

# CSE 331: Software Engineering

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## Lecture 2

# Software Processes



# Software Process

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## *Fundamental Assumption:*

Good processes lead to **good software**

Good processes reduce **risk**

Good processes enhance **visibility**



# Variety of Software Processes

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Software products are very varied...

*Therefore, there is no standard process for all software engineering projects*

BUT successful software development projects all need to address similar issues.

This creates a number of **process steps** that must be part of all software projects



# Basic Process Steps in all Software Development

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- Feasibility and planning
- Requirements
- Design
- Implementation
- Acceptance and release
- Operation and maintenance

It is essential to distinguish among these aspects and to be clear which you are doing at any given moment.

***Do not confuse requirements and design.***



# Feasibility and Planning

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A **feasibility study** precedes the decision to begin a project.

- What is the scope of the proposed project?
- Is the project technically feasible?
- What are the projected benefits?
- What are the costs, timetable?

A feasibility study leads to a **decision**: go or no-go.



# Requirements Analysis and Definition

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The **requirements** analysis and definition establish the system's services, constraints and goals by consultation with users. They are then **defined** in a manner that is understandable by both users and development staff.

This phase can be divided into:

- Requirements analysis
- Requirements definition
- Requirements specification

*Requirements define the function of the system **FROM THE CLIENT'S VIEWPOINT.***



# Software specification

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- The process of establishing what services are required and the constraints on the system's operation and development.
- Requirements engineering process
  - Feasibility study
    - Is it technically and financially feasible to build the system?
  - Requirements elicitation and analysis
    - What do the system stakeholders require or expect from the system?
  - Requirements specification
    - Defining the requirements in detail
  - Requirements validation
    - Checking the validity of the requirements



# System and Program Design

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**System design:** Partition the requirements to hardware or software systems. Establishes an overall system architecture

**Software design:** Represent the software system functions in a form that can be transformed into one or more executable programs

- Unified Modeling Language (UML)

*The design describes the system **FROM THE SOFTWARE DEVELOPERS' VIEWPOINT***



# Software design and implementation

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- The process of converting the system specification into an executable system.
- Software design
  - Design a software structure that realises the specification;
- Implementation
  - Translate this structure into an executable program;
- The activities of design and implementation are closely related and may be inter-leaved.



# Design activities

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- *Architectural design*, where you identify the overall structure of the system, the principal components (sometimes called sub-systems or modules), their relationships and how they are distributed.
- *Interface design*, where you define the interfaces between system components.
- *Component design*, where you take each system component and design how it will operate.
- *Database design*, where you design the system data structures and how these are to be represented in a database.

# Implementation

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## Programming

The software design is realized as a set of programs or program units. (Written specifically, acquired from elsewhere, or modified.)

## Testing

Individual components are tested against specifications.

The individual program units are integrated and tested *against the design* as a complete system.



## Software validation

- Verification and validation (V & V) is intended to show that a system conforms to its specification and meets the requirements of the system customer.
- Involves checking and review processes and system testing.
- System testing involves executing the system with test cases that are derived from the specification of the real data to be processed by the system.
- Testing is the most commonly used V & V activity.



# Testing stages

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- Development or component testing
  - Individual components are tested independently;
  - Components may be functions or objects or coherent groupings of these entities.
- System testing
  - Testing of the system as a whole. Testing of emergent properties is particularly important.
- Acceptance testing
  - Testing with customer data to check that the system meets the customer's needs.

# Acceptance and Release

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## Acceptance

The complete system is tested *against the requirements by the client*.

## Delivery and release

The complete system is delivered to the client and released into production.



# Operation and Maintenance: Software Life Cycle

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**Operation:** The system is put into practical use.

