

# Lecture 9

## Pseudorandom Number Generators

### Linear congruential method

We choose four numbers:

- the modulus  $m$
- multiplier  $a$
- increment  $c$
- seed  $x_0$

We generate a sequence of numbers  $x_1, x_2, \dots, x_n, \dots$  with  $0 \leq x_i < m$  by using the congruence

**Hash Functions**  $h(k) = k \bmod m$ , **Shift Ciphers**  $p \in \mathbb{Z}_{26} = \{0, 1, \dots, 25\}$   
 $h_1(k) = (k+1) \bmod m$        $f(p) = (p+k) \bmod 26$ .  
 $\dots$   
 $h_n(k) = (k+m) \bmod n$        $f^{-1}(p) = (p-k) \bmod 26$ .

enhance security  
 $f(p) = (ap+b) \bmod 26$ .

How about the decryption? Suppose  $\gcd(a, 26) = 1$ .

Suppose that  $c = (ap+b) \bmod 26$  with  $\gcd(a, 26) = 1$ . To decrypt, we need to show how to express  $p$  in terms of  $c$ . That is, we solve the congruence for  $p$ :

$$c \equiv ap + b \pmod{26}.$$

Subtract  $b$  from both sides, we have  $ap \equiv c - b \pmod{26}$ . Since  $\gcd(a, 26) = 1$ , we know that there is an inverse  $a^{-1}$  of  $a$  modulo 26:

$$p \equiv a^{-1}(c - b) \pmod{26}.$$

## Private Key Cryptosystem

### RAS Cryptosystem

- RSA as Public Key System

► Only target recipient can decrypt the message:



Pick two large prime  $p$  and  $q$ . Let  $n = pq$ . Encryption key ( $n, e$ ) and decryption key ( $n, d$ ) are selected such that:

- $\gcd(e, (p-1)(q-1)) = 1$       RSA as a Public Key System
- $e \cdot d \equiv 1 \pmod{(p-1)(q-1)}$       Public key:  $(n, e)$
- $e \cdot d \equiv 1 \pmod{n}$       Private key:  $d$

**RSA encryption:**  $C = M^e \bmod n$

**RSA decryption:**  $M = C^d \bmod n$

Encryption: Encrypt the message "STOP" with key  $(n = 2537, e = 13)$ . Note that  $2537 = 43 \cdot 59$ , where  $p = 43$  and  $q = 59$  are primes, and  $\gcd(e, (p-1)(q-1)) = 1$ .

### Solution:

- 1 Translate into integers: 18191415
- 2 Divide this into blocks of 4 digits (because  $2525 < 2537 < 252525$ ): 1819 1415
- 3 Encrypt each block using the mapping

$$C = M^{13} \bmod 2537.$$

We have  $1819^{13} \bmod 2537 = 2081$  and  $1415^{13} \bmod 2537 = 2182$ . The encrypted message is 2081 2182.

For each block, transform the ciphertext into plaintext message:

$$M = C^{97} \bmod n$$

**Example:** What is the decrypted message of 0981 0461 with  $e = 13$ ,  $p = 43$ ,  $q = 59$ ?

**Solution:** Recall that  $ed \equiv 1 \pmod{(p-1)(q-1)}$ . Thus,  $d = 937$  is an inverse of 13 modulo  $42 \cdot 58 = 2436$ .

For each block, transform it into plaintext message:

$$M = C^{97} \bmod 2537.$$

It follows that  $C^d \equiv (M^e)^d = M^{de} = M^{1+k(p-1)(q-1)} \pmod{n}$ .

Assuming that  $\gcd(M, p) = \gcd(M, q) = 1$ , we have  $M^{p-1} \equiv 1 \pmod{p}$  and  $M^{q-1} \equiv 1 \pmod{q}$ . (see Theorem 3 in Section 4.4) ZHUIUIC INSTI

According to (1), the inverse  $d$  exists. According to (2), there exists an integer  $k$  such that

$$de = 1 + k(p-1)(q-1).$$

It follows that  $C^d \equiv (M^e)^d = M^{de} = M^{1+k(p-1)(q-1)} \pmod{n}$ .

