

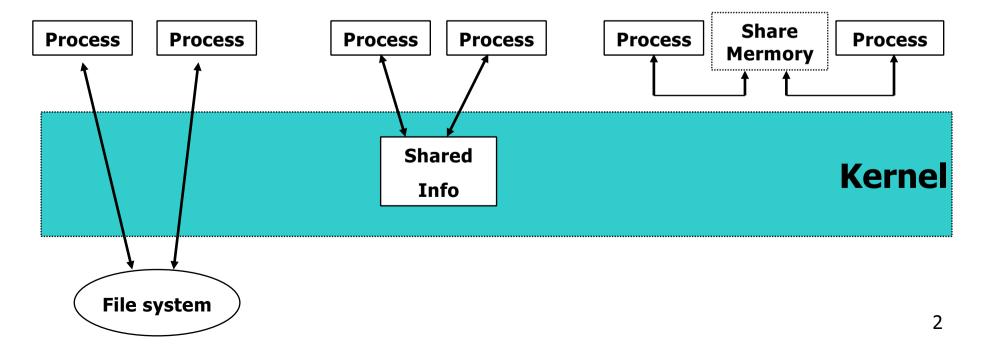
Chapter 15. Interprocess Communication

朱金辉

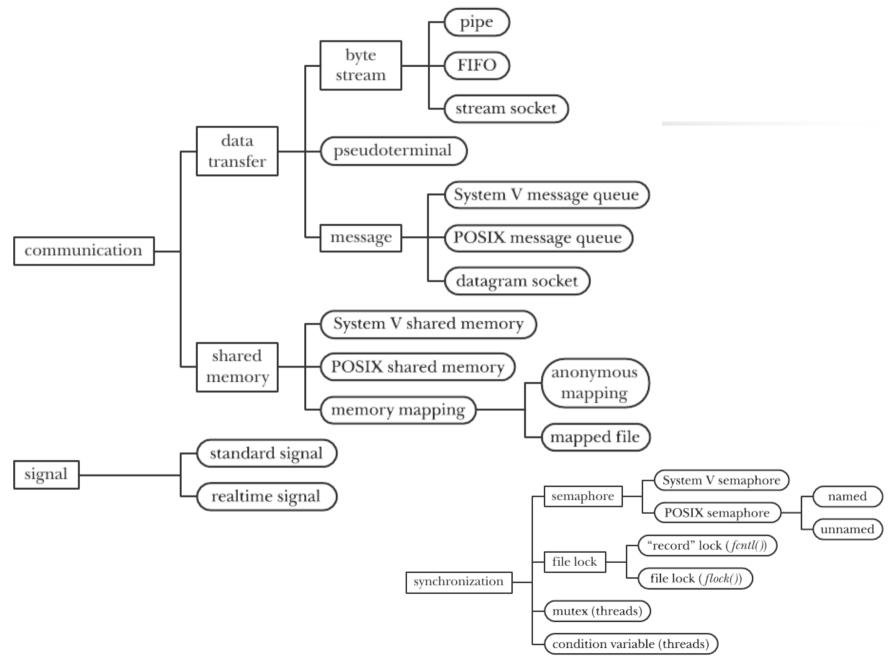
华南理工大学软件学院

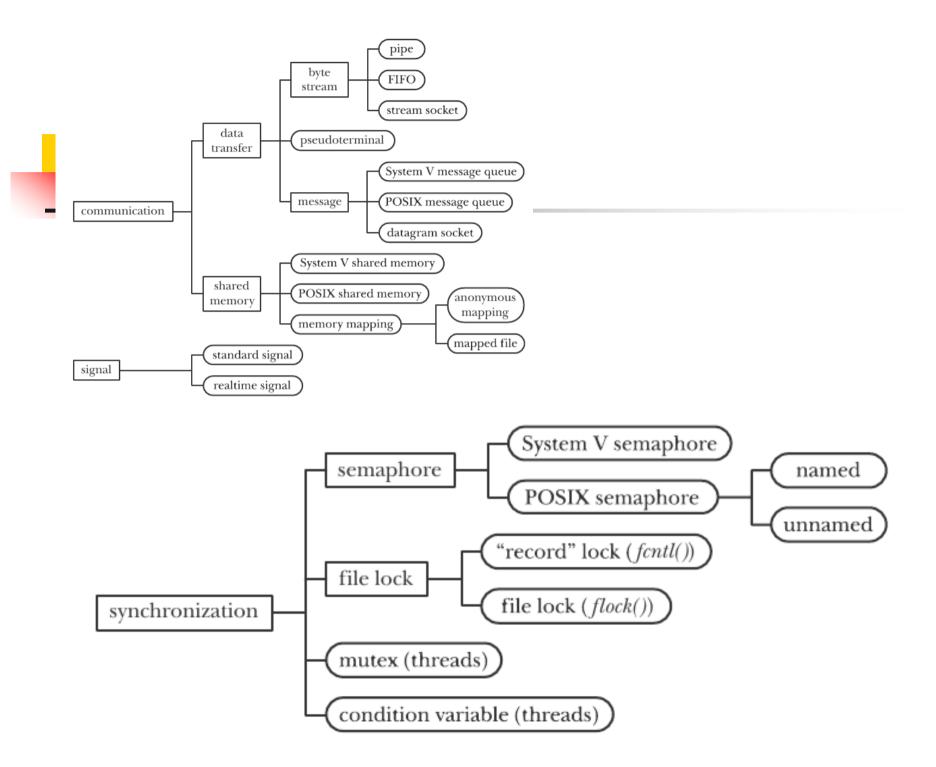


- IPC stands for interprocess communication
- Message passing between different process that are running on some operating system
- Need some forms of synchronization



