

1

# First-Order Logic

Chapter 8

# Last chapter

2

Logical agents apply **inference** to a **knowledge base**  
to derive new information and make decisions

Basic concepts of logic:

- **syntax** (语法) : formal structure of **sentences**
- **semantics** (语义) : truth of sentences wrt **models**
- **entailment** (蕴涵) : necessary truth of one sentence given another
- **inference** (推理) : deriving sentences from other sentences
- **soundness** (可靠性) : derivations produce only entailed sentences
- **completeness** (完备性) : derivations can produce all entailed sentences

Forward, backward chaining are linear-time, complete for Horn clauses  
Resolution is complete for propositional logic

**Propositional logic lacks expressive power**

# Outline

3

- Why FOL?
- Syntax and semantics of FOL
- Using FOL
- Knowledge engineering (知识工程) in FOL

# Pros (优点) of propositional logic

4

## 😊 Propositional logic is **declarative** (陈述性的)

- ▣ 知识和推理分开，而且推理完全不依赖于领域
- ▣ 对比：程序设计语言——过程性语言
  - 缺乏从其它事实派生出事实的通用机制
  - 对数据结构的更新通过一个领域特定的过程来完成

## 😊 Propositional logic allows partial (不完全) /disjunctive (分离的) /negated information

- ▣ (unlike most data structures and databases)

## 😊 Propositional logic is **compositional** (合成性的) :

- ▣ meaning of  $B_{1,1} \wedge P_{1,2}$  is derived from meaning of  $B_{1,1}$  and of  $P_{1,2}$   
(语句的含义是它的各部分含义的一个函数)

## 😊 Meaning in propositional logic is **context-independent**

- ▣ (unlike natural language, where meaning depends on context)

# Cons (缺点) of propositional logic

5

☹️ Propositional logic has very limited expressive power

- ▣ (unlike natural language)
- ▣ E.g., cannot say "pits cause breezes in adjacent squares" except by writing one sentence for each square

$$B_{1,1} \Leftrightarrow (P_{1,2} \vee P_{2,1})$$

# Cons (缺点) of propositional logic

6

- All students know arithmetic.
  - ▣ `AliceIsStudent`  $\rightarrow$  `AliceKnowsArithmetic`
  - ▣ `BobIsStudent`  $\rightarrow$  `BobKnowsArithmetic`
  - ...
- Propositional logic is very clunky. What's missing?
  - ▣ **Objects and relations**: propositions (e.g., `AliceKnowsArithmetic`) have more internal structure (alice, knows, arithmetic)
  - ▣ **Quantifiers and variables**: all is a quantifier which applies to each person, don't want to enumerate them all...

# First-order logic

7

采用命题逻辑的基础——陈述式、上下文无关和合成语义，并借用自然语言的思想。

Whereas propositional logic assumes the world contains **facts**, first-order logic (like natural language) assumes the world contains

- ▣ **Objects** (对象) : people, houses, numbers, colors, baseball games, wars, ...
- ▣ **Relations** (关系) : red, round, prime...,  
brother of, bigger than, part of, comes between, ...
- ▣ **Functions** (函数) : father of, best friend, one more than, plus, ...