Assuming that  $\gcd(M, p) = \gcd(M, q) = 1$ , we have  $M^{p-1} \equiv 1 \pmod{p}$  and  $M^{q-1} \equiv 1 \pmod{q}$ .

$$C^d \equiv M \pmod{n}$$

Because  $\gcd(p, q) = 1$ , we have

$$C^d \equiv M \pmod{pq}.$$

This basically implies that

$$M = C^d \pmod{n}$$

**RSA as Digital Signature**

$S = M^d \bmod n$  (RSA signature)

$M = S^e \bmod n$  (RSA verification)

Alice's RSA public key is  $(n, e)$  and her private key is  $d$ .

Alice can send her message to as many people as she wants and by signing it in this way, every recipient can be sure it came from Alice.

Difflie-Hellman Key Exchange Protocol

Before introducing the protocol:

**Definition:** A primitive root modulo a prime  $p$  is an integer  $r$  in  $\mathbb{Z}_p$  such that every nonzero element of  $\mathbb{Z}_p$  is a power of  $r$ .

**Example:** Whether 2 is a primitive root modulo 11?

When we compute the powers of 2 in  $\mathbb{Z}_{11}$ , we obtain  $2^1 = 2, 2^2 = 4, 2^3 = 8, 2^4 = 5, 2^5 = 10, 2^6 = 9, 2^7 = 7, 2^8 = 3, 2^9 = 6, 2^{10} = 1$ .

Because every element of  $\mathbb{Z}_{11}$  is a power of 2, 2 is a primitive root of 11.

Suppose that Alice and Bob want to share a common key. Consider  $\mathbb{Z}_p$ .

(1) Alice and Bob agree to use a prime  $p$  and a primitive root  $a$  of  $\mathbb{Z}_p$ .

(2) Alice chooses a secret integer  $k_1$  and sends  $a^{k_1} \bmod p$  to Bob.

(3) Bob chooses a secret integer  $k_2$  and sends  $a^{k_2} \bmod p$  to Alice.

(4) Alice computes  $(a^{k_2})^{k_1} \bmod p$ .

(5) Bob computes  $(a^{k_1})^{k_2} \bmod p$ .

Alice and Bob have computed their shared key:

$$(a^{k_1})^{k_2} \bmod p = (a^{k_2})^{k_1} \bmod p.$$

• Public information:  $p, a, a^{k_1} \bmod p$ , and  $a^{k_2} \bmod p$

• Secret:  $k_1, k_2, (a^{k_1})^{k_2} \bmod p = (a^{k_2})^{k_1} \bmod p$

Note that it is very hard to determine  $k_1$  with  $a, p$ , and  $a^{k_1} \bmod p$ .

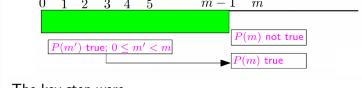
# Lecture 10

The statement  $P(n)$  is true for all  $n = 0, 1, 2, \dots$

We prove this by

- (i) Assume that a counterexample exists, i.e., There is some  $n > 0$  for which  $P(n)$  is false.
- (ii) Let  $m > 0$  be the smallest value for which  $P(n)$  is false
- (iii) Then, use the fact that  $P(m')$  is true for all  $0 \leq m' < m$  to show that  $P(m)$  is true, contradicting the choice of  $m$ .

**Contradiction!**



The key step were

- $P(0)$  is true such that the smallest counterexample exists
- proving that

$$P(n-1) \rightarrow P(n)$$

Recall that  $P(n)$  is the statement

$$0 + 1 + 2 + 3 + \dots + n = \frac{(n+1)n}{2}.$$

Let  $P(n)$  denote  $2^{n+1} \geq n^2 + 2$ . We just showed that

- (a)  $P(0)$  is true
- (b) If  $n > 0$ , then  $P(n-1) \rightarrow P(n)$

What did we do?

- Suppose there is some  $n$  for which  $P(n)$  is false (\*)
- Let  $n$  be the smallest counterexample
- From (a)  $n > 0$ , so  $P(n-1)$  is true
- From (b), using direct inference,  $P(n)$  is true
- This leads to contradiction.
- Thus,  $P(n)$  is true for all  $n \in \mathbb{N}$ .

