# 数据隐私方法伦理和实践 Methodology, Ethics and Practice of Data Privacy

# 安全基础 Basics of Security

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Human ingenuity cannot concoct a cypher which human ingenuity cannot resolve?

11

The core concept that allows us to actually prove things about security is the security definition.

— Mike Rosulek

# 1. Abstract Security Definition

### **Abstract View**

- Convenience: "any encryption scheme, when combined with this other thing in this specific way, results in a system with security property X, as long as the encryption scheme satisfies property Y.
- Modularity: you might be able to swap encryption scheme A for some encryption scheme B, as long as scheme B satisfies all of the security requirements.

# **Provable Security**

- A good abstraction for encryption
- >> How to write a security definition
- Formalisms for security definitions
- How to prove security with the hybrid technique
- >> How to demonstrate insecurity with attacks

[1]http://web.engr.oregonstate.edu/~rosulekm/crypto/

### **Syntax & Correctness**

### » Definition: Encryption syntax

A **symmetric-key encryption (SKE) scheme** consists of the following algorithms:

- ▶ KeyGen: a randomized algorithm that outputs a **key**  $k \in \mathcal{K}$ .
- ▶ Enc: a (possibly randomized) algorithm that takes a key  $k \in \mathcal{K}$  and **plaintext**  $m \in \mathcal{M}$  as input, and outputs a **ciphertext**  $c \in C$ .
- ▶ Dec: a deterministic algorithm that takes a key  $k \in \mathcal{K}$  and ciphertext  $c \in C$  as input, and outputs a plaintext  $m \in \mathcal{M}$ .

### **Syntax & Correctness**

### Definition: Correctness

An encryption scheme  $\Sigma$  satisfies **correctness** if for all  $k \in \Sigma$ . K and all  $m \in \Sigma$ . M,

$$\Pr\left[\Sigma.\mathsf{Dec}(k,\Sigma.\mathsf{Enc}(k,m))=m\right]=1.$$

- Enc is allowed to be a randomized algorithm.
- Decrypting a ciphertext, using the same key that was used for encryption, always results in the original plaintext.
- Not involve any adversarial behavior, so correct but not necessarily secure.

- A specific property that one-time pad satisfies:
- Attempt 1
  - For  $m \in \{0,1\}^{\lambda}$ , the output of the following subroutine is uniformly distributed over  $\{0,1\}^{\lambda}$

EAVESDROP
$$(m \in \{0, 1\}^{\lambda})$$
:
$$k \leftarrow \{0, 1\}^{\lambda}$$

$$c := k \oplus m$$

$$\text{return } c$$

We need a general-purpose definition.

EAVESDROP
$$(m \in \Sigma.\mathcal{M})$$
:
$$k \leftarrow \Sigma.\mathsf{KeyGen}$$

$$c \leftarrow \Sigma.\mathsf{Enc}(k,m)$$

$$\mathsf{return}\ c$$

- The output of this subroutine is uniformly distributed over what set?
  - plaintext space, key space, or ciphertext space?

### Attempt 2

•  $\Sigma$  is "secure" if, for all  $m \in \Sigma$ . M, the output of the following subroutine is uniformly distributed over  $\Sigma$ . C:

$$\frac{\text{EAVESDROP}(m \in \Sigma.\mathcal{M}):}{k \leftarrow \Sigma.\text{KeyGen}}$$

$$c \leftarrow \Sigma.\text{Enc}(k, m)$$

$$\text{return } c$$

### Adversaries as Distinguishers

• A game: an adversary can send me any input, and I will either run the left implementation or the right implementation. The adversary need to guess which implementation I'm using.

### Attempt 3

 Σ is "secure" if the following two implementations of an eavesdrop subroutine have the same input-output behavior.

EAVESDROP
$$(m \in \Sigma.\mathcal{M})$$
:  
 $k \leftarrow \Sigma.\mathsf{KeyGen}$   
 $c \leftarrow \Sigma.\mathsf{Enc}(k, m)$   
return  $c$ 

$$\frac{\text{EAVESDROP}(m \in \Sigma.\mathcal{M}):}{c \leftarrow \Sigma.C}$$
return  $c$ 

- Define "identical input-output behavior"
- Attempt 4
  - $\Sigma$  is "secure" if, for all calling programs A, connecting A with either the left or right version of eavesdrop does not change the output probability of A.

### No calling program can tell them apart!

EAVESDROP
$$(m \in \Sigma.\mathcal{M})$$
:
$$k \leftarrow \Sigma.\mathsf{KeyGen}$$

$$c \leftarrow \Sigma.\mathsf{Enc}(k,m)$$

$$\mathsf{return}\ c$$

$$\frac{\text{EAVESDROP}(m \in \Sigma.\mathcal{M}):}{c \leftarrow \Sigma.\mathcal{C}}$$
return  $c$ 

» Pros/cons of this security definition?