谓词用来描述个体（可以独立存在的事物）之间的关系或属性

# Logics in general

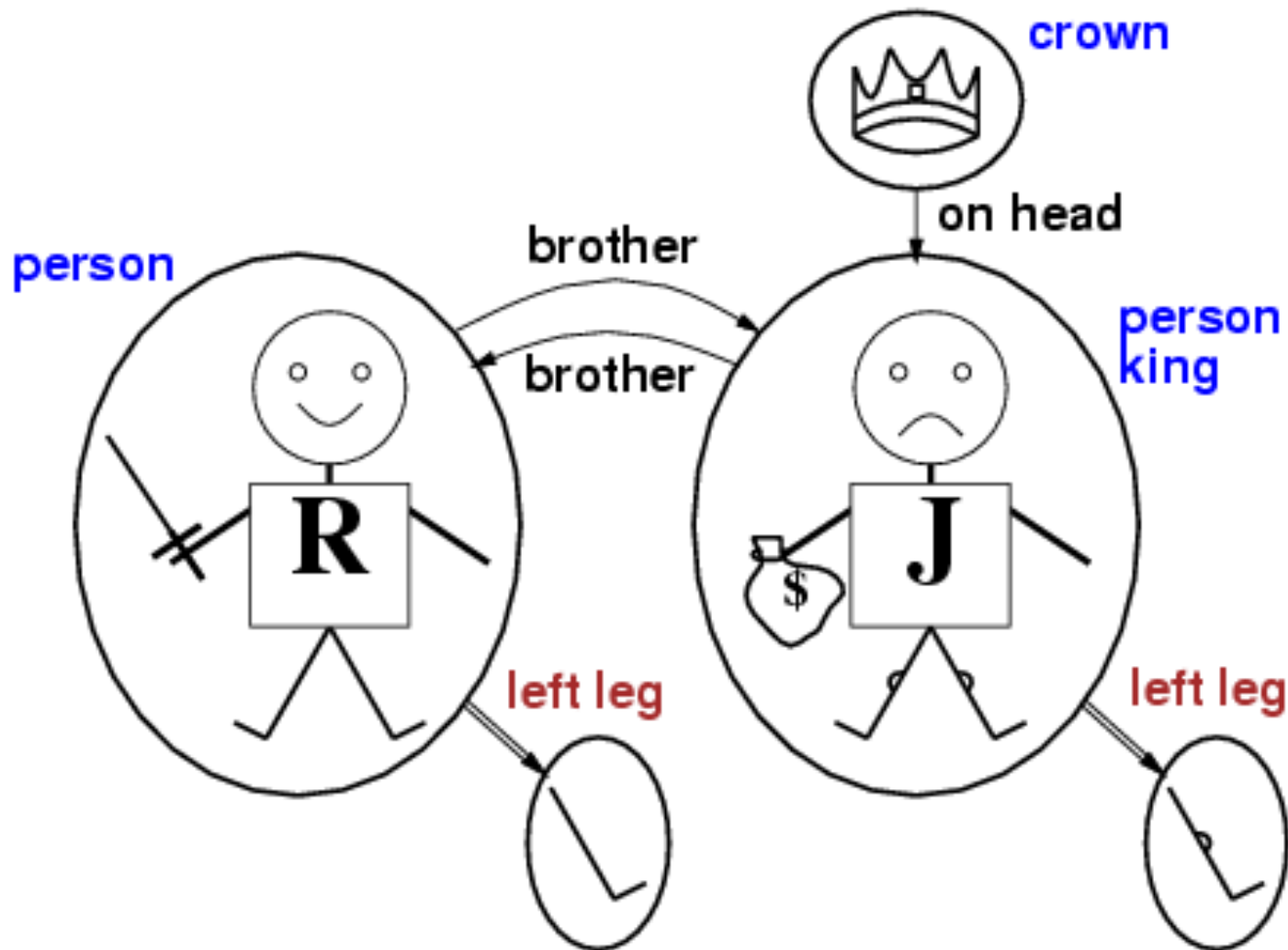
8

语言	本体论约定（世界中存在的）	认识论约定 (智能体对事实所相信的内容)
命题逻辑 Propositional logic	事实	真/假/未知
一阶逻辑 First-order logic	事实、对象、关系	真/假/未知
时序逻辑 Temporal logic	事实、对象、关系、时间	真/假/未知
概率逻辑 Probability theory	事实	信度 $\in[0,1]$



# 一阶逻辑的模型: Example

9



# Syntax of FOL: Basic elements

10

- Constants/常量 KingJohn, 2, USTC,...
- Predicates/谓词 Brother,  $>$ ,...
- Functions/函数 Sqrt, LeftLegOf,...
- Variables/变量  $x, y, a, b, \dots$
- Connectives/连接词  $\neg, \Rightarrow, \wedge, \vee, \Leftrightarrow$
- Equality/等词  $=$
- Quantifiers/量词  $\forall, \exists$

# Atomic sentences (原子语句)

11

Term = *function* ( $term_1, \dots, term_n$ )  
or *constant* or *variable*

Atomic sentence = *predicate* ( $term_1, \dots, term_n$ )  
or  $term_1 = term_2$

- E.g., *Brother*(KingJohn, RichardTheLionheart)  
> (*Length*(LeftLegOf(Richard)), *Length*(LeftLegOf(KingJohn)))