**Principle. (Weak Principle of Mathematical Induction)**

(a) **Basic Step:** the statement  $P(b)$  is true

(b) **Inductive Step:** the statement  $P(n-1) \rightarrow P(n)$  is true for all  $n > b$

Thus,  $P(n)$  is true for all integers  $n \geq b$ .

**Example 1**

For all  $n \geq 0$ ,  $2^{n+1} \geq n^2 + 2$

Let  $P(n)$  denote  $2^{n+1} \geq n^2 + 2$ .

- (i) Note that for  $n = 0$ ,  $2^{0+1} = 2 \geq 2 = 0^2 + 2$ , which is  $P(0)$
- (ii) Suppose that  $n > 0$  and that  $2^n \geq (n-1)^2 + 2$       (\*)

$$\begin{aligned} 2^{n+1} &\geq 2(n-1)^2 + 4 \\ &= (n^2 + 2) + (n^2 - 4n + 4) \\ &= n^2 + 2 + (n-2)^2 \\ &\geq n^2 + 2 \end{aligned}$$

Hence, we have just proven that for  $n > 0$ ,  $P(n-1) \rightarrow P(n)$ .

By mathematical induction,  $\forall n \geq 0$ ,  $2^{n+1} \geq n^2 + 2$ .

**Principle (Strong Principle of Mathematical Induction):**

(a) **Basic Step:** the statement  $P(b)$  is true

(b) **Inductive Step:** for all  $n > b$ , the statement

$$P(b) \wedge P(b+1) \wedge \dots \wedge P(n-1) \rightarrow P(n)$$

Then,  $P(n)$  is true for all integers  $n \geq b$ .

**Recursion**

Towers of Hanoi



Running Time:  $M(n)$  is number of disk moves needed for  $n$  disks.

$$\bullet M(1) = 1$$

$$M(n) = 2^n - 1.$$

- if  $n > 1$ , then  $M(n) = 2M(n-1) + 1$

**Recurrence**

**Theorem:** If  $T(n) = rT(n-1) + a$ ,  $T(0) = b$ , and  $r \neq 1$ , then

$$T(n) = r^n b + a \frac{1-r^n}{1-r}$$

Formula of Recurrences

- **Basic step:** We verify that  $T(0)$  holds;

- **Inductive step:** We show that the conditional statement "if  $T(n-1)$  holds, then  $T(n)$  holds" for all  $n \geq 1$ :

Now assume that  $n > 0$  and

$$T(n-1) = r^{n-1} b + a \frac{1-r^{n-1}}{1-r}.$$

Thus,

$$\begin{aligned} T(n) &= rT(n-1) + a \\ &= r \left( r^{n-1} b + a \frac{1-r^{n-1}}{1-r} \right) + a \\ &= r^n b + a \frac{r-r^n}{1-r} + a \\ &= r^n b + a \frac{r-r^n}{1-r} + a \\ &= r^n b + a \frac{1-r^n}{1-r}. \end{aligned}$$

**First-Order Linear Recurrences**

A recurrence of the form  $T(n) = f(n)T(n-1) + g(n)$  is called a first-order linear recurrence.

- **First Order:** because it only depends upon going back one step, i.e.,  $T(n-1)$
- If it depends upon  $T(n-2)$ , then it would be a second-order recurrence, e.g.,  $T(n) = T(n-1) + 2T(n-2)$ .

- **Linear:** because  $T(n-1)$  only appears to the first power.

- Something like  $T(n) = (T(n-1))^2 + 3$  would be a non-linear first-order recurrence relation.  $T(n) = f(n)T(n-1) + g(n)$

$T(n) = rT(n-1) + g(n)$

$$= r(rT(n-2) + g(n-1)) + g(n) \quad T(n) = \begin{cases} rT(n-1) + g(n), & \text{if } n > 0 \\ a, & \text{if } n = 0 \end{cases}$$

$$= r^2T(n-3) + rg(n-2) + rg(n-1) + g(n)$$

$$= \dots$$

$$= r^n T(0) + \sum_{i=0}^{n-1} r^i g(n-i) \quad T(n) = r^n a + \sum_{i=1}^n r^{n-i} g(i)$$

Solve  $T(n) = 4T(n-1) + 2^n$  with  $T(0) = 6$ .

