### Current status of $\varepsilon_K$ and $|V_{cb}|$ in lattice QCD

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# CKM matrix elements

### Charged Current Lagrangian in Quark Sector of the SM

$$\mathcal{L}_{W} = \frac{g_{w}}{\sqrt{2}} \sum_{i=1,2,3} \sum_{k=1,2,3} \left[ V_{jk} \bar{u}_{jL} \gamma^{\mu} d_{kL} W_{\mu}^{+} + V_{jk}^{*} \bar{d}_{kL} \gamma^{\mu} u_{jL} W_{\mu}^{-} \right]$$

where

$$u_j = \begin{pmatrix} u \\ c \\ t \end{pmatrix}, \qquad d_k = \begin{pmatrix} d \\ s \\ b \end{pmatrix}$$

and

$$V_{jk} = egin{pmatrix} V_{ud} & V_{us} & V_{ub} \ V_{cd} & V_{cs} & V_{cb} \ V_{td} & V_{ts} & V_{tb} \end{pmatrix}$$

#### CKM matrix elements

Standard Parametrization:

$$V = \begin{pmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13}e^{-i\delta} \\ -s_{12}c_{23} - c_{12}s_{23}s_{13}e^{i\delta} & c_{12}c_{23} - s_{12}s_{23}s_{13}e^{i\delta} & s_{23}c_{13} \\ s_{12}s_{23} - c_{12}c_{23}s_{13}e^{i\delta} & -s_{23}c_{12} - s_{12}c_{23}s_{13}e^{i\delta} & c_{23}c_{13} \end{pmatrix}$$

Wolfenstein Parametrization:

$$egin{aligned} s_{12} &= \lambda, & s_{23} &= A\lambda^2, & s_{13} &= A\lambda^3\sqrt{
ho^2 + \eta^2}, \ V &= egin{pmatrix} 1 - rac{\lambda^2}{2} & \lambda & A\lambda^3(
ho - i\eta) \ -\lambda & 1 - rac{\lambda^2}{2} & A\lambda^2 \ A\lambda^3(1 - 
ho - i\eta) & -A\lambda^2 & 1 \end{pmatrix} + \mathcal{O}(\lambda^4) \end{aligned}$$

where  $\lambda = |V_{us}| \cong 0.22, \quad A \cong 0.83, \quad \rho \cong 0.16, \quad \eta \cong 0.35$ 

# [Meson]-[Anti-Meson] Mixing

## $M - \overline{M}$ Mixing

• It is possible only for the 4 neutral mesons.

• 
$$K_0 \cong \bar{s}d \longleftrightarrow \overline{K}_0 \cong s\bar{d}$$

$$497.611(13) \,\mathrm{MeV} \cong 0.5 \,\mathrm{GeV}$$

$$\bullet \ D_0 \cong c\bar{u} \longleftrightarrow \overline{D}_0 \cong \bar{c}u$$

$$1864.83(5) \,\mathrm{MeV} \cong 1.9 \,\mathrm{GeV}$$

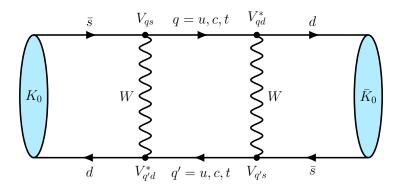
$$\bullet \ B_d \cong \bar{b}d \longleftrightarrow \overline{B}_d \cong b\bar{d}$$

$$5279.64(13) \,\mathrm{MeV} \cong 5.3 \,\mathrm{GeV}$$

• 
$$B_s \cong \overline{b}s \longleftrightarrow \overline{B}_s \cong b\overline{s}$$

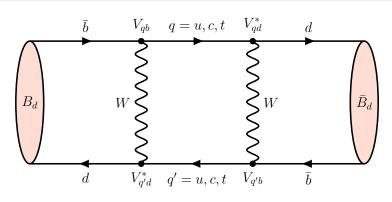
$$5366.88(17)\,\mathrm{MeV}\cong5.4\,\mathrm{GeV}$$

# $K_0 - \overline{K}_0$ Mixing



- This is the main topic of the talk.
- Hence, we will discuss it later.

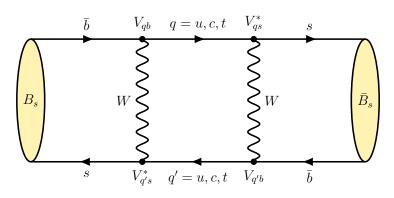
### $B_d - \overline{B}_d$ Mixing



- t-t box  $\to x_t(V_{tb}V_{td}^*)^2 \cong x_tA^2\lambda^6(1-\rho+i\eta)^2$  with  $x_t=(m_t/m_W)^2$
- c-c box  $\rightarrow x_c(V_{cb}V_{cd}^*)^2 \cong x_cA^2\lambda^6 \cong \frac{1}{16000} \times [t-t]$  box]
- $c t \text{ box} \rightarrow \sqrt{x_c x_t} (V_{cb} V_{cd}^* \cdot V_{tb} V_{td}^*) \cong -\sqrt{x_c x_t} A^2 \lambda^6 (1 \rho + i\eta)$

$$\Delta m_d = \frac{G_F^2}{6\pi^2} M_{B_d} f_{B_d}^2 \hat{B}_{B_d} M_W^2 S(x_t) (V_{tb} V_{td}^*)^2$$

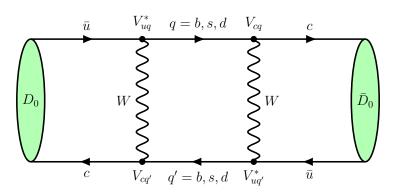
## $B_s - \overline{B}_s$ Mixing



- t t box  $\rightarrow x_t (V_{tb} V_{ts}^*)^2 \cong x_t A^2 \lambda^4$  with  $x_t = (m_t/m_W)^2$
- c-c box  $\rightarrow x_c(V_{cb}V_{cs}^*)^2 \cong x_cA^2\lambda^4 \cong \frac{1}{16000} \times [t-t]$  box]
- c t box  $\rightarrow \sqrt{x_c x_t} (V_{cb} V_{cs}^* \cdot V_{tb} V_{ts}^*) \cong -\sqrt{x_c x_t} A^2 \lambda^4$

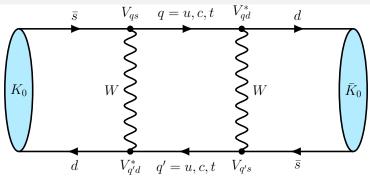
$$\Delta m_s = \frac{G_F^2}{6\pi^2} M_{B_s} f_{B_s}^2 \hat{B}_{B_s} M_W^2 S(x_t) (V_{tb} V_{ts}^*)^2$$

### $D_0 - \overline{D}_0$ Mixing



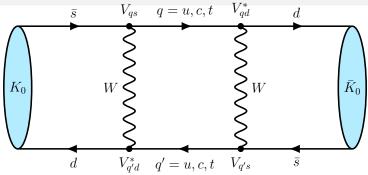
- b b box  $\to x_b (V_{cb} V_{ub}^*)^2 \cong x_b A^4 \lambda^{10} (\rho + i\eta)^2$  with  $x_b = (m_b/m_W)^2$
- s-s box  $\rightarrow x_s(V_{cs}V_{us}^*)^2 \cong x_s\lambda^2 \cong 200 \times [b-b$  box]
- d-d box  $\rightarrow x_d(V_{cd}V_{ud}^* \cdot V_{cd}V_{ud}^*) \cong x_d\lambda^2 \cong [b-b$  box]
- Hence, the long distance effect from the s-s box becomes dominant and important.  $\rightarrow$  Very tough in lattice QCD.

## $\Delta M_K$ : Real Part of $K_0 - \overline{K}_0$ Mixing



- t-t box  $\to x_t (V_{ts}V_{td}^*)^2 \cong x_t A^4 \lambda^{10} (1-\rho+i\eta)^2$  with  $x_t=(m_t/m_W)^2$
- c-c box  $\to x_c(V_{cs}V_{cd}^*)^2 \cong x_c\lambda^2 \cong 25 \times \text{Re}[t-t \text{ box}]$
- u u box  $\rightarrow x_u (V_{us} V_{ud}^*)^2 \cong x_u \lambda^2 \cong \frac{1}{2800} \times \text{Re}[t t \text{ box}]$
- Hence, the c-c box becomes dominant. Hence, the long distance effect ( $\approx 30\%$ ) becomes important.

## $\varepsilon_K$ : Imaginary Part of $K_0 - K_0$ Mixing

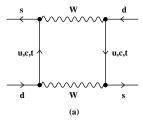


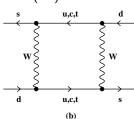
- $t-t \rightarrow x_t \text{Im}(V_{ts}V_{td}^*)^2 \cong 2x_t A^4 \lambda^{10} (1-\rho) \eta$  with  $x_t = (m_t/m_W)^2$
- $c-c \to x_c \operatorname{Im}(V_{cs} V_{cd}^*)^2 \cong -2x_c A^2 \lambda^6 \eta \cong -\frac{1}{25} \times \operatorname{Re}[t-t \text{ box}]$
- $c t \rightarrow 2\sqrt{x_c x_t} \operatorname{Re}(V_{cs} V_{cd}^*) \operatorname{Im}(V_{ts} V_{td}^*) \cong 2\sqrt{x_c x_t} A^2 \lambda^6 \eta \cong +\frac{1}{5} \times \operatorname{Re}[t t \text{ box}]$
- Hence, the t-t box is dominant (86%), the c-t box is sub-dominant (17%), and the c-c box is small and negative(-3.4%).

# CP Violation in Neutral Kaons

### Kaon Eigenstates and $\varepsilon$

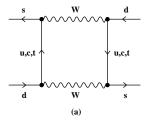
ullet Flavor eigenstates,  $K^0=(ar s d)$  and  $ar K^0=(s ar d)$  mix via box diagrams.

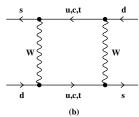




### Kaon Eigenstates and $\varepsilon$

ullet Flavor eigenstates,  $K^0=(ar sd)$  and  $ar K^0=(sar d)$  mix via box diagrams.



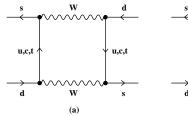


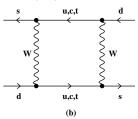
• CP eigenstates  $K_1$ (even) and  $K_2$ (odd).

$$K_1 = rac{1}{\sqrt{2}}(K^0 - ar{K}^0) \qquad K_2 = rac{1}{\sqrt{2}}(K^0 + ar{K}^0)$$

### Kaon Eigenstates and $\varepsilon$

ullet Flavor eigenstates,  $K^0=(ar sd)$  and  $ar K^0=(sar d)$  mix via box diagrams.





• CP eigenstates  $K_1$ (even) and  $K_2$ (odd).

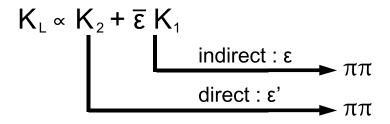
$$K_1 = \frac{1}{\sqrt{2}}(K^0 - \bar{K}^0) \qquad K_2 = \frac{1}{\sqrt{2}}(K^0 + \bar{K}^0)$$

• Neutral Kaon eigenstates  $K_S$  and  $K_L$ .

$$\mathcal{K}_{S} = \frac{1}{\sqrt{1+|\bar{\varepsilon}|^2}}(\mathcal{K}_1+\bar{\varepsilon}\mathcal{K}_2) \qquad \mathcal{K}_{L} = \frac{1}{\sqrt{1+|\bar{\varepsilon}|^2}}(\mathcal{K}_2+\bar{\varepsilon}\mathcal{K}_1)$$

#### Indirect CP violation and direct CP violation

- $\Gamma_{K_L} \cong 500 \times \Gamma_{K_S} \rightarrow$  only for neutral Kaons.
- It is possible to produce a high quality beam of  $K_L$ .



- $|\varepsilon_K| = |\varepsilon| \cong 2.2 \times 10^{-3}$ .
- $|\varepsilon'/\varepsilon| \cong 1.7 \times 10^{-3}$ .