A taxonomy of UNIX IPC facilities





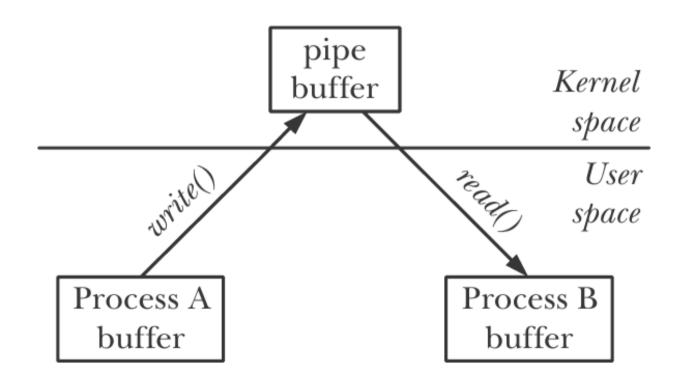


Contents

- Pipes
- FIFO: name pipes
- Message Queues
- Semaphores
- Shared Memory
- Memory mapping



1. Pipes



Exchanging data between two processes using a pipe

Pipes

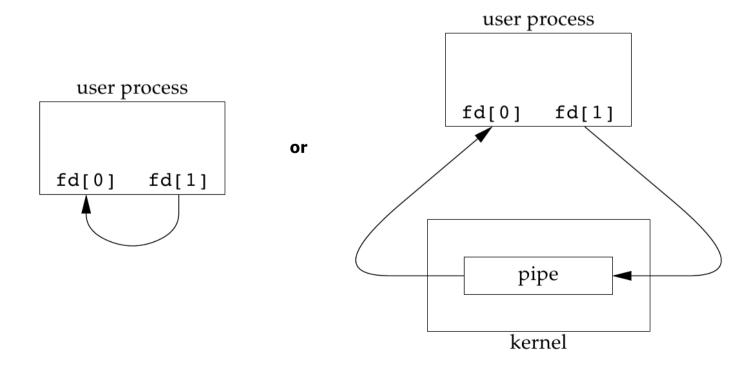
- Oldest form
- half-duplex (only 1-direction data flow)
- no names
- process creates a pipe, forks, and uses the pipe to communicate with child

```
#include <unistd.h>
int pipe (int fieldes[2]);
```

• Returns: 0 if OK, -1 on error

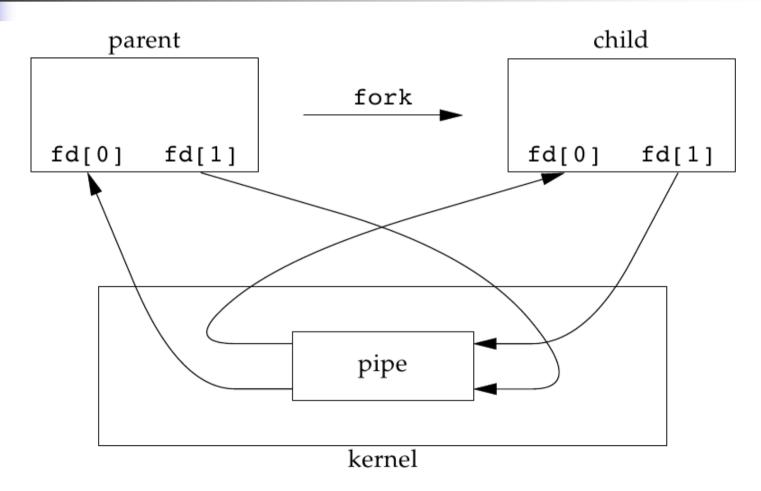


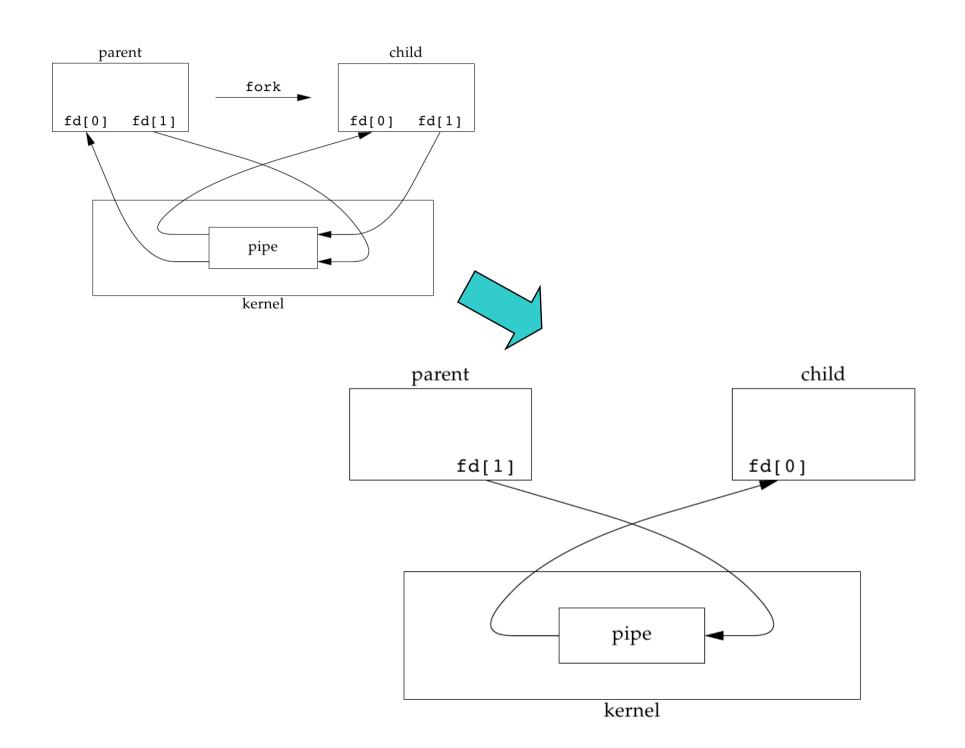
- filedes[0]: open for reading
- filedes[1]: open for writing
- Output of filedes[1] is input of filedes[0]