**Theorem:** For any real number  $x \neq 1$ ,

$$T(n) = 6 \cdot 4^n + \sum_{i=1}^n 4^{n-i} \cdot 2^i = \sum_{i=1}^n 4^{n-i} \cdot 2^i = \frac{nx^{n+2} - (n+1)x^{n+1} + x}{(1-x)^2}.$$

**Divide and conquer algorithms**

Iterating recurrences

Three different behaviors

Growth Rates of Solutions to Recurrences

$$T(n) = \begin{cases} 1, & \text{if } n = 1, \\ 2T\left(\frac{n}{2}\right) + n, & \text{if } n \geq 2. \end{cases}$$

$$T(n) = 2T\left(\frac{n}{2}\right) + n = 2\left(2T\left(\frac{n}{4}\right) + \frac{n}{2}\right) + n = \dots = T\left(\frac{n}{2^k}\right) + n = \dots = T\left(\frac{n}{2^{\lceil \log_2 n \rceil}}\right) + n = T\left(\frac{n}{2^{\lceil \log_2 n \rceil}}\right) + \log_2 n + n = 1 + \log_2 n + n$$

$$T(n) = \begin{cases} 1, & \text{if } n = 1, \\ T(n/2) + n, & \text{if } n \geq 2. \end{cases}$$

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$$T(n) = \begin{cases} 1, & \text{if }$$

## Linear Nonhomogeneous Recurrence Relations

**Definition:** A linear nonhomogeneous relation with constant coefficients may contain some terms  $F(n)$  that depend only on  $n$

$$a_n = c_1 a_{n-1} + c_2 a_{n-2} + \dots + c_k a_{n-k} + F(n).$$

The recurrence relation  $a_n = c_1 a_{n-1} + c_2 a_{n-2} + \dots + c_k a_{n-k}$  is called the associated homogeneous recurrence relation.

**Theorem:** If  $\{a_n^{(p)}\}$  is any particular solution to the linear nonhomogeneous relation with constant coefficients,

$$a_n = c_1 a_{n-1} + c_2 a_{n-2} + \dots + c_k a_{n-k} + F(n),$$

Then all its solutions are of the form

$$a_n = a_n^{(p)} + a_n^{(h)},$$

where  $a_n^{(h)}$  is any solution to the associated homogeneous recurrence relation  $a_n = c_1 a_{n-1} + c_2 a_{n-2} + \dots + c_k a_{n-k}$ .

To compute  $a_n^{(h)}$ .

The characteristic equation is

$$r^2 - 3r = 0.$$

The roots are  $r_1 = 3$  and  $r_2 = 0$ . By So, assume that

$$a_n^{(h)} = \alpha^n.$$

To compute  $a_n^{(p)}$ : Try  $a_n^{(p)} = cn + d$ . Thus,

$$cn + d = 3(c(n-1) + d) + 2n.$$

- We get  $c = -1$  and  $d = -3/2$ . Thus,  $a_n^{(p)} = -n - 3/2$ .

Initial condition:

$$a_n = a_n^{(h)} + a_n^{(p)} = \alpha^n - n - 3/2.$$

Base on the initial condition  $a_1 = 3$ . We have  $3 = -1 - 3/2 + 3\alpha$ , which implies  $\alpha = 11/6$ . Thus,  $a_n = -n - 3/2 + (11/6)\alpha^n$ .

For previous two examples, we made a guess that there are solutions of a particular form. This was not an accident.