# Complex sentences (复合语句)

12

Complex sentences are made from atomic sentences using connectives

$$\neg S, S_1 \wedge S_2, S_1 \vee S_2, S_1 \Rightarrow S_2, S_1 \Leftrightarrow S_2,$$

E.g.  $Sibling(KingJohn, Richard) \Rightarrow Sibling(Richard, KingJohn)$

$$>(1,2) \vee \leq(1,2)$$

$$>(1,2) \wedge \neg >(1,2)$$

# Truth in first-order logic

13

- 语句的真值由一个模型和对句子符号的解释来判定。  
Sentences are true with respect to a **model** and an **interpretation**
- **Model** contains objects (**domain elements**域元素) and relations among them
- 我们需要一个对分别被常量、谓词和函数符号指代的对象、关系和函数进行详细说明的解释  
Interpretation specifies referents (指代) for
  - constant symbols** → **objects**
  - predicate symbols** → **relations**
  - function symbols** → **functional relations**
- An atomic sentence  $\text{predicate}(\text{term}_1, \dots, \text{term}_n)$  is true iff the **objects** referred to by  $\text{term}_1, \dots, \text{term}_n$  are in the **relation** referred to by  $\text{predicate}$

# Truth example

14

Consider the interpretation in which

Richard  $\rightarrow$  Richard the Lionheart

John  $\rightarrow$  the evil King John

Brother  $\rightarrow$  the brotherhood relation

Under this interpretation, Brother(Richard, John) is true  
just in case Richard the Lionheart and the evil King  
John are in the brotherhood relation in the model

# Models for FOL: Lots!

15

Entailment (蕴涵) in propositional logic (命题逻辑) can be computed by enumerating (枚举) models

We can enumerate the FOL models for a given KB vocabulary:

For each number of domain elements  $n$  from 1 to  $\infty$

For each  $k$ -ary predicate ( $k$ 元谓词)  $P_k$  in the vocabulary

For each possible  $k$ -ary relation on  $n$  objects

For each constant symbol  $C$  in the vocabulary

For each choice of referent for  $C$  from  $n$  objects ...

Computing entailment by enumerating FOL models is not easy!

通过枚举所有可能模型以检验“语义后承”在一阶逻辑中不可行

# Universal quantification (全称量词)

16

$\forall <variables> <sentence>$

“对于所有的……”

Everyone at USTC is smart:

$\forall x \text{ At}(x, \text{USTC}) \Rightarrow \text{Smart}(x)$

$\forall x$   $P$  is true in a model  $m$  iff  $P$  is true with  $x$  being **each** possible object in the model

Roughly speaking, equivalent to the **conjunction** of **instantiations** (实例的合取式) of  $P$

$\text{At}(\text{KingJohn}, \text{USTC}) \Rightarrow \text{Smart}(\text{KingJohn})$   
 $\wedge$   $\text{At}(\text{Richard}, \text{USTC}) \Rightarrow \text{Smart}(\text{Richard})$   
 $\wedge$   $\text{At}(\text{USTC}, \text{USTC}) \Rightarrow \text{Smart}(\text{USTC})$   
 $\wedge \dots$



# A common mistake to avoid

17

Typically,  $\Rightarrow$  is the main connective with  $\forall$

在需要用全称量词书写一般规则的时候， $\Rightarrow$ 的真值表项是一个理想的选择

Common mistake: using  $\wedge$  as the main connective with  $\forall$ :

$$\forall x \text{ At}(x, \text{USTC}) \wedge \text{Smart}(x)$$

means “Everyone is at USTC and everyone is smart”

# Existential quantification (存在量词)

18

$\exists <variables> <sentence>$

“存在一个……，这样以致” 或 “对于某个……”

Someone at USTC is smart:

$\exists x \text{ At}(x, \text{USTC}) \wedge \text{Smart}(x)$

$\exists x$   $P$  is true in a model  $m$  iff  $P$  is true with  $x$  being **some** possible object in the model

Roughly speaking, equivalent to the **disjunction of instantiations** (实例的析取式) of  $P$

$\text{At}(\text{KingJohn}, \text{USTC}) \wedge \text{Smart}(\text{KingJohn})$

$\vee \text{ At}(\text{Richard}, \text{USTC}) \wedge \text{Smart}(\text{Richard})$

$\vee \text{ At}(\text{USTC}, \text{USTC}) \wedge \text{Smart}(\text{USTC})$

$\vee \dots$

# Another common mistake to avoid

19

Typically,  $\wedge$  is the main connective with  $\exists$

Common mistake: using  $\Rightarrow$  as the main connective with  $\exists$ :

$$\exists x \text{ At}(x, \text{USTC}) \Rightarrow \text{Smart}(x)$$

is true if there is anyone who is not at USTC!

