



# ch17: Deep Generative Models



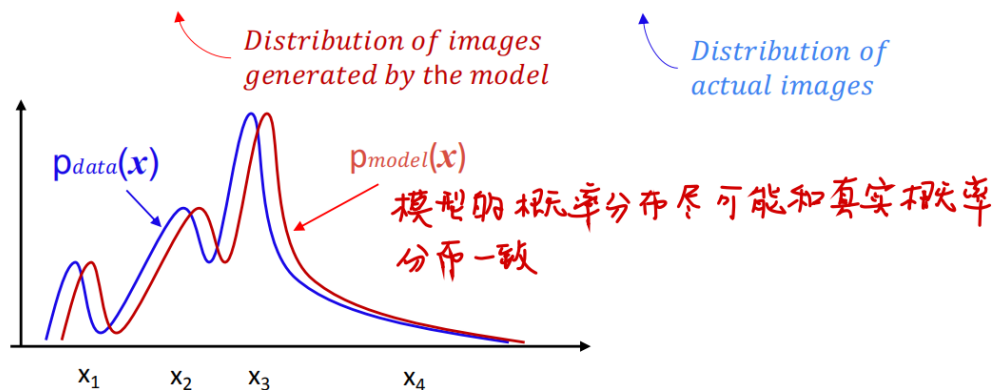
这一章的重点是GAN，其他的两个更多的是了解性质

## Concept

Generation = learns the probability distribution of training data.

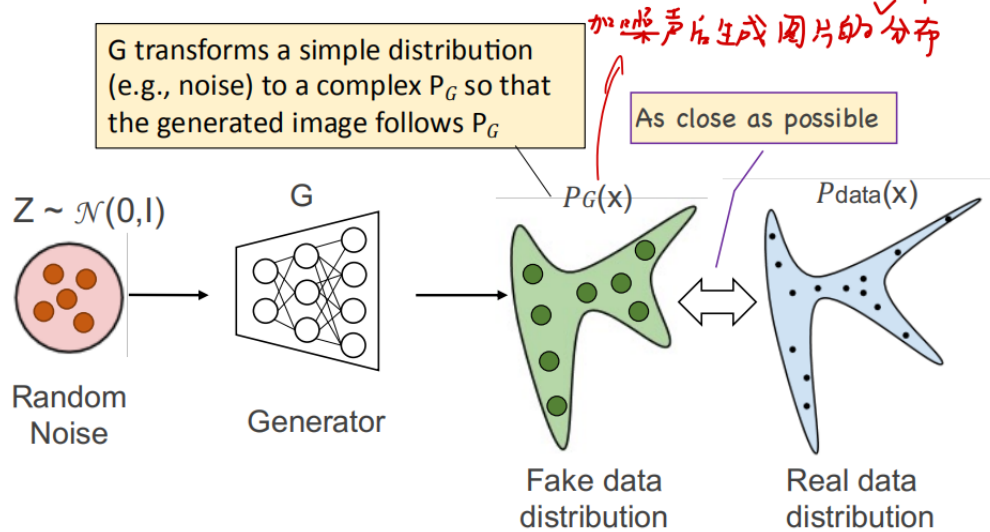
## Generative Model overview(P17)

- Goal: find a  $p_{model}(x)$  that approximates  $p_{data}(x)$  well.



