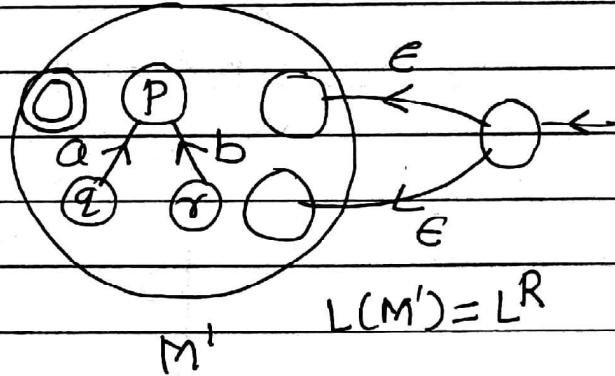
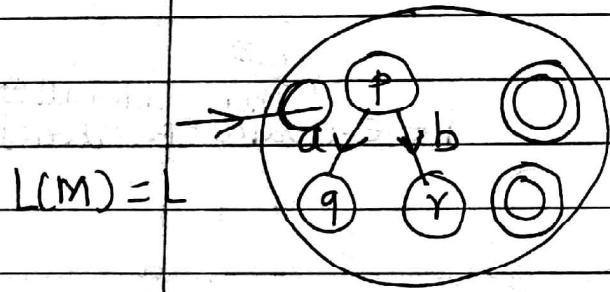
$L$  is language,  $L \subset \Sigma^*$  then

$L^R$  is reversal of  $L$

$$L^R = \{ x \in \Sigma^* \mid x^R \in L \}$$

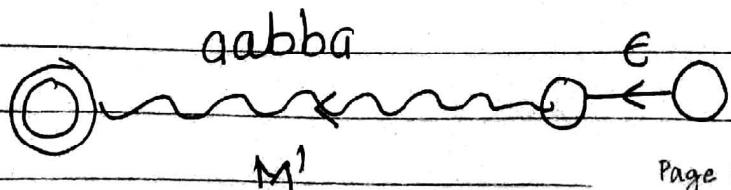
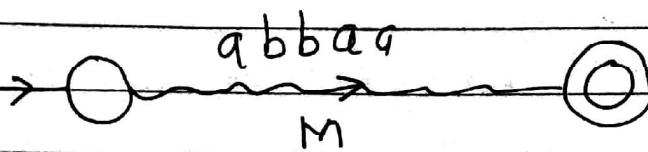
If  $L$  is regular, so is  $L^R$

Proof - Let DFA  $M$  accept  $L$



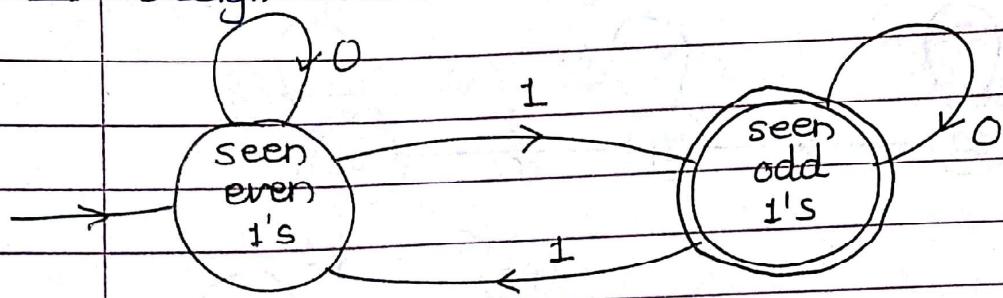
steps

- 1] Reverse all arrow in transition diagram.
- 2] Mark old initial state as the only final state
- 3] Add new state  $f$  have  $\epsilon$  transition to the old final states.

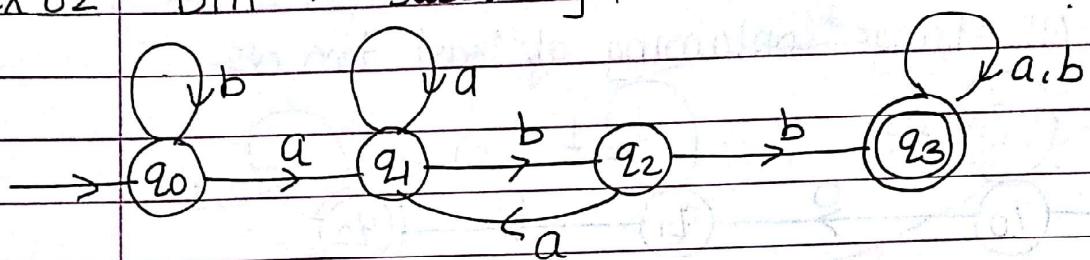


## Examples

ex 01 Design DFA for  $L = \{x \in \{0,1\}^* \mid x \text{ has odd no. of } 1's\}$

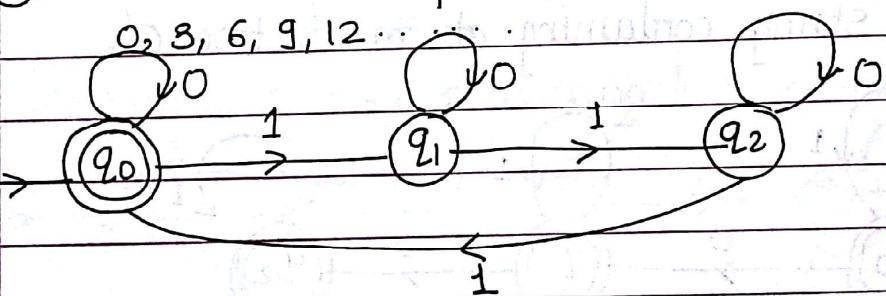


ex 02 DFA  $\rightarrow$  substring abb.

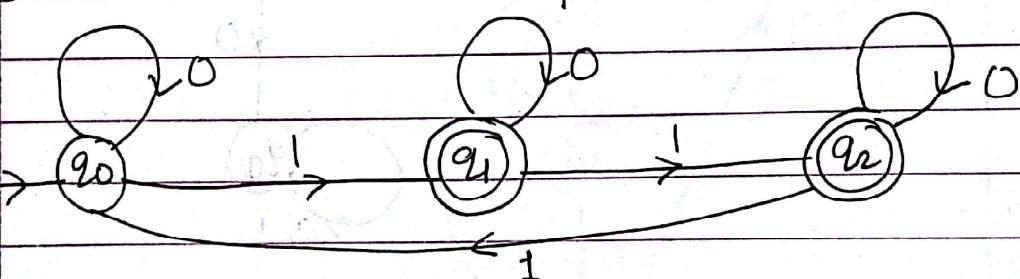


ex 03 (a) No of 1's is multiple of 3.

0, 3, 6, 9, 12...

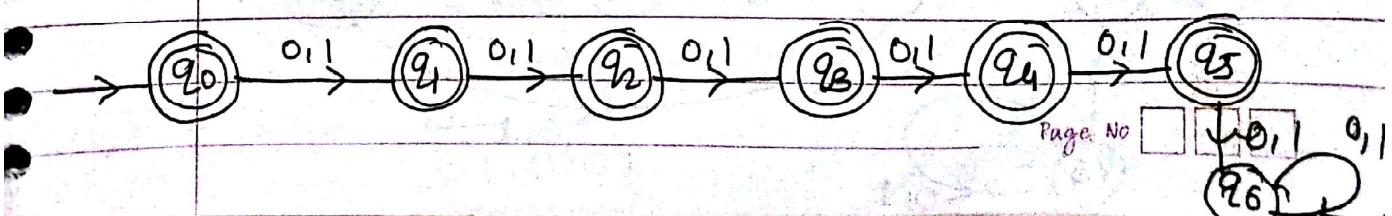


(b) No of 1's is not multiple of 3. (complements)

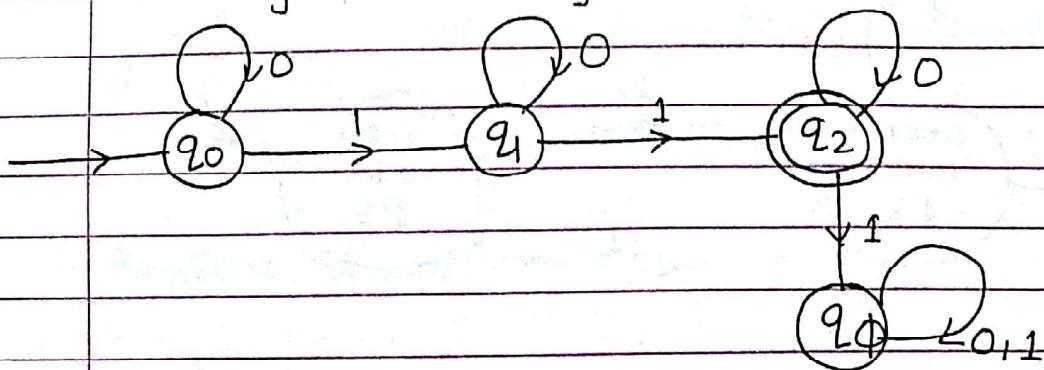


ex 04 draw DFA for the following language over  $\{0,1\}$ :

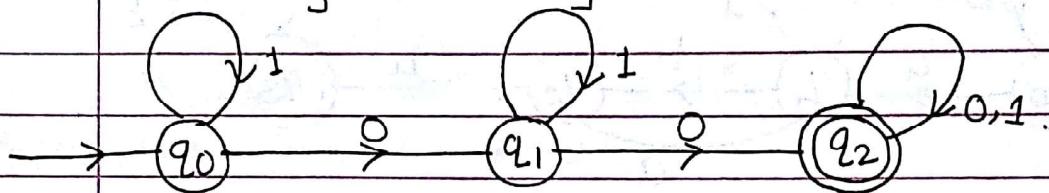
i) All strings of length at most five.



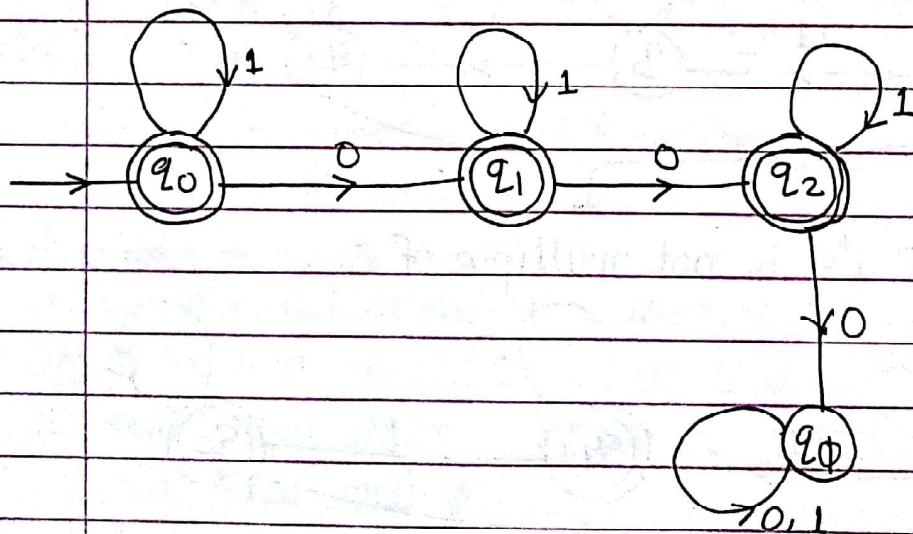
iii) All strings with exactly two 1's.



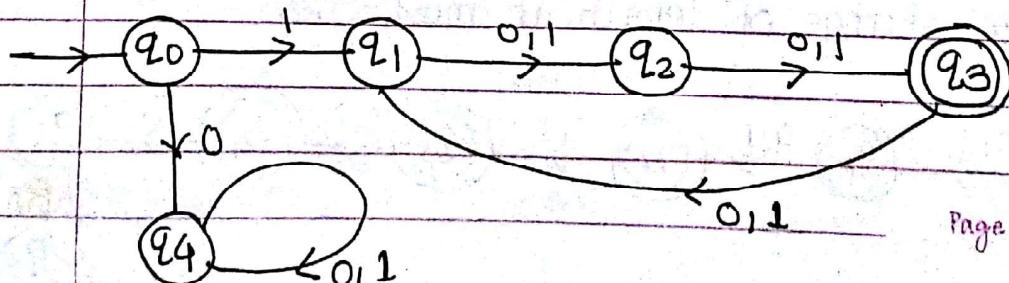
iii) All strings containing at least two 0's.



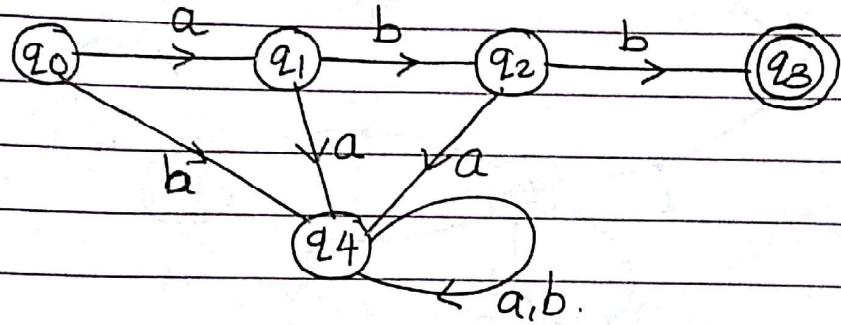
iv) All string containing at most two 0's



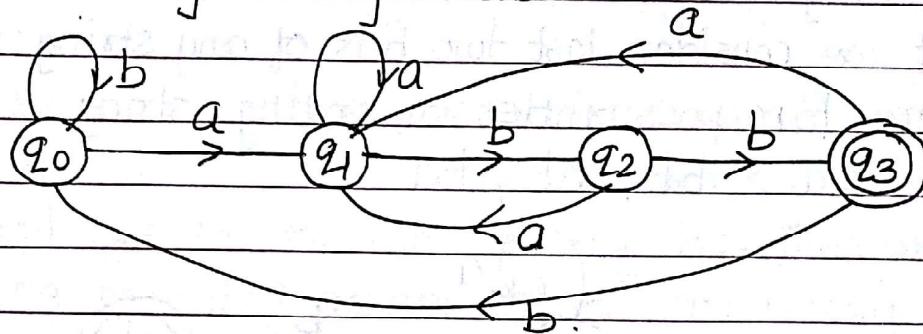
v) All strings starting with 1 and length is divisible by 3



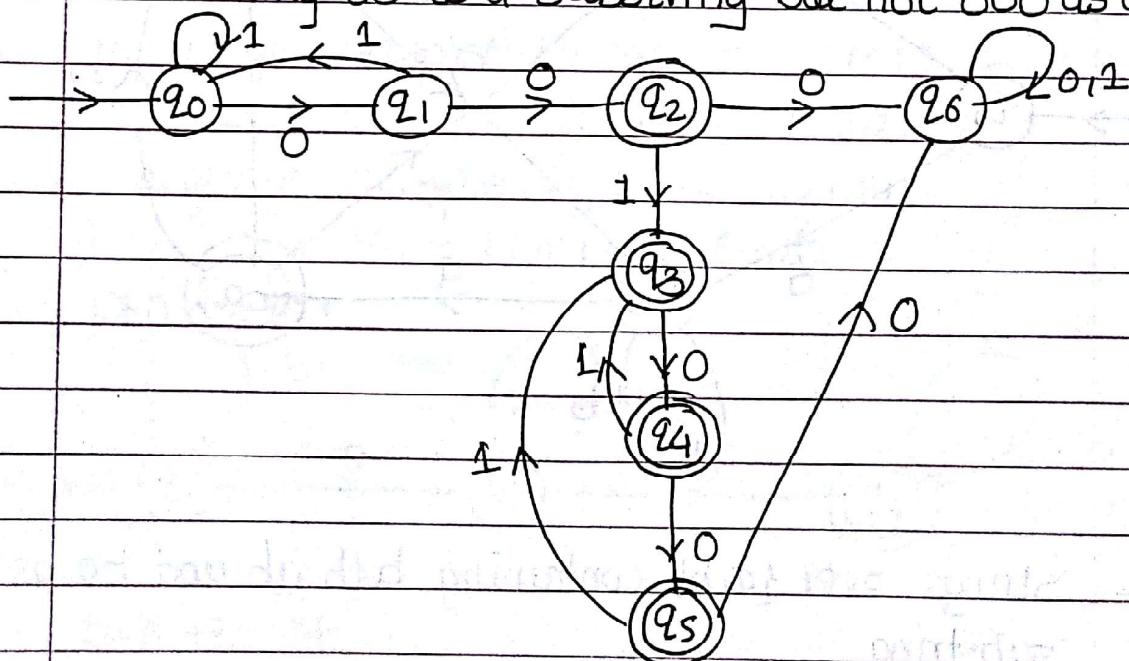
vii] All strings starting with abb.