# Properties of quantifiers

20

$\forall x \forall y$  is the same as  $\forall y \forall x$

$\exists x \exists y$  is the same as  $\exists y \exists x$

$\exists x \forall y$  is **not** the same as  $\forall y \exists x$

$\exists x \forall y \text{ Loves}(x,y)$

□ “There is a person who loves everyone in the world”

$\forall y \exists x \text{ Loves}(x,y)$

□ “Everyone in the world is loved by at least one person”

**Quantifier duality** (量词的二义性) : each can be expressed using the other

$\forall x \text{ Likes}(x, \text{IceCream})$

$\neg \exists x \neg \text{Likes}(x, \text{IceCream})$

$\exists x \text{ Likes}(x, \text{Broccoli})$

$\neg \forall x \neg \text{Likes}(x, \text{Broccoli})$

# Equality (等式)

21

$term_1 = term_2$  is true under a given interpretation if and only if  $term_1$  and  $term_2$  refer to the same object (指代的对象是相同的)

E.g., definition of *Sibling* in terms of *Parent*:

$$\forall x,y \text{ Sibling}(x,y) \Leftrightarrow [\neg(x = y) \wedge \exists m,f \neg(m = f) \wedge \text{Parent}(m,x) \wedge \text{Parent}(f,x) \wedge \text{Parent}(m,y) \wedge \text{Parent}(f,y)]$$

# Outline

22

- Why FOL?
- Syntax and semantics of FOL
- Using FOL
- Knowledge engineering (知识工程) in FOL

# Using FOL

23

The kinship (亲属关系) domain:

Brothers are siblings

$\forall x, y \text{ Brother}(x, y) \Rightarrow \text{Sibling}(x, y).$

"Sibling" is symmetric

$\forall x, y \text{ Sibling}(x, y) \Leftrightarrow \text{Sibling}(y, x).$

One's mother is one's female parent

$\forall x, y \text{ Mother}(x, y) \Leftrightarrow (\text{Female}(x) \wedge \text{Parent}(x, y)).$

A cousin is a child of a parent's sibling

$\forall x, y \text{ Cousin}(x, y) \Leftrightarrow \exists p, ps \text{ Parent}(p, x) \wedge \text{Sibling}(ps, p) \wedge \text{Parent}(ps, y)$

# Using FOL

24

The set (集合) domain:

集合就是空集或通过将一些元素添加到一个集合而构成

$$\forall s \text{ Set}(s) \Leftrightarrow (s = \{\}) \vee (\exists x, s_2 \text{ Set}(s_2) \wedge s = \{x | s_2\})$$

空集没有任何元素，也就是说，空集无法再分解为更小的集合和元素

$$\neg \exists x, s \{x | s\} = \{\}$$

将已经存在于集合中的元素添加到该集合，无任何变化

$$\forall x, s \ x \in s \Leftrightarrow s = \{x | s\}$$

集合的元素仅是那些被添加到集合中的元素

$$\forall x, s \ x \in s \Leftrightarrow [\exists y, s_2 (s = \{y | s_2\} \wedge (x = y \vee x \in s_2))]$$



# Using FOL

25

## The set (集合) domain:

一个集合是另一个集合的子集，当且仅当第一个集合的所有元素都是第二个集合的元素

$$\forall s_1, s_2 \quad s_1 \subseteq s_2 \Leftrightarrow (\forall x \quad x \in s_1 \Rightarrow x \in s_2)$$

两个集合是相同的，当且仅当它们互为子集

$$\forall s_1, s_2 \quad (s_1 = s_2) \Leftrightarrow (s_1 \subseteq s_2 \wedge s_2 \subseteq s_1)$$

一个对象是两个集合的交集的元素，当且仅当它同时是这两个集合的元素

$$\forall x, s_1, s_2 \quad x \in (s_1 \cap s_2) \Leftrightarrow (x \in s_1 \wedge x \in s_2)$$