# $\varepsilon_K$ and $\hat{B}_K$ , $V_{cb}$ I

• Definition of  $\varepsilon_K$ 

$$\varepsilon_{K} \equiv \frac{A[K_{L} \rightarrow (\pi\pi)_{I=0}]}{A[K_{S} \rightarrow (\pi\pi)_{I=0}]}, \quad |\varepsilon_{K}| = 2.228(11) \times 10^{-3}$$

• Master formula for  $\varepsilon_K$  in the Standard Model.

$$\begin{split} \varepsilon_{\mathcal{K}} &= \exp(i\theta) \; \sqrt{2} \; \sin(\theta) \left( \; C_{\varepsilon} \; X_{\text{SD}} \; \hat{B}_{\mathcal{K}} + \frac{\xi_{0}}{\sqrt{2}} + \xi_{\text{LD}} \right) \\ &+ \mathcal{O}(\omega \varepsilon') + \mathcal{O}(\xi_{0} \Gamma_{2} / \Gamma_{1}) \\ X_{\text{SD}} &= \operatorname{Im} \lambda_{t} \left[ \operatorname{Re} \lambda_{c} \; \eta_{cc} \; S_{0}(x_{c}) - \operatorname{Re} \lambda_{t} \; \eta_{tt} \; S_{0}(x_{t}) \right. \\ &\left. - \left( \operatorname{Re} \lambda_{c} - \operatorname{Re} \lambda_{t} \right) \; \eta_{ct} \; S_{0}(x_{c}, x_{t}) \right] \end{split}$$

# $\varepsilon_K$ and $\hat{B}_K$ , $V_{cb}$ II

$$\lambda_i = V_{is}^* V_{id}, \qquad x_i = m_i^2/M_W^2, \qquad C_\varepsilon = \frac{G_F^2 F_K^2 m_K M_W^2}{6\sqrt{2} \pi^2 \Delta M_K}$$
 
$$\frac{\xi_0}{\sqrt{2}} = \frac{1}{\sqrt{2}} \frac{\mathrm{Im} A_0}{\mathrm{Re} A_0} \approx -5\% \qquad \text{Absorptive Long Distance Effect}$$
 
$$\xi_{\mathsf{LD}} = \mathsf{Dispersive Long Distance Effect} \approx 2\% \quad \to \; \mathsf{explain it later}.$$

Inami-Lim functions:

$$S_0(x_i) = x_i \left[ \frac{1}{4} + \frac{9}{4(1 - x_i)} - \frac{3}{2(1 - x_i)^2} - \frac{3x_i^2 \ln x_i}{2(1 - x_i)^3} \right],$$

$$S_0(x_i, x_j) = \left\{ \frac{x_i x_j}{x_i - x_j} \left[ \frac{1}{4} + \frac{3}{2(1 - x_i)} - \frac{3}{4(1 - x_i)^2} \right] \ln x_i - (i \leftrightarrow j) \right\} - \frac{3x_i x_j}{4(1 - x_i)(1 - x_j)}$$

# $\varepsilon_K$ and $\hat{B}_K$ , $V_{cb}$ III

$$t-t\longrightarrow S_0(x_t)\longrightarrow +72.4\%$$
 $c-t\longrightarrow S_0(x_c,x_t)\longrightarrow +45.4\%$ 
 $c-c\longrightarrow S_0(x_c)\longrightarrow -17.8\%$ 

• Dominant contribution ( $\approx$ 72%) comes with  $|V_{cb}|^4$ .

$$\lambda_{i} \equiv V_{is}^{*} V_{id}$$

$$\operatorname{Im} \lambda_{t} \cdot \operatorname{Re} \lambda_{t} = \bar{\eta} \lambda^{2} |V_{cb}|^{4} (1 - \bar{\rho})$$

$$\operatorname{Re} \lambda_{c} = -\lambda (1 - \frac{\lambda^{2}}{2}) + \mathcal{O}(\lambda^{5})$$

$$\operatorname{Re} \lambda_{t} = -(1 - \frac{\lambda^{2}}{2}) A^{2} \lambda^{5} (1 - \bar{\rho}) + \mathcal{O}(\lambda^{7})$$

$$\operatorname{Im} \lambda_{t} = \eta A^{2} \lambda^{5} + \mathcal{O}(\lambda^{7})$$

$$\operatorname{Im} \lambda_{c} = -\operatorname{Im} \lambda_{t}$$

# $\varepsilon_K$ and $\hat{B}_K$ , $V_{cb}$ IV

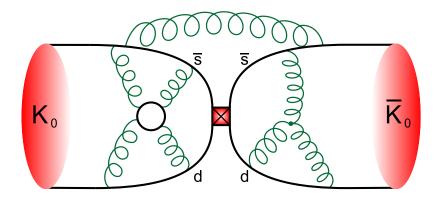
• Definition of  $\hat{B}_K$  in standard model.

$$\begin{split} B_K &= \frac{\langle \bar{K}_0 | [\bar{s}\gamma_\mu (1-\gamma_5)d] [\bar{s}\gamma_\mu (1-\gamma_5)d] | K_0 \rangle}{\frac{8}{3} \langle \bar{K}_0 | \bar{s}\gamma_\mu \gamma_5 d | 0 \rangle \langle 0 | \bar{s}\gamma_\mu \gamma_5 d | K_0 \rangle} \\ \hat{B}_K &= C(\mu) B_K(\mu), \qquad C(\mu) = \alpha_s(\mu)^{-\frac{\gamma_0}{2b_0}} [1 + \alpha_s(\mu) J_3] \end{split}$$

Experiment:

$$\varepsilon_{K} = (2.228 \pm 0.011) \times 10^{-3} \times e^{i\phi_{\varepsilon}}$$
  
 $\phi_{\varepsilon} = 43.52(5)^{\circ}$ 

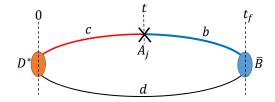
# $\hat{B}_K$ on the lattice



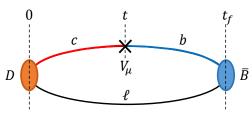
• This is one of the  $\infty$  number of the Feynman diagrams that we need to calculate using lattice QCD tools (K. Jansen; C. Sachrajda).

### $|V_{cb}|$ on the lattice

•  $\bar{B} \to D^* \ell \bar{\nu}$  decay form factors:

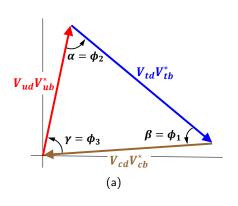


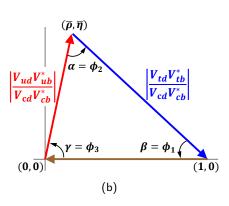
•  $\bar{B} \to D\ell\bar{\nu}$  decay form factors:



# $\varepsilon_K$ with lattice QCD inputs

## Unitarity Triangle $o (\bar{\rho}, \, \bar{\eta})$





### Global UT Fit and Angle-Only-Fit (AOF)

#### Global UT Fit

- Input:  $|V_{ub}|/|V_{cb}|$ ,  $\Delta m_d$ ,  $\Delta m_s/\Delta m_d$ ,  $\varepsilon_K$ , and  $\sin(2\beta)$ .
- Determine the UT apex  $(\bar{\rho}, \bar{\eta})$ .
- Take  $\lambda$  from

$$|V_{us}| = \lambda + \mathcal{O}(\lambda^7),$$

which comes from  $K_{I3}$  and  $K_{\mu 2}$ .

• Disadvantage: unwanted correlation between  $(\bar{\rho}, \bar{\eta})$  and  $\varepsilon_K$ .

#### **AOF**

- Input:  $\sin(2\beta)$ ,  $\cos(2\beta)$ ,  $\sin(\gamma)$ ,  $\cos(\gamma)$ ,  $\sin(2\beta + \gamma)$ ,  $\cos(2\beta + \gamma)$ , and  $\sin(2\alpha)$ .
- Determine the UT apex  $(\bar{\rho}, \bar{\eta})$ .
- Take  $\lambda$  from  $|V_{us}| = \lambda + \mathcal{O}(\lambda^7)$ , which comes from  $K_{l3}$  and  $K_{\mu 2}$ .
- Use  $|V_{cb}|$  to determine A.

$$|V_{cb}| = A\lambda^2 + \mathcal{O}(\lambda^7)$$

• Advantage: NO correlation between  $(\bar{\rho}, \bar{\eta})$  and  $\varepsilon_K$ .

### Inputs of Angle-Only-Fit (AOF)

- $A_{\sf CP}(J/\psi\ {\it K_s}) o S_{\psi{\it K_s}} = \sin(2eta)$  with assumption of  $S_{\psi{\it K_s}} \ggg C_{\psi{\it K_s}}$ .
- $(B \to DK) + (B \to [K\pi]_D K) +$  (Dalitz method) give  $\sin(\gamma)$  and  $\cos(\gamma)$ .
- $S(D^-\pi^+)$  and  $S(D^+\pi^-)$  give  $\sin(2\beta + \gamma)$  and  $\cos(2\beta + \gamma)$ .
- $(B^0 \to \pi^+\pi^-) + (B^0 \to \rho^+\rho^-) + (B^0 \to (\rho\pi)^0)$  give  $\sin(2\alpha)$ .
- Combining all of these gives  $\beta$ ,  $\gamma$ , and  $\alpha$ , which leads to the UT apex  $(\bar{\rho}, \bar{\eta})$ .

#### Wolfenstein Parameters

#### Input Parameters for Angle-Only-Fit (AOF)

- $\varepsilon_K$ ,  $\hat{B}_K$ , and  $|V_{cb}|$  are used as inputs to determine the UT angles in the global fit of UTfit and CKMfitter.
- Instead, we can use angle-only-fit result for the UT apex  $(\bar{\rho}, \bar{\eta})$ .
- $\qquad \hbox{Then, we can take $\lambda$ independently} \\ \text{from}$

$$|V_{us}| = \lambda + \mathcal{O}(\lambda^7),$$

which comes from  $K_{l3}$  and  $K_{\mu 2}$ .

• Use  $|V_{cb}|$  instead of A.

$$|V_{cb}| = A\lambda^2 + \mathcal{O}(\lambda^7)$$

	0.22475(25)	[1] CKMfitter 2018
$\lambda$	0.22500(100)	[2] UTfit 2018
	0.2243(5)	[3]  V <sub>us</sub>   (AOF)
	0.1577(96)	[1] CKMfitter 2018
$ar{ ho}$	0.148(13)	[2] UTfit 2018
	0.146(22)	[4] UTfit (AOF)
	0.3493(95)	[1] CKMfitter 2018
$ar{\eta}$	0.348(10)	[2] UTfit 2018
	0.333(16)	[4] UTfit (AOF)
	-	

# Input Parameter: $\hat{B}_K$ (FLAG 2019)

 $\hat{B}_K$  in lattice QCD with  $N_f = 2 + 1$ .

Collaboration	Ref.	Â <sub>K</sub>
SWME 15	[5]	0.735(5)(36)
RBC/UKQCD 14	[6]	0.7499(24)(150)
Laiho 11	[7]	0.7628(38)(205)
BMW 11	[8]	0.7727(81)(84)
FLAG 19	[9]	0.7625(97)

### Input Parameter: Exclusive $|V_{cb}|$ in units of $1.0 \times 10^{-3}$

(a) HFLAV 2017 (CLN)

channel	value	Ref.
$B o D^*\ellar u$	39.05(47)(58)	[10, 11]
$B  o D \ell \bar  u$	39.18(94)(36)	[10, 12]
$ V_{ub} / V_{cb} $	0.080(4)(4)	[10, 13]
ex-combined	39.13(59)	[10]

(b) BABAR and BELLE 2019

channel	value	Ref.
CLN	39.05(47)(58)	HFLAV 17 [10]
BGL	38.36(90)	BABAR 19 [14]
CLN	38.4(2)(6)(6)	BELLE 19 [15]
BGL	38.3(3)(7)(6)	BELLE 19 [15]

- There is no difference between the CLN and BGL analyses.
- Refer to BABAR 2019 [14] and BELLE 2019 [15].
- Hence, the CLN method turns out to be correct and OK within our limited knowledge.

### $\bar{B} \to D^* \ell \bar{\nu}$ Form Factor Parametrization: CLN vs. BGL I

• Consider the  $\bar{B} \to D^* \ell \bar{\nu}$  decays:

$$\frac{d\Gamma(\bar{B} \to D^*\ell\bar{\nu})}{dw} = \frac{G_F^2 m_{D^*}^3}{48\pi^3} (m_B - m_{D^*})^2 \; \chi(w) \; \eta_{\mathsf{EW}}^2 \; \mathcal{F}^2(w) \; |V_{cb}|^2$$

- In order that the experiments determine  $|\mathcal{F}(w)| \cdot |V_{cb}|$ , they must know a specific functional form of  $\mathcal{F}(w)$ .
- The theory provides this parametrization for  $\mathcal{F}(w)$ .
- Popular parameterizations are CLN and BGL.
- CLN depends on the HQET, but BGL does NOT.
- HQET is the heavy quark effective theory, as if the chiral perturbation theory is the low energy effective theory of QCD.