Suppose that  $\{a_n\}$  satisfies the linear nonhomogeneous recurrence relation

$$a_n = c_1 a_{n-1} + c_2 a_{n-2} + \dots + c_k a_{n-k} + F(n),$$

where  $c_1, c_2, \dots, c_k$  are real numbers,

$$F(n) = (b_0 n^k + b_1 n^{k-1} + \dots + b_{k-1} n + b_k) \alpha^n.$$

where  $b_0, b_1, \dots, b_k$  are real numbers. When  $\alpha$  is not a root of the characteristic equation of the associated linear homogeneous recurrence relation, there is a particular solution of the form

$$(p_0 n^k + p_1 n^{k-1} + \dots + p_{k-1} n + p_k) \alpha^n.$$

When  $\alpha$  is a root of this characteristic equation and its multiplicity is  $m$ , there is a particular solution of the form

$$\alpha^m (p_0 n^m + p_1 n^{m-1} + \dots + p_{m-1} n + p_m) \alpha^n.$$

## Generating Function

$$G(x) = a_0 + a_1 x + \dots + a_k x^k + \dots = \sum_{k=0}^{\infty} a_k x^k$$

For  $|x| < 1$ , function  $G(x) = 1/(1-x)$  is the generating function of the sequence  $1, 1, 1, \dots$ ,

$$1/(1-x) = 1 + x + x^2 + \dots$$

For  $|x| < 1$ , function  $G(x) = 1/(1-ax)$  is the generating function of the sequence  $1, a, a^2, a^3, \dots$ ,

$$1/(1-ax) = 1 + ax + a^2 x^2 + \dots$$

For  $|x| < 1$ , function  $G(x) = 1/(1-ax^2)$  is the generating function of the sequence  $1, 2, 3, 4, \dots$

$$1/(1-x^2) = 1 + 2x + 3x^2 + \dots$$

### Operations of Generating Functions

**Theorem:** Let  $f(x) = \sum_{k=0}^{\infty} a_k x^k$ , and  $g(x) = \sum_{k=0}^{\infty} b_k x^k$ . Then,  $f(x) + g(x) = \sum_{k=0}^{\infty} (a_k + b_k) x^k$  and  $f(x)g(x) = \sum_{k=0}^{\infty} \sum_{j=0}^k a_j b_{k-j} x^k$

**Example 1:** To obtain the corresponding sequence of  $G(x) = 1/(1-x)^2$ : Consider  $f(x) = 1/(1-x)$  and  $g(x) = 1/(1-x)$ . Since the sequence of  $f(x)$  and  $g(x)$  corresponds to  $1, 1, 1, \dots$ , we have

$G(x) = f(x)g(x) = \sum_{k=0}^{\infty} (k+1) a^k x^k$ ,  $G(x) = f(x)g(x) = \sum_{k=0}^{\infty} (k+1) x^k$ .

**Example 2:** To obtain the corresponding sequence of  $G(x) = 1/(1-ax)^2$  for  $|ax| < 1$ :

Consider  $f(x) = 1/(1-ax)$  and  $g(x) = 1/(1-ax)$ . Since the sequence of  $f(x)$  and  $g(x)$  corresponds to  $1, a, a^2, \dots$ , we have

### Example 1

$a_n = 6a_{n-1} - 9a_{n-2} + F(n)$  with  $F(n) = n^2 2^n$  and  $F(n) = (n^2 + 1) 3^n$ .

To compute  $a_n^{(h)} = a_n^{(p)} = (a_1 + a_2 n) 3^n$ .

To compute  $a_n^{(p)}$  of  $F(n) = n^2 2^n$ :

Since  $s = 2$  is not a root of the characteristic equation, we have

$$a_n^{(p)} = (p_0 n^2 + p_1 n + p_0) 2^n.$$

Substituting  $a_n^{(p)}$  into  $a_n = 6a_{n-1} - 9a_{n-2} + F(n)$  to derive  $p_2, p_1$ , and  $p_0$ :

$$(p_2 n^2 + p_1 n + p_0) 2^n = 6(p_2(n-1)^2 + p_1(n-1) + p_0) 2^{n-1} - 9(p_2(n-2)^2 + p_1(n-2) + p_0) 2^{n-2} + n^2 2^n.$$

To compute  $a_n^{(p)}$  of  $F(n) = (n^2 + 1) 3^n$ :