一个对象是两个集合的并集的元素，当且仅当它是其中某一集合的元素

$$\forall x, s_1, s_2 \quad x \in (s_1 \cup s_2) \Leftrightarrow (x \in s_1 \vee x \in s_2)$$

# Interacting with FOL KBs

26

Suppose a wumpus-world agent is using an FOL KB and perceives a smell and a breeze (but no glitter) at  $t=5$ :

`Tell(KB, Percept([Smell, Breeze, None], 5))`

`Ask(KB,  $\exists a$  BestAction( $a$ , 5))`

I.e., does the KB entail some best action at  $t=5$ ?

Answer: Yes,  $\{a/\text{Shoot}\}$   $\leftarrow$  **substitution** (binding list 绑定表)

Given a sentence  $S$  and a substitution  $\sigma$ ,

$S\sigma$  denotes the result of plugging  $\sigma$  into  $S$ ; e.g.,

$S = \text{Smarter}(x, y)$

$\sigma = \{x/\text{Hillary}, y/\text{Bill}\}$

$S\sigma = \text{Smarter}(\text{Hillary}, \text{Bill})$

`Ask(KB,  $S$ )` returns some/all  $\sigma$  such that  $\text{KB} \models S\sigma$

# Knowledge base for the wumpus world

27

## Perception (感知)

$$\square \quad \forall t, s, b \quad \text{Percept}([s, b, \text{Glitter}], t) \Rightarrow \text{Glitter}(t)$$

## Reflex

$$\square \quad \forall t \quad \text{Glitter}(t) \Rightarrow \text{BestAction}(\text{Grab}, t)$$

**Reflex with internal state:** do we have the gold already?

$$\forall t \quad \text{AtGold}(t) \wedge \neg \text{Holding}(\text{Gold}, t) \Rightarrow \text{BestAction}(\text{Grab}, t)$$

$\text{Holding}(\text{Gold}, t)$  cannot be observed  $\Rightarrow$  keeping track of change is essential

# Deducing hidden properties

28

Definition of adjacent squares

$$\forall x,y,a,b \quad \text{Adjacent}([x,y],[a,b]) \Leftrightarrow [a,b] \in \{[x+1,y], [x-1,y], [x,y+1], [x,y-1]\}$$

Properties of squares:

$$\forall s,t \quad \text{At}(\text{Agent},s,t) \wedge \text{Breeze}(t) \Rightarrow \text{Breezy}(s)$$

Squares are breezy near a pit:

**Diagnostic** rule (诊断规则) — infer cause from effect

$$\forall s \quad \text{Breezy}(s) \Rightarrow \exists r \quad \text{Adjacent}(r,s) \wedge \text{Pit}(r)$$

**Causal** rule (因果规则) — infer effect from cause

$$\forall r,s \quad \text{Adjacent}(r,s) \wedge \text{Pit}(r) \Rightarrow \text{Breezy}(s)$$

Neither of these is complete — e.g., the causal rule doesn't say whether squares far away from pits can be breezy

**Definition** (定义) for the Breezy predicate:

$$\forall s \quad \text{Breezy}(s) \Leftrightarrow \exists r \quad \text{Adjacent}(r,s) \wedge \text{Pit}(r)$$

# Outline

29

- Why FOL?
- Syntax and semantics of FOL
- Using FOL
- Knowledge engineering (知识工程) in FOL