Half-duplex pipe after a fork





Program 15.5: Parent→Child Pipe

```
int main(void) {
  int
          n, fd[2];
  pid t pid;
  charline[MAXLINE];
  if (pipe(fd) < 0)
      err sys("pipe error");
  if ( (pid = fork()) < 0) err sys("fork error");</pre>
                             /* parent */
  else if (pid > 0) {
      close(fd[0]);
      write(fd[1], "hello world\n", 12);
                           /* child */
  } else {
      close(fd[1]);
      n = read(fd[0], line, MAXLINE);
      write(STDOUT FILENO, line, n);
  exit(0);
```

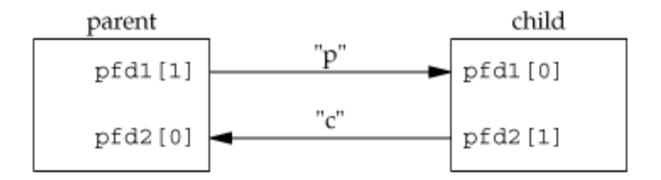
Pipes

- Read from a pipe if write end closed:
 - if data, data is read, and
 - when no data, returns $0 (\rightarrow EOF)$
- Write to a pipe if read end closed:
 - SIGPIPE generated,
 - write() returns errno = EPIPE
- PIPE_BUF: #bytes in kernel's pipe buffer size



Using 2 pipes for parent/child synchronization

Figure 15.8. Using two pipes for parentchild synchronization



Program 15.7: Pipe sync

```
#include "apue.h"
static int pfd1[2], pfd2[2];
void
TELL WAIT() {
  if (pipe(pfd1) < 0 \mid | pipe(pfd2) < 0)
      err sys("pipe error");
Void TELL PARENT(pid t pid) {
  if (write(pfd2[1], "c", 1) != 1)
      err sys("write error");
```

Program 15.7: Pipe sync

```
void WAIT PARENT(void) {
  char
         C;
   if (read(pfd1[0], &c, 1) != 1) err sys("read error");
   if (c != 'p') err_quit("WAIT PARENT: incorrect data");
void TELL CHILD(pid t pid) {
 if (write(pfd1[1], "p", 1) != 1) err sys("write error");
void WAIT CHILD(void) {
  char
         C;
  if (read(pfd2[0], &c, 1) != 1) err sys("read error");
  if (c != 'c')
      err quit("WAIT CHILD: incorrect data");
```



popen & pclose Functions

- All dirty work:
 - create a pipe
 - fork a child
 - close unused ends of pipe
 - exec a shell to execute a command
 - wait for command to terminate
- #include <stdio.h>
 - FILE *popen(const char *cmdstring, const char *type);
- Returns: file pointer if OK, NULL on error int pclose(FILE *fp);
- Returns: termination status of cmdstring, or -1 on error

popen: type = r or w



Figure 15.9 Result of fp = popen(cmdstring, "r")



Figure 15.10 Result of fp = popen(cmdstring, "w")

Program 15.11: pager (popen)

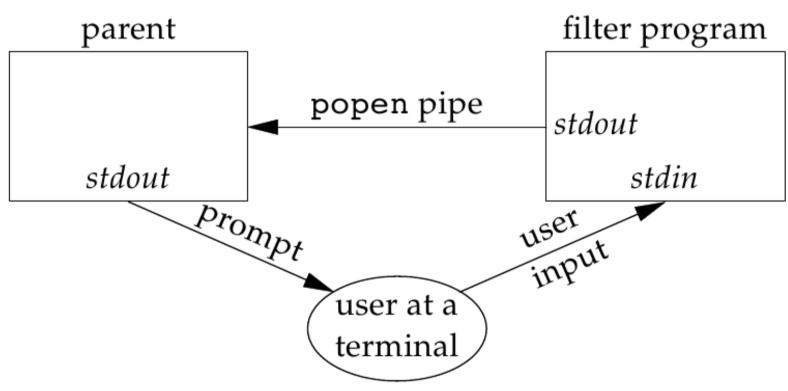
```
#include <sys/wait.h>
#include "apue.h"
#define PAGER "${PAGER:-more}" /* environment
  variable, or default */
int main(int argc, char *argv[]) {
  char line[MAXLINE];
          *fpin, *fpout;
  FILE
  if (argc != 2)
     err quit("usage: a.out <pathname>");
  if ( (fpin = fopen(argv[1], "r")) == NULL)
     err sys("can't open %s", argv[1]);
```