Since  $s = 3$  is a root of the characteristic equation with multiplicity  $m = 2$ , we have

$$a_n^{(p)} = n^2(p_2 n^2 + p_1 n + p_0) 3^n.$$

Substituting  $a_n^{(p)}$  into  $a_n = 6a_{n-1} - 9a_{n-2} + F(n)$  to derive  $p_2, p_1$ , and  $p_0$ :

$$a_n^{(p)} = (a_1 + a_2 n) 3^n = (p_0 n^2 + p_1 n + p_0) 3^n.$$

**Example 2:** The Term  $n^m$

$$a_n = 5a_{n-1} - 6a_{n-2} + 2^n$$

**Solution:**

- $a_n^{(h)} = \alpha_1 \cdot 3^n + \alpha_2 \cdot 2^n$

•  $a_n^{(p)}$  should be in the form of  $n p_0 2^n$ .

• Try  $a_n^{(p)} = p_0 \cdot 2^n$ :

$$p_0 \cdot 2^n = 5p_0 \cdot 2^{n-1} - 6p_0 \cdot 2^{n-2} + 2^n.$$

Since  $s = 2$  is a root of the characteristic equation,

$$p_0 \cdot 2^n = 5p_0 \cdot 2^{n-1} - 6p_0 \cdot 2^{n-2}$$

always holds. Thus, we obtain  $0 = 4$ .

$$(1+x)^n = \sum_{k=0}^n C(n, k) x^k$$

$$(1+ax)^n = \sum_{k=0}^n C(n, k) a^k x^k$$

$$(1+x')^n = \sum_{k=0}^n C(n, k) x'^k$$

$$\frac{1-x^{n+1}}{1-x} = \sum_{k=0}^n x^k = 1 + x + x^2 + \dots + x^n$$

$$\frac{1}{1-x} = \sum_{k=0}^{\infty} x^k = 1 + x + x^2 + \dots$$

$$\frac{1}{1-ax} = \sum_{k=0}^{\infty} a^k x^k = 1 + ax + a^2 x^2 + \dots$$

$$\frac{1}{1-x'} = \sum_{k=0}^{\infty} x'^k = 1 + x' + x'^2 + \dots$$

$$\frac{1}{(1-x)^2} = \sum_{k=0}^{\infty} (k+1) x^k = 1 + 2x + 3x^2 + \dots$$

$$\frac{1}{(1-x)^n} = \sum_{k=0}^{\infty} C(n+k-1, k) x^k$$

$$\frac{1}{(1+ax)^n} = \sum_{k=0}^{\infty} C(n+k-1, k) (-1)^k x^k$$

$$\frac{1}{(1-ax)^n} = \sum_{k=0}^{\infty} C(n+k-1, k) a^k x^k$$

$$e^x = \sum_{k=0}^{\infty} \frac{x^k}{k!} = 1 + x + \frac{x^2}{2!} + \frac{x^3}{3!} + \dots$$

$$\ln(1+x) = \sum_{k=0}^{\infty} \frac{(-1)^{k+1} x^k}{k} = x - \frac{x^2}{2} + \frac{x^3}{3} - \frac{x^4}{4} + \dots$$

## Generating Function

## Example 1

Solve the recurrence relation  $a_k = 3a_{k-1}$  for  $k = 1, 2, 3, \dots$  and initial condition  $a_0 = 2$ .

Let  $G(x)$  be the generating function for the sequence  $\{a_k\}$ , that is,  $G(x) = \sum_{k=0}^{\infty} a_k x^k$ . We aim to first derive the formulation of  $G(x)$ .

$$\begin{aligned} G(x) - 3xG(x) &= \sum_{k=0}^{\infty} a_k x^k - 3 \sum_{k=1}^{\infty} a_{k-1} x^k \\ &= a_0 + \sum_{k=1}^{\infty} (a_k - 3a_{k-1}) x^k \\ &= 2 + \end{aligned}$$

$$G(x) = \frac{2}{(1-3x)}$$

$$a_n = 8a_{n-1} + 10^{n-1}.$$

**Example 2**: We extend this sequence by setting  $a_0 = 1$ . We have

$$a_1 = 8a_0 + 10^0 = 8 + 1 = 9.$$

Let  $G(x) = \sum_{k=0}^{\infty} a_k x^k$ . We aim to first derive the formulation of  $G(x)$ .