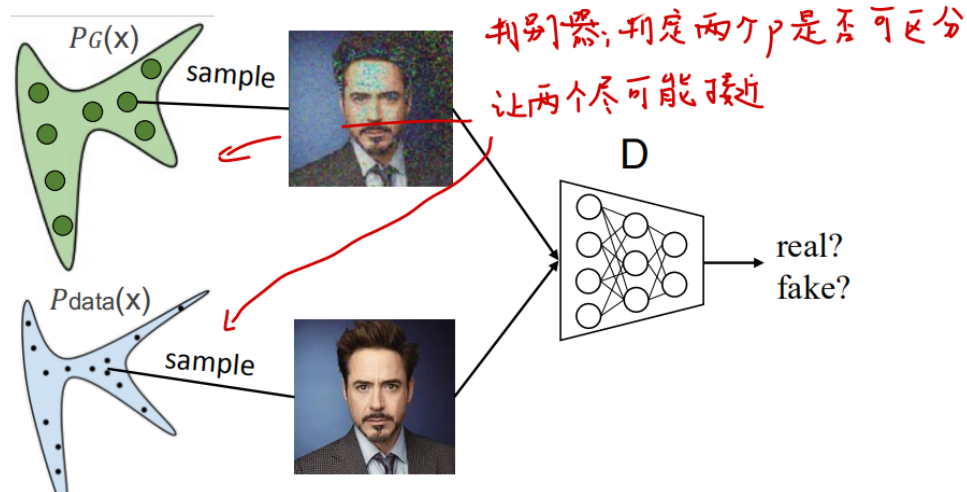
## How to represent $p_{model}(x)$ ?(P18)

- **Generator G:** a neural network that generates an image  $x$  with a probability  $p_{\text{model}}(x)$  given a random code. 概率



How to make  $p_{\text{model}}(x) \approx p_{\text{data}}(x)$ ?

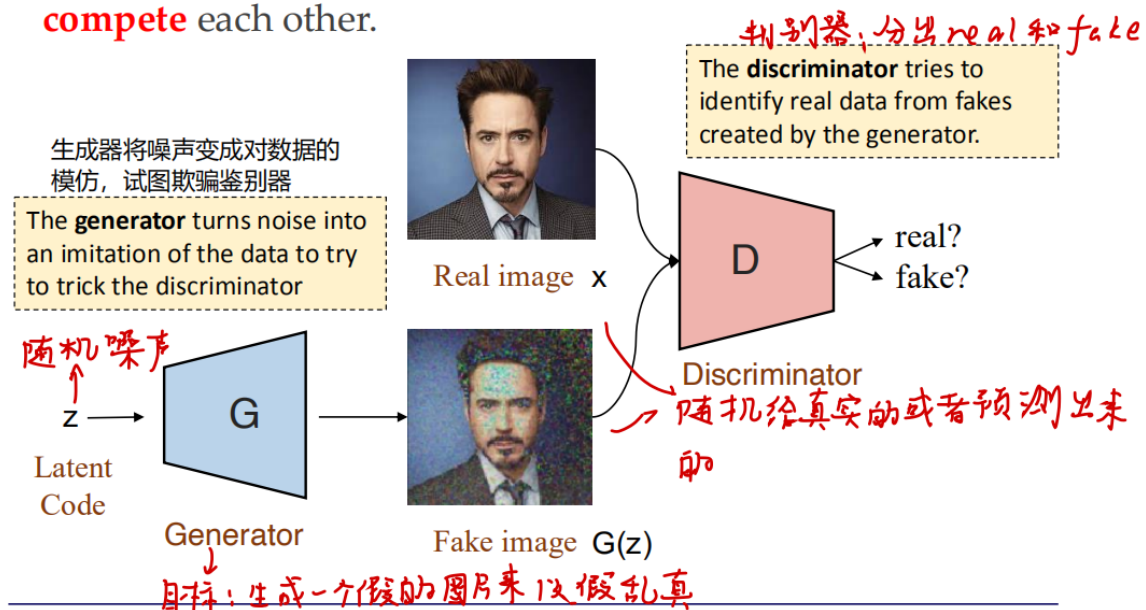
- **Discriminator D:** a neural network classifier that predicts whether a given image is sampled from  $p_{\text{model}}(x)$  (**fake**) or  $p_{\text{data}}(x)$  (**real**). If undistinguishable, then  $p_{\text{model}}(x) \approx p_{\text{data}}(x)$