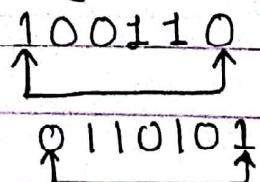
viii] All strings ending in abb.

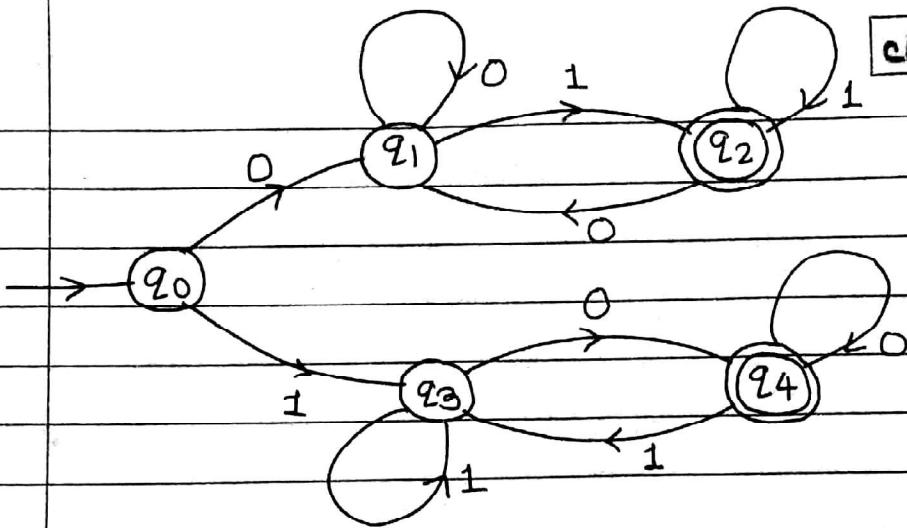


viii] containing oo as a substring but not ooo as a substrin



ex 05. L containing string in  $\omega$  which leftmost symbol differ from rightmost symbol, ( $\Sigma = \{0,1\}$ )

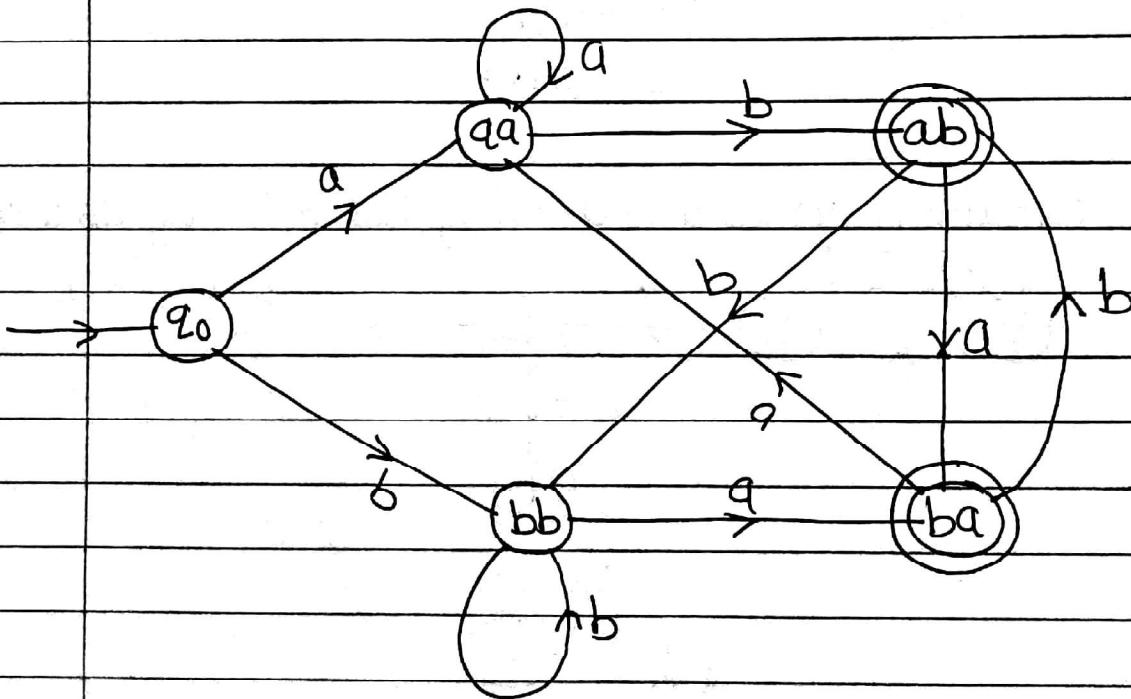




ex 06 string over  $\{a, b\}$  ending in either ab or ba.

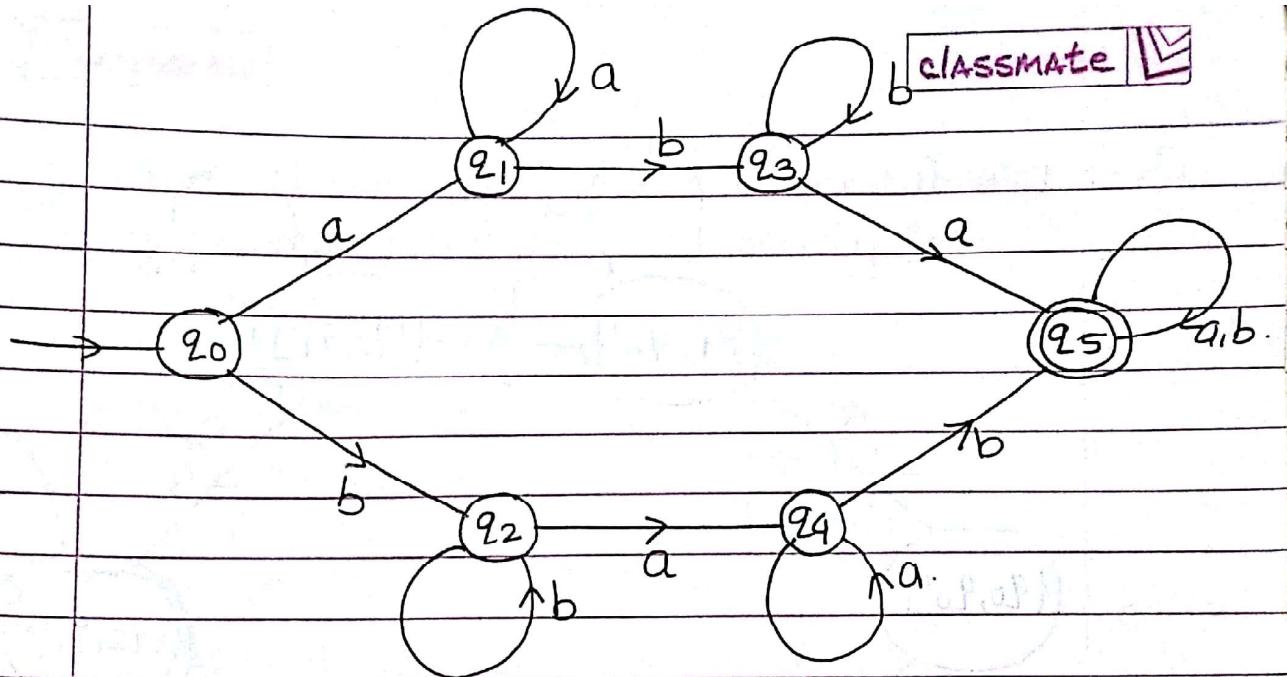
If we consider last two bits of any string; then there are four possibilities of ending string.

aa, bb, ab, ba.



ex 07 - strings over  $\{a, b\}$  containing both ab and ba as a substring.

i.e aba or bab



second way to solve above problem -

We can construct DFA  $M_1$  for the substring  $ab$ . and DFA  $M_2$  for the substring  $ba$ . Then we can construct DFA  $M$  which accept ~~string~~ containing both  $ba$  &  $ab$  as a substring.

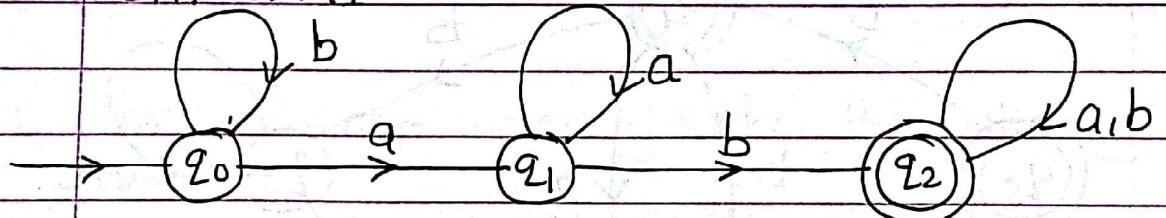
Language accepted by  $M_1$  is  $L(M_1)$  ↗