# Knowledge engineering (知识工程) in FOL

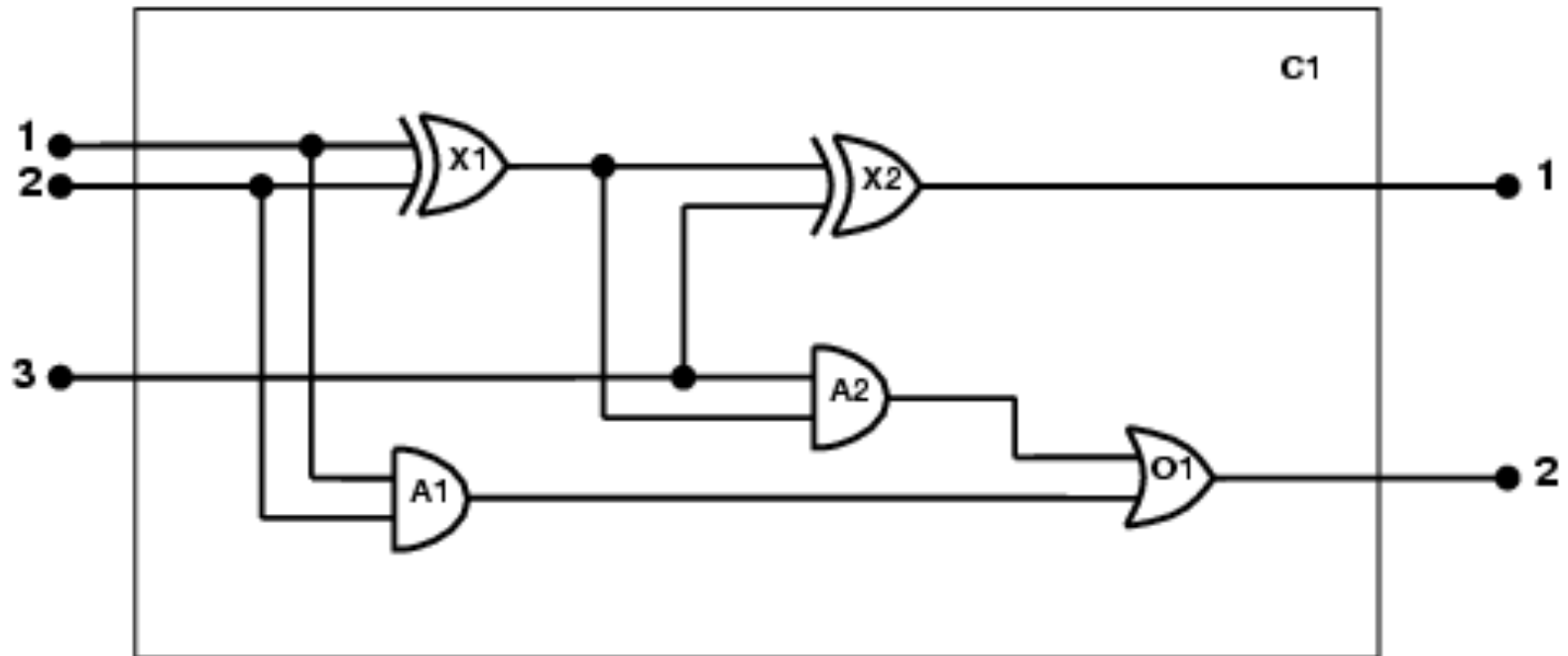
30

1. **Identify the task**  
确定任务
2. **Assemble the relevant knowledge**  
搜集相关知识
3. **Decide on a vocabulary of predicates, functions, and constants**  
确定谓词、函数和常量的词汇表
4. **Encode general knowledge about the domain**  
对域的通用知识进行编码
5. **Encode a description of the specific problem instance**  
对特定问题实例的描述进行编码
6. **Pose queries to the inference procedure and get answers**  
把查询提交给推理过程并获取答案
7. **Debug the knowledge base**  
调试知识库

# The electronic circuits (电路) domain

31

## One-bit full adder (一位全加器)



最初的两个输入是需要相加的两位，第三个输入是一个进位。  
第一个输出是和，第二个输出是下一个加法器的进位。

# The electronic circuits domain

32

1. Identify the task
  - ▣ Does the circuit actually add properly? (circuit verification)
2. Assemble the relevant knowledge
  - ▣ Composed of wires (导线) and gates (门) ; Types of gates (AND, OR, XOR, NOT)
  - ▣ Irrelevant: size, shape, color, cost of gates
3. Decide on a vocabulary (词汇表)
  - ▣ Alternatives:  
Type( $X_1$ ) = XOR  
Type( $X_1$ , XOR)  
XOR( $X_1$ )



# The electronic circuits domain

33

## 4. Encode (编码) general knowledge of the domain

(1) 如果两个接线端是相连的，那么它们具有相同的信号

$$\forall t_1, t_2 \text{ Connected}(t_1, t_2) \Rightarrow \text{Signal}(t_1) = \text{Signal}(t_2)$$