Program 15.11: pager (popen)

```
if ( (fpout = popen(PAGER, "w")) == NULL)
   err sys("popen error");
    /* copy argv[1] to pager */
while (fgets(line, MAXLINE, fpin) != NULL) {
    if (fputs(line, fpout) == EOF)
          err sys("fputs error to pipe");
if (ferror(fpin))
   err sys("fgets error");
if (pclose(fpout) == -1)
   err sys("pclose error");
exit(0);
```



Filter Program (with popen)



Program 15.14 (filter)

```
filter program
                                              parent
                                                        popen pipe
                                                                    stdout
                                                                       stdin
                                              stdout
                                                  \overline{p_{r_0}}_{m_{p_t}}
                                                                  user
                                                                  input
                                                          user at a
#include
               <ctype.h>
                                                          terminal
#include
                 "apue.h"
int main(void) {
   int
                 c;
   while ( (c = getchar()) != EOF) {
        if (isupper(c))
                 c = tolower(c);
        if (putchar(c) == EOF)
                 err sys("output error");
        if (c == \sqrt{n})
                 fflush (stdout);
   exit(0);
```

Program 15.15 (using filter)

```
popen pipe
                                                                              stdout
                                                    stdout
                                                                                  stdin
                                                          \overline{p_r}_{o_{m_{p_t}}}
#include <sys/wait.h>
int main(void) {
                                                                  user at a
   char line[MAXLINE];
                                                                  terminal
   FILE *fpin;
   if ( (fpin = popen("myuclc", "r")) == NULL)
          err sys("popen error");
   for (;;) {
          fputs("prompt> ", stdout);
          fflush(stdout);
          if (fgets(line, MAXLINE, fpin) == NULL) /* read from pipe */
                    break;
          if (fputs(line, stdout) == EOF)
                    err sys("fputs error to pipe");
   if (pclose(fpin) == -1) err_sys("pclose error");
   putchar('\n');
   exit(0);
```

parent

filter program



2. FIFOs

- Also called "named pipes"
- Can be used by unrelated processes
- File type: stat.st_mode = FIFO
- Test with S_ISFIFO macro
- Similar to creating a file : mkfifo
- Pathname exists
- open, close, read, write, unlink, etc

FIFOs

```
#include <sys/types.h>
#include <sys/stat.h>
int mkfifo (const char *pathname,
mode_t mode);
```

- Returns: 0 if OK, -1 on error
- mode: same as for open function



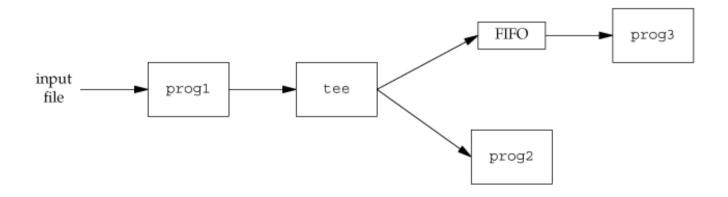
Using FIFOs to Duplicate Output **Streams**

Copies stdin to

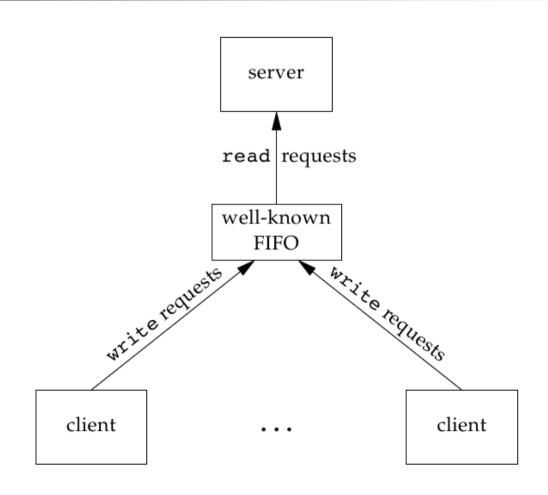
stdout and file

- mkfifo fifo1
- prog3 < fifo1 &</p>

prog1 < infile | tee fifo1 | prog2</p>

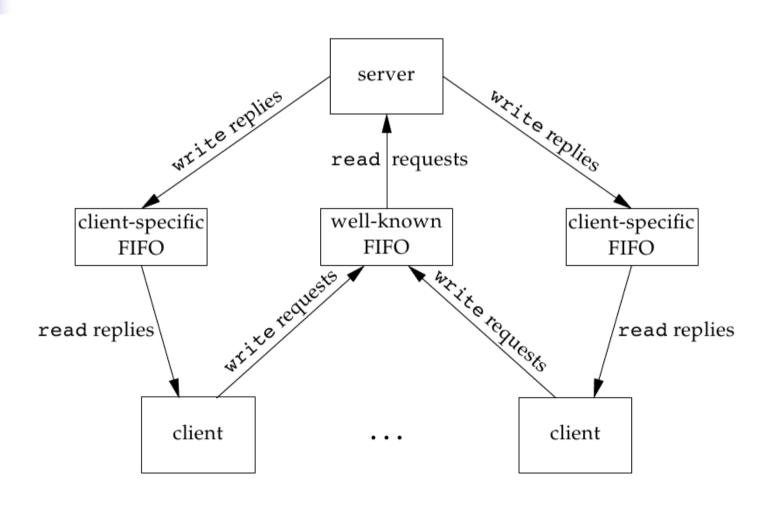


Client-Server Communication Using a FIFO





Client-Server Communication Using a FIFO





Client-Server Communication Using a FIFO

- Solution: Create a FIFO for each client such that server can reply using the client-specific FIFO
 - E.g. /tmp/serv1.XXXXX, where XXXXXX is client's process ID
 - Impossible for server to know if a client has crashed,
 FIFOs left in system,
 - server must catch SIGPIPE (FIFO with 1 writer, no reader)



3. XSI IPC

XSI: X/Open System Interface extension
The XSI IPC functions are based closely on the
System V IPC functions.