Language accepted by  $M_2$  is  $L(M_2)$  ↗

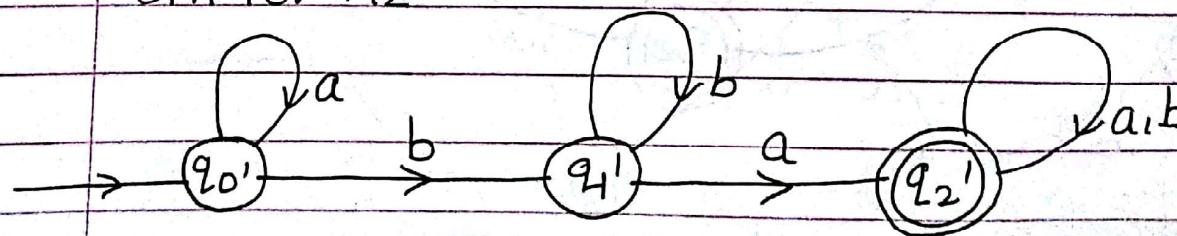
Language accepted by  $M$  is  $L(M)$

$$L(M) = L(M_1) \cap L(M_2)$$

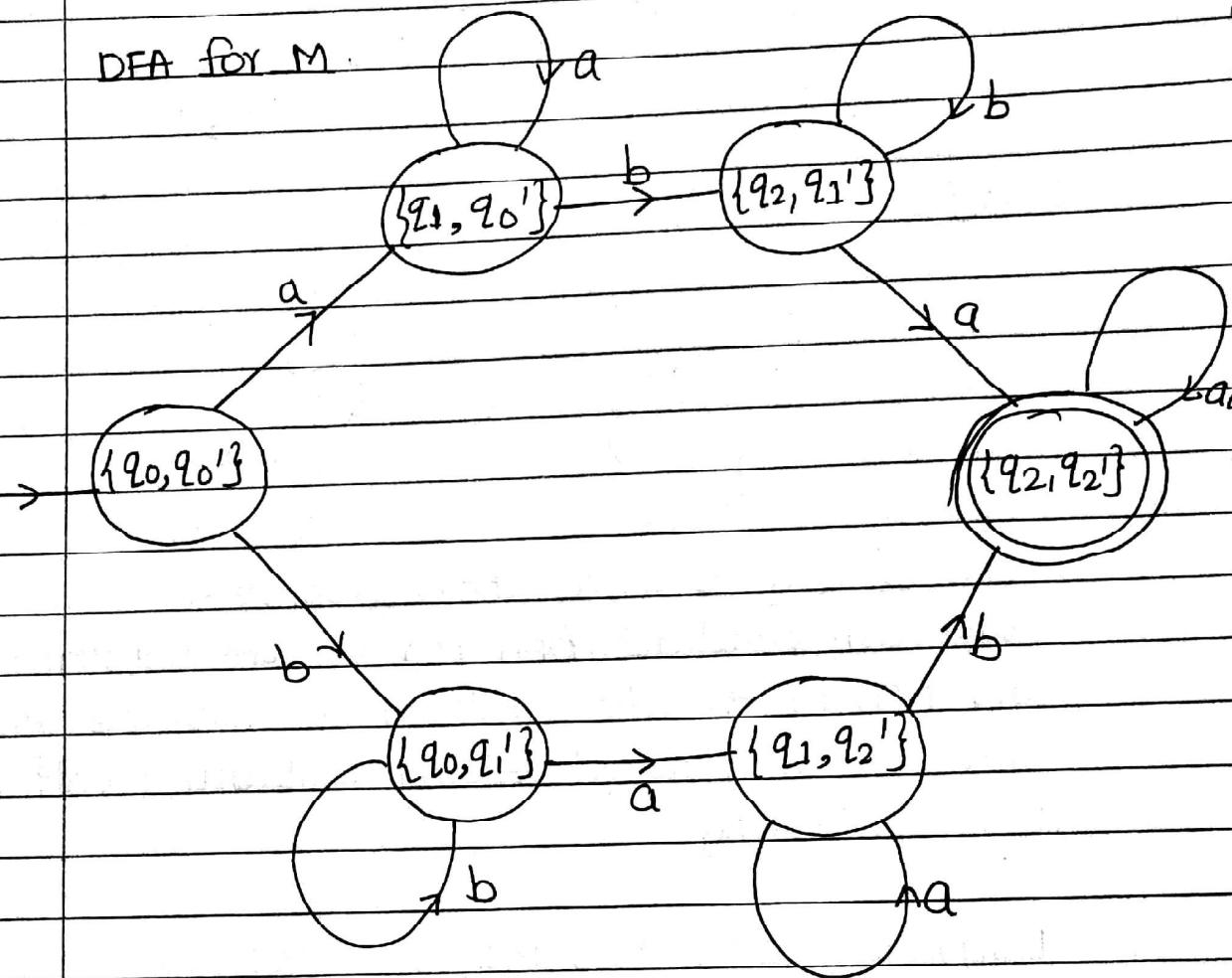
DFA for  $M_1$



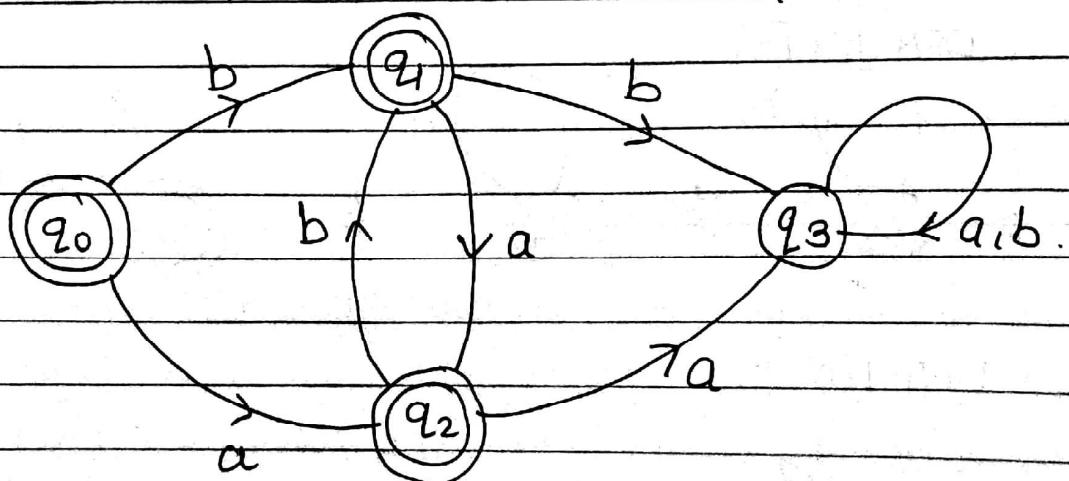
DFA for  $M_2$



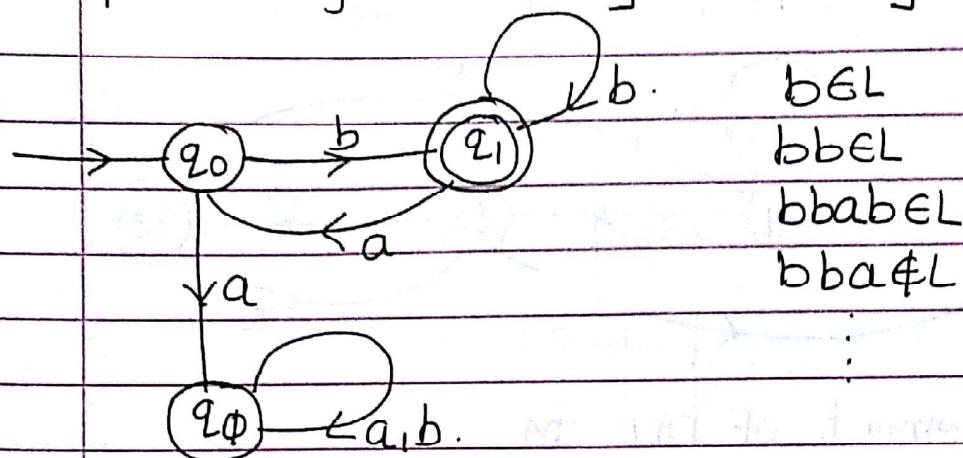
DFA for M



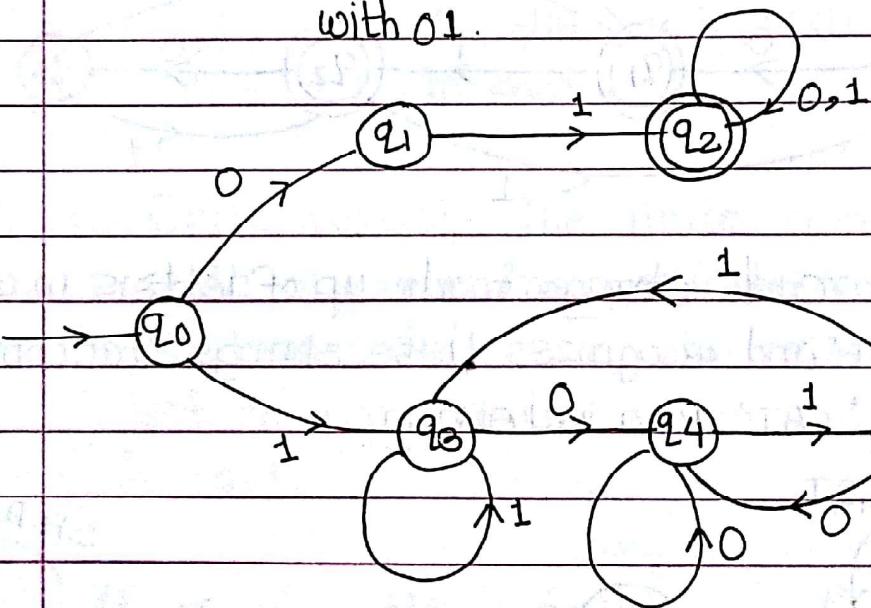
ex 08.  $\Sigma = \{a, b\}$  containing neither aa nor bb as a substring  
 $a \in L$ ,  $b \in L$ ,  $\epsilon \in L$ ,  $ab \in L$ ,  $abab \in L$ ,  $babab \notin L$



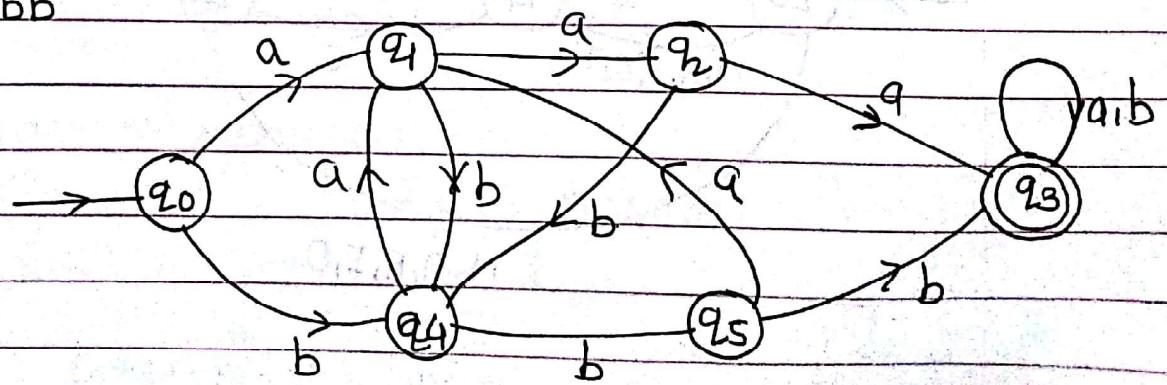
ex 09  $\Sigma = \{0, 1\}$  such that each 'a' in  $x$  is immediately preceded & immediately followed by 'b'



ex 10.  $\Sigma = \{0, 1\}$  - such that strings either begin or end (or both) with 01.

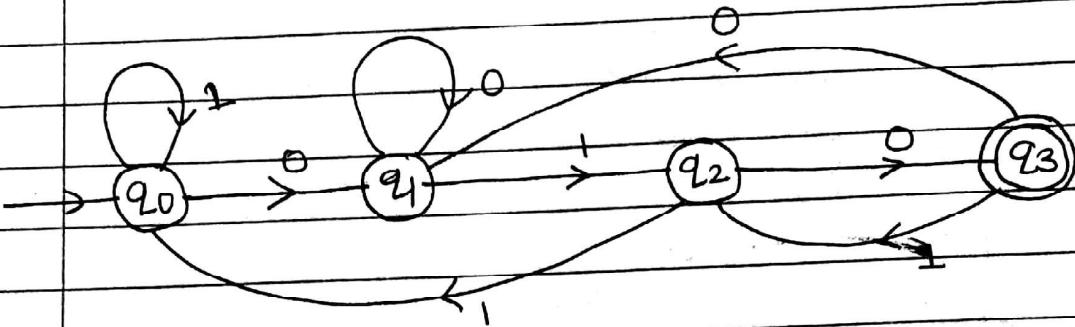


ex 11. sets of strings containing either the substring 'aaa' or 'bbb'

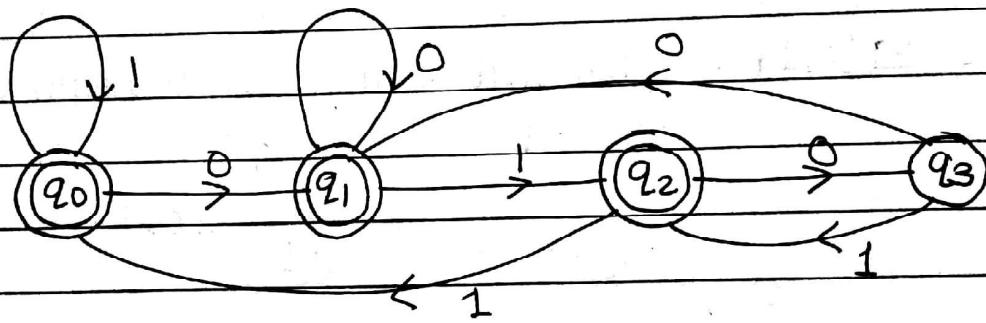


ex 12.  $\Sigma = \{0,1\}$  not ending in 010

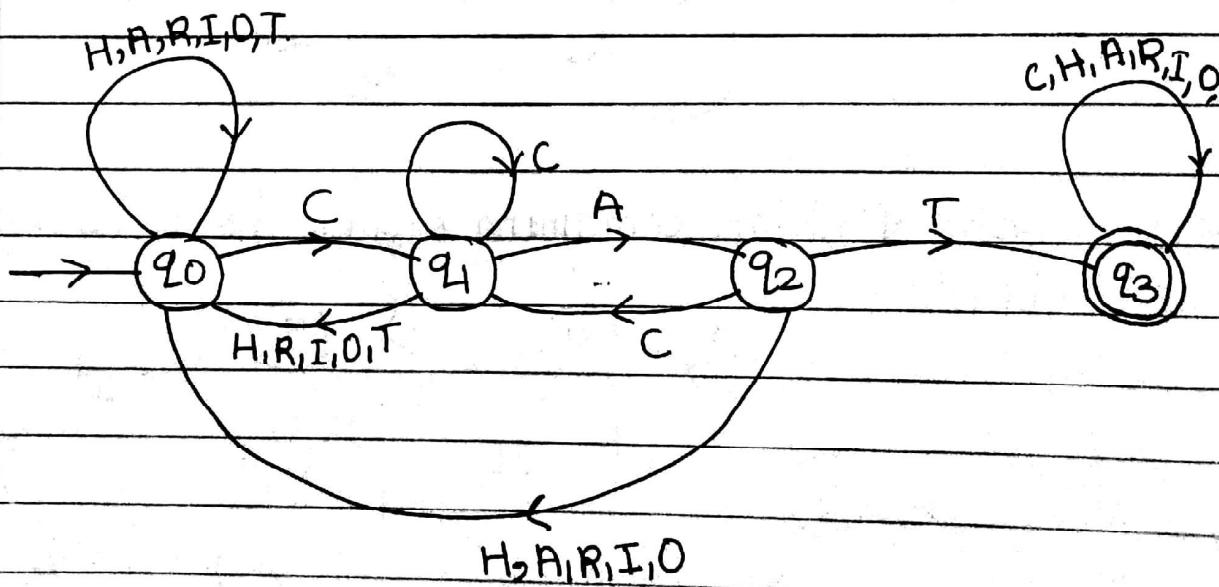
→ DFA M for accepting strings ending in 010.



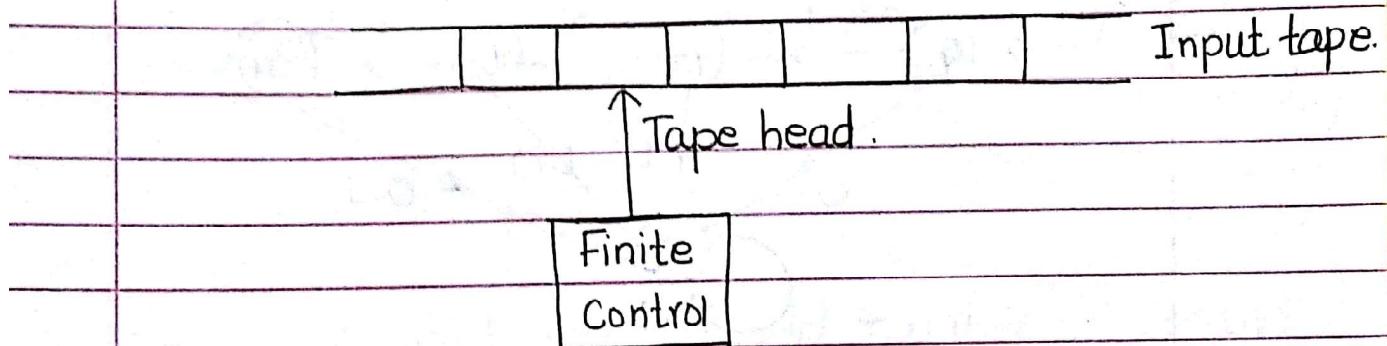
Complement of DFA M.



ex 13. DFA that reads strings made up of letters in word 'CHARIOT' and recognizes these strings that contain the word 'CAT' as a substring.



Finite Automata Model: The finite automata can be represented as:



The finite automata can be represented using

i) Input tape - It is a linear tape having some number of cells. Each input symbol is placed in each cell.

ii) Finite control - The Finite control decides the next state on receiving particular input from input tape.

The tape reader reads the cells one by one from left to right and at a time only one input symbol is read.

If  $\alpha$  is a string and  $M$  is a finite automata, then  $\alpha$  is accepted by the FA.

$$\text{iff } \delta(q_0, \alpha) = q \in F$$

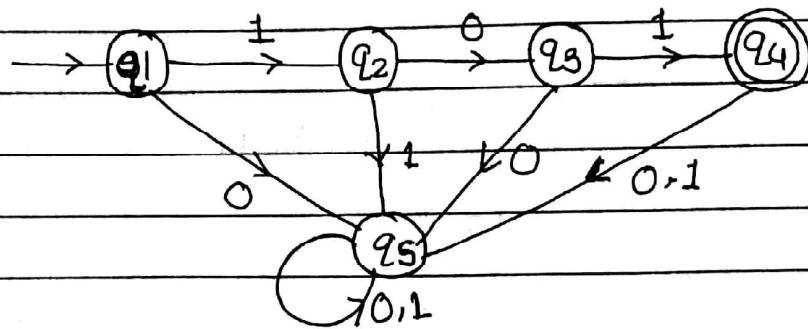
## Types of Automata

### Finite Automata

Deterministic Finite Automata (DFA)

Non-deterministic  
Page No. 10  
Automata (NFA)

ex 14 Design a FA which accepts the only input 101 over the input set  $\Sigma = \{0, 1\}$



ex 15 Design a FA which checks whether the given binary number is even

→ The binary number is made up of 0's and 1's when any binary number ends with 0 it is always even and when a binary number ends with 1 it is always odd.

ex

0000 → 0 → even

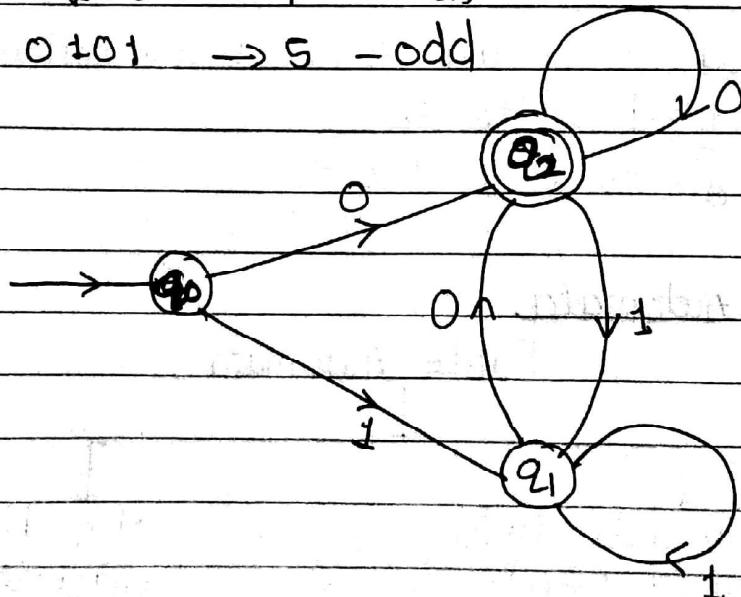
0001 → 1 → odd

0010 → 2 - even

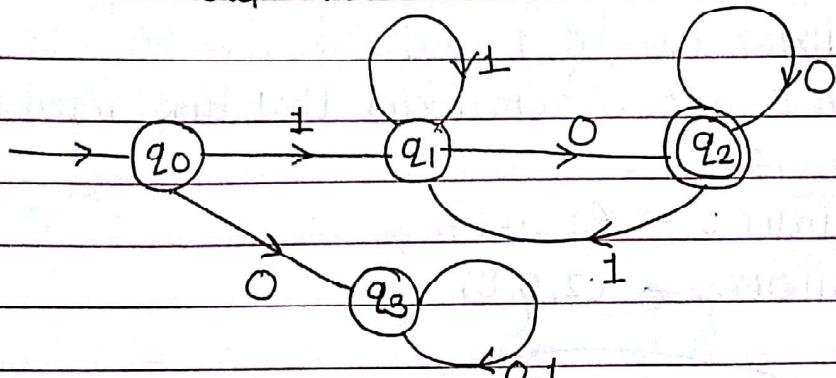
0011 → 3 - odd

0100 → 4 - even

0101 → 5 - odd

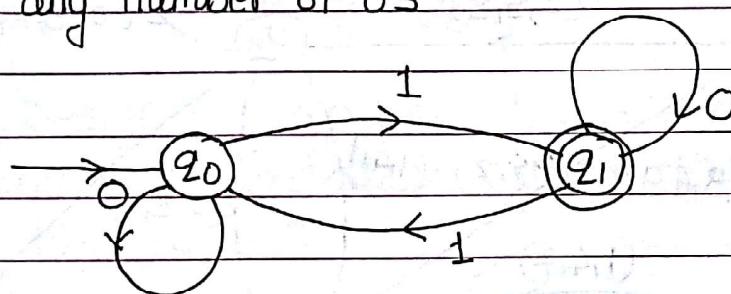


ex 16 Design FA which accepts only those strings which start with 1 and ends with 0.



ex 17

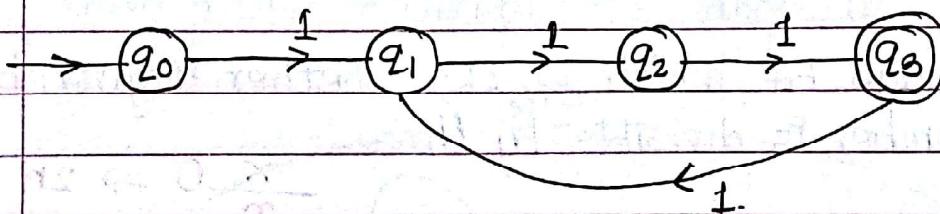
Design FA which accepts odd number of 1's and any number of 0's



ex 18.