#### $\bar{B} \to D^* \ell \bar{\nu}$ Form Factor Parametrization: CLN vs. BGL II

• CLN: Caprini, Lellouch, and Neubert [16]

$$\mathcal{F}(w) = h_{A_1}(w) \times \frac{1}{Y(w)} \times X(w)$$

$$h_{A_1}(w) = h_{A_1}(1) \left[ 1 - 8\rho^2 z + (53\rho^2 - 15)z^2 - (231\rho^2 - 91)z^3 \right]$$

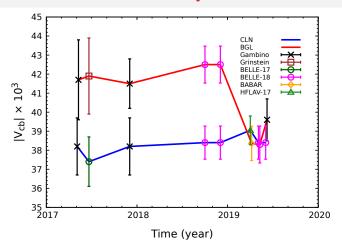
$$z = \frac{\sqrt{w+1} - \sqrt{2}}{\sqrt{w+1} + \sqrt{2}}, \qquad w \equiv v_B \cdot v_{D^*} = \frac{E_{D^*}}{m_{D^*}}$$

where z is a conformal mapping variable.  $\rightarrow z$  expansion.

• BGL: Boyd, Grinstein, and Lebed [17]

$$\mathcal{F}(w) = \frac{1}{\phi(z)P(z)} \sum_{n=0}^{\infty} a_n z^n(z)$$

### CLN vs. BGL in $B \to D^* \ell \bar{\nu}$ decays



• At present, we find that there is no difference in exclusive  $|V_{cb}|$  between CLN and BGL.  $\Longrightarrow$  Resolved ???

## Input Parameter: Inclusive $|V_{cb}|$ in units of $1.0 \times 10^{-3}$

 $|V_{cb}|$  in units of  $1.0 \times 10^{-3}$ .

(a)	Exclusive	$ V_{cb} $
-----	-----------	------------

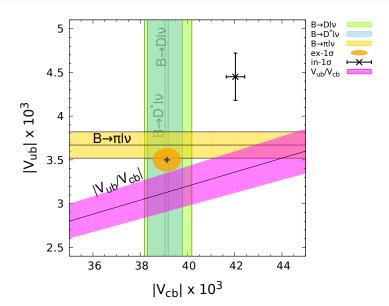
channel	value	Ref.
CLN	39.05(47)(58)	HFLAV 17 [10]
BGL	38.36(90)	BABAR 19 [14]
CLN	38.4(2)(6)(6)	BELLE 19 [15]
BGL	38.3(3)(7)(6)	BELLE 19 [15]

(b) Inclusive  $|V_{cb}|$ 

channel	value	Ref.
kinetic scheme	42.19(78)	[10]
1S scheme	41.98(45)	[10]

- There is  $3\sigma \sim 4\sigma$  difference in  $|V_{cb}|$  between the exclusive and inclusive decay channels.
- This issue remains unresolved yet.

### Current Status of $|V_{cb}|$ in 2018



### Input Parameter: $\xi_0$

#### Indirect Method

$$\xi_0 = \frac{\mathrm{Im} A_0}{\mathrm{Re} A_0}, \quad \xi_2 = \frac{\mathrm{Im} A_2}{\mathrm{Re} A_2}.$$

$$\xi_0 = \frac{\mathrm{Im} A_0}{\mathrm{Re} A_0}, \quad \xi_2 = \frac{\mathrm{Im} A_2}{\mathrm{Re} A_2}.$$

$$\xi_0 = \frac{1}{\mathrm{Re} A_0} \times 10^{-4} \, | \, \text{RBC-UK-2015 [18]}$$

where 
$$\mathcal{A}(K_0 \to \pi\pi(I)) \equiv A_I e^{i\delta_I} = |A_I| e^{i\xi_I} e^{i\delta_I}$$

• RBC-UKQCD calculated Im $A_2$ : Im $A_2 \to \xi_2 \to \varepsilon_K'/\varepsilon_K \to \xi_0$ 

$$\operatorname{Re}\left(\frac{\varepsilon_{K}'}{\varepsilon_{K}}\right) = \frac{1}{\sqrt{2}|\varepsilon_{K}|}\omega(\xi_{2} - \xi_{0}).$$

Other inputs  $\omega$ ,  $\varepsilon_K$  and  $\varepsilon_K'/\varepsilon_K$  are taken from the experimental values.

- Here, we choose an approximation of  $\cos(\phi_{\epsilon'} \phi_{\epsilon}) \approx 1$ .
- $\phi_{\epsilon} = 43.52(5), \ \phi_{\epsilon'} = 42.3(1.5)$
- Isopspin breaking effect: (at most 15% of  $\xi_0$ )  $\to$  (1% in  $\varepsilon_K$ )  $\to$  neglected!

## Input Parameter: $\xi_0$

Direct Method

• RBC-UKQCD calculated  ${\rm Im} A_0$ .  ${\rm Im} A_0 \to \xi_0$ .

$$\xi_0 = \frac{\mathrm{Im}A_0}{\mathrm{Re}\,A_0} = -0.57(49) \times 10^{-4}$$

Other input  $\operatorname{Re} A_0$  is taken from the experimental value.

ullet RBC-UKQCD also calculated  $\delta_0$ 

$$\delta_0 = 23.8(49)(12)^\circ [2015] \rightarrow 23.8(49)(112)^\circ [2018]$$

This value is within  $2\sigma$  from the experimental value:  $\delta_0 = 39.1(6)^{\circ}$ .

- This puzzle might be resolved by multi-state fitting with new operators: RBC-UKQCD, Tianle Wang [Lattice 2019].
- Here, we use the indirect method to determine  $\xi_0$ .

# Input Parameter: $\xi_0$

Summary

Input Parameters:  $\xi_0$ 

Method	Value	Ref.
Indirect	$-1.63(19)  imes 10^{-4}$	RBC-UK-2015 [18]
Direct	$-0.57(49) \times 10^{-4}$	RBC-UK-2015 [19]

• Here, we use the results for  $\xi_0$  obtained using the indirect method.

### Input Parameter: $\xi_{LD}$

$$\xi_{LD} = \frac{m'_{LD}}{\sqrt{2} \Delta M_K}$$

$$m'_{LD} = -\operatorname{Im} \left[ \mathcal{P} \sum_{C} \frac{\langle \overline{K}^0 | H_w | C \rangle \langle C | H_w | K^0 \rangle}{m_{K^0} - E_C} \right]$$

• RBC-UKQCD rough estimate [PRD 88, 014508] gives

$$\xi_{\mathsf{LD}} = (0 \pm 1.6)\%$$
 of  $|\varepsilon_{\mathcal{K}}|$ 

BGI estimate [PLB 68, 309, 2010] gives

$$\xi_{\mathsf{LD}} = -0.4(3) \times \frac{\xi_0}{\sqrt{2}}$$

Precision measurement of lattice QCD is not available yet.

## Input Parameter: Charm Quark Mass $m_c(m_c)$

 $m_c(m_c)$  in lattice QCD.

Collaboration	$N_f$	$m_c(m_c)$	Ref.
FLAG 2019	2+1	1.275(5)	[9]
FLAG 2019	2 + 1 + 1	1.280(13)	[9]

- The results for  $m_c(m_c)$  with  $N_f=2+1+1$  are inconsistent with each other.
- Hence, we use the results for  $m_c(m_c)$  with  $N_f = 2 + 1$ .

## Input Parameter: top quark mass $m_t(m_t)$

 $m_t(m_t)$  in the  $\overline{\text{MS}}$  scheme in units of GeV.

Collaboration	$M_t$	$m_t(m_t)$	Ref.
PDG 2016	$173.5\pm1.1$	$163.65 \pm 1.05 \pm 0.17$	[20]
PDG 2018	$173.0 \pm 0.4$	$163.17 \pm 0.38 \pm 0.17$	[3]

- $M_t$  is the pole mass of top quarks.
- CMS and ATLAS have done a great job in reducing the error.
- Here, we use the results for  $m_t(m_t)$  obtained from PDG 2018.

### Other Input Parameters

Input	Value	Ref.
$G_F$	$1.1663787(6)  imes 10^{-5}  \mathrm{GeV^{-2}}$	PDG 18 [3]
$M_W$	$80.379(12){ m GeV}$	PDG 18 [3]
$\theta$	43.52(5)°	PDG 18 [3]
$m_{K^0}$	$497.611(13){ m MeV}$	PDG 18 [3]
$\Delta M_K$	$3.484(6)  imes 10^{-12}{ m MeV}$	PDG 18 [3]
$F_K$	155.7(3) MeV	FLAG 19 [9]

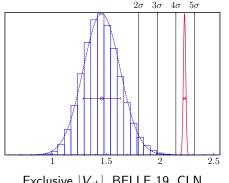
#### Higher order QCD corrections: $\eta_{ij}$ .

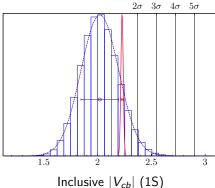
Input	Value	Ref.
$\eta_{cc}$	1.72(27)	[21]
$\eta_{tt}$	0.5765(65)	[22]
$\eta_{ct}$	0.496(47)	[23]

# Results for $\varepsilon_K$

## $\varepsilon_K$ from AOF, Exclusive $|V_{cb}|$ (BELLE 19, CLN)

RBC-UKQCD estimate for  $\xi_{LD}$ 





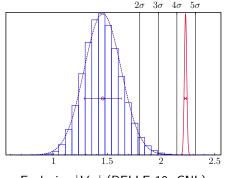
Exclusive  $|V_{cb}|$ , BELLE 19, CLN

• With exclusive  $|V_{cb}|$  (BELLE 19, CLN), it has 4.5 $\sigma$  tension.

$$|\varepsilon_K|^{\text{Exp}} = (2.228 \pm 0.011) \times 10^{-3}$$
  
 $|\varepsilon_K|^{\text{SM}}_{\text{excl}} = (1.457 \pm 0.173) \times 10^{-3}$ 

# $\varepsilon_K$ from AOF, Exclusive $|V_{cb}|$ (BELLE 19, CNL vs. BGL)

RBC-UKQCD estimate for  $\xi_{LD}$ 



 $2\sigma \ 3\sigma \ 4\sigma \ 5\sigma$ 

Exclusive  $|V_{cb}|$  (BELLE 19, CNL)

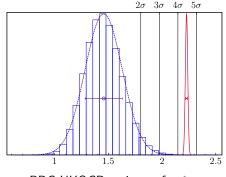
Exclusive  $|V_{cb}|$  (BELLE 19, BGL)

• CLN has  $4.5\sigma$  tension, and BGL has  $4.3\sigma$  tension.

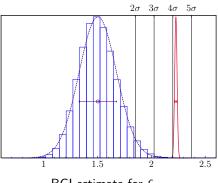
$$|\varepsilon_K|_{\text{excl}}^{\text{SM}} = (1.457 \pm 0.173) \times 10^{-3}$$
 (CLN)  
 $|\varepsilon_K|_{\text{excl}}^{\text{SM}} = (1.444 \pm 0.181) \times 10^{-3}$  (BGL)

# $\varepsilon_K$ from AOF, Exclusive $|V_{cb}|$ (BELLE 19, CLN)

RBC-UKQCD vs. BGI estimate for  $\xi_{LD}$ 



RBC-UKQCD estimate for  $\xi_{\rm LD}$ 



BGI estimate for  $\xi_{LD}$ 

• RBC-UK estimate o 4.5 $\sigma$  tension, and BGI estimate o 4.2 $\sigma$  tension.

$$|\varepsilon_{K}|_{\text{excl}}^{\text{SM}} = (1.457 \pm 0.173) \times 10^{-3}$$
  
 $|\varepsilon_{K}|_{\text{excl}}^{\text{SM}} = (1.502 \pm 0.174) \times 10^{-3}$ 

(RBC-UKQCD estimate for 
$$\xi_{LD}$$
)

(BGI estimate for 
$$\xi_{LD}$$
)

### Current Status of $\varepsilon_K$

• FLAG 2019 + PDG 2018: (in units of  $1.0 \times 10^{-3}$ , AOF)

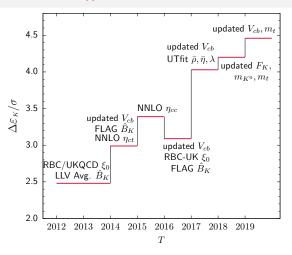
$$ert arepsilon_{K} ert arepsilon_{ ext{excl}}^{ ext{SM}} = 1.457 \pm 0.173$$
 for Exclusive  $V_{cb}$  (Lattice QCD + CLN)  $ert arepsilon_{K} ert arepsilon_{ ext{incl}}^{ ext{SM}} = 2.021 \pm 0.176$  for Inclusive  $V_{cb}$  (Heavy Quark Expansion)

• Experiments:

$$|\varepsilon_K|^{\mathsf{Exp}} = 2.228 \pm 0.011$$

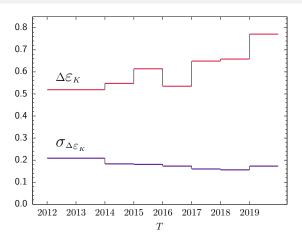
- Hence, we observe  $4.5\sigma \sim 4.2\sigma$  difference between the SM theory (Lattice QCD) and experiments.
- What does this mean? → Breakdown of SM ?