- ✓ Message Queues
- Semaphores
- ✓ Shared Memory
- When creating an IPC structure, a key must be specified (type: key_t)
- Each IPC structure has a nonnegative integer identifier (large!)
- Command: ipcs, ipcrm



Client-Server Rendezvous at same IPC structure (1)

- Server creates a new IPC structure using key = IPC_PRIVATE
- Server stores returned identifier in some file for client to obtain
- Disadvantage: file I/O!



Client-Server Rendezvous at same IPC structure (2)

- Define a key in a common header
- Client and server agree to use that key
- Server creates a new IPC structure using that key
- Problem: key exists? (msgget, semget, shmget returns error)
- Solution: delete existing key, create a new one again!



Client-Server Rendezvous at same IPC structure (3)

- Client and server agree on
 - a pathname
 - a project ID (char between 0 ~ 255)
- ftok() converts the 2 values into a key
- Client and server use that key
- Disadvantage: ftok → a function call!



Permission Structure for IPC

XSI IPC associates an ipc_perm structure with each IPC structure.

```
struct ipc_perm {
   uid_t uid; /* owner' s EUID */
   gid_t gid; /* owner' s EGID */
   uid_t cuid; /* creator' s EUID */
   gid_t cgid; /* creator' s EGID */
   mode_t mode; /* access mode */
   ulong seq; /* slot usage seq number */
   key_t key; /* key */
};
```



Summary of XSI IPC functions

	Message	Semaphores	Shared Memory
	queues		
Header	<sys msg.h=""></sys>	<sys sem.h=""></sys>	<sys shm.h=""></sys>
create or open	msgget	semget	shmget
Control operations	msgctl	semctl	shmctl
IPC operations	msgsnd	semop	shmat
	msgrcv		shmdt



(1) Message Queues

- Linked list of messages
- Stored in kernel
- Identified by message queue identifier
- msgget: create new or open existing q
- msgsnd: add new msg to a queue
- msgrcv: receive msg from a queue
- Fetching order: based on type of msg



Message Queues

- creates a new message queue or obtains the identifier of an existing queue
- #include <sys/types.h>
 #include <sys/ipc.h>
 #include <sys/msg.h>
 int msgget(key_t key, int flag);
- Returns: msg queue ID if OK, -1 on error

```
id = msgget(key, IPC_CREAT | S_IRUSR | S_IWUSR);
if (id == -1)
    errExit("msgget");
```



Operations on queue

```
#include <sys/types.h>
#include <sys/ipc.h>
#include <sys/msg.h>
int msgctl(int msgid_int
```

IPC_STAT: fetch into buf
IPC_SET: set from buf
IPC_RMID: remove from
queue

int msgctl(int msqid, int cmd, struct msqid_ds *buf);

■ Returns: 0 if OK, -1 on error



Message Queues data structure

```
struct msqid ds {
                               /* see Section 15.6.2 */
 struct ipc perm
                  msg perm;
                               /* # of messages on queue */
 msgqnum t
                  msg qnum;
 msglen t
                  msg qbytes;
                               /* max # of bytes on queue */
                  msg lspid;
                               /* pid of last msgsnd() */
 pid t
                               /* pid of last msgrcv() */
                  msg lrpid;
 pid t
 time t
                  msg stime;
                               /* last-msgsnd() time */
 time t
                  msg rtime;
                               /* last-msgrcv() time */
                               /* last-change time */
 time t
                  msg ctime;
```

Message Structure



Place data on message queue

```
#include <sys/types.h>
#include <sys/ipc.h>
#include <sys/msg.h>
```

Pointer to: type + data (nbytes data)

int msgsnd(int msqid, const void *ptr, size_t nbytes, int flag);

■ Returns: 0 if OK, -1 on errør

IPC NOWAIT or 0



Retrieve Message from Queue

```
#include <sys/types.h> type>0: 1st msg of type

#include <sys/ipc.h> type < 0: first msg with type

#include <sys/msg.h> <= |type| and smallest

int msgrcv(int msqid, void *ptr,

size_t nbytes, long type, int flag);
```

■ Returns: data size in message if OK, -1 on error

Example(1/4)

```
#include <sys/types.h>
#include <sys/ipc.h>
#include <sys/msg.h>
#include <stdio.h>
#define MSGSZ 128
struct msgbuf {
   long mtype;
    char mtext[MSGSZ];
};
int main () {
        int msgid;
        key_t key;
        struct msgbuf sbuf, rbuf;
        key=4587;
```

Example(2/4)

```
if ((msgid=msgget(key, IPC_CREAT | 0666))<0) {
        printf("msgget");
        exit(1);
if (msgrcv(msgid, &rbuf, MSGSZ, 0, 0) < 0) {
        printf("msgrcv");
        exit(1);
sbuf.mtype=2;
sprintf(sbuf.mtext, "I received the message.");
if (msgsnd(msgid, &sbuf, strlen(sbuf.mtext)+1,0)<0) {
        printf("msgsnd");
        exit(1);
exit(0);
```

Example(3/4)

```
#include <sys/types.h>
#include <sys/ipc.h>
#include <sys/msg.h>
#include <stdio.h>
#define MSGSZ 128
struct msgbuf {
   long mtype;
   char mtext[MSGSZ];
int main () {
        int msgid;
        key_t key;
        struct msgbuf sbuf, rbuf;
        key=4587;
```