Design FA which checks whether the given unary number is divisible by 3.

The unary number is made up of ones. The number 3 can be written in unary form as 111.



ex 19

Design FA to check whether given decimal number is divisible by three.

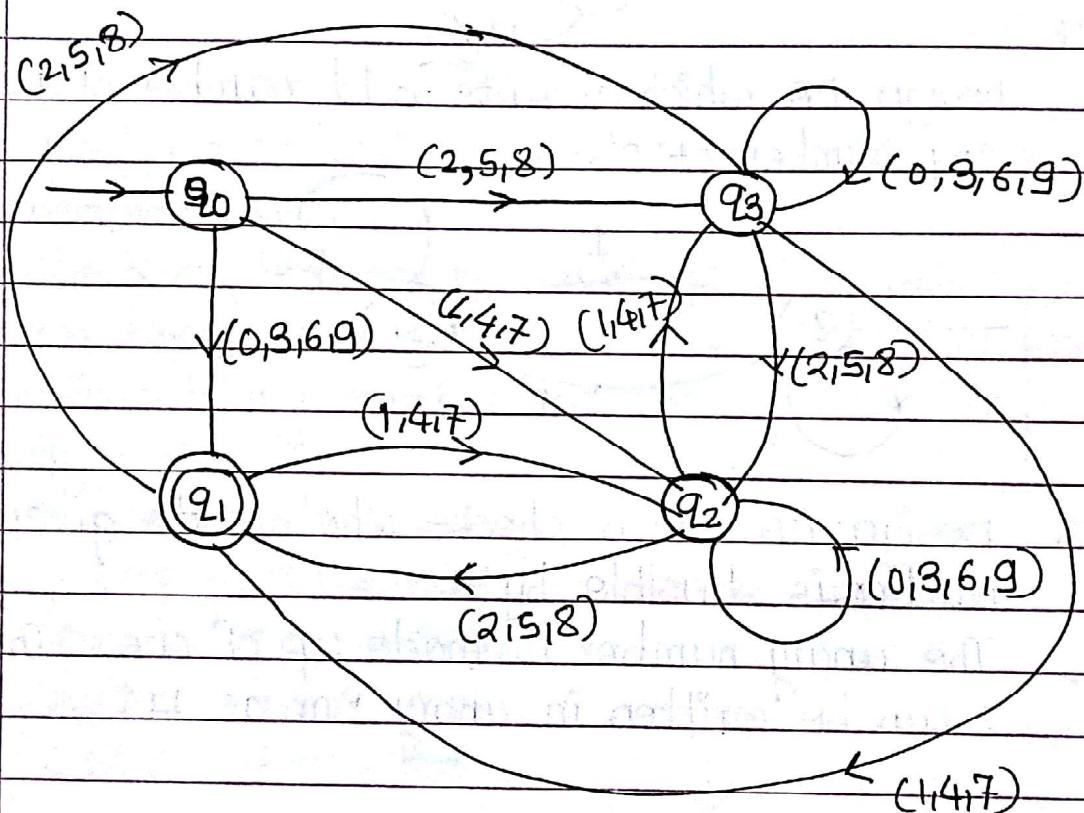
$$\rightarrow \sum \{0, 1, 2, \dots, 9\}$$

Number divisible by three has three chances of remainder i.e. 0, 1 and 2.

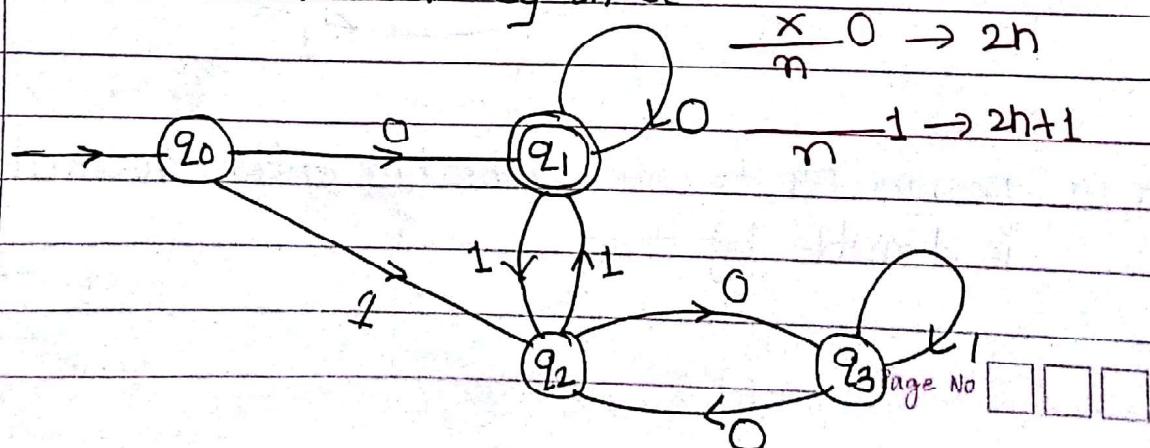
When I get a remainder that time input will be  
 $\{0, 3, 6, 9\}$

remainder 0  $\rightarrow \{1, 4, 7\}$

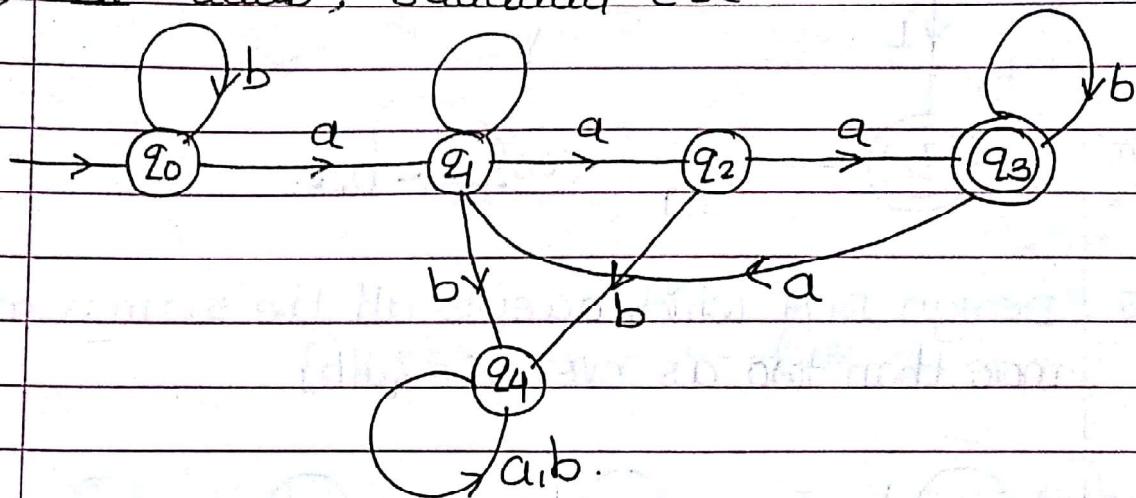
remainder 1  $\rightarrow \{2, 5, 8\}$



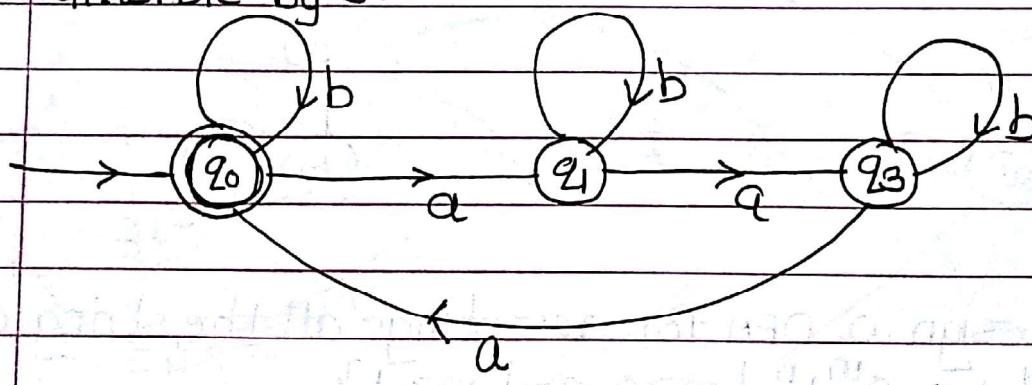
Ex 20 Design FA which checks whether a given binary number is divisible by three.



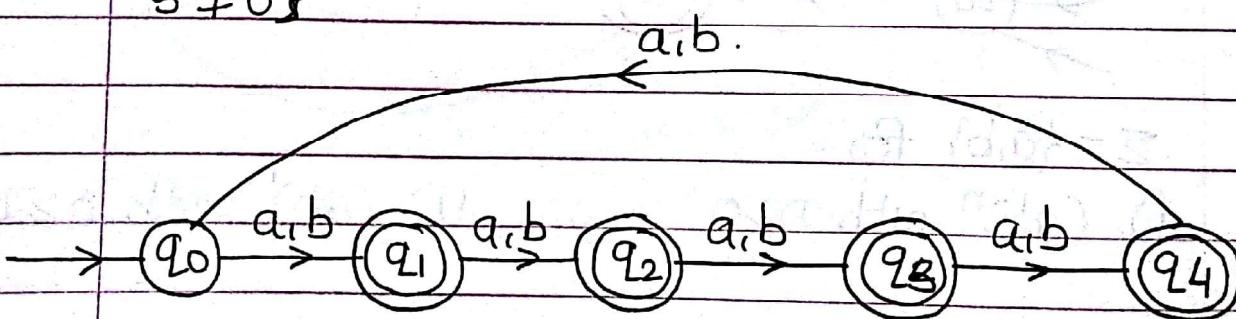
ex 21. Design FA to accept L, where  $L = \{ \text{string in which } a \text{ always appears triplet} \}$  over the set  $\{0, 1\}$   
 ex aaab, baaaqaq etc.



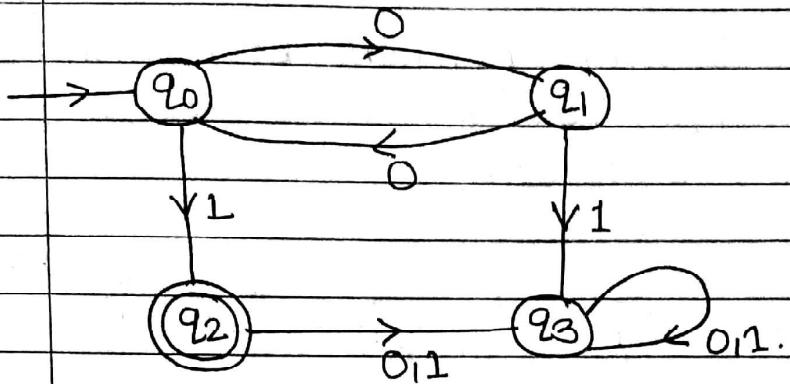
ex 22. Design FA to accept L where all the strings in L are such that total number of a's in them are divisible by 3.



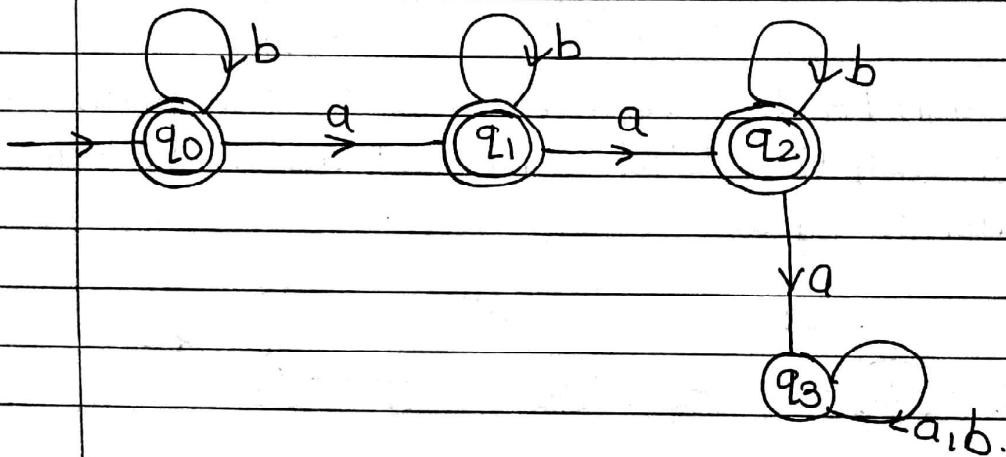
ex 23 Write a DFA to accept the language  $L = \{ L : |w| \bmod 5 \neq 0 \}$



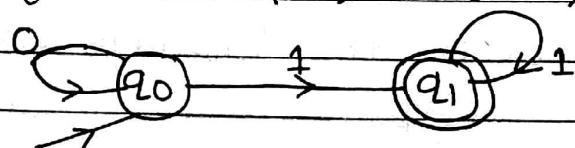
ex 24 - design a DFA which accepts strings with even number of 0's followed by single 1 over  $\Sigma = \{0, 1\}$



Ex 25. Design DFA which accepts all the strings not having more than two a's over  $\Sigma = \{a,b\}$ .