**Maintenance:** Errors and problems are identified and fixed.

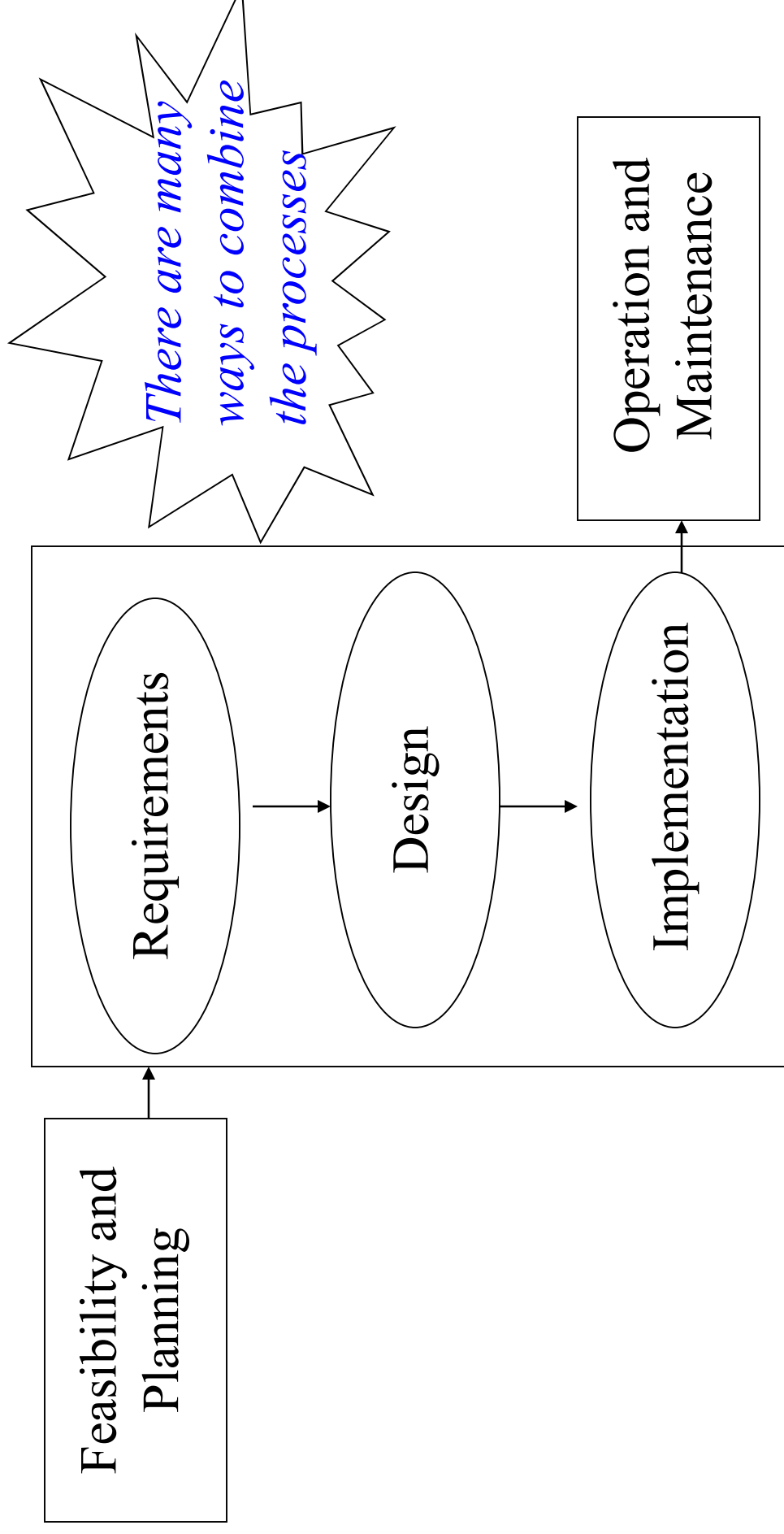
**Evolution:** The system evolves over time as requirements change, to add new functions or adapt the technical environment.

**Phase out:** The system is withdrawn from service.



# Combining the Process Steps

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# Sequence of Processes

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Every software project will include these basic processes, **in some shape or form**, but:

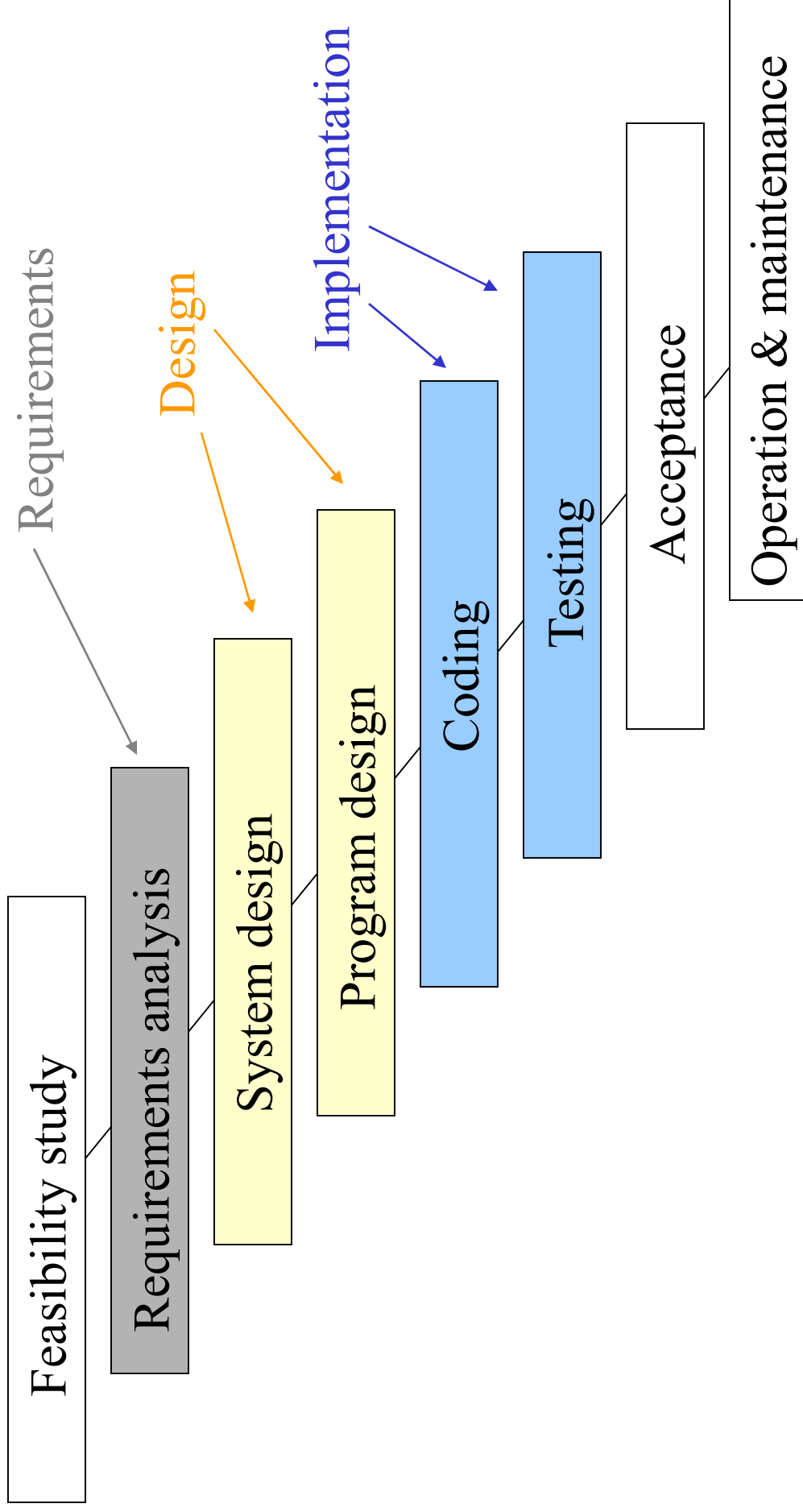
- They may be formal or informal
- They may be carried out in various sequences

## Examples:

- A feasibility study cannot create a proposed budget and schedule without a preliminary study of the requirements and a tentative design.
- Detailed design or implementation usually reveals gaps in the requirements specification.

# Process 1: Sequential The Waterfall Model

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# Discussion of the Waterfall Model