Example(4/4)

```
if ((msgid=msgget(key, 0666))<0) {
         printf("msgget");
         exit(1);
 sbuf.mtype=1;
 sprintf(sbuf.mtext, "Did you get the Message?");
 if (msgsnd(msgid, &sbuf, strlen(sbuf.mtext)+1,0) {
         printf("msgsnd");
         exit(1);
 if (msgrcv(msgid, &rbuf, MSGSZ, 2, 0) <0) {
         printf("msgrcv");
         exit(1);
 printf("%s\n", rbuf. mtext);
 exit(0);
```



(2) Semaphores

- Semaphores are intended to let multiple processes synchronize their operations.
- A counter to provide access to shared data object for multiple processes
- To object a shared resource:
 - Test semaphore controlling resource
- If value > 0, value--, grant use
 If value == 0, sleep until value > 0
- ATOMIC Release resource, value ++



Complication

- Three features contribute to this unnecessary complication.
- A semaphore is defined as a set of one or more semaphore values
- Creation (semget) is independent of initialization (semctl). Cannot atomically create a new semaphore set and initialize all the values in the set.
- All IPCs exist even if no process is using them. Need worry about process terminating without releasing semaphore.

Semaphore structure

```
struct semid_ds {
  struct ipc_perm sem_perm; /* see Section 15.6.2 */
  unsigned short sem_nsems; /* # of semaphores in set */
  time_t sem_otime; /* last-semop() time */
  time_t sem_ctime; /* last-change time */
  :
};
```

Each semaphore is represented by an anonymous structure containing at least the following members:



Obtain a semaphore

- #include <sys/types.h>
- #include <sys/ipc.h>
- #include <sys/sem.h>
- int semget (key_t key, int nsems, int flag);
- Returns: sem ID if OK, -1 on error

Semaphore control

```
#include <sys/types.h>
#include <sys/ipc.h>
#include <sys/sem.h>
int semctl (int semid, int semnum, int cmd, union semun arg);
• cmd: stat, set, rmid, getval, setval, getall, setall ...
```

```
union semun {
                   val; /* for SETVAL */
  int
  struct semid_ds *buf; /* for IPC_STAT and IPC_SET */
 unsigned short *array; /* for GETALL and SETALL */
};
```

Semaphore operation(1)

- #include <sys/types.h>
- #include <sys/ipc.h>
- #include <sys/sem.h>
- int semop(int semid, struct sembuf semoparray[], size_t nops);
- Return: 0 if ok, -1 on error. This is an atomic operation

```
struct sembuf {
  unsigned short sem_num; /* member # in set (0, 1, ..., nsems-1) */
  short sem_op; /* operation (negative, 0, or positive) */
  short sem_flg; /* IPC_NOWAIT, SEM_UNDO */
};
```



Struct of sembuf

```
struct sembuf {
  ushort sem_num;
/*member # in set (0,1,...,nsems-1)*/
  short sem_op;
/*operation (negative, 0, or positive) */
  short sem_flg;
/*IPC NOWAIT,SEM UNDO */
```



Semaphore operation(2)

- sem_op is positive: release the resource hold by process, value of sem_op added to semaphore's value
- sem_op is 0: the calling process wants to wait until the semaphore's value becomes 0.
- sem_op is negative: process want to obtain resources

Example(1/5)

```
int main {
  int sem id,i,creat=0,pause time;
  char *cp;
  srand((unsigned int )getpid());
  sem id=open semaphore set((key t)1234,1);
  if (argc>1&&strcmp(argv[1],"1")) {
      init a semaphore(sem id,0,1);
      creat=1;
      sleep(2);
```

Example(2/5)

```
for(i=0;i<argc;i++) {
        cp=argv[i];
        if (!semaphore P(sem id)) exit(-1);
        printf("process %d:",getpid());
        fflush(stdout);
        while(*cp) {
                 printf("%c",*cp); fflush(stdout);
                  sleep(rand()%3);
                  cp++;
        printf("\n");
        if (semaphore V(sem id)) exit(-1);
         sleep(rand()%2);
```

Example(3/5)

```
printf("\n%d -finished\n",getpid());
   if (creat==1) {
        sleep(10);
        rm semaphore(sem id);
   exit(0);
int open semaphore set(key t keyval,int numsems) {
   int sid;
   if (!numsems) return(-1);
   if ((sid=semget(keyval,numsems,IPC CREAT|0660))==-1) return (-1);
   else return(sid);
```

Example(4/5)

```
int semaphore P(int sem id) {
   struct sembuf sb; sb.sem num=0;
   sb.sem op=-1; sb.sem flag=SEM UNDO;
   if (semop(sem id, \&sb, 1) = -1  {
        fprintf(stderr, "semaphore P failed\n"); return(0);
   return(1);
int semaphore V(int sem id) {
   struct sembuf sb; sb.sem num=0;
   sb.sem op=1; sb.sem flag=SEM UNDO;
   if (semop(sem id, \&sb, 1) == -1  {
        fprintf(stderr, "semaphore V failed\n"); return(0);
   return(1);
```