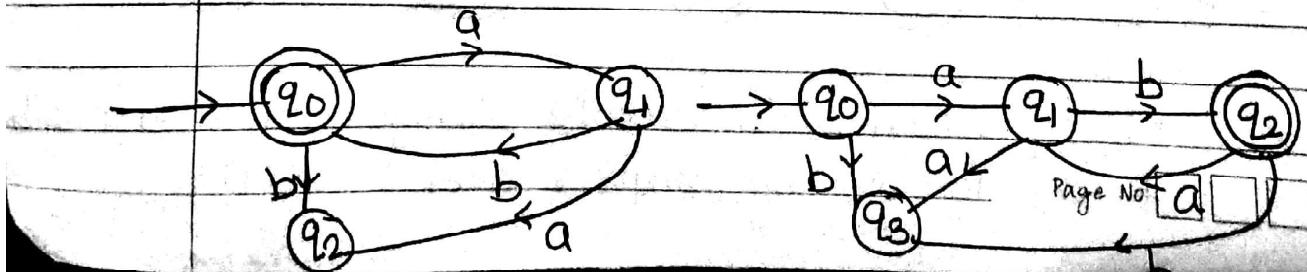
Ex 26. Design a DFA for accepting all the string of  $\{L = 0^m 1^n \mid m \geq 0 \text{ and } n \geq 1\}$



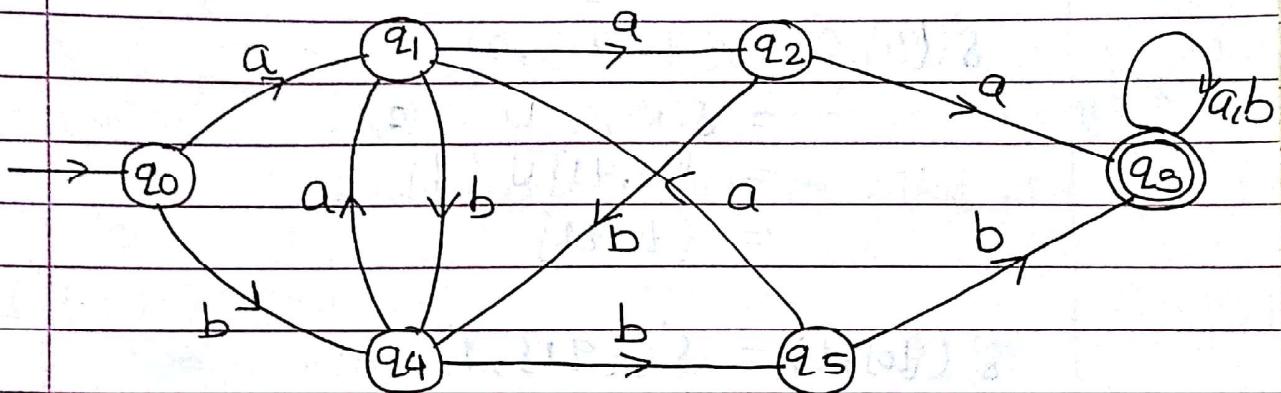
Ex 27.  $\Sigma = \{a,b\}$  for.

i)  $(ab)^n$  with  $n \geq 0$

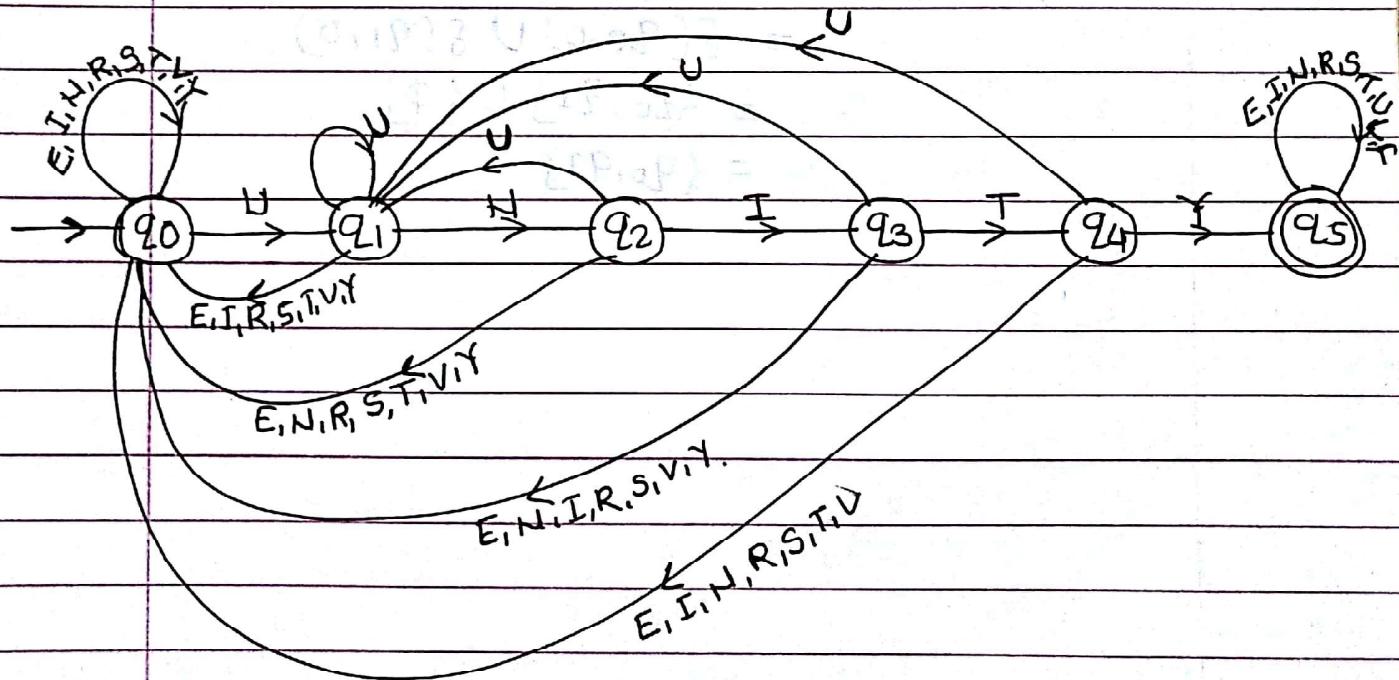
ii)  $(ab)^n$  with  $n \geq 1$



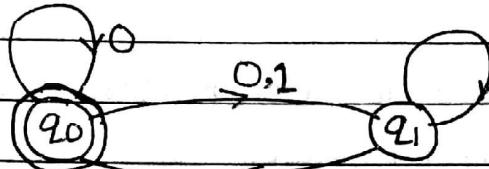
ex 28. construct a DFA for set of strings containing either the substring 'aaa' or 'bbb'



ex 29  $\Sigma = \{U, N, I, V, E, R, S, T, Y\}$  - x contain substring UNITY.



ex.30.



1 convert NFA to  
equivalent DFA.

$$\begin{aligned}
 \delta'(\{q_0\}, 0) &= \delta(\{q_0, q_1\}, 0) \\
 &= \delta(q_0, 0) \cup \delta(q_1, 0) \\
 &= \{q_0, q_1\} \cup \{\emptyset\} \\
 &= \{q_0, q_1\}.
 \end{aligned}$$

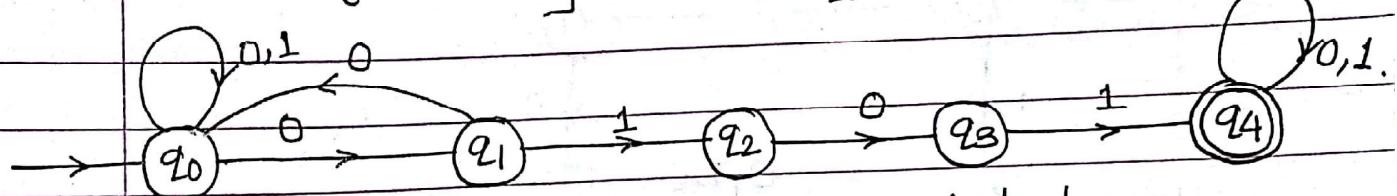
$$\begin{aligned}
 \delta'(\{q_0\}, 1) &= \delta'(\{q_1\}, 1) \\
 &= \delta(q_1, 1) \\
 &= q_1.
 \end{aligned}$$

$$\begin{aligned}
 \delta'(\{q_0, q_1\}, 0) &= \delta'(\{q_0, q_1\}, 0) \\
 &= \delta(q_0, 0) \cup \delta(q_1, 0) \\
 &= \{q_0, q_1\} \cup \{\emptyset\} \\
 &= \{q_0, q_1\}
 \end{aligned}$$



ex 31. construct a NFA for the language.

$L_1 = \{ \text{consisting a substring } 0101 \}$ .

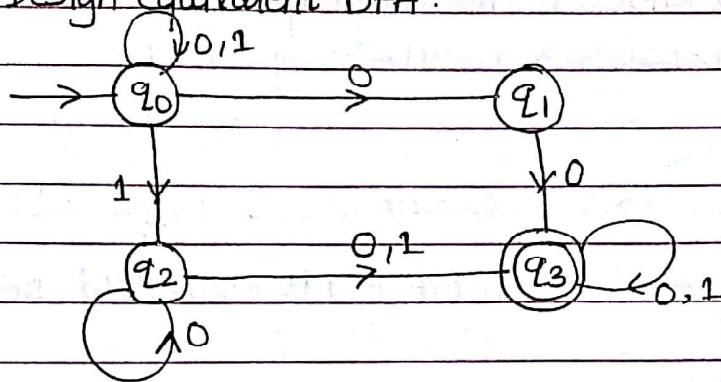


& also convert it into equivalent DFA.

	0	1
$\{q_0\}$	$\{q_0, q_1\}$	$\{q_0\}$
$\{q_0, q_1\}$	$\{q_0, q_1, q_2\}$	$\{q_0, q_2\}$
$\{q_0, q_2\}$	$\{q_0, q_1, q_3\}$	$\{q_0\}$
$\{q_0, q_1, q_3\}$	$\{q_0, q_1\}$	$\{q_0, q_2, q_4\}$
$\{q_0, q_2, q_4\}$	$\{q_0, q_1, q_3, q_4\}$	$\{q_0, q_4\}$
$\{q_0, q_1, q_3, q_4\}$	$\{q_0, q_1, q_4\}$	$\{q_0, q_2, q_4\}$

ex 82.

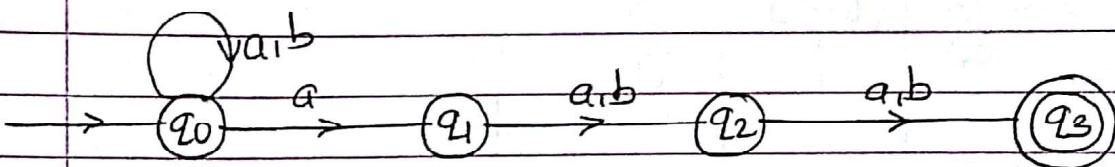
Design equivalent DFA.



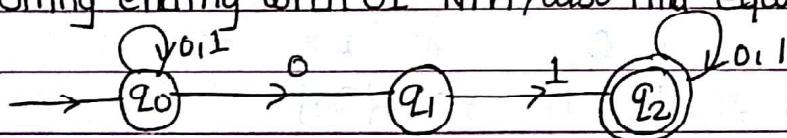
Convert NFA to equivalent DFA

- 1] Start with the initial state of NFA, find transition of initial state on  $\Sigma$ .
- 2] We got two new output state in step 1, if that state is not repeated, consider it as a state of DFA & continue to find transition over  $\Sigma$ .
- 3] so for all new state follow step 2.

ex 33. construct a NFA for a language L which accept all the strings in which the third symbol from right end is always a, over  $\Sigma = \{a, b\}$ . also find equivalent DFA.



ex 34. string ending with 01 NFA, also find equivalent DFA.



ex 35. convert given NFA to equivalent DFA.

$Q$	$Q$	1	
$\rightarrow q_0$	$\{q_0, q_1\}$	$\{q_1\}$	
$(q_1)$	$\emptyset$	$\{q_0, q_1\}$	

	0	1	
$\rightarrow q_0$	$\{q_0, q_1\}$	$\{q_1\}$	
$(q_1)$	$\emptyset$	$\{q_0, q_1\}$	
$\{q_0, q_1\}$	$\{q_0, q_1\}$	$\{q_0, q_1\}$	

Transition table for equivalent DFA.

ex 36.

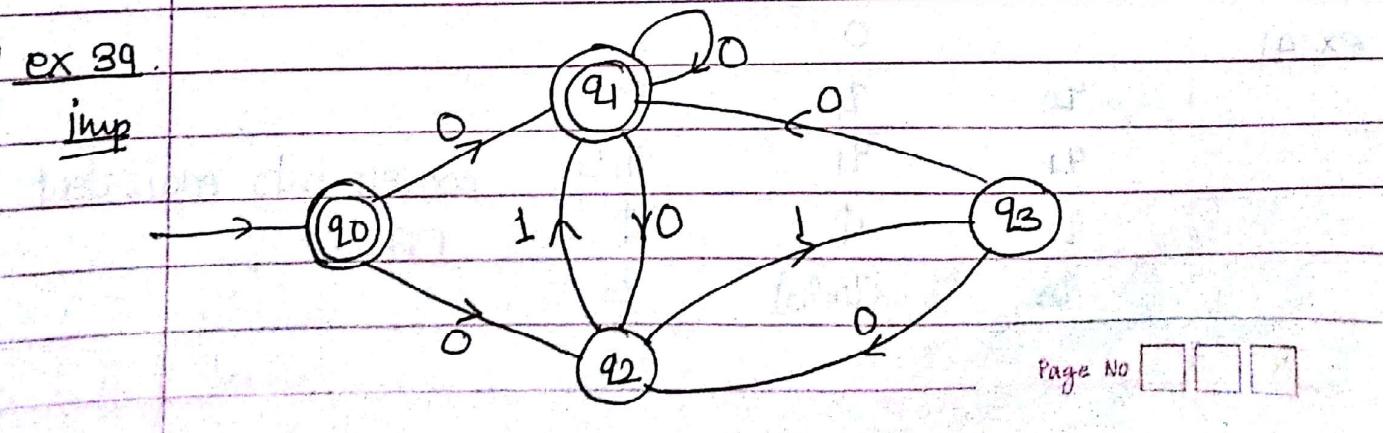
	0	1	
$\rightarrow q_0$	$\{q_0, q_1\}$	$\{q_0\}$	
$q_1$	$\{q_2\}$	$\{q_1\}$	
$q_2$	$\{q_3\}$	$\{q_3\}$	
$(q_3)$	$\emptyset$	$\{q_2\}$	
	0	1	
$\rightarrow \{q_0\}$	$\{q_0, q_1\}$	$\{q_0\}$	
$(q_1)$	$\{q_2\}$	$\{q_1\}$	
$\{q_2\}$	$\{q_3\}$	$\{q_3\}$	
$\{q_3\}$	$\emptyset$	$\{q_2\}$	
$\{q_0, q_1\}$	$\{q_0, q_1, q_2\}$	$\{q_0, q_3\}$	
$\{q_0, q_1, q_2\}$	$\{q_0, q_1, q_2, q_3\}$	$\{q_0, q_1, q_3\}$	
$\{q_0, q_1, q_3\}$	$\{q_0, q_1, q_2\}$	$\{q_0, q_1, q_2\}$	
$\{q_0, q_1, q_2, q_3\}$	$\{q_0, q_1, q_2, q_3\}$	$\{q_0, q_1, q_2, q_3\}$	

<u>ex 37.</u>	$\Sigma$	0	1				
	-P	{P, q}	P	$\rightarrow P$	{P, q}	P	
	q	r	r	$\Rightarrow$	q	{r}	r
	r	s	-		r	{s}	-
	(S)	s	s		(S)	{s}	s
					- {P, q}	{P, q, r}	{P, r}
					{P, q, r}	{P, q, r, s}	{P, r}
					{P, r}	{P, q, s}	P
					{P, q, r, s}	{P, q, r, s}	{P, r, s}
					{P, q, s}	{P, q, r, s}	{P, r, s}
					{P, r, s}	{P, q, s}	{P, s}
					{P, s}	{P, q, s}	{P, s}

None need to consider because the state q, r, s has not been reachable from any state or Initial state

<u>ex 38.</u>		0	$\rightarrow q_0$	<u><math>\{q_0, q_1\}</math></u>	<u><math>\{q_0, q_1\}</math></u>	
	$q_1$	0	$\rightarrow q_2$	$q_1$	{ $q_2$ }	$\emptyset$
	*	*		$q_2$	$\emptyset$	$\emptyset$
	*	*		$q_3$	$\emptyset$	{ $q_4$ }
	*	*		$q_4$	$\emptyset$	$\emptyset$
				$\checkmark \{q_0, q_1\}$	$\{q_0, q_1, q_2\}$	$\{q_0, q_2\}$
				$\checkmark \{q_0, q_3\}$	$\{q_0, q_1\}$	$\{q_0, q_3, q_4\}$
				$\checkmark \{q_0, q_1, q_2\}$	$\{q_0, q_1, q_2\}$	$\{q_0, q_3\}$
				$\checkmark \{q_0, q_3, q_4\}$	$\{q_0, q_4\}$	$\{q_0, q_3, q_4\}$

No need to consider



*	$\rightarrow q_0$	$\{q_1, q_2\}$	$\emptyset$
*	$q_1$	$\{q_1, q_2\}$	$\emptyset$
$q_2$		$\emptyset$	$\{q_1, q_3\}$
$q_3$		$\{q_1, q_2\}$	$\emptyset$
*	$(q_1, q_2)$	$\{q_1, q_2\}$	$\{q_1, q_3\}$
*	$\{q_1, q_3\}$	$\{q_1, q_2\}$	$\emptyset$

ex 40.