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## *Advantages:*

- Process visibility
- Separation of tasks
- Quality control
- Cost control

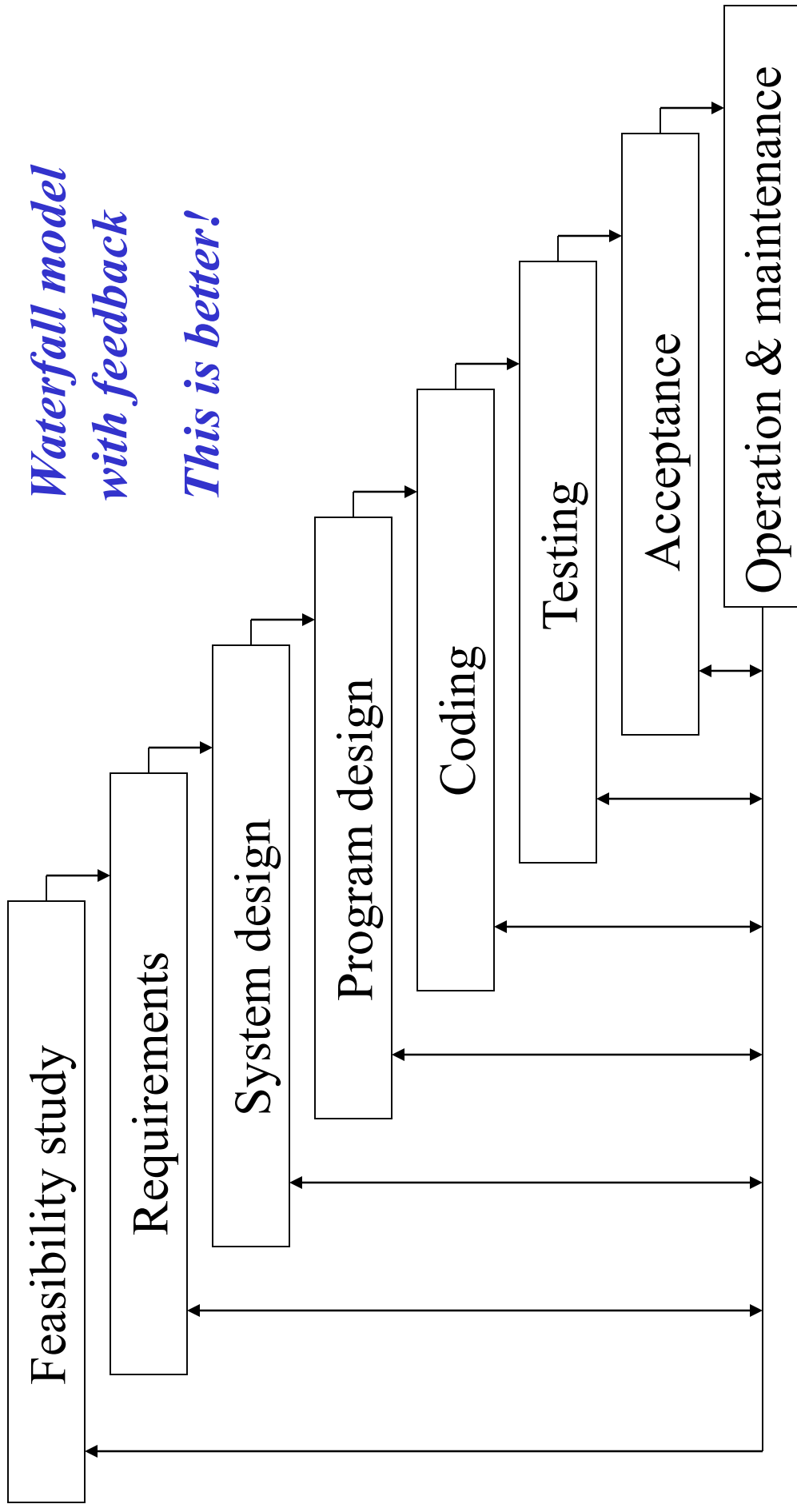
## *Disadvantages:*

Each stage in the process reveals new understanding of the previous stages, that requires the earlier stages to be revised.