### Time Evolution of $\Delta \varepsilon_K$ on the Lattice



•  $\Delta \varepsilon_K \equiv |\varepsilon_K|^{\mathsf{Exp}} - |\varepsilon_K|^{\mathsf{SM}}_{\mathsf{excl}} \Longleftarrow |V_{cb}| \text{ (CLN) \& } \xi_{\mathsf{LD}} \text{ (RBC-UK)}$ 

### Time Evolution of Average and Error for $\Delta \varepsilon_K$



- ullet The average  $\Delta arepsilon_K$  has increased by 49% with some fluctuations.
- The error  $\sigma_{\Delta \varepsilon_K}$  has decreased by 17% with some fluctuation: HFLAV 2017  $\rightarrow$  BELLE 2019.

# Error Budget of $\Delta \varepsilon_K : |V_{cb}|$ (CLN), $\xi_{LD}$ (RBC-UK)

source	error (%)	memo
$ V_{cb} $	50.2	Exclusive (CLN)
$ar{\eta}$	19.1	AOF
$\eta_{\sf ct}$	16.3	c-t Box
$\eta_{cc}$	6.9	c-c Box
$ar{ ho}$	2.8	AOF
$\xi_{LD}$	1.7	Long-distance
$\hat{B}_{\mathcal{K}}$	1.3	FLAG
ξο	0.58	Indirect
$\eta_{tt}$	0.54	t-t Box
$\lambda$	0.16	$ V_{us} $ (PDG)
:	:	:

• The error from  $|V_{cb}|$  is dominant.

#### To Do List

- It would be highly desirable if the HFLAV group may perform a comprehensive reanalysis over the entire sets of the experimental data including both BABAR and BELLE for  $\bar{B} \to D^* \ell \bar{\nu}$  using the BGL method and compare the results with those of CLN.
- It would be nice to reduce overall errors on  $|V_{cb}|$ : 1.4%  $\rightarrow$  0.8%. [OK action project: LANL-SWME: posters in Lattice 2019]
- It would be nice to reduce overall errors on  $\bar{\eta}$ . [BELLE2]
- It would be nice to reduce overall errors on  $\xi_0$  and  $\xi_2$  in lattice QCD. [RBC-UKQCD]
- It would be nice to reduce overall errors on  $|V_{us}|$ ,  $m_c(m_c)$ ,  $f_K$  in lattice QCD.

# Summary and Conclusion

### Summary

We find that

$$\Delta \varepsilon_{K}^{\text{excl}} = 4.5(3)\sigma$$
 (Lattice QCD, CLN) (1)

$$\Delta \varepsilon_{\mathcal{K}}^{\mathsf{incl}} = 1.2 \sigma$$
 (HQE, QCD Sum Rules) (2)

- It is too early to conclude that there might be something wrong with the SM yet.
- **9** Let us wait for the next round reanalysis of the HFLAV group on the entire data sets of the  $\bar{B} \to D^* \ell \bar{\nu}$  decays, using both CLN and BGL.
- **●** Meanwhile, it would be very helpful to reduce the errors for  $|V_{cb}|$ ,  $|V_{us}|$ ,  $\bar{\eta}$ ,  $\xi_0$ ,  $\xi_2$ ,  $m_c(m_c)$ ,  $f_K$ ,  $\hat{B}_K$ , and  $\xi_{LD}$  in lattice QCD.  $\bar{\eta} \leftarrow \xi$ ,  $f_{B_d}$ ,  $f_{B_s}$ ,  $B_{B_d}$ ,  $B_{B_s}$ , · · ·
- Please stay tuned for the update.

# R(D) and $R(D^*)$ Other Anomalies in SM

# R(D) and $R(D^*)$

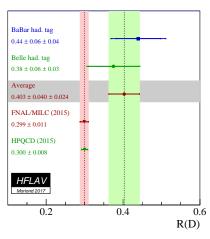
Definition:

$$R(D) \equiv rac{\mathcal{B}(B o D au 
u_{ au})}{\mathcal{B}(B o D \ell 
u_{\ell})} 
onumber \ R(D^*) \equiv rac{\mathcal{B}(B o D^* au 
u_{ au})}{\mathcal{B}(B o D^* \ell 
u_{\ell})}$$

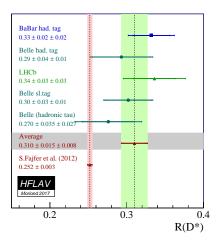
Results:

channel	SM (Lattice QCD)	Experiment	Difference
R(D)	0.300(8)	0.403(40)(24)	$2.2\sigma$
$R(D^*)$	0.252(3)	0.310(15)(8)	$3.4\sigma$

# R(D) and $R(D^*)$

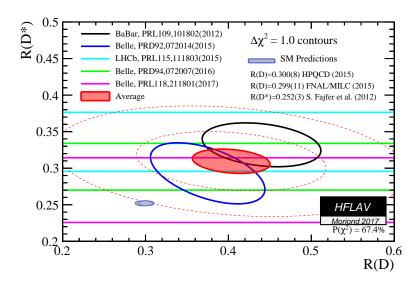


(a)  $R(D) \rightarrow 2.2\sigma$ 



(b)  $R(D^*) \rightarrow 3.4\sigma$ 

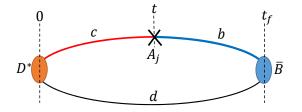
# R(D) and $R(D^*)$



# $|V_{cb}|$ on the lattice

# $|V_{cb}|$ from the exclusive $ar{B} o D^* \ell ar{ u}$ at zero recoil

- **1** Experiment:  $\frac{d\Gamma}{dw}(\bar{B} \to D^*\ell\bar{\nu}) \propto |V_{cb}|^2 \cdot |\mathcal{F}(w=1)|^2$
- **Lattice QCD**: Calculate  $\mathcal{F}(1) = h_{A_1}(1)$  from the matrix element



$$rac{1}{\sqrt{m_B m_{D^*}}} \langle D^*(p',\epsilon)|A^\mu|ar{B}(p)
angle = -ih_{A_1}(w)(w+1)\epsilon^{*\mu} + ih_{A_2}(w)(\epsilon^*\cdot v)v^\mu \ + ih_{A_3}(w)(\epsilon^*\cdot v)v'^\mu$$

Determine  $|V_{cb}|$  by combining experiment with lattice QCD results

### Calculation of $V_{cb}$ on the lattice

- 1 Exclusive  $B \to D^* \ell \bar{\nu}$  zero recoil [Fermilab-MILC (2014)]
  - Gold-plated: most precise experimental and lattice error
  - Form factor calculation using the 3-point function  $\langle D^*|A^{\mu}|B\rangle$  on the lattice.
- 2 Exclusive  $B \to D\ell\bar{\nu}$  non-zero recoil [Fermilab-MILC (2015), HPQCD (2015)]
  - Near the zero recoil, the experimental precision is poor due to to the phase space suppression.
  - Form factor calculation using the 3-point function  $\langle D|V^{\mu}|B\rangle$  on the lattice.
- 3 Inclusive  $B \to X_c \ell \bar{\nu}$  [S. Hashimoto (2017)]
  - Preliminary, Calculate the 4-point function on the lattice,

$$\langle B|T\{J_{\mu}^{\dagger}(q)J_{\nu}(0)\}|B
angle, \qquad ext{where } J_{\mu}=ar{c}\gamma_{\mu}(1-\gamma_{5})b.$$

Decay mode	Method	$ V_{cb}   imes 10^3  ext{ [HFLAV (2017)]}$
$ar{B} o D^*\ellar u$	Lattice	39.05(47)(58)
$ar{\mathcal{B}}  o \mathcal{D}\ellar{ u}$	Lattice	39.18(94)(36)
$B  o X_c \ell \bar{ u}$	QCD sum rule	42.03(39)

#### Limitation of Fermilab action calculation

- On the lattice, we have inevitable systematic error: discretization error.
- For the  $\bar{B} \to D^{(*)} \ell \bar{\nu}$  study, the heavy quark discretization error, especially for charm quark is dominant.  $(\lambda \sim \Lambda/2m_Q)$
- Fermilab action calculation of  $h_{A_1}$  ( $\bar{B} \to D^* \ell \bar{\nu}$  semileptonic form factor) has  $\mathcal{O}(\alpha_s \lambda^2)$  and  $\mathcal{O}(\lambda^3) \sim 1\%$  discretization error, basically.
- To achieve the precision below 1%, we have to use new action: Oktay-Kronfeld action,  $\mathcal{O}(\lambda^3)$  improved action where its discretization error appears at  $\mathcal{O}(\lambda^4)\sim 0.2\%$ .

#### Limitation of Fermilab action calculation

• We expect the improvement in charm quark discretization error from the current Fermilab/MILC results [PRD89, 114504 (2014) and PRD92, 034506 (2015)] of the  $\bar{B} \to D^{(*)} \ell \bar{\nu}$  semileptonic form factor.

	$h_{A_1}$	$f_{+}$
	$ar{B} o D^*\ellar{ u}$	$ar{\mathcal{B}}  o \mathcal{D}\ellar{ u}$
statistics	0.4	0.7
matching	0.4	0.7
$\chi$ PT	0.5	0.6
$g_{D^*D_\pi}$	0.3	-
c discretization	$1.0  ightarrow (0.2)_{ m OK}$	$0.4  ightarrow (0.1)_{ m OK}$
others	0.1	0.2
total	$1.4  ightarrow (0.8)_{ m OK}$	$1.2  ightarrow (1.1)_{ m OK}$

 Belle2 has been running since Dec. 2018, and the target statistics is 50 times larger than Belle.

## OK Action (mass form)

$$\begin{split} S_{\mathrm{OK}} &= S_{\mathrm{Fermilab}} + S_{\mathrm{new}} \,, \qquad S_{\mathrm{Fermilab}} = S_0 + S_B + S_E \\ S_0 &= m_0 \sum_x \bar{\psi}(x) \psi(x) + \sum_x \bar{\psi}(x) \gamma_4 D_4 \psi(x) - \frac{1}{2} a \sum_x \bar{\psi}(x) \triangle_4 \psi(x) \\ &+ \zeta \sum_x \bar{\psi}(x) \overrightarrow{\gamma} \cdot \overrightarrow{D} \psi(x) - \frac{1}{2} r_s \zeta_a \sum_x \bar{\psi}(x) \triangle^{(3)} \psi(x) \\ &= \mathcal{O}(1) + \mathcal{O}(\lambda) \qquad [\lambda \sim a \Lambda, \, \Lambda/m_Q] \\ S_B &= -\frac{1}{2} c_B \zeta_a \sum_x \bar{\psi}(x) i \overrightarrow{\Sigma} \cdot \overrightarrow{B} \psi(x) \rightarrow \mathcal{O}(\lambda) \\ S_E &= -\frac{1}{2} c_E \zeta_a \sum_x \bar{\psi}(x) \overrightarrow{\alpha} \cdot \overrightarrow{E} \psi(x) \rightarrow \mathcal{O}(\lambda^2) \qquad (c_E \neq c_B : \text{ OK action}) \\ m_0 &= \frac{1}{2\kappa_t} - (1 + 3r_s \zeta + 18c_4) \end{split}$$

[M. B. Oktay and A. S. Kronfeld, PRD **78**, 014504 (2008)]

[A. El-Khadra, A. S. Kronfeld and P. B. Mackenzie, PRD 55, 3933 (1997)]

### OK Action (mass form)

$$S_{\text{new}} = \mathcal{O}(\lambda^{3}) = c_{1}a^{2} \sum_{x} \bar{\psi}(x) \sum_{i} \gamma_{i} D_{i} \triangle_{i} \psi(x)$$

$$+ c_{2}a^{2} \sum_{x} \bar{\psi}(x) \{\overrightarrow{\gamma} \cdot \overrightarrow{D}, \triangle^{(3)}\} \psi(x)$$

$$+ c_{3}a^{2} \sum_{x} \bar{\psi}(x) \{\overrightarrow{\gamma} \cdot \overrightarrow{D}, i \overrightarrow{\Sigma} \cdot \overrightarrow{B}\} \psi(x)$$

$$+ c_{EE}a^{2} \sum_{x} \bar{\psi}(x) \{\gamma_{4} D_{4}, \overrightarrow{\alpha} \cdot \overrightarrow{E}\} \psi(x)$$

$$+ c_{4}a^{3} \sum_{x} \bar{\psi}(x) \sum_{i} \triangle_{i}^{2} \psi(x)$$

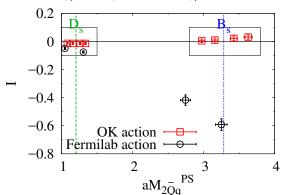
$$+ c_{5}a^{3} \sum_{x} \bar{\psi}(x) \sum_{i} \sum_{i \neq i} \{i \Sigma_{i} B_{i}, \triangle_{j}\} \psi(x)$$

### Improvements in OK action: Inconsistency

We calculate the inconsistency parameter I [Collins, Edwards, Heller, and Sloan, NPB Proc. Suppl. 47, 455 (1996)] to see  $\mathcal{O}(\mathbf{p}^4)$  improvement in the OK action.