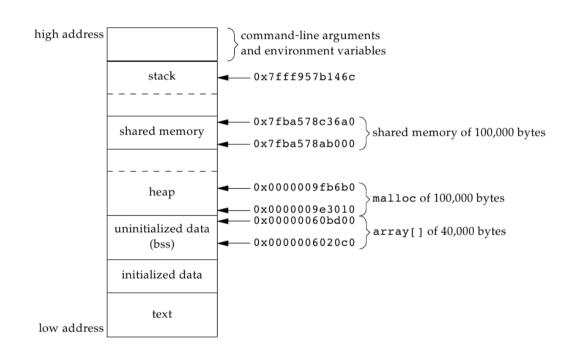
Example(5/5)

```
union semun {
  int val;
  struct semid_ds *buf;
  unsigned short *array;
};
void init_a_semaphore(int sid,int semnum,int initval) {
   union semun semopts;
  semopts.val=initval;
   semctl(sid,semnum,SETVAL,semopts);
int rm_semaphore(int sid) {
   return(semctl(sid,0,IPC_RMID,0));
```



(3) Shared Memory

- Fastest form of IPC:
 no need of data
 copying between
 client & server
- Must synchronize access to a shared memory segment
- Semaphores are used





Obtain a shared memory id

- #include <sys/types.h>
- #include <sys/ipc.h>
- #include <sys/shm.h>
- int shmget (key_t key, int size, int flag);
- Returns: shared memory ID if OK, -1 on error



Shared Memory Operations

- #include <sys/types.h>
- #include <sys/ipc.h>
- #include <sys/shm.h>
- int shmctl (int shmid, int cmd, struct shmid_ds *buf);
- Returns: 0 if OK, -1 on error



Shared Memory Segment Structure

```
struct shmid ds {
                            /* see Section 15.6.2 */
 struct ipc perm
                  shm perm;
                              /* size of segment in bytes */
 size t
                  shm segsz;
                  shm lpid;
                              /* pid of last shmop() */
 pid t
                  shm cpid;
                              /* pid of creator */
 pid t
                  shm nattch; /* number of current attaches */
 shmatt t
                  shm atime; /* last-attach time */
 time t
 time t
                  shm dtime; /* last-detach time */
 time t
                  shm ctime; /* last-change time */
};
```



Attaching to a process

- #include <sys/types.h>
- #include <sys/ipc.h>
- #include <sys/shm.h>
- void *shmat (int shmid, void *addr, int flag);
- Returns: pointer to shared memory segment if OK, -1 on error
- addr is NULL, the system chooses a suitable (unused) address at which to attach the segment.
- addr isn't NULL and SHM_RND is specified in flag, the attach occurs at the address equal to addr rounded down to the nearest multiple of SHMLBA.



Detaching from a process

- #include <sys/types.h>
- #include <sys/ipc.h>
- #include <sys/shm.h>
- int shmdt (void *addr);
- Returns: 0 if OK, -1 on error

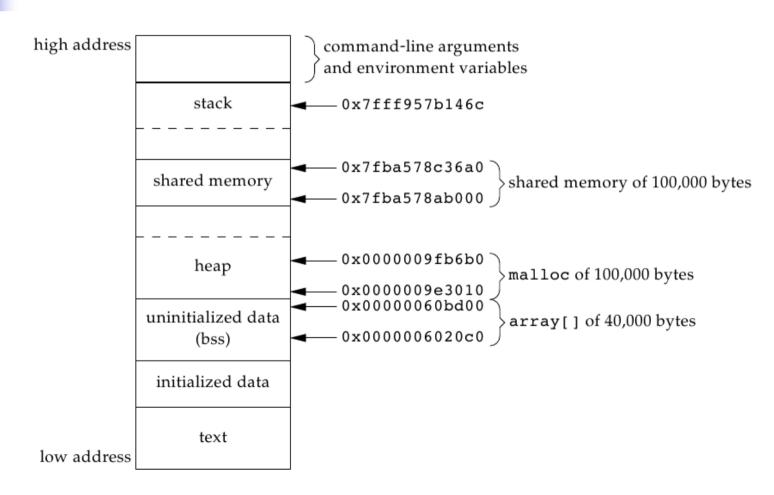
Program 15.31: data storage

```
#include <sys/types.h>
#include <sys/ipc.h>
#include <sys/shm.h>
#include "apue.h"
#define ARRAY_SIZE
                         40000
       MALLOC SIZE
#define
                         100000
       SHM SIZE
#define
                         100000
#define SHM MODE
                         (SHM R | SHM W) /* user read/write */
                                 /* uninitialized data = bss */
char
        array[ARRAY_SIZE];
int main(void) {
        shmid;
   int
   char *ptr, *shmptr;
```

```
printf("array[] from %x to %x\n", &array[0], &array[ARRAY SIZE]);
printf("stack around %x\n", &shmid);
if ( (ptr = malloc(MALLOC SIZE)) == NULL)
    err sys("malloc error");
printf("malloced from %x to %x\n", ptr, ptr+MALLOC SIZE);
if ((shmid = shmget(IPC PRIVATE, SHM SIZE, SHM MODE)) < 0)
    err_sys("shmget error");
if (shmptr = shmat(shmid, 0, 0)) == (void *) -1)
    err sys("shmat error");
printf("shared memory attached from %x to %x\n",
                    shmptr, shmptr+SHM SIZE);
if (shmctl(shmid, IPC RMID, 0) < 0) err sys("shmctl error");
exit(0);
```



Memory Layout (Program 15.31)