*The Waterfall Model is not enough!*



# Modified Waterfall Model



# Process 2: Iterative Refinement (Evolutionary Development)

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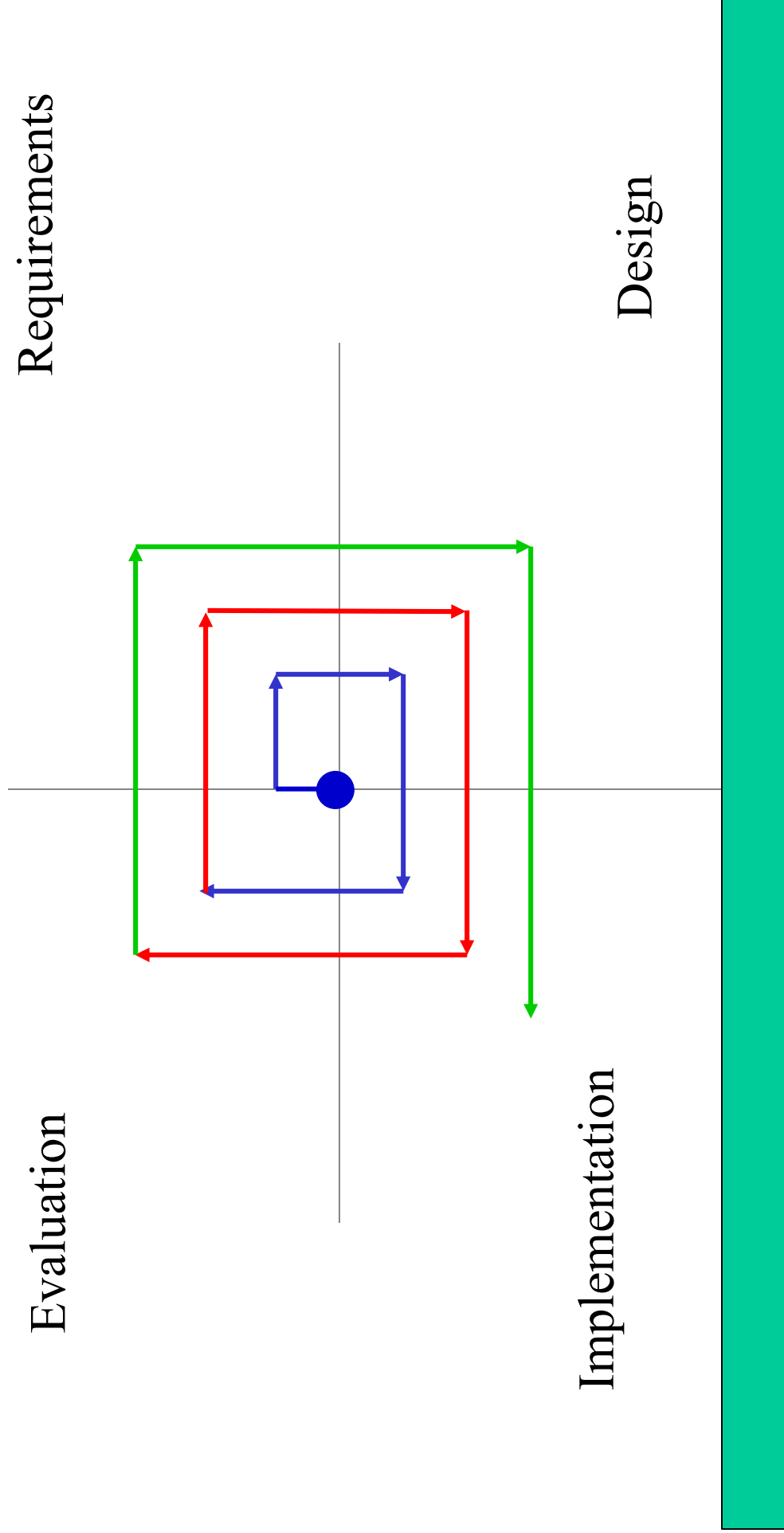
*Concept:* Initial implementation for user comment, followed by refinement until system is complete.

- Vaporware: user interface mock-up
- Throw-away software components
- Dummy modules
- Rapid prototyping
- Successive refinement