- Let us consider some (possibly strange) encryption schemes and seeing whether they satisfy the definition
- Construction: Doubled OTP

$$\mathcal{K} = \{0, 1\}^{\lambda}$$

$$\mathcal{M} = \{0, 1\}^{\lambda}$$

$$C = \{0, 1\}^{2\lambda}$$

$$KeyGen:$$

$$k \leftarrow \{0, 1\}^{\lambda}$$

$$c' := k \oplus m$$

$$c := c' || c'$$

$$return k$$

$$c := c' || c'$$

$$return c$$

$$c' := first \lambda \text{ bits of } c$$

$$return k \oplus c'$$

- Intuitively, this new scheme is just as secure as original one-time pad.
- » However, this doubled OTP does not satisfy the security definition attempt #4.

Attempt #4 requires that the following two subroutines implementations have the same input-output behavior:

# EAVESDROP(m): $k \leftarrow \{0, 1\}^{\lambda}$ $c' := k \oplus m$ return c' || c'

$$\frac{\text{EAVESDROP}(m):}{c \leftarrow \{0, 1\}^{2\lambda}}$$
 return  $c$ 

### $\mathcal{A}$ :

 $c := \text{EAVESDROP}(\mathbf{0}^{\lambda})$ 

L :=first half of c

R :=second half of c

return  $L \stackrel{?}{=} R$ 

- **Description** Left:  $Pr[\mathcal{A} \text{ outputs true}] = 1$
- **Pr**[ $\mathcal{A}$  outputs true] =  $1/2^{\lambda} < 1$

- Attempt #4 was slightly too strong.
  - It demands that Eavesdrop(m) was uniform.
  - The most important factor is Eavesdrop(m) and Eavesdrop(m') are the same distribution for all m and m'.

$$\frac{\text{EAVESDROP}(m \in \Sigma.\mathcal{M}):}{k \leftarrow \Sigma.\text{KeyGen}}$$

$$c \leftarrow \Sigma.\text{Enc}(k, m)$$

$$\text{return } c$$

EAVESDROP
$$(m \in \Sigma.\mathcal{M})$$
:
$$c \leftarrow \Sigma.C$$
return  $c$ 

### » Attempt 5 (chosen-plaintext attack):

•  $\Sigma$  is "secure" if, for all calling programs A (all strategies for choosing  $m_L$  and  $m_R$ ), connecting A with either the left or right version of eavesdrop does not change the output probability of A.

EAVESDROP(
$$m_L, m_R \in \Sigma.\mathcal{M}$$
):
$$k \leftarrow \Sigma.\mathsf{KeyGen}$$

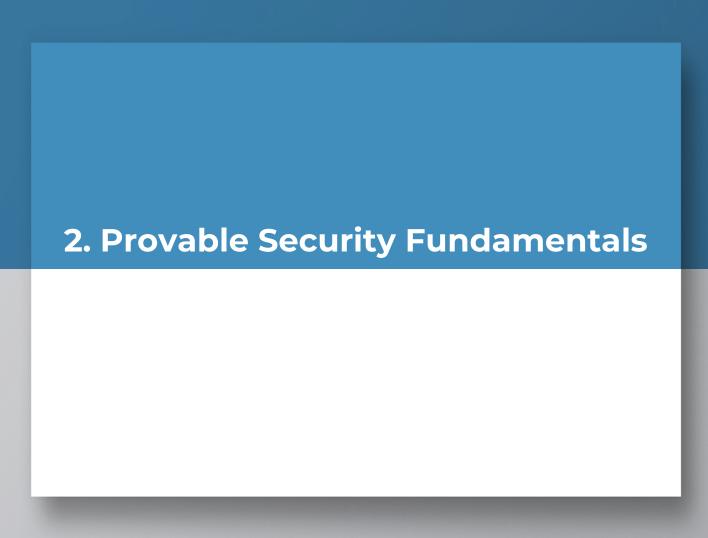
$$c \leftarrow \Sigma.\mathsf{Enc}(k, m_L)$$
return  $c$ 

EAVESDROP(
$$m_L, m_R \in \Sigma.\mathcal{M}$$
):
$$k \leftarrow \Sigma.\mathsf{KeyGen}$$

$$c \leftarrow \Sigma.\mathsf{Enc}(k, m_R)$$
return  $c$ 

### Attempt 5 (chosen-plaintext attack):

- The calling program chooses two plaintext  $m_L$ ,  $m_R$  and only one is encrypted.
- Seeing a ciphertext leaks no information about the choice of plaintext,
- even if you already knew some partial information about the choice of plaintext,
- even if you knew that it was one of only two options,
- even if you got to choose those two options!



### **Libraries & Interfaces**

- **A library**  $\mathcal{L}$  is a collection of subroutines and private/static variables.
- A library's interface consists of the names, argument types, and output type of all of its subroutines.
- A program  $\mathcal{A}$  includes calls to subroutines in the interface of  $\mathcal{L}$ .
- $\mathcal{A} \diamond \mathcal{L} \Rightarrow \mathbf{z}$ : the result of linking  $\mathcal{A}$  to  $\mathcal{L}$
- The only thing a calling program can do with a library is to call its subroutines (on any arguments of its choice) and receive the output of subroutines.