$$\begin{aligned} G(x) - 1 &= \sum_{n=1}^{\infty} a_n x^n = \sum_{n=1}^{\infty} (8a_{n-1} x^n + 10^{n-1} x^n) \\ &= 8 \sum_{n=1}^{\infty} a_{n-1} x^n + \sum_{n=1}^{\infty} 10^{n-1} x^n \\ &= 8x \sum_{n=1}^{\infty} a_{n-1} x^{n-1} + x \sum_{n=1}^{\infty} 10^{n-1} x^n \\ &= 8x G(x) + x/(1-10x), \end{aligned}$$

$$G(x) = \frac{1-9x}{(1-8x)(1-10x)} = G(x) = \frac{1}{(1-8x)} + \frac{1}{(1-10x)}$$

$$G(x) = \frac{1}{2} \left( \sum_{n=0}^{\infty} 8^n x^n + \sum_{n=0}^{\infty} 10^n x^n \right) = \sum_{n=0}^{\infty} \frac{1}{2} (8^n + 10^n) x^n.$$

### Cartesian Product

Let  $A = \{a_1, a_2, \dots, a_m\}$  and  $B = \{b_1, b_2, \dots, b_n\}$ , the **Cartesian product**  $A \times B$  is the set of pairs

$$\{(a_1, b_1), (a_2, b_2), \dots, (a_m, b_n)\}.$$

Cartesian product defines a set of all ordered arrangements of elements in the two sets.

A subset  $R$  of the Cartesian product  $A \times B$  is called a **relation** from the  $A$  to the  $B$ .

**Definition:** Let  $A$  and  $B$  be two sets. A **binary relation** from  $A$  to  $B$  is a subset of a Cartesian product  $A \times B$ .

Let  $R \subseteq A \times B$  denote  $R$  is a set of ordered pairs of the form  $(a, b)$  where  $a \in A$  and  $b \in B$ .

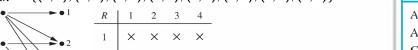
We use the notation  $aRb$  to denote  $(a, b) \in R$ , and  $a, Rb$  to denote  $(a, b) \notin R$ .

**Example:** Let  $A = \{a, b, c\}$  and  $B = \{1, 2, 3\}$

- Is  $R = \{(a, 1), (b, 2), (c, 2)\}$  a relation from  $A$  to  $B$ ?
- Is  $Q = \{(1, a), (2, b)\}$  a relation from  $A$  to  $B$ ?
- Is  $P = \{(a, a), (b, c), (a, b)\}$  a relation from  $A$  to  $A$ ?

**Example:** Let  $A = \{0, 1, 2\}$  and  $B = \{u, v\}$ , and  $R = \{(0, u), (0, v), (1, v), (2, u)\}$ . ( $R \subseteq A \times B$ )

$$R_{\text{div}} = \{(1, 1), (1, 2), (1, 3), (1, 4), (2, 2), (2, 4), (3, 3), (4, 4)\}$$



### Number of Binary Relations

**Theorem:** The number of binary relations on a set  $A$ , where  $|A| = n$  is  $2^{n(n-1)/2}$ .

Proof: If  $|A| = n$ , then the cardinality of the Cartesian product  $|A \times A| = n^2$ .

$R$  is a binary relation on  $A$  if  $R \subseteq A \times A$  (is subset).

The number of subsets of a set with  $k$  elements is  $2^k$ .

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is a composite key for the  $n$ -ary relation, assuming that no  $n$ -tuples are ever

## Antisymmetric Relation

**Antisymmetric Relation:** A relation  $R$  on a set  $A$  is called **antisymmetric** if  $(a, b) \in R$  and  $(b, a) \in R$  implies  $a = b$  for all  $a, b \in A$ .

$$\begin{array}{ccc} 0 & 1 & 0 \\ 0 & 1 & 0 \\ \text{MR} & = & 0 \end{array}$$

$$\begin{array}{ccc} 0 & 0 & 1 \\ 0 & 0 & 1 \\ \text{MR} & = & 0 \end{array}$$

### Transitive Relation

**Transitive Relation:** A relation  $R$  on a set  $A$  is called **transitive** if  $(a, b) \in R$  and  $(b, c) \in R$  implies  $(a, c) \in R$  for all  $a, b, c \in A$ .