	0	1
?	$\rightarrow q_0$	$\{q_0, q_2\}$
$q_1$	$q_3$	$q_4$
$q_2$	$\emptyset$	$q_4$
$q_3$	$q_3$	$\emptyset$
$q_4$	$q_3$	$q_3$

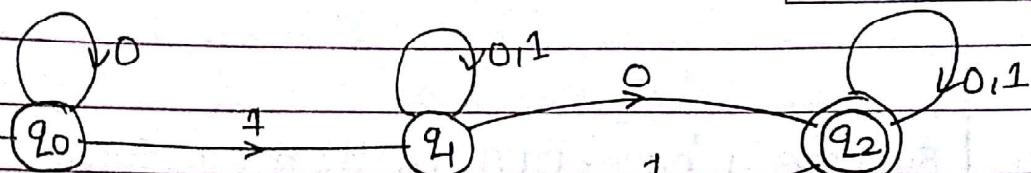
	0	1
$\{q_0\}$	$\{q_0, q_2\}$	$\{q_3\}$
$\{q_1\}$	$\{q_3\}$	$\{q_4\}$
$\{q_2\}$	$\emptyset$	$\{q_4\}$
$\{q_3\}$	$q_3$	$\emptyset$
*	$\{q_4\}$	$\{q_3\}$
	$\{q_0, q_2\}$	$\{q_0, q_2\}$
*	$\{q_1, q_4\}$	$\{q_3\}$
*	$\{q_4, q_3\}$	$\{q_3\}$

ex 41.

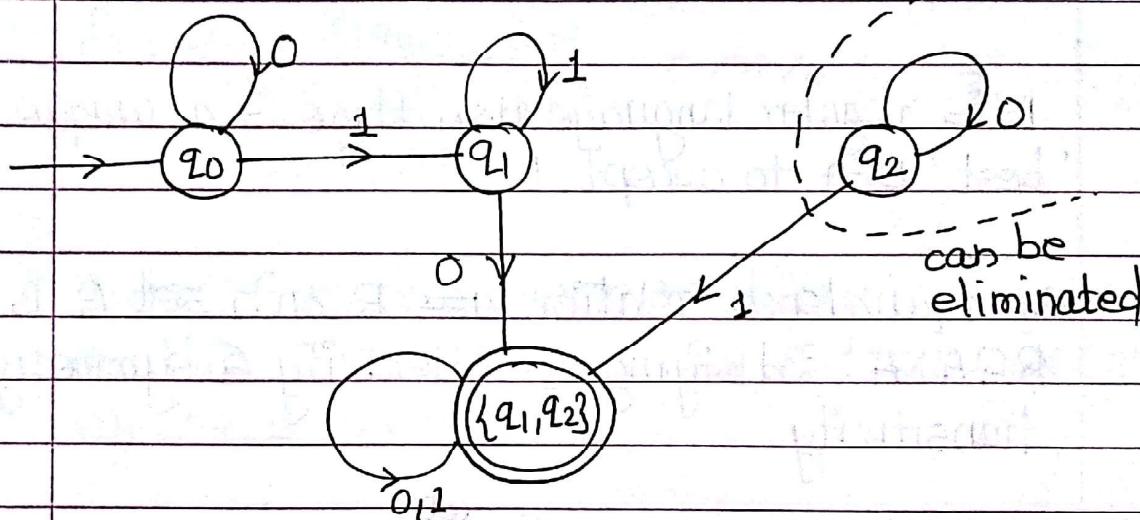
	0	1
$q_0$	$q_1$	$q_2$
$q_1$	$q_1$	$q_1, q_3$
$q_2$	$\emptyset$	$\emptyset$
$q_3$	$\{q_0, q_3\}$	$q_3$

convert into equivalent  
DFA.

Ex 42.

 $\Sigma$ 

$q/\Sigma$	0	1
$\{q_0\}$	$\{q_0\}$	$\{q_1\}$
$\{q_1\}$	$\{q_1, q_2\}$	$\{q_1\}$
$\{q_2\}$	$\{q_2\}$	$\{q_1, q_2\}$
$\{q_1, q_2\}$	$\{q_1, q_2\}$	$\{q_1, q_2\}$



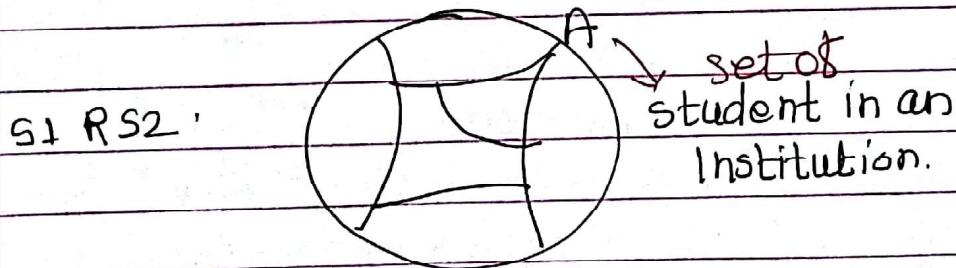
Myhill-Nerode Theorem  $\rightarrow$  for any Regular language  $L$ , there is a unique 'best' DFA to accept classmate

suppose I have DFA  $M$  then we have an algorithm using that we can obtain another DFA  $M'$  such that these two DFA are equivalent  $\therefore L(M) = L(M')$  for DFA  $M'$ , there can be no other DFA that contain less no. of states and it accept the same language.

If  $L$  is regular language then there is a unique 'best' DFA to accept  $L$ .

An equivalence relation ~~on~~  $R$  on a set  $A$  is  $R \subseteq A \times A$  satisfying ① reflexivity ② symmetry & transitivity.

An equivalence relation  $R$  on  $A$  creates a partition of the set  $A$ , and the partition comprises of the equivalence classes of  $R$ .



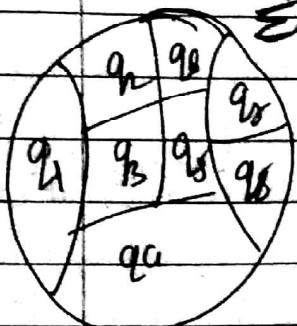
if  $s_1$  and  $s_2$  both stay in the same hostel.

~~Def~~ suppose we have a DFA  $M$  with  $\Sigma$   $\times R_M^Y$  if both  $a, y$  take the  $M$  from

$$M = (Q, \Sigma, \delta, q_0, F)$$

its initial state to the same state

$$\therefore \delta(q_0, x) = \delta(q_0, y)$$



An equivalence relation  $R$  on  $\Sigma^*$  is right-invariant if for all  $x, y \in \Sigma^*$

$$xRy \Rightarrow (\forall z) xzRyz$$

$R_m$  is right invariant, suppose  $xR_my \Rightarrow$

$$\delta(q_0, x) = \delta(q_0, y) = p$$

$$\therefore \delta(q_0, xy) = \delta(q_0, \delta(q_0, x), y) = \delta(q_0, y)$$

$$\therefore xzR_myz$$

get 3

Index of an equivalence relation:- an equivalence relation  $R$  is of finite index if the number of induced eq. classes is finite

$R_1$  and  $R_2$  are eq. relation on  $A$  we say  $R_1$  is a refinement of  $R_2$  if  $xR_1y \Rightarrow xR_2y$

Myhill - Nerode Theorem:-

The following three statements are equivalent:

- ①  $L \subseteq \Sigma^*$  is accepted by a finite automaton over  $\Sigma$
- ②  $L$  is the union of some of the equivalence classes of a right invariant eq. relation of finite index.
- ③  $R_L$  is of finite index, where  $xR_Ly$  iff for all  $z$  either both  $xz$  and  $yz$  are in  $L$  or neither is in  $L$ .

statement A and B are equivalent if  $A \Rightarrow B$  and  $B \Rightarrow A$

proof.  $\rightarrow ① \Rightarrow ②$

since ①, let DFA  $M$  accept  $L$ ,  $M = (Q, \Sigma, \delta, q_0, F)$

$$x R y \Leftrightarrow \delta(q_0, x) = \delta(q_0, y)$$

### Minimization of states of DFA

We have a DFA  $M$ , we would like to find out

a DFA  $M'$ , such that  $L(M) = L(M')$  &  $M'$  has the minimum number of states. Among all DFA that accept that lang

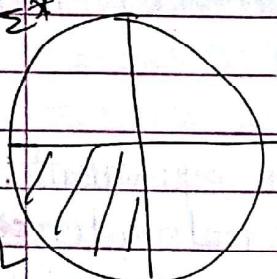
$\rightarrow L$  is a language, &  $M$  is a DFA to accept it.

equivalence relation  $R_M \Rightarrow x R_M y \Leftrightarrow$  both  $x, y$  take  $M$  from its initial state to the same state.  $R_M$  has so many eq. classes  $|Q|$  represent eq. classes in  $R_M$  & that are one for each state.

$$A_q = \{ x \in \Sigma^* \mid \delta(q_0, x) = q \}$$

for the language  $L$ , a DFA  $M_1$  has minimum no. of states iff  $R_{M_1} = R_L$

$$\therefore \text{for all } x, y \in \Sigma^*, x R_{M_1} y \Leftrightarrow x R_L y$$



$R_M$  for  $M$  to accept  $L$  has to be a refinement of  $R_L$

$$M = (Q, \Sigma, \delta, q_0, F)$$

can we identify  $q_1, q_2 \in Q$  s.t. they in  $R_M$ .  
the eq. classes corresponding to  $q_1, q_2$  are both subsets of ~~is~~ the same eq. class of  $R_L$

$(q_1, q_2)$  is distinguishable iff the eq. classes in  $R_M$  of  $q_1$  &  $q_2$  fall in different eq. class of  $R_L$

$A_{q_1}$  is the eq. class correspond to  $q_1$  in  $R_M = \{ x \in \Sigma^* \mid \delta(q_0, x) = q_1 \}$

$A_{q_2}$

$$q_2 \text{ in } R_M = \{ x \in \Sigma^* \mid \delta(q_0, x) = q_2 \}$$

Page 8 (Q1 & Q2) = 92

If there is a  $z \in \Sigma^*$  s.t exactly one of  $\delta(q_1, z)$  and  $\delta(q_2, z)$  is in  $F$

CLASSMATE

$\therefore x \in Aq_1 \quad \& \quad y \in Aq_2$

$x \not\sim_L y$

Suppose there is  $z \in \Sigma^*$  s.t exactly one of

$\therefore \delta(q_1, z)$  and  $\delta(q_2, z)$  is in  $F$ . (The other is not in  $F$ )

Suppose  $x \in Aq_1, y \in Aq_2, \quad x \not\sim_L y, y \not\sim_L x$

If one of  $q_1, q_2$  is in  $F$  and other is not then  $(q_1, q_2)$  is distinguishable.

$q_1 \in F$  and  $q_2 \notin F$

Outline of Algo to find all pairs of distinguishable states

Output: sets  $S$  of all pairs of distinguishable state

Initialization:  $S = \{(p, q) \mid \text{one of } p, q \text{ in } F \text{ & other not}$

Iteration: consider  $r_1, r_2 \in S$  pair of state

each

We will add  $(r_1, r_2)$  in  $S$  if for some  $a \in \Sigma$ ,  
 $(\delta(r_1, a), \delta(r_2, a)) \in S$

Stop the process if in an iteration no new pair is added to  $S$ .

Time complexity - At most  $O(n^2)$  iteration.

In each iteration we do at most  $O(n^2)$  operations

The algorithm is polynomial time.

Correctness -

Suppose our algo is not correct then for some instance when the algo ends, there are pairs of distinguishable states which are not in  $S$ .

i} Let  $S'$  be the set of distinguishable pairs of states not in  $S$ .

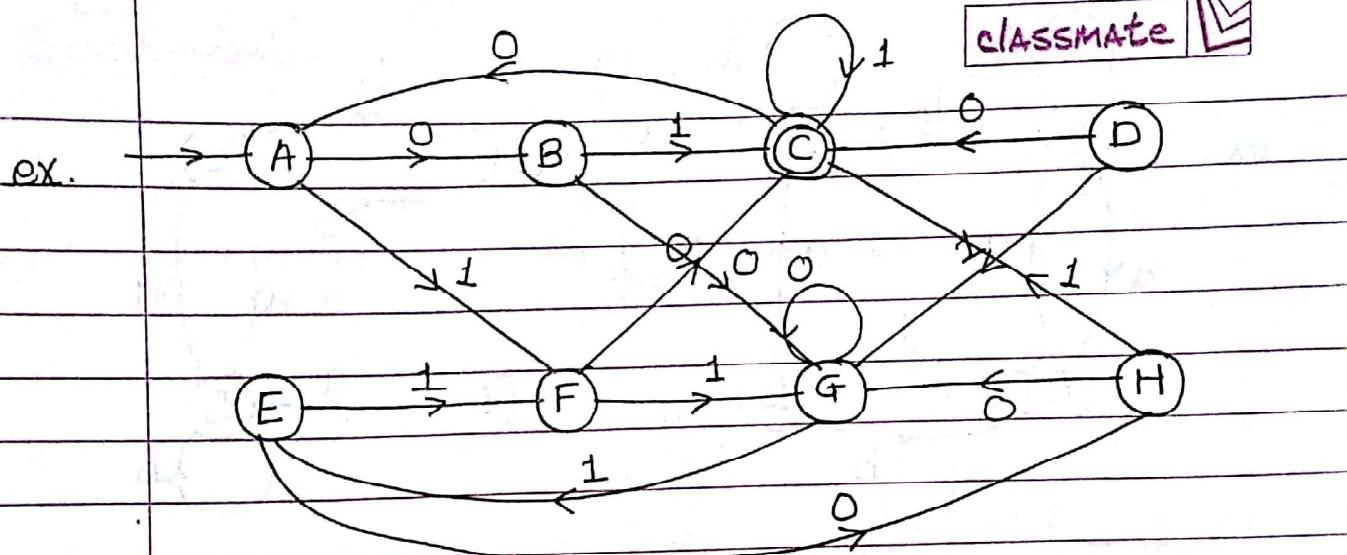
\* If one of  $(q_1, q_2)$  is in  $F$  and the other is not then  $(q_1, q_2)$  is distinguishable. (Here we are considering  $\lambda$  as an empty string) i.e.  $q_1 \in F$  and  $q_2 \notin F$

Distinguishable states:  $(q_1, q_2)$  is said to be distinguishable only when  $(q_1, q_2) \in Q$  and if there is  $x \in \Sigma^*$  such that exactly one of  $\delta(q_1, x)$  and  $\delta(q_2, x)$  is in final state.

Equivalent states:  $(q_1, q_2)$  is said to be equivalent iff  $(q_1, q_2) \in Q$  and for all  $x$ :  $(\delta(q_1, x), \delta(q_2, x))$  must represent the same state i.e. either both state must be accepting states or non-accepting states.

### Algorithm:-

- step 1- start.
- step 2- select  $(p, q) \in Q$ .
- step 3- categories  $(p, q)$  into either equivalent or distinguishable pairs of states.
- step 4- select next all possible pairs of states & go to step 2.
- step 5- Merge equivalent states of pair & construct  $Q'$  for minimize DFA  $M'$ .
- step 6- construct state transition table.
- step 7- construct DFA  $M'$ .
- step 8- stop.