### **Libraries & Interfaces**

**Example:** here are two libraries  $\mathcal{L}_1$ ,  $\mathcal{L}_2$ , and calling program  $\mathcal{A}$ .

 $\mathcal{L}_1$   $\frac{\text{EAVESDROP}(m):}{k \leftarrow \{0, 1\}^{\lambda}}$   $c' := k \oplus m$  return c' || c'

 $\mathcal{L}_2$   $\frac{\text{EAVESDROP}(m):}{c \leftarrow \{\mathbf{0}, \mathbf{1}\}^{2\lambda}}$  return c

 $\mathcal{A}$ :  $c \coloneqq \text{EAVESDROP}(\mathbf{0}^{\lambda})$   $L \coloneqq \text{first half of } c$   $R \coloneqq \text{second half of } c$   $\text{return } L \stackrel{?}{=} R$ 

we argued that: 
$$\Pr[\mathcal{A} \diamond \mathcal{L}_1 \Rightarrow \textit{true}] = 1,$$
 
$$\Pr[\mathcal{A} \diamond \mathcal{L}_2 \Rightarrow \textit{true}] = 1/2^{\lambda}$$

### **Libraries & Interfaces**

**Example:** here is a simple library that picks a string s uniformly and allows the calling program to guess s:

$$\mathcal{L}$$

$$s \leftarrow \{0, 1\}^{\lambda}$$

$$\frac{\text{RESET}():}{s \leftarrow \{0, 1\}^{\lambda}}$$

$$\frac{\text{GUESS}(x \in \{0, 1\}^{\lambda}):}{\text{return } x \stackrel{?}{=} s}$$

**Our convention** is that code outside of a subroutine (like the first line here) is run once at initialization time.

### Interchangeability

- Whether two libraries have the same input-output behavior.
- **Definition: Interchangeable.** Let  $\mathcal{L}_1, \mathcal{L}_2$  be two libraries with a common interface. We say that  $\mathcal{L}_1, \mathcal{L}_2$  are interchangeable, and write  $\mathcal{L}_1 \equiv \mathcal{L}_2$ , if for all programs  $\mathcal{A}$  that output a single bit,  $\Pr[\mathcal{A} \diamond \mathcal{L}_1 \Rightarrow 1] = \Pr[\mathcal{A} \diamond \mathcal{L}_2 \Rightarrow 1]$
- Note the definition says that the two libraries have the same effect on all calling program.
- **Note** a calling program  $\mathcal{A}$ 's only goal is to distinguish between these particular libraries.

### Interchangeability

- **Example:** The following two libraries are interchangeable.
  - In the uniform distribution on  $\{0,1\}^{n+m}$ , each of the individual bits is distributed independently of the others.

$$\frac{\text{SAMPLE}():}{x \leftarrow \{0, 1\}^n}$$
$$y \leftarrow \{0, 1\}^m$$
$$\text{return } x || y$$

$$\frac{\text{SAMPLE}():}{z \leftarrow \{0, 1\}^{n+m}}$$
return z

### Interchangeability

>>> Example: the following two libraries are interchangeable. The library on the left samples s "eagerly" — as soon as it can. The library on the right samples s "lazily" — only at the last possible moment.

$$s \leftarrow \{0, 1\}^n$$

$$\frac{\text{GET}():}{\text{return } s}$$

$$\frac{\text{GET}():}{\text{if } s \text{ not defined:}}$$

$$s \leftarrow \{0, 1\}^n$$

$$\text{return } s$$

# **Security Definitions, Using New Terminology**

- Specifically about one-time pad can be written in terms of interchangeable libraries:
- Claim: OTP rule. The following two libraries are interchangeable:

$$\mathcal{L}_{ ext{otp-real}}$$
 $EAVESDROP(m \in \{0, 1\}^{\lambda}):$ 
 $k \leftarrow \{0, 1\}^{\lambda}$ 
 $ext{return } k \oplus m$ 

$$\mathcal{L}_{ ext{otp-rand}}$$
 EAVESDROP $(m \in \{0,1\}^{\lambda})$ :  $c \leftarrow \{0,1\}^{\lambda}$  return  $c$ 

### Security Definitions, Using New Terminology

**Definition:** Let Σ be an encryption scheme. We say that Σ has **one-time uniform ciphertexts** if  $\mathcal{L}_{ots\$-real}^{\Sigma} \equiv \mathcal{L}_{ots\$-rand}^{\Sigma}$  where:

$$\mathcal{L}_{\text{ots\$-real}}^{\Sigma}$$

$$\frac{\text{CTXT}(m \in \Sigma.\mathcal{M}):}{k \leftarrow \Sigma.\text{KeyGen}}$$

$$c \leftarrow \Sigma.\text{Enc}(k, m)$$

$$\text{return } c$$

$$\mathcal{L}_{\text{ots\$-rand}}^{\Sigma}$$

$$\frac{\text{CTXT}(m \in \Sigma.\mathcal{M}):}{c \leftarrow \Sigma.C}$$

$$\text{return } c$$

we will use the "\$" symbol to denote something random (or pseudorandom).