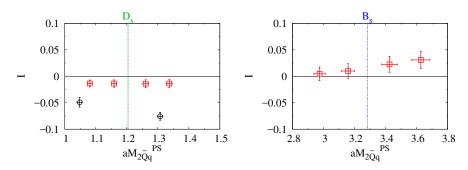
$$I \equiv \frac{2\delta M_{\bar{Q}q} - \left(\delta M_{\bar{Q}Q} + \delta M_{\bar{q}q}\right)}{2M_{2\bar{Q}q}} = \frac{2\delta B_{\bar{Q}q} - \left(\delta B_{\bar{Q}Q} + \delta B_{\bar{q}q}\right)}{2M_{2\bar{Q}q}}$$

where binding energy  $M_{1\bar{Q}q}=m_{1\bar{Q}}+m_{1q}+B_{1\bar{Q}q}$  and so on.



### Inconsistency

a12m310,  $\kappa_{\text{crit}} = 0.051211$  (nonperturbative)



[Yong-Chull Jang et al., EPJC 77:768]

$$|V_{cb}|$$

$$\bar{B} \to D^* \ell \bar{\nu}$$
 Form Factor:  $h_{A_1}(w=1)$ 

# $\bar{B} \to D^* \ell \bar{\nu}$ at zero recoil: $h_{A_1}(1)$ on the lattice

[C. Bernard et al. (Fermilab Lattice and MILC collab.), PRD79, 014506 (2009)]

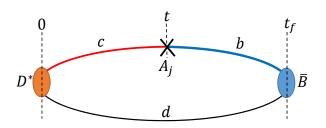
$$|\textbf{\textit{h}}_{A_1}(1)|^2 = \frac{\langle D^*|A^j_{cb}|\bar{B}\rangle\langle\bar{B}|A^j_{bc}|D^*\rangle}{\langle D^*|V^4_{cc}|D^*\rangle\langle\bar{B}|V^4_{bb}|\bar{B}\rangle}$$

On the lattice, we calculate the double ratio R:

$$R(t,t_f) \equiv \frac{C_{A_1}^{B \to D^*}(t,t_f)C_{A_1}^{D^* \to B}(t,t_f)}{C_{V_4}^{B \to B}(t,t_f)C_{V_4}^{D^* \to D^*}(t,t_f)} \quad \xrightarrow[t \to \infty]{} \quad \left|\frac{h_{A_1}(1)}{\rho_{A_j}}\right|^2 \quad \xrightarrow[a \to 0]{} \quad |h_{A_1}(1)|^2$$

- $h_{A_1}(1)$ : Semileptonic form factor for  $\bar{B} \to D^* \ell \bar{\nu}$  at zero recoil
- $C_I^{X \to Y}(t, t_f)$ : Lattice 3-point correlation functions
- $\rho_{A_i}$ : Matching factor

#### 3-point correlation function



$$C_{A_1}^{B o D^*}(t,t_f) = \sum_{ec{x},ec{y}} \langle O_{D^*}^\dagger(0) A_1^{cb}(ec{y},t) O_B(ec{x},t_f) 
angle \qquad (0 < t < t_f)$$

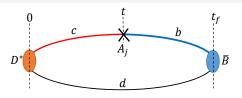
Interpolating operators for mesons

$$O_B = \bar{\psi}_b \gamma_5 \psi_I, \qquad O_{D^*} = \bar{\psi}_c \gamma_j \psi_I$$

Improved axial current operator

$$A_{j}^{cb} = \bar{\Psi}_{c} \gamma_{j} \gamma_{5} \Psi_{b},$$

#### 3-point correlation function: current improvement



$$A_j^{cb} = \bar{\Psi}_c \gamma_j \gamma_5 \Psi_b,$$

The  $\mathcal{O}(\lambda^3)$  improved field with 11 parameters  $(d_i)$ : [Jaehoon Leem, Lattice 2017]

$$\begin{split} \Psi(x) &= e^{M_1/2} \Big[ 1 + d_1 \gamma \cdot \mathbf{D} & \to \mathcal{O}(\lambda^1) \\ &+ d_2 \Delta^{(3)} + d_B i \Sigma \cdot \mathbf{B} + d_E \alpha \cdot \mathbf{E} & \to \mathcal{O}(\lambda^2) \\ &+ d_{rE} \{ \gamma \cdot \mathbf{D}, \alpha \cdot \mathbf{E} \} + d_3 \sum_{i} \gamma_i D_i \Delta_i + d_4 \{ \gamma \cdot \mathbf{D}, \Delta^{(3)} \} \\ &+ d_5 \{ \gamma \cdot \mathbf{D}, i \Sigma \cdot \mathbf{B} \} + d_{EE} \{ \gamma_4 D_4, \alpha \cdot \mathbf{E} \} & \to \mathcal{O}(\lambda^3) \\ &+ d_6 [ \gamma_4 D_4, \Delta^{(3)} ] + d_7 [ \gamma_4 D_4, i \Sigma \cdot \mathbf{B} ] \Big] \psi(x). \end{split}$$

## Calculate $R = |h_{A_1}(1)/\rho_{A_i}|^2$ using two different analysis

**1** Direct analysis on  $C_J^{X \to Y}$ 

$$C_{A_1}^{B \to D^*}(t, t_f) = B^{B \to D^*} e^{-M_D^* t} e^{-M_B(t_f - t)} (1 + \hat{c}^{B \to D^*}(t, t_f))$$

where  $B^{B \to D^*} = \langle D^* | A_1^{cb} | B \rangle$ , and  $\hat{c}^{B \to D^*}$  represent the contamination from the excited states of B and  $D^*$  mesons.

$$R = \frac{B^{B \to D^*} \cdot B^{D^* \to B}}{B^{B \to B} \cdot B^{D^* \to D^*}}$$

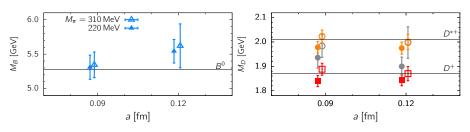
Analysis on R

$$R(t, t_f) \equiv \frac{C_{A_1}^{B \to D^*}(t, t_f) C_{A_1}^{D^* \to B}(t, t_f)}{C_{V_4}^{B \to B}(t, t_f) C_{V_4}^{D^* \to D^*}(t, t_f)}$$

$$= \frac{B^{B \to D^*} \cdot B^{D^* \to B}}{B^{B \to B} \cdot B^{D^* \to D^*}} [1 + \hat{c}^{B \to D^*}(t, t_f) + \hat{c}^{D^* \to B}(t, t_f) - \hat{c}^{B \to B}(t, t_f) - \hat{c}^{D^* \to D^*}(t, t_f) \cdots].$$

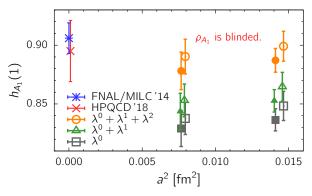
## Meson Spectrum of B and $D^{(*)}$

$$E = M_1 + \frac{\mathbf{p}^2}{2M_2} - \frac{(\mathbf{p}^2)^2}{8M_4^3} - \frac{a^3w_4}{6} \sum_i p_i^4 + \cdots.$$



- Meson masses  $(M_B, M_D)$  can be obtained from the kinetic mass  $M_2$ .
- $M_{D^*}$  (gray) : kinetic mass  $(M_2)$ .
- $M_{D^*}$  (orange) :  $M(D^*) = M_2(D) + M_1(D^*) M_1(D) \rightarrow \text{smaller errors}$ .

# $ar{\mathcal{B}} o D^* \ell ar{ u}$ Form Factor at Zero Recoil : $h_{\mathcal{A}_1}(w=1)$



- $ho_{A_j}$  is blinded:  $ho_{A_j} = rac{Z_{A_j}^{bc}Z_{A_j}^{cb}}{Z_{V.}^{bc}Z_{V.}^{cc}} 
  ightarrow 1.$
- Non-perturbative calculation of  $\rho_{A_i}$  is underway.
- Preliminary results!

- This is the first numerical study using the OK action with currents improved up to  $\mathcal{O}(\lambda^3)$ .
- We have obtained preliminary results for  $\frac{h_{A_1}(w=1)}{\rho_{A_j}}$  of  $\bar{B} \to D^* \ell \bar{\nu}$  decays.

#### To be done

- ullet Non-perturbative calculation of matching factor  $ho_{A_i}$ .
- Extending measurement to superfine and ultrafine ensembles.
- Chiral-continuum extrapolation
- Accumulate more statistics

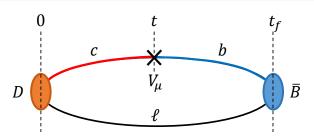
 $\bar{B} \to D \ell \bar{\nu}$  Form Factors:  $h_{\pm}(w)$ 

## $ar{B} o D \ell ar{ u}$ Form Factors: $h_{\pm}(w)$ on the lattice

$$\frac{\langle D(M_D, \mathbf{p}') | V_{\mu} | B(M_B, \mathbf{0}) \rangle}{\sqrt{2M_D} \sqrt{2M_B}} = \frac{1}{2} \left\{ h_+(w)(v + v')_{\mu} + h_-(w)(v - v')_{\mu} \right\} ,$$

- B meson is at rest:  $v = \frac{p}{M_B} = (1, \mathbf{0})$ .
- D meson is moving with velocity:  $v' = \frac{p'}{M_D} = (\frac{E_D}{M_D}, \frac{p'}{M_D})$ .
- Recoil parameter:  $w = v \cdot v'$ .

#### 3-point correlation function



$$C_{V_{\mu}}^{B 
ightarrow D}(t,t_f) = \sum_{ec{x},ec{y}} \langle O_D^{\dagger}(0) V_{\mu}^{cb}(ec{y},t) O_B(ec{x},t_f) 
angle \qquad (0 < t < t_f)$$

Interpolating operators for mesons

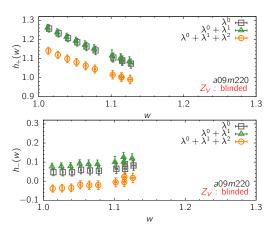
$$O_B = \bar{\psi}_b \gamma_5 \psi_\ell, \qquad O_D = \bar{\psi}_c \gamma_5 \psi_\ell$$

Improved vector current operator

$$V_{\mu}^{cb} = \bar{\Psi}_c \gamma_{\mu} \Psi_b,$$

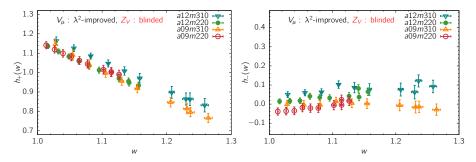
## $ar{B} ightarrow D\ellar{ u}$ Form Factors $h_\pm(w)$

 $a\cong 0.09\,\mathrm{fm}$  &  $m_\pi\cong 220\,\mathrm{MeV}$ 



- MILC HISQ lattice at  $a \cong 0.09 \, \mathrm{fm}$  and  $m_\pi \cong 220 \, \mathrm{MeV}$ .
- $Z_V$  is blinded.

## $ar{B} ightarrow D \ell ar{ u}$ Form Factors $h_\pm(w)$



- MILC HISQ lattices at  $a\cong 0.12\,\mathrm{fm}$  and  $a\cong 0.09\,\mathrm{fm}$
- $Z_V$  is blinded. NPR is underway.
- The vector current is improved up to the  $\lambda^2$  order.
- Preliminary results!

- This is the first numerical study using the OK action with currents improved up to  $\mathcal{O}(\lambda^2)$ .
- We produced 3-point correlation functions, and obtained preliminary results for  $\frac{h_{\pm}(w)}{Z_V}$  of  $\bar{B} \to D\ell\bar{\nu}$  decay.