*Get something working as quickly as possible!*



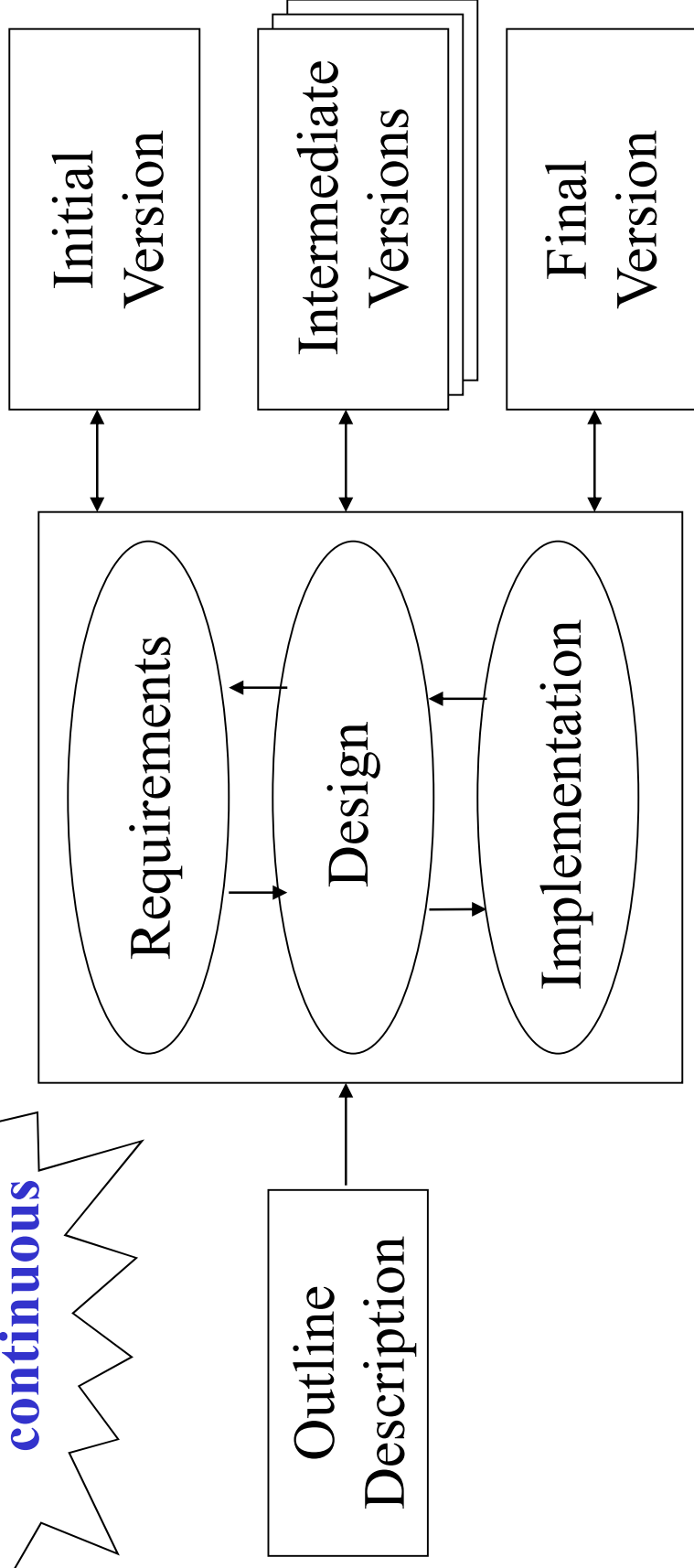
# Iterative Refinement



# Iterative Refinement

**The feasibility  
study is  
continuous**

*Concurrent  
Activities*



# Process 3: Phased Development

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## Concept

A simple system with basic functionality is brought quickly into production (Phase 1).

Subsequent phases are based on experience gained from users of each previous phase.

## Advantages

- Pay-back on investment begins soon.
- Requirements are more clearly understood in developing subsequent phases



# Iterative Refinement + Waterfall Model:

## Graphics for Basic

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Outline Description: Add vector graphics to Dartmouth Basic.

Phase 1: Extend current language with a preprocessor and run-time support package. (1976/77)

Phase 2: Write new compiler and run-time system incorporating graphics elements. (1978/80)



# Iterative Refinement + Waterfall Model:

## Graphics for Basic

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### Phase 0: Iterative Refinement

#### Design Issues:

- Pictorial subprograms: coordinate systems, window/viewport
- User specification of perspective

#### Design Strategy: (Iterative Refinement)

- Write a series of prototypes with various proposed semantics
- Evaluate with a set of programming tasks



# Iterative Refinement + Waterfall Model:

## Graphics for Basic

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### Phase 1: Implementation

- When the final specification was agreed, the entire preprocessor and run-time support were coded from new.
- The system was almost entirely bug-free.

### Phase 2: New compiler (Waterfall)

Phase 1 was used as the requirements definition for the final version.