### **Security Definitions, Using New Terminology**

**Definition: One-time secrecy.** Let Σ be an encryption scheme. We say that Σ has **one-time secrecy** if  $\mathcal{L}_{ots-L}^{\Sigma} \equiv \mathcal{L}_{ots-R}^{\Sigma}$ , where:

$$\mathcal{L}_{ ext{ots-L}}^{\Sigma}$$
 $EAVESDROP(m_L, m_R \in \Sigma.\mathcal{M}):$ 
 $k \leftarrow \Sigma.KeyGen$ 
 $c \leftarrow \Sigma.Enc(k, m_L)$ 
 $return c$ 

$$\mathcal{L}_{ ext{ots-R}}^{\Sigma}$$

EAVESDROP $(m_L, m_R \in \Sigma.\mathcal{M})$ :
 $k \leftarrow \Sigma.\mathsf{KeyGen}$ 
 $c \leftarrow \Sigma.\mathsf{Enc}(k, m_R)$ 
return  $c$ 

# **Security Definitions**

- » One-time uniform ciphertexts, which states that ciphertexts should be uniformly distributed in  $\Sigma$ . C.
- ightharpoonup One-time secrecy, which states that all m result in the same ciphertext distribution (but that distribution need not be uniform).

# **Security Definitions**

- The libraries capture the attacker's view of things.
- Don't interpret one-time secrecy to mean "I'm not allowed to choose what to encrypt, I have to ask the adversary to choose for me."
- Do think "If I encrypt only one plaintext per key, then I am safe to encrypt things even if the attacker sees the resulting ciphertext and even if she has some influence or partial information on what I'm encrypting.

### **Kerckhoffs' Principle**

Werckhoffs' Principle says to assume that the adversary has full knowledge of the algorithms, and only lacks knowledge about the choice of keys.

### » Kerckhoffs' Principle, in our terminology:

- Assume that the distinguisher knows every fact in the universe, except for:
- which of the two possible libraries it is linked to,
- **2.** the outcomes of random choices made by the library.

# 3. How to Prove Security

### **Chaining Several Components**

- $\mathcal{A} \diamond \mathcal{L}_1 \diamond \mathcal{L}_2$
- ▶  $(\mathcal{A} \diamond \mathcal{L}_1) \diamond \mathcal{L}_2$ : a **compound calling program** linked to  $\mathcal{L}_2$ . After all,  $\mathcal{A} \diamond \mathcal{L}_1$  is a program that makes calls to the interface of  $\mathcal{L}_2$ .
- ▶ or:  $\mathcal{A} \diamond (\mathcal{L}_1 \diamond \mathcal{L}_2)$ :  $\mathcal{A}$  linked to a **compound library**. After all,  $\mathcal{A}$  is a program that makes calls to the interface of  $(\mathcal{L}_1 \diamond \mathcal{L}_2)$ .

### Lemma: Chaining

If  $\mathcal{L}_{left} \equiv \mathcal{L}_{right}$  then, for any library  $\mathcal{L}^*$ , we have  $\mathcal{L}^* \diamond \mathcal{L}_{left} \equiv \mathcal{L}^* \diamond \mathcal{L}_{right}$ .

$$\begin{split} \Pr[\mathcal{A} \diamond (\mathcal{L}^* \diamond \mathcal{L}_{left}) \Rightarrow 1] &= \Pr[(\mathcal{A} \diamond \mathcal{L}^*) \diamond \mathcal{L}_{left} \Rightarrow 1] & \text{ (change of perspective)} \\ &= \Pr[(\mathcal{A} \diamond \mathcal{L}^*) \diamond \mathcal{L}_{right} \Rightarrow 1] & \text{ (since } \mathcal{L}_{left} \equiv \mathcal{L}_{right}) \\ &= \Pr[\mathcal{A} \diamond (\mathcal{L}^* \diamond \mathcal{L}_{right}) \Rightarrow 1]. & \text{ (change of perspective)} \end{split}$$

### **One-Time Secrecy of One-Time Pad**

- We have already proved that OTP satisfied the one-time uniform ciphertexts definition.
- Theroem: Let  $\Sigma$  be an encryption scheme. If  $\Sigma$  has one-time uniform ciphertext, then  $\Sigma$  also has one-time secrecy.

$$\mathcal{L}_{\text{ots\$-real}}^{\Sigma} \equiv \mathcal{L}_{\text{ots\$-rand}}^{\Sigma} \implies \mathcal{L}_{\text{ots-L}}^{\Sigma} \equiv \mathcal{L}_{\text{ots-R}}^{\Sigma}.$$

Interpret: if all plaintexts m result in a uniform distribution of ciphertexts, then all m result in the same distribution of ciphertexts.