#### To do list

- Non-perturbative calculation of matching factors:  $Z_V$ .
- Extending measurement to superfine and ultrafine ensembles.
- Chiral-continuum extrapolation
- Accumulate more statistics

# Thank God for your help !!!

# Back-up Slides

# **CLN**

#### CLN: Caprini, Lellouch, Neubert I

• Consider  $\bar{B} \to D^* \ell \bar{\nu}$  decays.

$$\frac{d\Gamma(\bar{B} \to D^*\ell\bar{\nu})}{dw} = \frac{G_F^2 m_{D^*}^3}{48\pi^3} (m_B - m_{D^*})^2 \ \chi(w) \ \eta_{\text{EW}}^2 \ \mathcal{F}^2(w) \ |V_{cb}|^2$$

- Here,  $G_F$  is Fermi constant,  $\eta_{EW}$  is a small electroweak correction, and  $\mathcal{F}(w)$  is the form factor.
- The kinematic factor  $\chi(w)$  is

$$\chi(w) = \sqrt{w^2 - 1}(w+1)^2 \times Y(w)$$
$$Y(w) = \left[1 + \frac{4w}{w+1} \frac{1 - 2wr + r^2}{(1-r)^2}\right]$$

#### CLN: Caprini, Lellouch, Neubert II

• The form factor can be rewritten as follows,

$$\mathcal{F}^{2}(w) = h_{A_{1}}^{2}(w) \times \frac{1}{Y(w)} \times \left\{ 2 \frac{1 - 2wr + r^{2}}{(1 - r)^{2}} \left[ 1 + \frac{w - 1}{w + 1} R_{1}^{2}(w) \right] + \left[ 1 + \frac{w - 1}{1 - r} \left( 1 - R_{2}(w) \right) \right]^{2} \right\}$$

- So far the formalism is quite general.
- CLN method [16]: ( $\approx$  model-dependent approximation)

$$h_{A_1}(w) = h_{A_1}(1) \left[ 1 - 8\rho^2 z + (53\rho^2 - 15)z^2 - (231\rho^2 - 91)z^3 \right]$$
 (3)

$$R_1(w) = R_1(1) - 0.12(w - 1) + 0.05(w - 1)^2$$
(4)

$$R_2(w) = R_2(1) + \frac{0.11}{(w-1)} - \frac{0.06(w-1)^2}{(5)}$$

#### CLN: Caprini, Lellouch, Neubert III

where z is a conformal mapping variable:

$$z = \frac{\sqrt{w+1} - \sqrt{2}}{\sqrt{w+1} + \sqrt{2}} \tag{6}$$

- The trouble is that the slopes and curvatures of  $R_1(w)$  and  $R_2(w)$  are fixed by the HQET perturbation theory (zero-recoil expansion). The HQET results for the slopes and curvatures has about 10% uncertainty of order  $\mathcal{O}(\Lambda^2/m_c^2)$  and  $\mathcal{O}(\alpha_s \Lambda/m_c)$ .
- Hence, CLN can NOT have precision better than 2% by construction.
- The trouble is that the experimental results have errors less than 2% and that the lattice QCD results for the form factors have such a high precision that the errors are below the 2% level.

#### CLN: Caprini, Lellouch, Neubert IV

- At any rate, the experimental group (HFLAV 2017) use CLN to fit the experimental data to determine four parameters:  $\eta_{\text{EW}}\mathcal{F}(1)|V_{cb}|$ ,  $\rho^2$ ,  $R_1(1)$ ,  $R_2(1)$ .
- Lattice QCD determines  $\mathcal{F}(1)$  very well.
- $\eta_{\text{EW}}$  is very well known.
- Hence, we can determine exclusive  $|V_{cb}|$  out of this.

# **BGL**

### BGL: Boyd, Grinstein, Lebed I

- BGL is model-independent.
- BGL is constructed on three building blocks:
  - Dispersion relation
  - Crossing symmetry
  - 4 Analytic continuation: analyticity
- Consider the 2-point function:

$$\Pi_{J}^{\mu\nu}(q) = (q^{\mu}q^{\nu} - q^{2}g^{\mu\nu})\Pi_{J}^{T}(q^{2}) + g^{\mu\nu}\Pi_{J}^{L}(q^{2}) 
\equiv i \int d^{4}x e^{iq\cdot x} \langle 0|TJ^{\mu}(x)[J^{\nu}(0)]^{\dagger}|0\rangle$$
(7)

• In general,  $\Pi_I^{T,L}(q^2)$  is not finite.

### BGL: Boyd, Grinstein, Lebed II

 Hence, we need to make one or two subtractions to obtain finite dispersion relations:

$$\chi_J^L(q^2) = \frac{\partial \Pi_J^L}{\partial q^2} = \frac{1}{\pi} \int_0^\infty dt \frac{\operatorname{Im} \Pi_J^L(t)}{(t - q^2)^2}$$
 (8)

$$\chi_J^T(q^2) = \frac{\partial \Pi_J^T}{\partial q^2} = \frac{1}{\pi} \int_0^\infty dt \frac{\operatorname{Im} \Pi_J^T(t)}{(t - q^2)^2}$$
 (9)

Källen-Lehmann spectral decomposition:

$$(q^{\mu}q^{\nu} - q^{2}g^{\mu\nu}) \operatorname{Im}\Pi_{J}^{T}(q^{2}) + g^{\mu\nu} \operatorname{Im}\Pi_{J}^{L}(q^{2})$$

$$= \frac{1}{2} \sum_{X} (2\pi)^{4} \delta^{4}(q - p_{X}) \langle 0|J^{\mu}(0)|X\rangle \langle X|[J^{\nu}(0)]^{\dagger}|0\rangle \qquad (10)$$

#### BGL: Boyd, Grinstein, Lebed III

• Multiply  $\xi_{\mu}\xi_{\nu}^{*}$  on both sides:

$$\left[ (q^{\mu}q^{\nu} - q^{2}g^{\mu\nu}) \operatorname{Im} \Pi_{J}^{T}(q^{2}) + g^{\mu\nu} \operatorname{Im} \Pi_{J}^{L}(q^{2}) \right] \xi_{\mu} \xi_{\nu}^{*} \ge 0 \tag{11}$$

for any complex 4-vector  $\xi_{\mu}$ .

• From this we can prove the positivity:

$$\operatorname{Im} \Pi_{J}^{T}(q^{2}) \ge 0 \tag{12}$$

$$\operatorname{Im} \Pi_{J}^{L}(q^{2}) \ge 0 \tag{13}$$

#### BGL: Boyd, Grinstein, Lebed IV

• Consider the two body state of  $X = H_b(p_1)H_c(p_2)$ .

$$\operatorname{Im}\Pi_{J}^{ii}(q^{2}) = \frac{1}{2} \int \frac{d^{3}p_{1}d^{3}p_{2}}{(2\pi)^{3}4E_{1}E_{2}} \delta^{4}(q - p_{1} - p_{2})$$

$$\times \sum_{pol} \langle 0|J^{i}|H_{b}(p_{1})H_{c}(p_{2})\rangle \langle H_{b}(p_{1})H_{c}(p_{2})|[J^{i}]^{\dagger}|0\rangle$$

$$+ \cdots$$
(14)

- Here, the ellipsis  $(\cdots)$  represents strictly positive contributions from the higher resonances and multi-particle states.
- We may assume that  $H_b = B, B^*$  meson states, and  $H_c = D, D^*$  meson states.

#### BGL: Boyd, Grinstein, Lebed V

• Let us consider a simple example of  $H_b = B$  and  $H_c = D^*$ .

$$\operatorname{Im}\Pi_{J}^{ii}(t) \ge k(t)|\mathcal{F}(t)|^{2} \tag{15}$$

where  $t = q^2$ , k(t) is a calculable kinematic function arising from two-body phase space.

• Let us use the crossing symmetry and analytic continuation:

$$\langle 0|J^{i}|H_{b}(p_{1})H_{c}(p_{2})\rangle = \mathcal{F}(t) \qquad (t_{+} \leq t < \infty) \qquad (16)$$

$$\langle \bar{H}_b(-p_1)|J^i|H_c(p_2)\rangle = \mathcal{F}(t) \qquad (m_\ell^2 \le t < t_-) \qquad (17)$$

### BGL: Boyd, Grinstein, Lebed VI

• Hadronic moments  $\chi_I^{(n)}$ :

$$\chi_J^{(n)} \equiv \frac{1}{\Gamma(n+3)} \left. \frac{\partial^{n+2} \Pi_J^n}{\partial^{n+2} q^2} \right|_{q^2=0}$$

$$= \frac{1}{\pi} \int_0^\infty dt \left. \frac{\operatorname{Im} \Pi_J^n(t)}{(t-q^2)^{n+3}} \right|_{q^2=0}$$
(18)

Hence, the inequality is

$$\chi_J^{(n)} \ge \frac{1}{\pi} \int_{t_-}^{\infty} dt \frac{k(t)|\mathcal{F}(t)|^2}{t^{n+3}}$$
 (19)

$$\longrightarrow \frac{1}{\pi} \int_{t}^{\infty} dt |h^{(n)}(t)F(t)|^2 \le 1 \tag{20}$$

#### BGL: Boyd, Grinstein, Lebed VII

where

$$[h^{(n)}(t)]^2 = \frac{k(t)}{t^{n+3}\chi_I^{(n)}} \ge 0.$$
 (21)

• Let us introduce the conformal mapping function:

$$z(t,t_s) = \frac{\sqrt{t_+ - t} - \sqrt{t_+ - t_s}}{\sqrt{t_+ - t} + \sqrt{t_+ - t_s}}$$
(22)

The inequality can be rewritten as follows,

$$\frac{1}{\pi} \int_{t_{\perp}}^{\infty} dt \left| \frac{dz(t, t_0)}{dt} \right| |\phi(t, t_0) P(t) F(t)|^2 \le 1, \tag{23}$$

#### BGL: Boyd, Grinstein, Lebed VIII

• Here, the outer function  $\phi$  is

$$\phi(t,t_0) = \tilde{P}(t) \frac{h^{(n)}(t)}{\sqrt{\left|\frac{dz(t,t_0)}{dt}\right|}}$$
(24)

• Here, the factor  $\tilde{P}(t)$  removes the sub-threshold poles and branch cuts in  $h^{(n)}(t)$ .

$$\tilde{P}(t) = \prod_{i=1}^{\tilde{N}} z(t, t_{s_i}) \prod_{j=1}^{\tilde{M}} \sqrt{z(t, t_{s_j})}$$
 (25)

#### BGL: Boyd, Grinstein, Lebed IX

ullet The Blaschke factor P(t) removes all the sub-threshold poles in  $\mathcal{F}(t)$ .

$$P(t) \equiv \prod_{i=1}^{N} \frac{z - z_{P_i}}{1 - zz_{P_i}^*} = \prod_{i=1}^{N} \frac{z - z_{P_i}}{1 - zz_{P_i}}$$
(26)

$$z_{P_i} \equiv z(t_{P_i}, t_-) = \frac{\sqrt{t_+ - t_{P_i}} - \sqrt{t_+ - t_-}}{\sqrt{t_+ - t_{P_i}} + \sqrt{t_+ - t_-}}$$
(27)

where  $t_{P_i} = M_{P_i}^2$  represents the pole positions of F(t) below the threshold  $(t_{P_i} < t_+)$ .

- $|\tilde{P}(t)|=1$  and |P(t)|=1 for  $t_+\leq t<\infty$ .
- Hence,  $\phi(t,t_0)P(t)\mathcal{F}(t)$  is analytic even in the sub-threshold region.

#### BGL: Boyd, Grinstein, Lebed X

• BGL method for the form factor parametrization:

$$F(t) = \frac{1}{\phi(t, t_0)P(t)} \sum_{n=0}^{\infty} a_n z^n(t, t_0)$$
 (28)

After the Fourier analysis, the inequality is

$$\sum_{n=0}^{\infty} |a_n|^2 \le 1.$$
(29)

This is called the unitarity conditions (the weak version).

# $B_s$ meson mass

#### Motivation

- In heavy flavor physics,  $|V_{cb}|$  has  $4.1\sigma$  tension between in and ex.
- The dominant error in  $\varepsilon_K$  comes from  $|V_{cb}|$ .

$$\begin{cases}
30.1\% & \leftarrow |V_{cb}| \\
1.8\% & \leftarrow \hat{B}_{K}
\end{cases}$$

•  $4.0\sigma$  tension is observed using most up to date input parameters.

$$\begin{split} |\varepsilon_K|^{\rm Exp} &= 2.228(11)\times 10^{-3} & \text{(PDG)} \\ |\varepsilon_K|^{\rm SM} &= 1.58(16)\times 10^{-3} & \text{(FLAG } \hat{B}_K, \text{ Exclusive } |V_{cb}|) \end{split}$$

- More precision in  $|V_{cb}|$  might lead to larger tension.
- The dominant error in  $|V_{cb}| \longleftrightarrow$  heavy quark discretization effect.
- We use the OK action to calculate form factors for the semi-leptonic decays:  $\bar{B} \to D^* \ell \bar{\nu}_\ell$ ,  $\bar{B} \to D \ell \bar{\nu}_\ell$ .
- Here, we will verify the improvement in B meson spectrum.