(2) 每个接线端的信号不是1就是0（不可能两者都是）

$$\forall t \text{ Signal}(t) = 1 \vee \text{Signal}(t) = 0 \\ 1 \neq 0$$

(3) Connected是一个可交换谓词

$$\forall t_1, t_2 \text{ Connected}(t_1, t_2) \Rightarrow \text{Connected}(t_2, t_1)$$

(4) 或门的输出为1，当且仅当它的某一个输入为1

$$\forall g \text{ Type}(g) = \text{OR} \Rightarrow \\ \text{Signal}(\text{Out}(1, g)) = 1 \Leftrightarrow \exists n \text{ Signal}(\text{In}(n, g)) = 1$$

(5) 与门的输出为0，当且仅当它的某一个输入为0

$$\forall g \text{ Type}(g) = \text{AND} \\ \Rightarrow \text{Signal}(\text{Out}(1, g)) = 0 \Leftrightarrow \exists n \text{ Signal}(\text{In}(n, g)) = 0$$

(6) 异或门的输出为1，当且仅当它的输入是不相同的

$$\forall g \text{ Type}(g) = \text{XOR} \\ \Rightarrow \text{Signal}(\text{Out}(1, g)) = 1 \Leftrightarrow \text{Signal}(\text{In}(1, g)) \neq \text{Signal}(\text{In}(2, g))$$

(7) 非门的输出与它的输入相反

$$\forall g \text{ Type}(g) = \text{NOT} \Rightarrow \text{Signal}(\text{Out}(1, g)) \neq \text{Signal}(\text{In}(1, g))$$

# The electronic circuits domain

34

## 5. Encode the specific problem instance

首先对门加以分类

Type( $X_1$ ) = XOR                      Type( $X_2$ ) = XOR

Type( $A_1$ ) = AND   Type( $A_2$ ) = AND

Type( $O_1$ ) = OR

其次说明门与门之间的连接

Connected(Out(1, $X_1$ ),In(1, $X_2$ ))

Connected(Out(1, $X_1$ ),In(2, $A_2$ ))

Connected(Out(1, $A_2$ ),In(1, $O_1$ ))

Connected(Out(1, $A_1$ ),In(2, $O_1$ ))

Connected(Out(1, $X_2$ ),Out(1, $C_1$ ))

Connected(Out(1, $O_1$ ),Out(2, $C_1$ ))

Connected(In(1, $C_1$ ),In(1, $X_1$ ))

Connected(In(1, $C_1$ ),In(1, $A_1$ ))

Connected(In(2, $C_1$ ),In(2, $X_1$ ))

Connected(In(2, $C_1$ ),In(2, $A_1$ ))

Connected(In(3, $C_1$ ),In(2, $X_2$ ))

Connected(In(3, $C_1$ ),In(1, $A_2$ ))

# The electronic circuits domain

35

6. Pose queries to the inference procedure—把查询提交给推理过程

What are the possible sets of values of all the terminals for the adder circuit?

对于1位全加器有哪些可能的输入与输出组合?

$$\exists i_1, i_2, i_3, o_1, o_2 \quad \text{Signal(In(1, } C_1)) = i_1 \wedge \text{Signal(In(2, } C_1)) = i_2 \wedge \text{Signal(In(3, } C_1)) = i_3 \wedge \\ \text{Signal(Out(1, } C_1)) = o_1 \wedge \text{Signal(Out(2, } C_1)) = o_2$$

7. Debug the knowledge base

May have omitted assertions like  $1 \neq 0$

对异或门(XOR)尤其重要:

$$\text{Signal(Out(1, } X_1)) = 1 \Leftrightarrow \text{Signal(In(1, } X_1)) \neq \text{Signal(In(2, } X_1))$$

# Summary

36

命题逻辑只是对事物的存在进行限定，而一阶逻辑对于对象和关系的存在进行限定，因而获得更强的表达能力。

## First-order logic:

- objects and relations are semantic primitives (基本)
- syntax: constants, functions, predicates, equality, quantifiers
  - 语句的真值由一个模型和对句子符号的解释来判定。

Increased expressive power: sufficient to define wumpus world

在一阶逻辑中开发知识库是一个细致的过程，包括对域进行分析、选择词汇表、对支持所需推理必不可少的公理进行编码。

# 作业

37

□ 8.6, 8.15 (第二版) =  $8.24(a-k)$ , 8.17 (第三版)