# How to Prove Security with the Hybrid Technique

**Proof:** to prove  $\mathcal{L}_{ots-L}^{\Sigma} \equiv \mathcal{L}_{ots-R}^{\Sigma}$ 

We show that

$$\mathcal{L}_{\text{ots-L}}^{\Sigma} \equiv \mathcal{L}_{\text{hyb-1}} \equiv \mathcal{L}_{\text{hyb-2}} \equiv \mathcal{L}_{\text{hyb-3}} \equiv \mathcal{L}_{\text{hyb-4}} \equiv \mathcal{L}_{\text{ots-R}}^{\Sigma}$$

We are allowed to use the fact that

$$\mathcal{L}_{\text{ots\$-real}}^{\Sigma} \equiv \mathcal{L}_{\text{ots\$-rand}}^{\Sigma}$$

This proof technique is called the hybrid technique.

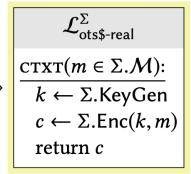
# How to Prove Security with the Hybrid Technique

- » Proof:
  - 1. start point

$$\mathcal{L}_{ ext{ots-L}}^{\Sigma}$$
:  $\dfrac{ ext{EAVESDROP}(m_L, m_R \in \Sigma.\mathcal{M}):}{k \leftarrow \Sigma. ext{KeyGen}}{c \leftarrow \Sigma. ext{Enc}(k, m_L)}{c ext{return } c}$ 

- » Proof:
  - 2. compound library with  $\mathcal{L}_{ ext{ots\$-real}}^{\Sigma}$

$$\mathcal{L}_{\mathsf{hyb-1}}: \begin{array}{|c|}\hline \texttt{EAVESDROP}(m_L, m_R \in \Sigma.\mathcal{M}):\\ \hline c := & \texttt{CTXT}(m_L)\\ \\ \texttt{return } c \\ \hline \end{array} \diamond$$

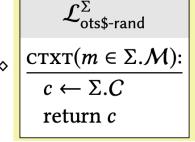


#### **>> Proof:**

- 3. replace  $\mathcal{L}_{ ext{ots\$-real}}^{\Sigma}$  with  $\mathcal{L}_{ ext{ots\$-rand}}^{\Sigma}$
- Chaining lemma

$$\mathcal{L}_{\mathsf{hyb-2}}$$
:

$$\mathcal{L}_{\mathsf{hyb-2}}$$
:  $\cfrac{\mathsf{EAVESDROP}(m_L, m_R \in \Sigma.\mathcal{M}):}{c \coloneqq \mathsf{CTXT}(m_L)}$  return  $c$ 

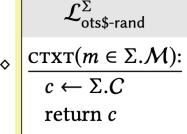


#### » Proof:

• 4. The argument to ctxt has been changed from  $m_L$  to  $m_R$ . This has no effect on the library's behavior since ctxt does not actually use its argument in these hybrids!

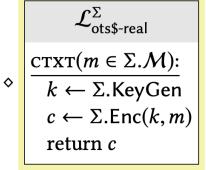
$$\mathcal{L}_{\mathsf{hyb} ext{-}3}$$
:

$$\frac{\text{EAVESDROP}(m_L, m_R \in \Sigma.\mathcal{M}):}{c \coloneqq \text{CTXT}(\underline{m_R})}$$
return  $c$ 



- » Proof:
  - 5. Chaining lemma

$$\mathcal{L}_{\mathsf{hyb-4}}$$
:  $\begin{vmatrix} \mathtt{EAVESDROP}(m_L, m_R \in \Sigma.\mathcal{M}) : \\ c := \mathtt{CTXT}(m_R) \\ \mathtt{return} \ c \end{vmatrix}$ 



return c

- » Proof:
  - 6. result

$$\mathcal{L}^{\Sigma}_{ ext{ots-R}}$$
:

$$\frac{\text{EAVESDROP}(m_L, m_R \in \Sigma.\mathcal{M}):}{k \leftarrow \Sigma.\mathsf{KeyGen}}$$

$$c \leftarrow \Sigma.\mathsf{Enc}(k, m_R)$$

#### **Summary of the Hybrid Technique**

- Proving security means showing that two particular libraries, say  $L_{left}$  and  $L_{right}$  are interchangeable.
- Often  $L_{left}$  and  $L_{right}$  are significantly different, making them hard to compare directly. To make the comparison more manageable, we can show a sequence of (interchangeable) hybrid libraries. The idea is to break up the large "gap" into smaller ones that are easier to justify.
- With each modification you should justify why it doesn't affect the calling program.