### OK Action (mass form)

$$\begin{split} S_{\rm OK} &= S_{\rm Fermilab} + S_{\rm new} \,, \qquad S_{\rm Fermilab} = S_0 + S_B + S_E \\ S_0 &= m_0 \sum_x \bar{\psi}(x) \psi(x) + \sum_x \bar{\psi}(x) \gamma_4 D_4 \psi(x) - \frac{1}{2} a \sum_x \bar{\psi}(x) \triangle_4 \psi(x) \\ &+ \zeta \sum_x \bar{\psi}(x) \overrightarrow{\gamma} \cdot \overrightarrow{D} \psi(x) - \frac{1}{2} r_s \zeta_a \sum_x \bar{\psi}(x) \triangle^{(3)} \psi(x) \\ &= \mathcal{O}(1) + \mathcal{O}(\lambda) \qquad [\lambda \sim a \Lambda, \, \Lambda/m_Q] \\ S_B &= -\frac{1}{2} c_B \zeta_a \sum_x \bar{\psi}(x) i \overrightarrow{\Sigma} \cdot \overrightarrow{B} \psi(x) \rightarrow \mathcal{O}(\lambda) \\ S_E &= -\frac{1}{2} c_E \zeta_a \sum_x \bar{\psi}(x) \overrightarrow{\alpha} \cdot \overrightarrow{E} \psi(x) \rightarrow \mathcal{O}(\lambda^2) \qquad (c_E \neq c_B : \text{ OK action}) \\ m_0 &= \frac{1}{2\kappa_t} - (1 + 3r_s \zeta + 18c_4) \end{split}$$

[M. B. Oktay and A. S. Kronfeld, PRD **78**, 014504 (2008)]

[A. El-Khadra, A. S. Kronfeld and P. B. Mackenzie, PRD **55**, 3933 (1997)]

### OK Action (mass form)

$$S_{\text{new}} = \mathcal{O}(\lambda^{3}) = c_{1}a^{2} \sum_{x} \bar{\psi}(x) \sum_{i} \gamma_{i} D_{i} \triangle_{i} \psi(x)$$

$$+ c_{2}a^{2} \sum_{x} \bar{\psi}(x) \{\overrightarrow{\gamma} \cdot \overrightarrow{D}, \triangle^{(3)}\} \psi(x)$$

$$+ c_{3}a^{2} \sum_{x} \bar{\psi}(x) \{\overrightarrow{\gamma} \cdot \overrightarrow{D}, i \overrightarrow{\Sigma} \cdot \overrightarrow{B}\} \psi(x)$$

$$+ c_{EE}a^{2} \sum_{x} \bar{\psi}(x) \{\gamma_{4} D_{4}, \overrightarrow{\alpha} \cdot \overrightarrow{E}\} \psi(x)$$

$$+ c_{4}a^{3} \sum_{x} \bar{\psi}(x) \sum_{i} \triangle_{i}^{2} \psi(x)$$

$$+ c_{5}a^{3} \sum_{x} \bar{\psi}(x) \sum_{i} \sum_{i \neq i} \{i \Sigma_{i} B_{i}, \triangle_{j}\} \psi(x)$$

### OK Action: Tadpole Improvement (hopping form)

$$\begin{split} &c_5 a^3 \bar{\psi}(x) \sum_i \sum_{j \neq i} \{ \mathrm{i} \Sigma_i B_{i \mathrm{lat}}, \triangle_{j \mathrm{lat}} \} \psi(x) \\ &= \mathrm{i} \frac{2 \tilde{c}_5 \tilde{\kappa}_t}{4 u_0^2} \bar{\psi}_x \sum_i \Sigma_i T_i^{(3)} \psi_x - \mathrm{i} \frac{32 \tilde{c}_5 \tilde{\kappa}_t}{2 u_0^3} \bar{\psi}_x \overrightarrow{\Sigma} \cdot \overrightarrow{B} \psi_x \\ &+ \mathrm{i} \frac{2 \tilde{c}_5 \tilde{\kappa}_t}{u_0^4} \bar{\psi}_x \sum_i \left( -\frac{1}{4} \Sigma_i T_i^{(3)} + \sum_{j \neq i} \{ \Sigma_i B_i, (T_j + T_{-j}) \} \right) \psi_x \\ &T_i^{(3)} \equiv \sum_{i,k=1}^3 \epsilon_{ijk} \left( T_{-k} (T_j - T_{-j}) T_k - T_k (T_j - T_{-j}) T_{-k} \right) \end{split}$$

#### Measurement

#### Gauge Ensemble, Heavy Quark $\kappa$ , Meson Momentum

• MILC asqtad  $N_f = 2 + 1$ 

						$a^{-1}(GeV)$		
0.12	$20^3 \times 64$	6.79	0.02	0.05	0.8688	$1.683^{+43}_{-16}$	500	6

• 11 momenta  $|pa| = 0, 0.099, \dots, 1.26$ 

#### Measurement: Interpolating Operator

Meson correlator

$$C(t, oldsymbol{
ho}) = \sum_{oldsymbol{x}} \mathrm{e}^{\mathrm{i} oldsymbol{
ho} \cdot oldsymbol{x}} \langle \mathcal{O}^\dagger(t, oldsymbol{x}) \mathcal{O}(0, oldsymbol{0}) 
angle$$

Heavy-light meson interpolating operator

$$\mathcal{O}_{\mathbf{t}}(x) = \bar{\psi}_{\alpha}(x) \Gamma_{\alpha\beta} \Omega_{\beta \mathbf{t}}(x) \chi(x)$$

$$\Gamma = \left\{ egin{array}{ll} \gamma_5 & ext{(Pseudo-scalar)} \ \gamma_\mu & ext{(Vector)} \end{array} 
ight., \; \Omega(x) \equiv \gamma_1^{x_1} \gamma_2^{x_2} \gamma_3^{x_3} \gamma_4^{x_4} \end{array}$$

Quarkonium interpolating operator

$$\mathcal{O}(x) = \bar{\psi}_{\alpha}(x) \Gamma_{\alpha\beta} \psi_{\beta}(x)$$

[Wingate et al., PRD 67, 054505 (2003), C. Bernard et al., PRD 83, 034503 (2011)]

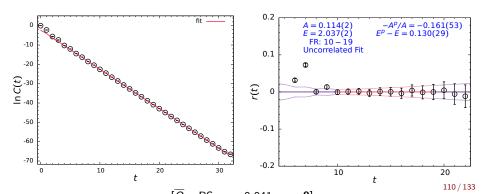
#### Correlator Fit

fit function

$$f(t) = A\{e^{-Et} + e^{-E(T-t)}\} + (-1)^t A^p \{e^{-E^p t} + e^{-E^p (T-t)}\}\$$

fit residual

$$r(t) = \frac{C(t) - f(t)}{|C(t)|}$$
, where  $C(t)$  is data.



#### Correlator Fit: Effective Mass

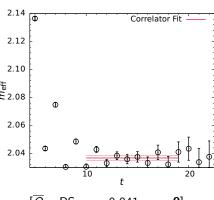
$$m_{\mathsf{eff}}(t) = rac{1}{2} \ln \left( rac{C(t)}{C(t+2)} 
ight)$$

For small t,

$$C(t) \cong A(e^{-Et} + \beta e^{-(E+\Delta E)t})$$
$$= Ae^{-Et}(1 + \beta e^{-(\Delta E)t}),$$

$$\begin{cases} \beta > 0 & \text{(excited state)} \\ \beta \sim -(-1)^t & \text{(time parity state)} \end{cases}$$

$$m_{\mathrm{eff}} pprox E + \beta(\Delta E)e^{-(\Delta E)t}$$

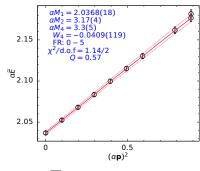


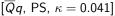
$$[\overline{Q}q,\, {\sf PS},\, \kappa=0.041,\, {\pmb p}={\pmb 0}]$$

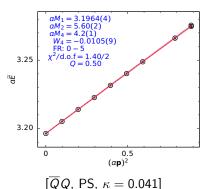
#### Dispersion Relation

$$E = M_1 + \frac{\mathbf{p}^2}{2M_2} - \frac{(\mathbf{p}^2)^2}{8M_4^3} - \frac{a^3 W_4}{6} \sum_i p_i^4$$

$$\widetilde{E} = E + \frac{a^3 W_4}{6} \sum_i p_i^4 , \quad \mathbf{n} = (2, 2, 1), (3, 0, 0)$$







#### Improvement Test: Inconsistency Parameter

$$I \equiv \frac{2\delta M_{\overline{Q}q} - (\delta M_{\overline{Q}Q} + \delta M_{\overline{q}q})}{2M_{2\overline{Q}q}} = \frac{2\delta B_{\overline{Q}q} - (\delta B_{\overline{Q}Q} + \delta B_{\overline{q}q})}{2M_{2\overline{Q}q}}$$
$$M_{1\overline{Q}q} = m_{1\overline{Q}} + m_{1q} + B_{1\overline{Q}q} \qquad \delta M_{\overline{Q}q} = M_{2\overline{Q}q} - M_{1\overline{Q}q}$$
$$M_{2\overline{Q}q} = m_{2\overline{Q}} + m_{2q} + B_{2\overline{Q}q} \qquad \delta B_{\overline{Q}q} = B_{2\overline{Q}q} - B_{1\overline{Q}q}$$

[S. Collins et al., NPB 47, 455 (1996), A. S. Kronfeld, NPB 53, 401 (1997)]

- Inconsistency parameter I can be used to examine the improvements by  $\mathcal{O}(\boldsymbol{p}^4)$  terms in the action. OK action is designed to improve these terms and matched at tree-level.
- Binding energies  $B_1$  and  $B_2$  are of order  $\mathcal{O}(\boldsymbol{p}^2)$ . Because the kinetic meson mass  $M_2$  appears with a factor  $\boldsymbol{p}^2$ , the leading contribution of binding energy  $B_2$  generated by  $\mathcal{O}(\boldsymbol{p}^4)$  terms in the action.

$$E = M_1 + \frac{\mathbf{p}^2}{2M_2} + \cdots = M_1 + \frac{\mathbf{p}^2}{2(m_{2\overline{Q}} + m_{2q})} \left[ 1 - \frac{B_{2\overline{Q}q}}{(m_{2\overline{Q}} + m_{2q})} + \cdots \right] + \cdots$$

#### Improvement Test: Inconsistency Parameter

$$I \cong \frac{2\delta M_{\overline{Q}q} - \delta M_{\overline{Q}Q}}{2M_{2\overline{Q}q}} \cong \frac{2\delta B_{\overline{Q}q} - \delta B_{\overline{Q}Q}}{2M_{2\overline{Q}q}}$$

• Considering non-relativistic limit of quark and anti-quark system, for S-wave case  $(\mu_2^{-1}=m_{2Q}^{-1}+m_{2q}^{-1})$ ,

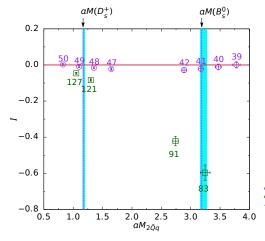
$$\delta B_{\overline{Q}q} = \frac{5}{3} \frac{\langle \mathbf{p}^2 \rangle}{2\mu_2} \left[ \mu_2 \left( \frac{m_{2\overline{Q}}^2}{m_{4\overline{Q}}^3} + \frac{m_{2q}^2}{m_{4q}^3} \right) - 1 \right] \quad (\mathbf{m}_4 : c_1, c_3) \\
+ \frac{4}{3} a^3 \frac{\langle \mathbf{p}^2 \rangle}{2\mu_2} \mu_2 \left( w_{4\overline{Q}} m_{2\overline{Q}}^2 + w_{4q} m_{2q}^2 \right) \quad (w_4 : c_2, c_4) \\
+ \mathcal{O}(p^4)$$

[A. S. Kronfeld, NPB 53, 401 (1997), C. Bernard et al., PRD 83, 034503 (2011)]

- Leading contribution of  $\mathcal{O}(\mathbf{p}^2)$  in  $\delta B$  vanishes when  $w_4 = 0$ ,  $m_2 = m_4$ , not only for S-wave states but also for higher harmonics.
- This condition is satisfied exactly at tree-level, and we expect I is close to 0.