# Observations about Software Processes

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Completed projects should have the basic process steps  
*but ... the development process is always partly evolutionary.*

Risk is lowered by:

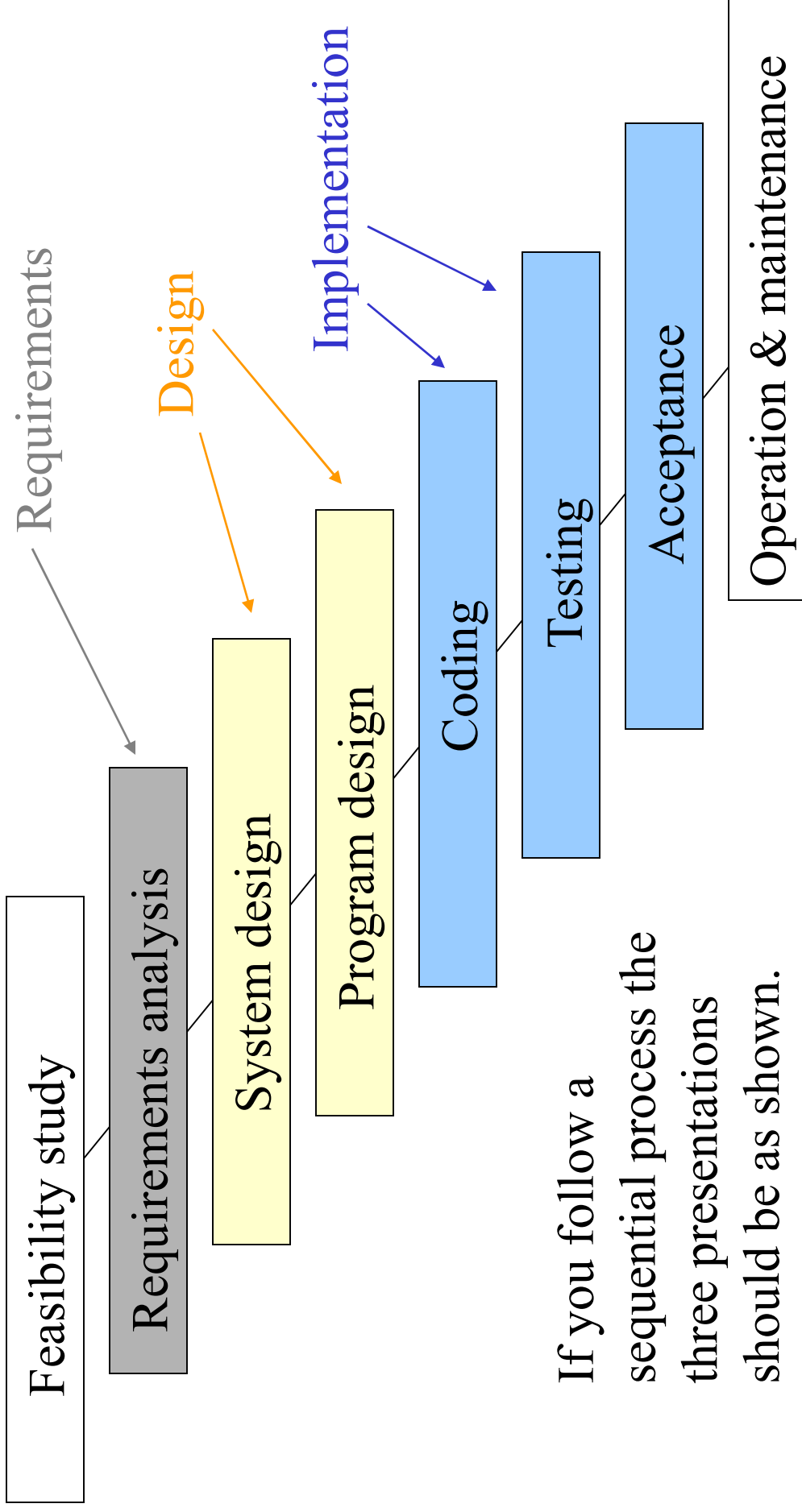
- **Prototyping** key components
- Dividing into **phases**
- Following a **visible** software process
- Making use of reusable **components**

## Conclusion

It is not possible to complete each step and *throw it over the wall.*

# Project Presentations: Sequential Option

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If you follow a sequential process the three presentations should be as shown.

# Project Presentations: Iterative Option

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