#### Does this scheme have one-time secrecy?

$$\mathcal{K} = \left\{ egin{array}{l} permutations \ of \{1, \dots, \lambda\} \end{array} 
ight\}$$
 $\mathcal{M} = \{\mathbf{0}, \mathbf{1}\}^{\lambda}$ 
 $C = \{\mathbf{0}, \mathbf{1}\}^{\lambda}$ 

$$\frac{\text{KeyGen:}}{k \leftarrow \mathcal{K}}$$

$$\text{return } k$$

Enc
$$(k, m)$$
:
for  $i := 1$  to  $\lambda$ :
$$c_{k(i)} := m_i$$
return  $c_1 \cdots c_{\lambda}$ 

$$\frac{\mathrm{Dec}(k,c):}{\text{for } i \coloneqq 1 \text{ to } \lambda:}$$

$$m_i \coloneqq c_{k(i)}$$

$$\mathrm{return } m_1 \cdots m_{\lambda}$$

- To show that a scheme is insecure, we just have to show that the two relevant libraries are **not** interchangeable.
- Attack: we have to **find just one** calling program that behaves differently in the presence of the two libraries!

Construction:

$$\mathcal{K} = \left\{ egin{array}{l} permutations \ of \{1, \dots, \lambda\} \end{array} 
ight\}$$
 $\mathcal{M} = \{\mathbf{0}, \mathbf{1}\}^{\lambda}$ 
 $C = \{\mathbf{0}, \mathbf{1}\}^{\lambda}$ 

$$\frac{\mathsf{Enc}(k,m):}{\text{for } i \coloneqq 1 \text{ to } \lambda:}$$

$$c_{k(i)} \coloneqq m_i$$

$$\mathsf{return } c_1 \cdots c_{\lambda}$$

$$\frac{\text{KeyGen:}}{k \leftarrow \mathcal{K}}$$

$$\text{return } k$$

$$\frac{\mathsf{Dec}(k,c):}{\mathsf{for}\ i := 1\ \mathsf{to}\ \lambda:} \\ m_i := c_{k(i)} \\ \mathsf{return}\ m_1 \cdots m_{\lambda}$$

- This scheme encrypts a plaintext by simply rearranging its bits according to the secret permutation k.
- It does not have one-time secrecy.

#### >> Proof:

• To construct a program  $\mathcal{A}$  that  $\Pr[\mathcal{A} \circ \mathcal{L}_{ost-L}^{\Sigma} \Rightarrow \mathbf{1}] = \Pr[\mathcal{A} \circ \mathcal{L}_{ost-R}^{\Sigma} \Rightarrow \mathbf{1}]$  are different.

#### >> Proof:

- To construct a program  $\mathcal{A}$  that  $\Pr[\mathcal{A} \circ \mathcal{L}_{ost-L}^{\Sigma} \Rightarrow 1] = \Pr[\mathcal{A} \circ \mathcal{L}_{ost-R}^{\Sigma} \Rightarrow 1]$  are different.
- One obervation: the ciphertext preserves (leaks) the number of 0s and 1s in the plaintext.
- We must specify how  $m_L$  and  $m_R$  are chosen, e.g., with different numbers of 0s and 1s.

#### >> Proof:

We define the following distinguisher:

$$\mathcal{A}$$

$$c \leftarrow \text{EAVESDROP}(0^{\lambda}, 1^{\lambda})$$

$$return  $c \stackrel{?}{=} 0^{\lambda}$ 

$$\downarrow \text{EAVESDROP}(m_L, m_R):$$

$$k \leftarrow \{\text{permutations of } \{1, \dots, \lambda\}\} \\
\text{for } i := 1 \text{ to } \lambda:$$

$$c_{k(i)} := (m_L)_i$$

$$\text{return } c_1 \cdots c_{\lambda}$$$$

We can see that  $m_L$  takes on the value  $0^{\lambda}$ , so each bit of  $m_L$  is 0, and each bit of c is 0. Hence, the final output of  $\mathcal{A}$  is always 1 (true):

$$\Pr[\mathcal{A} \diamond \mathcal{L}_{\text{ots-L}}^{\Sigma} \Rightarrow 1] = 1.$$

#### » Proof (Continued):

$$\begin{array}{c}
\mathcal{A} \\
c \leftarrow \text{EAVESDROP}(\mathbf{0}^{\lambda}, \mathbf{1}^{\lambda}) \\
\text{return } c \stackrel{?}{=} \mathbf{0}^{\lambda}
\end{array}$$

$$\mathcal{L}_{ ext{ots-R}}^{\Sigma}$$
  $\underbrace{ egin{array}{l} ext{EAVESDROP}(m_L, m_R): \\ ext{$k \leftarrow \{ ext{permutations of } \{1, \dots, \lambda \} \} \\ ext{for $i \coloneqq 1$ to $\lambda$:} \\ ext{$c_{k(i)} \coloneqq (m{m_R})_i$ \\ ext{return $c_1 \cdots c_{\lambda}$} \end{array} }.$ 

We can see that each bit of  $m_R$ , and hence each bit of c, is 1. So  $\mathcal{A}$  will always output 0 (false), giving:

$$\Pr[\mathcal{A} \diamond \mathcal{L}_{\text{ots-R}}^{\Sigma} \Rightarrow 1] = 0.$$

The two probabilities are different, demonstrating that  $\mathcal{A}$  behaves differently (in fact, as differently as possible) when linked to the two libraries. We conclude that Construction 2.11 does **not** satisfy the definition of one-time secrecy.