#### Improvement Test: Inconsistency Parameter

• The coarse (a = 0.12fm) ensemble data covers the  $B_s^0$  mass and shows significant improvement compared to the Fermilab action.



 The data point labels denote the κ values.

$$(a = 0.12 \text{fm}) \text{ OK}$$
 $(a = 0.12 \text{fm}) \text{ FNAL}$ 
 $I = 0$ 

#### Improvement Test: Hyperfine Splitting $\Delta$

$$\Delta_1 = M_1^* - M_1 \,,\; \Delta_2 = M_2^* - M_2$$

Recall,

$$M_{1\overline{Q}q}^{(*)} = m_{1\overline{Q}} + m_{1q} + B_{1\overline{Q}q}^{(*)}$$

$$M_{2\overline{Q}q}^{(*)} = m_{2\overline{Q}} + m_{2q} + B_{2\overline{Q}q}^{(*)}$$

$$\delta B^{(*)} = B_2^{(*)} - B_1^{(*)}$$

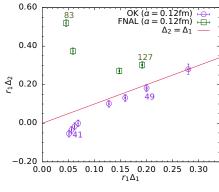
Then,

$$\Delta_2 = \Delta_1 + \delta B^* - \delta B$$

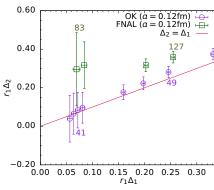
• The difference in hyperfine splittings  $\Delta_2 - \Delta_1$  also can be used to examine the improvement from  $\mathcal{O}(p^4)$  terms in the action.

#### Improvement Test: Hyperfine Splitting Δ

$$\Delta_2 = \Delta_1 + \delta B^* - \delta B$$



Quarkonium



Heavy-light

#### Conclusion and Outlook

- Inconsistency parameter shows that the OK action clearly improves  $\mathcal{O}(\mathbf{p}^4)$  terms.
- Hyperfine splitting shows that the OK action clearly improves the higher dimension magnetic effects for the quarkonium.
- We plan to calculate  $V_{cb}$  with the highest precision possible.
- Improved current relevant to the decay  $\bar{B} \to D^* l \nu$  at zero recoil is needed. (Jon A. Bailey and J. Leem)
- We plan to calculate the 1-loop coefficients for  $c_B$  and  $c_E$  in the OK action. (Y.C. Jang)
- Highly optimized inverter using QUDA will be available soon.
   (Y.C. Jang)

# $\kappa$ Tuning

# $N_f = 2 + 1 + 1$ MILC HISQ lattice

<i>a</i> (fm)	Volume	$\hat{m}'/m_s'$	$M_{\pi}L$	$M_{\pi} \; ({ m MeV})$	$N_{conf}$
0.12	$24^{3} \times 64$	1/5	4.54	305.3(4)	1040
	$24^{3} \times 64$	1/10	3.22	218.1(4)	1020
	$32^{3} \times 64$	1/10	4.29	216.9(2)	1000
	$40^{3} \times 64$	1/10	5.36	217.0(2)	1028
	$48^{3} \times 64$	1/27	3.88	131.7(1)	1000
0.09	$32^{3} \times 96$	1/5	4.50	312.7(6)	1011
	$48^{3} \times 96$	1/10	4.71	220.3(2)	1000
	$64^{3} \times 96$	1/27	3.66	128.2(1)	1047
0.06	$48^{3} \times 144$	1/5	4.51	319.3(5)	1016
	$64^{3} \times 144$	1/10	4.30	229.2(4)	1246
	$96^{3} \times 192$	1/27	3.69	135.5(2)	858
0.042	$64^{3} \times 192$	1/5	4.35	309.3(9)	1133
	$144^{3} \times 288$	1/27	4.17	134.2(2)	381
0.03	$96^{3} \times 288$	1/5	4.84	308.7(1.2)	609

# $|V_{cb}|$ from the exclusive decay $ar{B} o D^*\ellar{ u}$

$$\frac{d\Gamma}{dw}(\bar{B} \to D^* I \bar{\nu}) = \frac{G_F^2 |V_{cb}|^2 M_B^5}{4\pi^3} r^{*3} (1 - r^*)^2 (w^2 - 1)^{\frac{1}{2}} \eta_C |\eta_{EW}|^2 \chi(w) |\mathcal{F}(w)|^2$$

- $w = v_B \cdot v_{D^*}, r^* = \frac{M_{D^*}}{M_B}$
- $\eta_C$ : Coulomb attraction,  $\eta_{EW}=1.0066$ : the one-loop electroweak correction
- $\chi(w)$ : Phase-space factor
- $\mathcal{F}(w)$ : Form factor ( $\leftarrow$ LATTICE)

#### Heavy quarks on the lattice: Fermilab method

The most updated version of  $V_{cb}$  calculation is done using the Fermilab action to control the c, b heavy quark discretization errors. It is generalized version of the Wilson clover action [El-Khadra, Kronfeld, and Mackenzie, PRD55, 3933 (1997)]

$$\begin{split} S_{\mathsf{Fermilab}} &= S_0 + S_E + S_B \\ S_0 &= a^4 \sum_x \bar{\psi}(x) \Big[ m_0 + \gamma_4 D_4 - \frac{a}{2} \Delta_4 + \zeta \Big( \gamma \cdot \boldsymbol{D} - \frac{r_s a}{2} \Delta^{(3)} \Big) \Big] \psi(x) \\ S_E &= -\frac{1}{2} c_E \zeta a^5 \sum_x \bar{\psi}(x) \alpha \cdot \boldsymbol{E} \psi(x), \quad S_B = -\frac{1}{2} c_B \zeta a^5 \sum_x \bar{\psi}(x) i \boldsymbol{\Sigma} \cdot \boldsymbol{B} \psi(x). \end{split}$$

• The Wilson term breaks the chiral symmetry explicitly, and the mass gets additive renormalization.  $\rightarrow$  We tune  $\kappa$  and  $\kappa_{\rm crit}$  to the physical quark.

$$am_0 = \frac{1}{2u_0} \left( \frac{1}{\kappa} - \frac{1}{\kappa_{\text{crit}}} \right)$$

#### Oktay-Kronfeld action

The OK action is an improved version of the Fermilab action such that the bilinear operators are tree-level matched to QCD through  $\mathcal{O}(\lambda^3)$  in HQET power counting where  $\lambda \sim a \Lambda \sim \Lambda/(2m_Q)$  [Oktay and Kronfeld, PRD78, 014504 (2008)]

$$\begin{split} S_{\text{OK}} &= S_{\text{Fermilab}} + S_{\text{new}} \\ S_{\text{new}} &= a^6 \sum_{x} \bar{\psi}(x) \Big[ c_1 \sum_{i} \gamma_i D_i \Delta_i + c_2 \{ \boldsymbol{\gamma} \cdot \boldsymbol{D}, \Delta^{(3)} \} + c_3 \{ \boldsymbol{\gamma} \cdot \boldsymbol{D}, i \boldsymbol{\Sigma} \cdot \boldsymbol{B} \} \\ &+ c_{EE} \{ \gamma_4 D_4, \boldsymbol{\alpha} \cdot \boldsymbol{E} \} + c_4 \sum_{i} \Delta_i^2 + c_5 \sum_{i \neq j} \{ i \Sigma_i B_i, \Delta_j \} \Big] \psi(x) \end{split}$$

• The matching determines  $c_B$ ,  $c_E$ ,  $c_{1,\cdots,5}$  and  $c_{EE}$  as a function of  $m_0$ . We have a tree-level value for the  $\kappa_{\rm crit}$ 

$$\kappa_{\text{crit}}^{\text{tree}} = [2u_0(1 + 3\zeta r_s + 18c_4)]^{-1} = 0.053850 \qquad (\zeta = r_s = 1)$$
 where  $u_0 = 0.86372$  for MILC HISQ lattice (a12m310, 24<sup>3</sup> × 64)

#### Fermilab method

We write non-relativistic dispersion relation,

$$E(\mathbf{p}) = M_1 + \frac{\mathbf{p}^2}{2M_2} - \frac{(\mathbf{p}^2)^2}{8M_4^3} - \frac{a^3W_4}{6} \sum_i p_i^4 + \cdots$$

- M<sub>1</sub>: rest mass
- $M_2$ : kinetic mass  $\rightarrow$  Tuning to the physical mass
- M<sub>4</sub>: quartic mass
- W4: Lorentz symmetry breaking term

(Example) Tree-level relation between the bare quark mass  $m_0$  and the kinetic quark mass  $m_2$ 

$$\frac{1}{am_2} = \frac{2\zeta^2}{am_0(2+am_0)} + \frac{r_s\zeta}{1+am_0}$$

#### Nonperturbative determination of $\kappa_{\rm crit}$

- $M_2(\kappa, \kappa_{crit})$ : Light kinetic meson mass (600 $\sim$ 950 MeV)
- $m_2(\kappa, \kappa_{\text{crit}})$ : kinetic quark mass

Let us suppose the meson mass relation

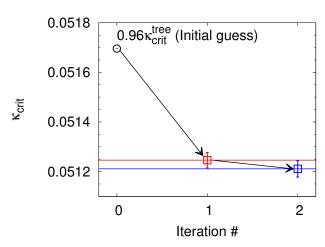
$$M_2^2 = A + Bm_2 + Cm_2^2.$$

The fitting using the true value of  $\kappa_{\rm crit}$  will give A=0. Note that the action depends on both  $\kappa$  and  $\kappa_{\rm crit}$ . We determine  $\kappa_{\rm crit}$  iteratively, as follows.

- 1 Start with an initial guess  $\kappa'_{\rm crit} = 0.96 \kappa^{\rm tree}_{\rm crit}$
- 2 Determine the OK action coefficients using  $\kappa'_{\rm crit}$
- 3 Produce 2-pt pion correlators, and determine kinetic meson mass  $M_2(\kappa, \kappa'_{\rm crit})$  using various  $\kappa$  in the range (600~950 MeV)
- **4** Find  $\kappa_{\text{crit}}$  such that fitting in terms of  $m_2(\kappa, \kappa_{\text{crit}})$  gives A = 0.
- **5** Update  $\kappa'_{\text{crit}} = \kappa_{\text{crit}}$  and go to the step 2.

# Nonperturbative determination of $\kappa_{crit}$ : result

 $N_{\rm f}=2+1+1$  MILC HISQ ensemble (a12m310),  $N_{\rm conf}=130$ , point source



$$\kappa_{\rm crit} = 0.051211(33)(4)$$

#### $\kappa$ tuning using $D_s$ and $B_s$ masses

- $M_2(\kappa, \kappa_{crit})$ : Heavy-light meson mass
- $m_2(\kappa, \kappa_{\rm crit})$ : kinetic quark mass

We use the HQET expansion of heavy-light meson masses  $M_2$  as a fitting function:

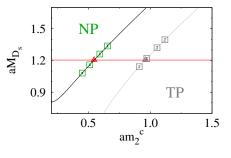
$$aM_2 = am_2 + d_0 + \frac{d_1}{am_2} + \frac{d_2}{(am_2)^2}.$$

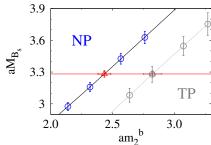
- 1 Determine the OK action coefficients using charm and bottom type  $\kappa$  values with nonperturbative  $\kappa_{\rm crit}$ .
- 2 Produce 2-pt  $B_s$ ,  $D_s$  correlators, and determine  $M_2(\kappa, \kappa_{crit})$
- 3 Determine the coefficients  $d_0$ ,  $d_1$  and  $d_2$  using least- $\chi^2$  fitting
- 4 Find  $m_2^{\text{tuned}}$  that gives the physical meson mass  $M^{\text{Phys}} = M_2(m_2^{\text{tuned}})$ .
- 5 obtain  $\kappa^{\mathrm{tuned}}$  such that  $m_2^{\mathrm{tuned}} = m_2(m_0^{\mathrm{tuned}})$  and  $m_0^{\mathrm{tuned}} = m_0(\kappa^{\mathrm{tuned}}, \kappa_{\mathrm{crit}})$ .

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#### $\kappa$ tuning using $D_s$ and $B_s$ masses: results

- $N_f = 2 + 1 + 1$  MILC HISQ ensemble (a12m310)
- HISQ propagators ( $am_s = 0.0509$ ) with point source
- OK propagators ( $\kappa_{\rm crit}=0.051211$  and  $\kappa_{\rm crit}^{\rm tree}$ ) with covariant Gaussian smearing.





$$\kappa_c = 0.048524(33)(43), \qquad \kappa_b = 0.04102(14)(9)$$

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