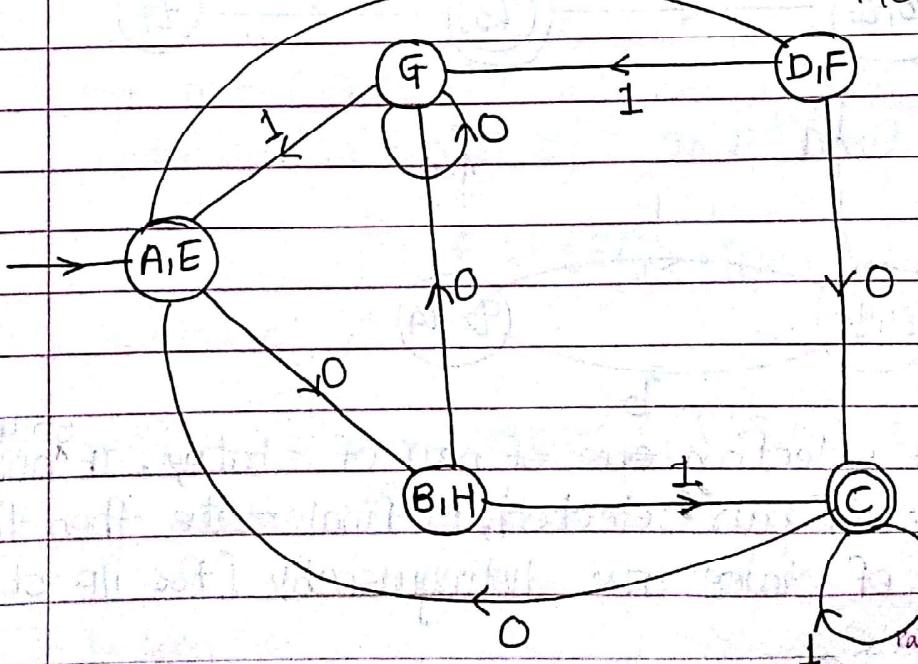
equivalent states (A, E)

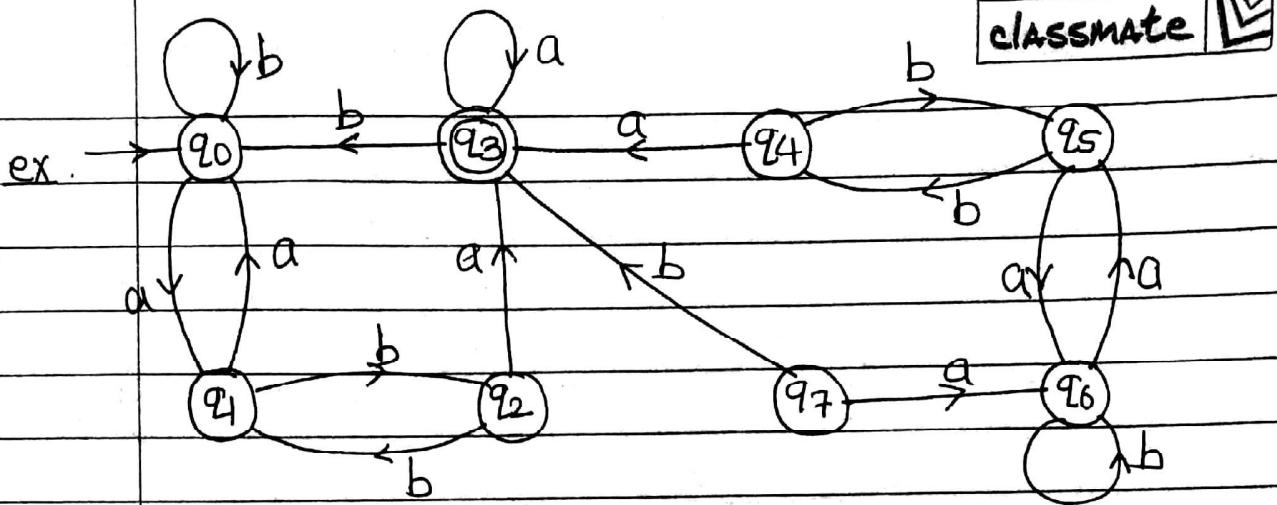
X - represent distinguishable states

	B	X						
	C	X	X					
	D	X	X	X				
	E		X	X	X			
	F	X	X	X		X		
	G	X	X	X	X	X		
	H	X		X	X	X	X	
	A	B	C	D	E	F	G	

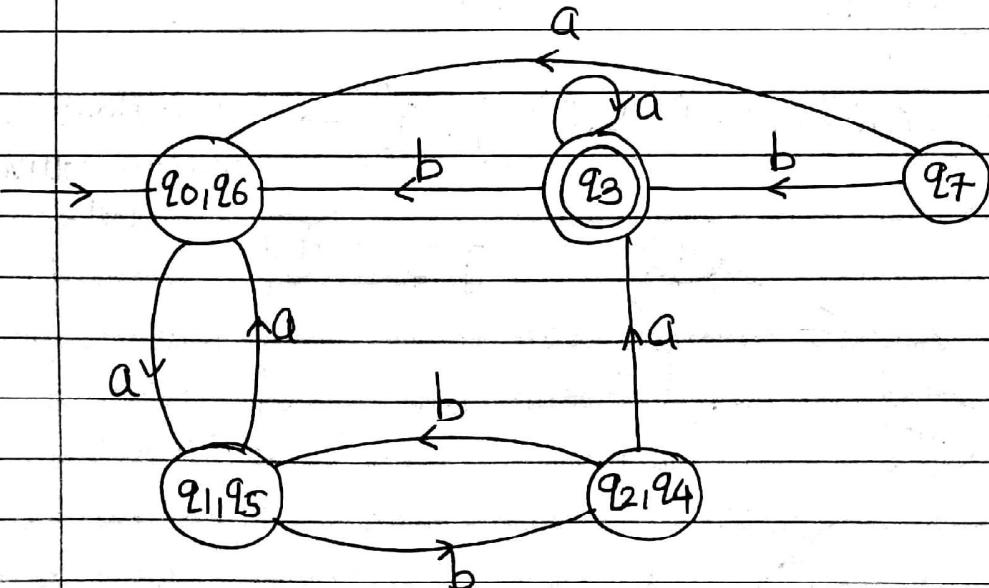
$$Q = \{(A, E), (B, H), (D, F), (C, G)\} \rightarrow \text{equivalent states}$$

Merge equivant state:

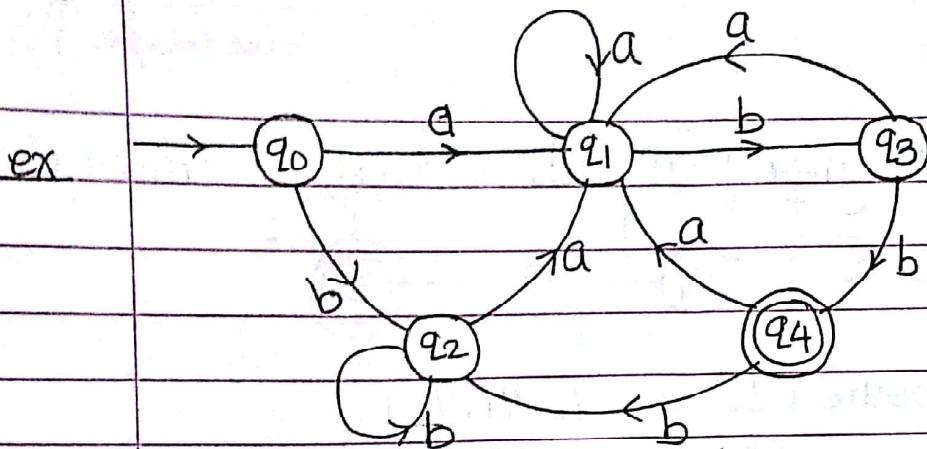




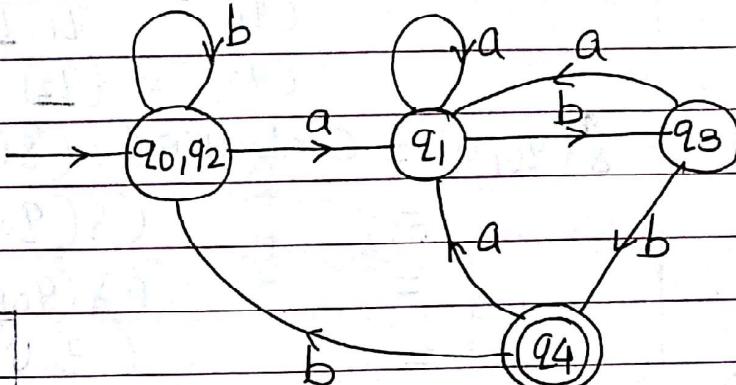
$q_1$	X						
$q_2$	X	X					
$q_3$	X	X	X				
$q_4$	X	X		X			
$q_5$	X	.	X	X	X		
$q_6$	.	X	X	X	X	X	
$q_7$	X	X	X	X	X	X	
$q_0$	$q_1$	$q_2$	$q_3$	$q_4$	$q_5$	$q_6$	



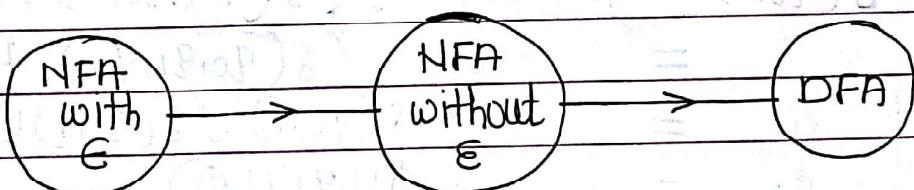
IMP :- After selecting ~~one~~ pair of states, IF ~~one~~ <sup>only</sup> state of pair selected, is final state then that pair of states are distinguishable [for input string  $x = \epsilon$ ]



$q_1$	X				
$q_2$		X			
$q_3$	X	X	X		
$q_4$	X	X	X	X	
	$q_0$	$q_1$	$q_2$	$q_3$	



Eliminating  $\epsilon$ -Transitions :-



Conversion from NFA with  $\epsilon$  to NFA without  $\epsilon$

Let  $M = (Q, \Sigma, \delta, q_0, F)$  be an NFA with  $\epsilon$  transition  
construct  $M' = (Q, \Sigma, \delta', q_0, F')$  where

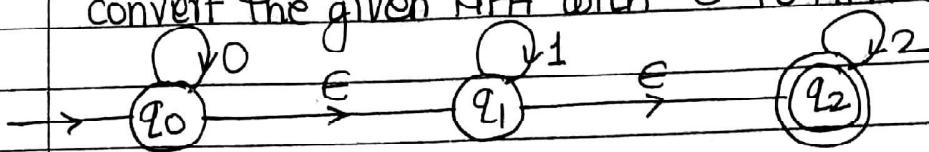
$F' = F \cup \{q_i\}$  if  $\epsilon$ -closure of  $q_i$  contains states of  $F$ .

$$\delta'(q, a) = \epsilon\text{-closure}(\delta(\delta(q, \epsilon), a))$$

where  $\delta(q, \epsilon) = \epsilon\text{-closure}(q)$ .

Technical 2-36

ex-

convert the given NFA with  $\epsilon$  to NFA without  $\epsilon$ .

$$\epsilon\text{-closure}(q_0) = \{q_0, q_1, \underline{q_2}\}$$

$$(q_1) = \{q_1, \underline{q_2}\}$$

$$(q_2) = \{\underline{q_2}\}$$

$$\delta'(q_0, 0) = \epsilon\text{-closure}(\delta(\delta(q_0, \epsilon), 0))$$

$$= (\delta(q_0, q_1, q_2), 0)$$

$$= (\delta(q_0, 0) \cup \delta(q_1, 0) \cup \delta(q_2, 0))$$

$$= (q_0 \cup \emptyset \cup \emptyset)$$

$$= \epsilon\text{-closure}(q_0)$$

$$\delta'(q_0, 0) = \{q_0, q_1, q_2\}.$$

$$\delta'(q_0, 1) = \epsilon\text{-closure}(\delta(\delta(q_0, \epsilon), 1))$$

$$= (\delta(q_0, q_1, q_2), 1)$$

$$= (\delta(q_0, 1) \cup \delta(q_1, 1) \cup \delta(q_2, 1))$$

$$= (\emptyset \cup q_1 \cup \emptyset)$$

$$= \epsilon\text{-closure}(q_1)$$

$$\delta'(q_0, 1) = \{q_1, q_2\}$$

$$\delta'(q_1, 0) = \emptyset$$

$$\delta'(q_0, 2) = \{q_2\}$$

$$\delta'(q_1, 1) = \{q_1, q_2\}$$

$$\delta'(q_1, 2) = \{q_2\}$$

$$\delta'(q_2, 0) = \emptyset$$

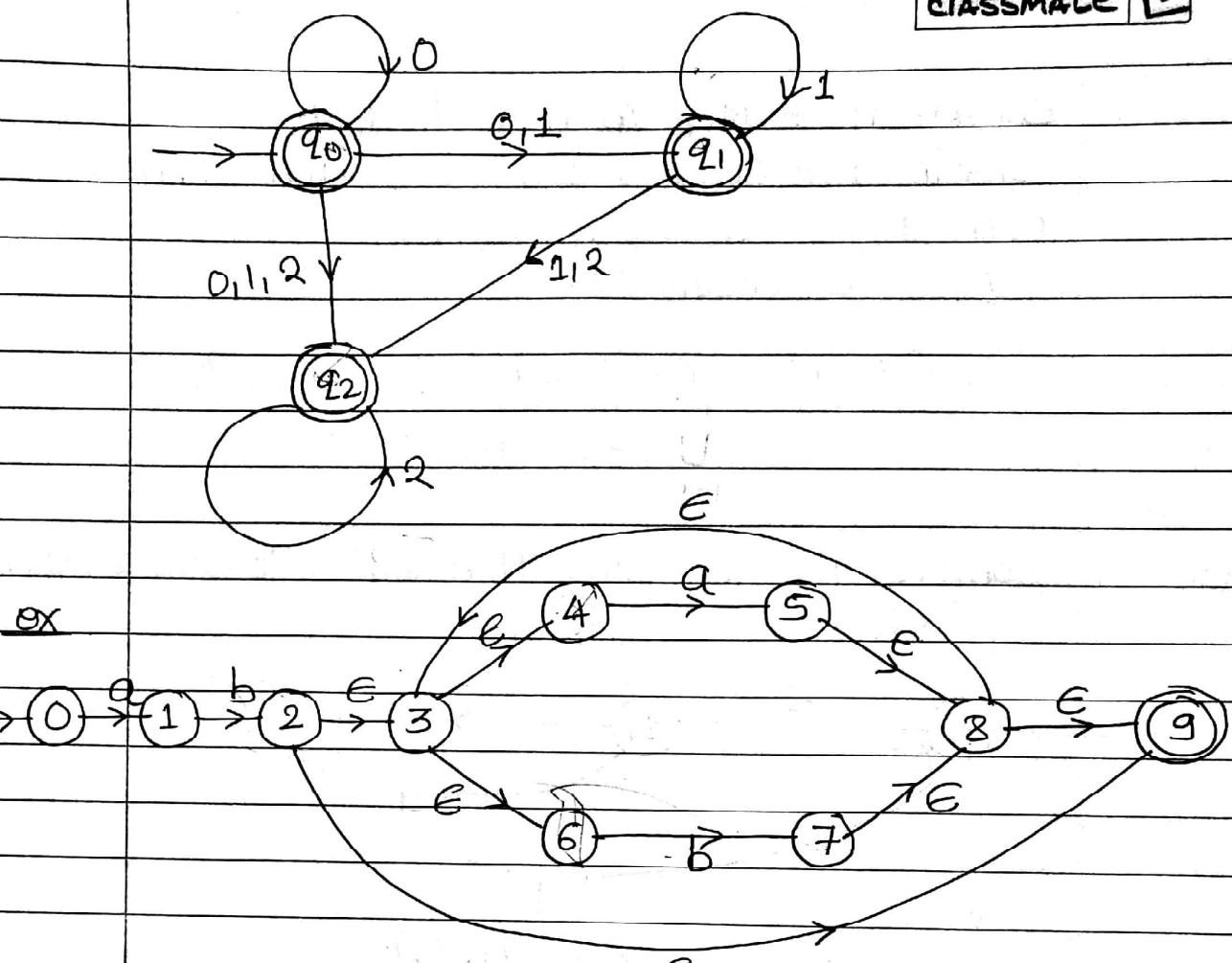
$$\delta'(q_2, 2) = \{q_2\}$$

$$\delta'(q_2, 1) = \emptyset$$

$q \Sigma$	0	1	2
$\rightarrow q_0$	$\{q_0, q_1, q_2\}$	$\{q_1, q_2\}$	$\{q_2\}$

$q_1$	0	1	2
$\rightarrow q_1$	$\emptyset$	$\{q_1, q_2\}$	$\{q_2\}$

$q_2$	0	1	2
$\rightarrow q_2$	$\emptyset$	$\emptyset$	$\{q_2\}$



Q

{0}

{1}

{123}

{3}

{4}

{5}

{6}

{7}

{8}

{9}

a

1

\emptyset

3,4,5,6,8,9

3,4,5,6,8,9

3,4,5,6,8,9

3,4,5,6,8,9

\emptyset

3,4,5,6,8,9

3,4,5,6,8,9

\emptyset

b

\emptyset

2,3,4,6,9

2,4,6,7,8,9

3,4,6,7,8,9

\emptyset

3,4,6,7,8,9

3,4,6,7,8,9

3,4,6,7,8,9

3,4,6,7,8,9.