66

This is no security, for a practical key should not be too long. But this does not consider how easy or difficult it is for the enemy to make the computation determining the key. If this computation, although possible in principle, were sufficiently long at best then the process could still be secure in a practical sense.

--Nash

## **Computational Security**

It doesn't really matter whether attacks are impossible, only whether attacks are computationally infeasible.

- Schemes like one-time pad cannot be broken, even by an adversary that performs a bruteforce attack.
- However, all future schemes that we will see can indeed be broken by such an attack.
- Nash points out that, for a scheme with  $\lambda$ -bit keys is easily made impractical for the enemy by simply choosing  $\lambda$  large enough.
- $\lambda$  is the security parameter, which makes the difficulty of a bruteforce attack grow exponentially fast.

clock cycles	approx cost	reference
$2^{50}$	\$3.50	cup of coffee
$2^{55}$	\$100	decent tickets to a Portland Trailblazers game
$2^{65}$	\$130,000	median home price in Oshkosh, WI
$2^{75}$	\$130 million	budget of one of the Harry Potter movies
$2^{85}$	\$140 billion	GDP of Hungary
$2^{92}$	\$20 trillion	GDP of the United States
$2^{99}$	\$2 quadrillion	all of human economic activity since 300,000 $BC^4$
$2^{128}$	really a lot	a billion human civilizations' worth of effort

In 2017, the first collision in the SHA-1 hash function was found. The attack involved evaluating the SHA-1 function  $2^{63}$  times on a cluster of GPUs.

The monetary cost of the attack:

Had the researchers performed their attack on Amazon's Web Services platform, it would have cost \$560,000 at normal pricing.

But, what is the line between "feasible" attacks and "infeasible" ones.

Nash: how does the cost of a computation scale as the security parameter  $\lambda$  goes to infinity?

#### **Asymptotic Running Time**

This is at best exponential and at worst probably a relatively small power of  $\lambda$ ,  $a \cdot \lambda^2$  or  $a \cdot \lambda^3$ , as in substitution ciphers.

- A program runs in polynomial time if there exists a constant c > 0 such that for all sufficiently long input strings x, the program stops after no more than  $O(|x|^c)$  steps.
- Closure property: repeating a polynomial-time process a polynomial number of times results in a polynomial-time process overall.
- Our goal will be to ensure that no polynomial-time attack can successfully break security.
- We will not worry about attacks like brute-force that require exponential time.

- » Potential Pitfall: Numerical Algorithms
  - Representing the number N on a computer requires only  $\log_2 N$  bits.  $\log_2 N$ , rather than N, is our security parameter.
- » some numerical operations

Efficient algorithm known:	No known efficient algorithm:
Computing GCDs	Factoring integers
Arithmetic mod N	Computing $\phi(N)$ given $N$
Inverses mod N	Discrete logarithm
Exponentiation mod N	Square roots mod composite N

"efficient" means polynomial-time.

Those operations do have known polynomial-time algorithms on quantum computers

66

Is it enough to consider the running time of an attack?

Even a simple guess still has a nonzero chance of breaking security!

#### "Negligible" Success Probability

- We don't want to worry about attacks that are as expensive as a brute-force attack.
- We don't want to worry about attacks whose success probability is as low as a blind-guess attack.

probability	equivalent	
$2^{-10}$	full house in 5-card poker	
$2^{-20}$	royal flush in 5-card poker	
$2^{-28}$	you win this week's Powerball jackpot	
$2^{-40}$	royal flush in 2 consecutive poker games	
$2^{-60}$	the next meteorite that hits Earth lands in this square $\rightarrow$	

Where to draw the line between "reasonable" and "unreasonable" success probability for an attack?

#### "Negligible" Success Probability

- Consider how fast a success probability approaches zero as the security parameter grows.
- In a scheme with  $\lambda$ -bit keys, a blind-guessing attack succeeds with probability  $f(\lambda) = 1/2^{\lambda}$ , an adversary who makes  $\lambda^c$  guesses, we have:

$$\lim_{\lambda \to \infty} \frac{\lambda^c}{2^{\lambda}} = 0$$

A function  $f(\lambda)$  is **negligible** if, for every polynomial function p, we have  $\lim_{\lambda \to \infty} P(\lambda) f(\lambda) = 0$ 

It is supposed to hold against all polynomial-time adversaries.

### "Negligible" Success Probability

#### Definition:

If  $f, g: N \to R$  are two functions, we write  $f \approx g$  to mean that  $|f(\lambda) = g(\lambda)|$  is negligible function.

 $\Pr[X] \approx 0 \Leftrightarrow$  "event X almost never happens"  $\Pr[Y] \approx 1 \Leftrightarrow$  "event Y almost always happens"  $\Pr[A] \approx \Pr[B] \Leftrightarrow$  "events A and B happen with essentially the same probability".

Transitive applied a polynomial number of times:

If  $Pr[X] \approx Pr[Y]$ ,  $Pr[Y] \approx Pr[Z]$ , then  $Pr[X] \approx Pr[Z]$ 



Interchangeable libraries require that two libraries have exactly the same effect on every calling program.