$$\begin{array}{ccc} 0 & 1 & 0 \\ 0 & 1 & 0 \\ \text{MR} & = & 0 \end{array}$$

### Combining Relations

**Example:**  $R_1 = \{(x, y) | x < y\}$  and  $R_2 = \{(x, y) | x > y\}$ . What are  $R_1 \cup R_2$ ,  $R_1 \cap R_2$ ,  $R_1 - R_2$ ,  $R_2 - R_1$ , and  $R_1 \oplus R_2$ ?

**Composite of Relations**  $R_1 \cup R_2 = \{(x, y) | x \neq y\}$

$$\begin{array}{ccc} 0 & 1 & 1 \\ 0 & 1 & 0 \\ \text{MR} & = & 0 \end{array}$$

**Example:** Let  $R_1 = \{1, 2, 3\}$ ,  $R_2 = \{0, 1, 2, 3, 4\}$ , and  $R_3 = \{1, 2, 3, 4, 5\}$ :

$$\begin{array}{ccc} 1 & 0 & 0 \\ 1 & 0 & 0 \\ \text{M}_R & = & 0 \end{array}$$

**Example:** Let  $A = \{1, 2, 3, 4\}$ , and  $R = \{(1, 2), (2, 3), (3, 4)\}$ :

$$\begin{array}{ccc} 1 & 0 & 0 \\ 1 & 0 & 0 \\ \text{M}_R & = & 0 \end{array}$$

**Example:** Let  $A = \{1, 2, 3, 4, 5\}$ , and  $R = \{(1, 2), (2, 3), (3, 4), (4, 5)\}$ :

$$\begin{array}{ccc} 1 & 0 & 0 \\ 1 & 0 & 0 \\ \text{M}_R & = & 0 \end{array}$$

**Example:** Let  $A = \{1, 2, 3, 4, 5\}$ , and  $R = \{(1, 2), (2, 3), (3, 4), (4, 5), (5, 1)\}$ :

$$\begin{array}{ccc} 1 & 0 & 0 \\ 1 & 0 & 0 \\ \text{M}_R & = & 1 \end{array}$$

**Example:** Let  $A = \{1, 2, 3, 4, 5\}$ , and  $R = \{(1, 2), (2, 3), (3, 4), (4, 5), (5, 1), (1, 5)\}$ :

$$\begin{array}{ccc} 1 & 0 & 0 \\ 1 & 0 & 0 \\ \text{M}_R & = & 1 \end{array}$$

**Example:** Let  $A = \{1, 2, 3, 4, 5\}$ , and  $R = \{(1, 2), (2, 3), (3, 4), (4, 5), (5, 1), (1, 5), (2, 5), (3, 5), (4, 5)\}$ :

$$\begin{array}{ccc} 1 & 0 & 0 \\ 1 & 0 & 0 \\ \text{M}_R & = & 1 \end{array}$$

**Example:** Let  $A = \{1, 2, 3, 4, 5\}$ , and  $R = \{(1, 2), (2, 3), (3, 4), (4, 5), (5, 1), (1, 5), (2, 5), (3, 5), (4, 5), (5, 2)\}$ :

$$\begin{array}{ccc} 1 & 0 & 0 \\ 1 & 0 & 0 \\ \text{M}_R & = & 1 \end{array}$$

**Example:** Let  $A = \{1, 2, 3, 4, 5\}$ , and  $R = \{(1, 2), (2, 3), (3, 4), (4, 5), (5, 1), (1, 5), (2, 5), (3, 5), (4, 5), (5, 2), (1, 4)\}$ :

$$\begin{array}{ccc} 1 & 0 & 0 \\ 1 & 0 & 0 \\ \text{M}_R & = & 1 \end{array}$$

**Example:** Let  $A = \{1, 2, 3, 4, 5\}$ , and  $R = \{(1, 2), (2, 3), (3, 4), (4, 5), (5, 1), (1, 5), (2, 5), (3, 5), (4, 5), (5, 2), (1, 4), (2, 4)\}$ :