\emptyset

Conversion of NFA with  $\epsilon$  to DFA

consider  $M = (Q, \Sigma, \delta, q_0, F)$  is a NFA with  $\epsilon$

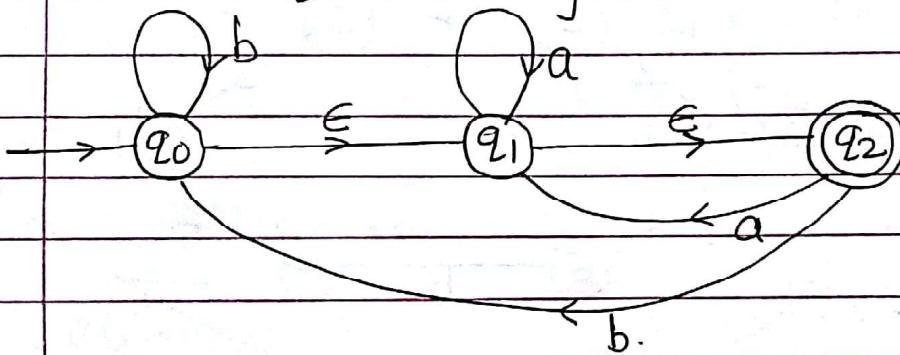
DFA  $M_D = (Q_D, \Sigma, \delta_D, q_{0D}, F_D)$

$q_{0D} = \epsilon\text{-closure}(q_0)$

Technical  
2.26

$$\delta_D(q_0, a) = \epsilon\text{-closure}(\delta(p_1, a) \cup \delta(p_2, a) \cup \dots \cup \delta(p_n, a)) \\ = \bigcup_{i=1}^n \epsilon\text{-closure}(p_i, a).$$

ex. convert the following NFA with  $\epsilon$  to equivalent DFA



$$\epsilon\text{-closure}(q_0) = \{q_0, q_1, q_2\} - A$$

$$(q_1) = \{q_1, q_2\}$$

$$(q_2) = \{q_2\}$$

DFA start with  $\epsilon$ -closure of  $q_0$

$$\delta'(q_0, a) = \epsilon\text{-closure}(\delta(q_0, q_1, q_2), a)$$

$$= (\delta(q_0, a) \cup \delta(q_1, a) \cup \delta(q_2, a))$$

$$= (\emptyset \cup q_1 \cup q_1)$$

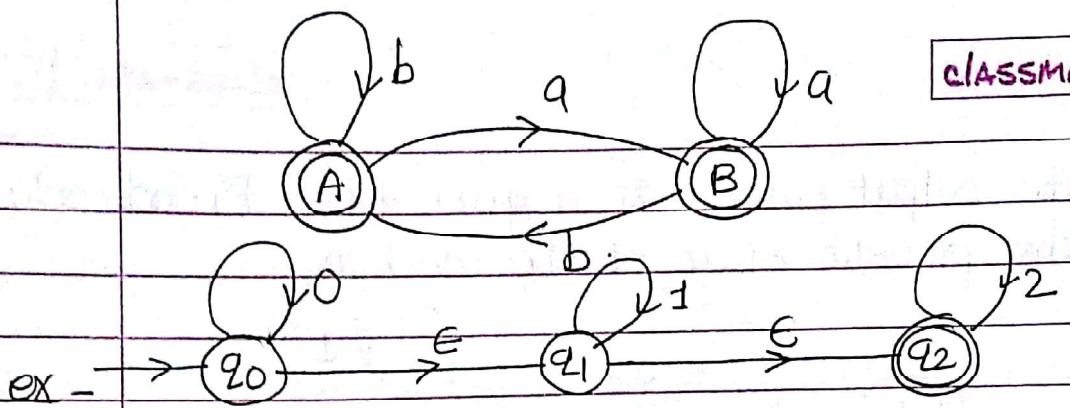
$$= \epsilon\text{-closure}(q_1)$$

$$= \underline{\{q_1, q_2\}} - \text{new state} - B.$$

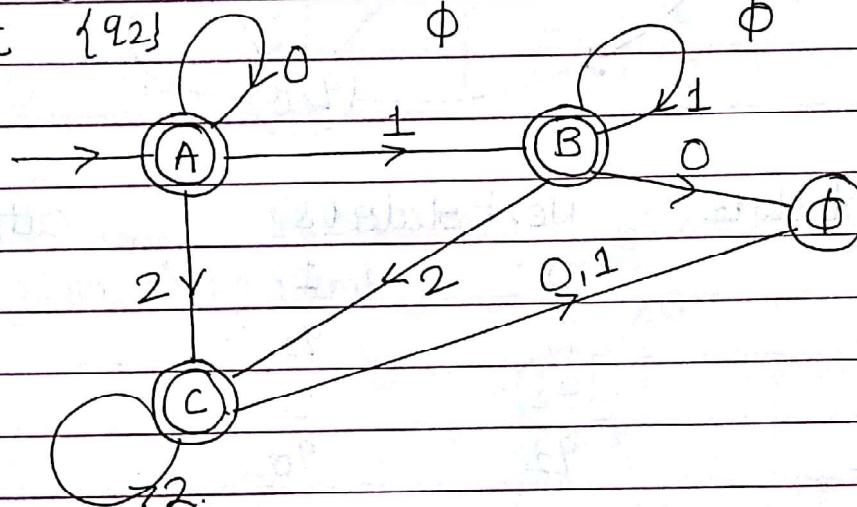
$$\delta'(q_0, b) = \{q_0, q_1, q_2\}$$

$$\delta'(\{q_1, q_2\}, a) = \{q_1, q_2\}.$$

$$\delta'(\{q_1, q_2\}, b) = \{q_0, q_1, q_2\}$$



	0	1	2	
A	$\{q_0, q_1, q_2\}$	$\{q_0, q_1, q_2\}$	$\{q_1, q_2\}$	$\{q_2\}$
B	$\{q_1, q_2\}$	$\emptyset$	$\{q_1, q_2\}$	$\{q_2\}$
C	$\{q_2\}$	$\emptyset$	$\emptyset$	$\{q_2\}$



### FSM with Outputs:-

1] Moore Machine : M is a six tuple  $(Q, \Sigma, \Delta, \delta, \lambda, q_0)$

Where

$Q$  - is a finite set of states.

$\Sigma$  - is a finite set of input symbols.

$\Delta$  - is an output alphabet.

$\delta$  - is an transition function such that

$$\delta: Q \times \Sigma \rightarrow Q$$

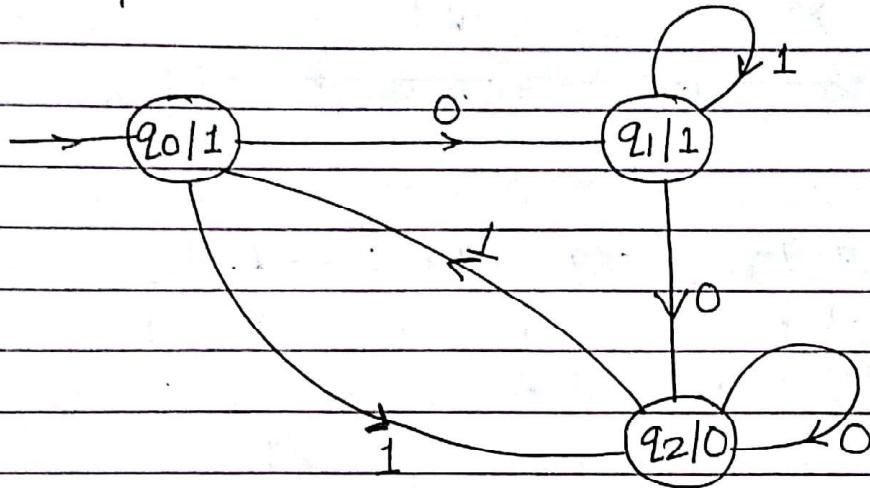
$\lambda$  - is a output function

$$\lambda: Q \rightarrow \Delta$$

$q_0$  - Initial state of Machine.

The output symbol at a given time depends only on the present state of the machine.

ex.



current state	Next state ( $\delta$ )	output ( $\lambda$ )
	0 -	1
$q_0$	$q_1$	0
$q_1$	$q_2$	1
$q_2$	$q_2$	0

## 2] Mealy Machine:

Mealy machine is a machine in which output symbol depends upon the present input symbol and present state of the machine.

Mealy machine is a six tuple  $(Q, \Sigma, \Delta, \delta, \lambda, q_0)$  where

$Q$  - is finite set of states.

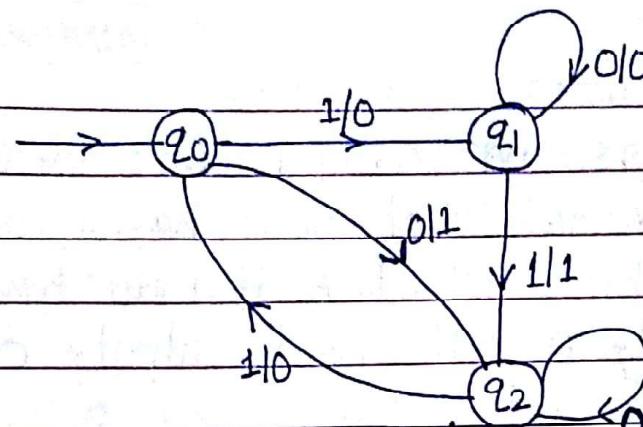
$\Sigma$  - is finite set of input symbols.

$\Delta$  - is an output alphabet.

$\delta$  - is state transition function such that  $\delta: Q \times \Sigma \rightarrow Q$

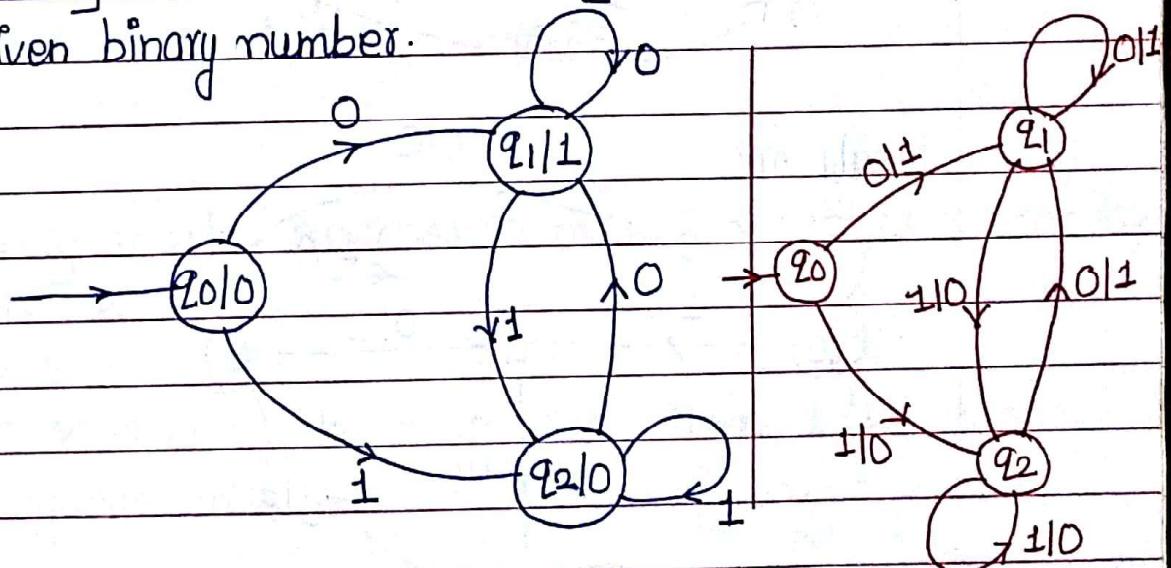
$\lambda$  - is machine function such that  $\lambda: Q \times \Sigma \rightarrow \Delta$

$q_0$  - is a initial state of m/c.



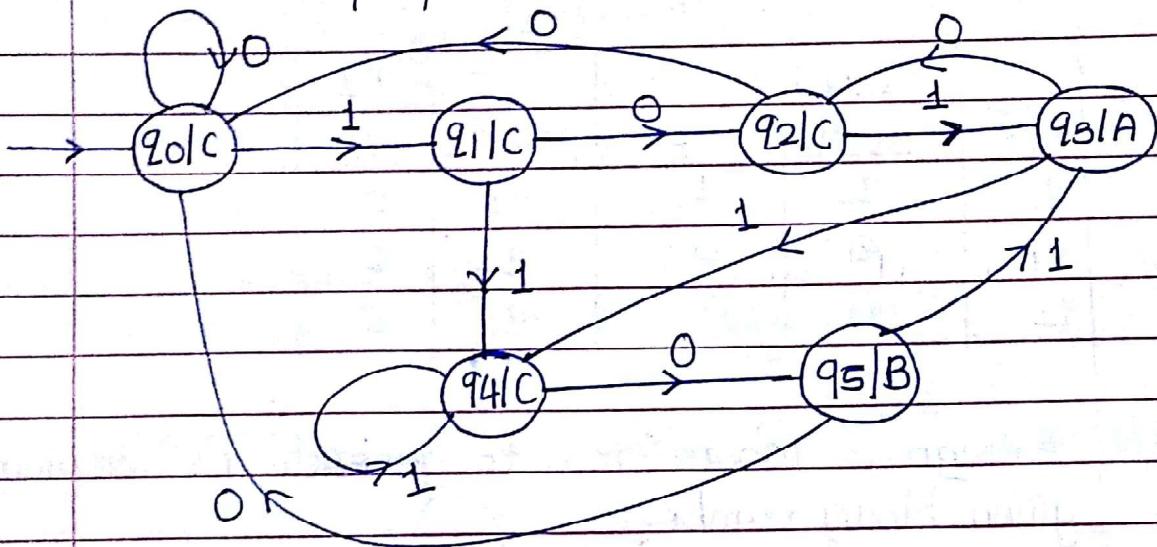
	Input 0		Input 1		
$Q/\Sigma$	state	O/P	state	O/P.	
$q_0$	$q_2$	1	$q_1$	0	
$q_1$	$q_1$	0	$q_2$	1	
$q_2$	$q_2$	0	$q_0$	0	

ex. Design a Moore m/c to generate 1's complement of given binary number.



current state	Next state	output (A)
	0 1	
$q_0$	$q_1$ $q_2$	0
$q_1$	$q_1$ $q_2$	1
$q_2$	$q_1$ $q_2$	0

ex. Design a Moore and Mealy machine for a binary input sequence such that if it has a substring 101 the machine output A. if input has substring 110 it outputs B otherwise it outputs C.



Mealy m/c