In practice, we only consider polynomial-time calling programs; we don't require the libraries to have exactly the same effect on the calling program, only that the difference in effects is negligible.

» Indistinguishability:

Let  $L_{left}$  and  $L_{right}$  be two libraries with a common interface. We say that  $L_{left}$  and  $L_{right}$  are **indistinguishable**, and write  $L_{left} \approx L_{right}$ , if for all polynomial-time programs A that output a single bit,

$$\Pr[A \diamond L_{left} \Rightarrow 1] \approx \Pr[A \diamond L_{right} \Rightarrow 1].$$

**>>**  $\Pr[A \diamond L_{left} \Rightarrow 1] - \Pr[A \diamond L_{right} \Rightarrow 1]$  is the **advantage** or bias of A in distinguishing  $L_{left}$  and  $L_{right}$ .

Two libraries are indistinguishable if all polynomial-time calling programs have **negligible advantage** in distinguishing them.

#### » An example:

- the calling program tries to predict which string will be chosen when uniformly sampling from  $\{0,1\}^{\lambda}$ .
- The left library tells whether its prediction was correct.
- The right library always returns false.

$L_{left}$	$L_{right}$
Predict(x): $s \to \{0,1\}^{\lambda}$ Return true if $x = s$ , Return false otherwise	Predict(x): return false

How to distinguish two libraries?

The calling program A calls predict q times and outputs 1 if it ever received true as a response.

The calling program A

do q times: if predict( $0^{\lambda}$ ) = true return 1 return 0

$$\begin{split} \Pr[A \diamond L_{right} \Rightarrow 1] &= 0 \\ \Pr[A \diamond L_{left} \Rightarrow 1] &= 1 - \left(1 - \frac{1}{2^{\lambda}}\right)^{q} \leq \frac{q}{2^{\lambda}} \\ |\Pr[A \diamond L_{left} \Rightarrow 1] &\leq Pr[first \ call \ to \ predict \ returns \ true\} + Pr[second \ call \ to \ predict \ returns \ true\} + ... = \frac{q}{2^{\lambda}} \\ |\Pr[A \diamond L_{left} \Rightarrow 1] - \Pr[A \diamond L_{right} \Rightarrow 1]| &\leq \frac{q}{2^{\lambda}} \\ \frac{q}{2^{\lambda}} \ \text{are negligible when A is polynomial} \end{split}$$

Other properties:

If 
$$\mathcal{L}_1 \equiv \mathcal{L}_2$$
 then  $\mathcal{L}_1 \approx \mathcal{L}_2$ . Also, if  $\mathcal{L}_1 \approx \mathcal{L}_2 \approx \mathcal{L}_3$  then  $\mathcal{L}_1 \approx \mathcal{L}_3$ .

If  $\mathcal{L}_{left} \approx \mathcal{L}_{right}$  then  $\mathcal{L}^* \diamond \mathcal{L}_{left} \approx \mathcal{L}^* \diamond \mathcal{L}_{right}$  for any polynomial-time library  $\mathcal{L}^*$ .

We can prove indistinguishability using the hybrid technique.

- Both libraries provide a SAMP subroutine that samples a random element of  $\{0,1\}^{\lambda}$ .
  - What is the distinguishing strategy?
  - Are the libraries interchangeable?
  - Are they indistinguishable?

$$\mathcal{L}_{\text{samp-L}}$$

$$\frac{\text{SAMP():}}{r \leftarrow \{0, 1\}^{\lambda}}$$

$$\text{return } r$$

$$\mathcal{L}_{samp-R}$$

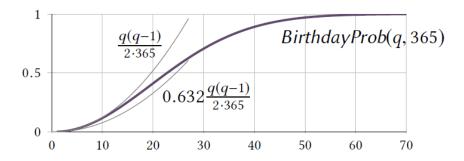
$$R := \emptyset$$

$$\frac{samp():}{r \leftarrow \{0, 1\}^{\lambda} \setminus R}$$

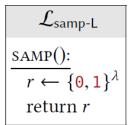
$$R := R \cup \{r\}$$

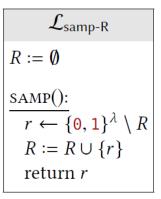
$$return r$$

- **Birthday probabilities (birthday paradox)**: If q people are in a room, what is the probability that two of them have the same birthday (if we assume that each person's birthday is uniformly chosen from among the possible days in a year)?
- **»**  $BirthdayProb(q, N) = 1 \prod_{i=1}^{q-1} (1 \frac{i}{N})$



- Best possible distinguishing strategy: call samp many times, If you ever see a repeated output, then you must certainly be linked to  $\mathcal{L}_{samp-L}$ .
- The advantage is exactly  $BirthdayProb(q, 2^{\lambda}) = \Theta(q^2/2^{\lambda})$ .
- They are not interchangeable.
- They are indistinguishable.





#### **THANKS!**

## Any questions?

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