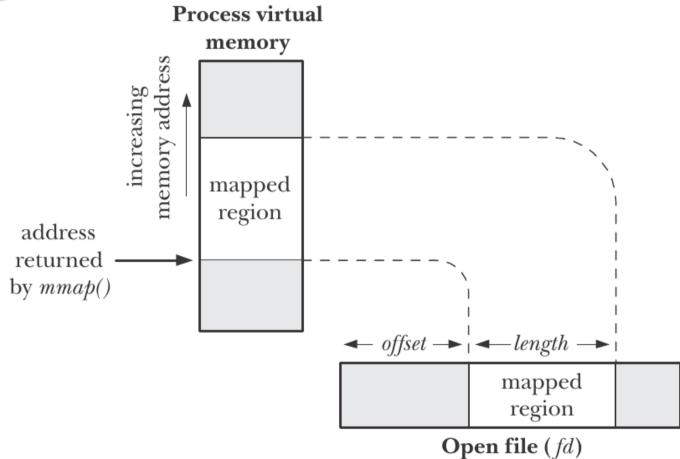
- The mmap() system call creates a new memory mapping in the calling process's virtual address space.
 - File mapping: A file mapping maps a region of a file directly into the calling process's virtual memory.
 - Anonymous mapping: An anonymous mapping doesn't have a corresponding file. Instead, the pages of the mapping are initialized to 0.

mmap

- include <sys/mman.h>
- void *mmap(void *addr, size_t length, int prot, int flags, int fd, off_t offset);
- Returns starting address of mapping on success, or MAP_FAILED on error
- int munmap(void *addr, size_t length);

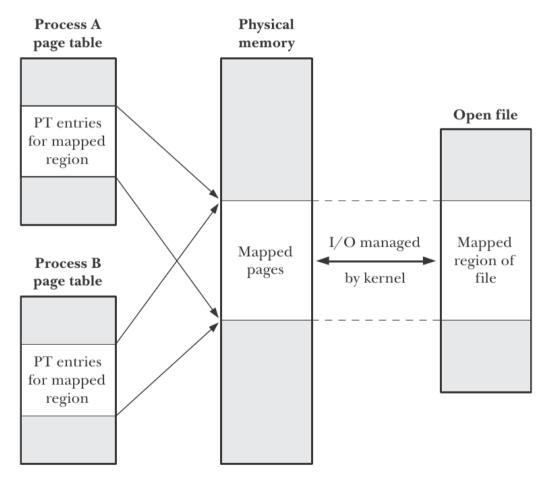


(1) File mapping





Two processes with a shared mapping of the same region of a file



addr = mmap(NULL, MEM_SIZE, PROT_READ | PROT_WRITE, MAP_SHARED, fd, 0);



(2) Anonymous mapping

- An anonymous mapping is one that doesn't have a corresponding file.
 - Specify MAP_ANONYMOUS in *flags* and specify *fd* as −1.
 - Open the /dev/zero device file and pass the resulting file descriptor to mmap().



15.33 IPC between parent and child using memory mapped I/O of /dev/zero



```
TELL WAIT();
   if ((pid = fork()) < 0) {
       err sys("fork error");
   for (i = 0; i < NLOOPS; i += 2) {
           if ((counter = update((long *)area)) != i)
              err quit("parent: expected %d, got %d", i, counter);
          TELL CHILD(pid);
          WAIT CHILD();
   } else {
                                 /* child */
       for (i = 1; i < NLOOPS + 1; i += 2) {
          WAIT PARENT();
           if ((counter = update((long *)area)) != i)
              err quit("child: expected %d, got %d", i, counter);
          TELL PARENT(getppid());
   exit(0);
}
```

Anonymous Memory Mapping

- To modify the program in Figure 15.33 to use this facility, we make three changes:
- (a) remove the open of /dev/zero
- (b) remove the close of fd
- (c) change the call to mmap to the following:

```
if ((area = mmap(0, SIZE, PROT_READ | PROT_WRITE, MAP_ANON | MAP_SHARED, -1, 0)) == MAP_FAILED)
```



5. Posix IPC

Interface	Message queues	Semaphores	Shared memory
Header file	<mqueue.h></mqueue.h>	<semaphore.h></semaphore.h>	<sys mman.h=""></sys>
Object handle	mqd_t	sem_t *	int (file descriptor)
Create/open	mq_open()	sem_open()	$shm_open() + mmap()$
Close	mq_close()	sem_close()	munmap()
Unlink	$mq_unlink()$	$sem_unlink()$	$shm_unlink()$
Perform IPC	mq_send(), mq_receive()	<pre>sem_post(), sem_wait(), sem_getvalue()</pre>	operate on locations in shared region
Miscellaneous operations	<pre>mq_setattr()—set attributes mq_getattr()—get attributes mq_notify()—request notification</pre>	<pre>sem_init()—initialize unnamed semaphore sem_destroy()—destroy unnamed semaphore</pre>	(none)



Comparison of System V IPC and POSIX IPC

- POSIX IPC has the following general advantages when compared to System V IPC:
- ✓ interface is simpler
- ✓ the use of names instead of keys, and the open, close, and unlink functions—is more consistent with the traditional UNIX file model
- ✓ POSIX IPC objects are reference counted. This simplifies object deletion, because we can unlink a POSIX IPC object, knowing that it will be destroyed only when all processes have closed it.



Comparison of System V IPC and POSIX IPC

- However, there is one notable advantage in favor of System V IPC: portability.
- System V IPC is specified in SUSv3 and supported on nearly every UNIX implementation. By contrast, each of the POSIX IPC mechanisms is an optional component in SUSv3. Some UNIX implementations don't support (all of) the POSIX IPC mechanisms.