## GAN(Generative Adversarial Network)

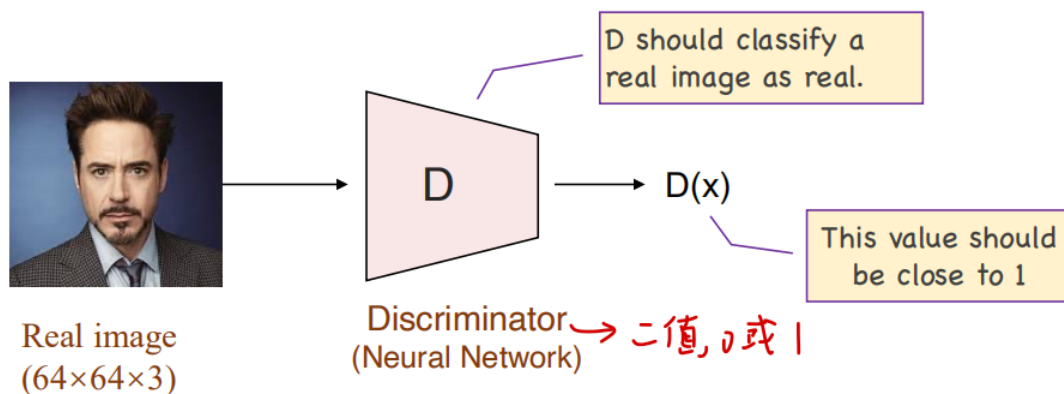
overview(P20)

- A generative model by having **two** neural networks **compete** each other.



## Objective of Discriminator(P21-24)

- The discriminator tries to identify the synthesized instances

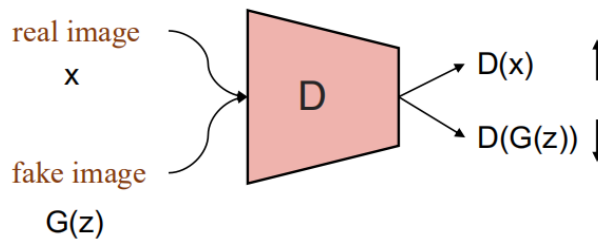


- The objective function can be formulated as the **binary cross-entropy**: (真的, 尽可能接近 1)

$$D^* = \arg \max_D E_{x \sim P_{\text{data}}} [\log D(x)] + E_{x \sim P_G} [\log(1-D(x))] \rightarrow \text{假的}$$

$$= \arg \max_D E_{x \sim P_{\text{data}}} [\log D(x)] + E_{z \sim P_z(z)} [\log(1-D(G(z)))] \rightarrow \text{尽可能小}$$

Sample x from real data distribution
Sample x from model distribution
Sample z from unit Gaussian



## Objective of Generator(P25)

G has an opposite (adversarial) objective to D !

G和D的目标是相反的

G is independent of this part

G并不参与真实数据

$$G^* = \arg \min_G E_{x \sim P_{\text{data}}} [\log D(x)] + E_{z \sim P_z(z)} [\log(1-D(G(z)))]$$

$$= \arg \min_G E_{z \sim P_z(z)} [\log(1-D(G(z)))]$$

G want D to fail

## Objective of GAN(P26)

Adversarial Objectives for G and D → 两者是博弈的关系

$$\min_G \max_D V(G, D) = E_{x \sim P_{\text{data}}} [\log D(x)] + E_{z \sim P_z(z)} [\log(1-D(G(z)))]$$

**Global Optimum:** G reproduces the true data distribution

## Algorithm(P27)

## GAN

**for** number of training iterations **do**

**for**  $k$  steps **do**

- Sample minibatch of  $m$  noise samples  $\{z^{(1)}, \dots, z^{(m)}\}$  from noise prior  $p_g(z)$ . 高斯分布采样噪声
- Sample minibatch of  $m$  examples  $\{x^{(1)}, \dots, x^{(m)}\}$  from data generating distribution  $p_{\text{data}}(x)$ .
- Update the discriminator by ascending its stochastic gradient: 损失函数

$$\nabla_{\theta_d} \frac{1}{m} \sum_{i=1}^m \left[ \log D(x^{(i)}) + \log (1 - D(G(z^{(i)}))) \right].$$

**end for**

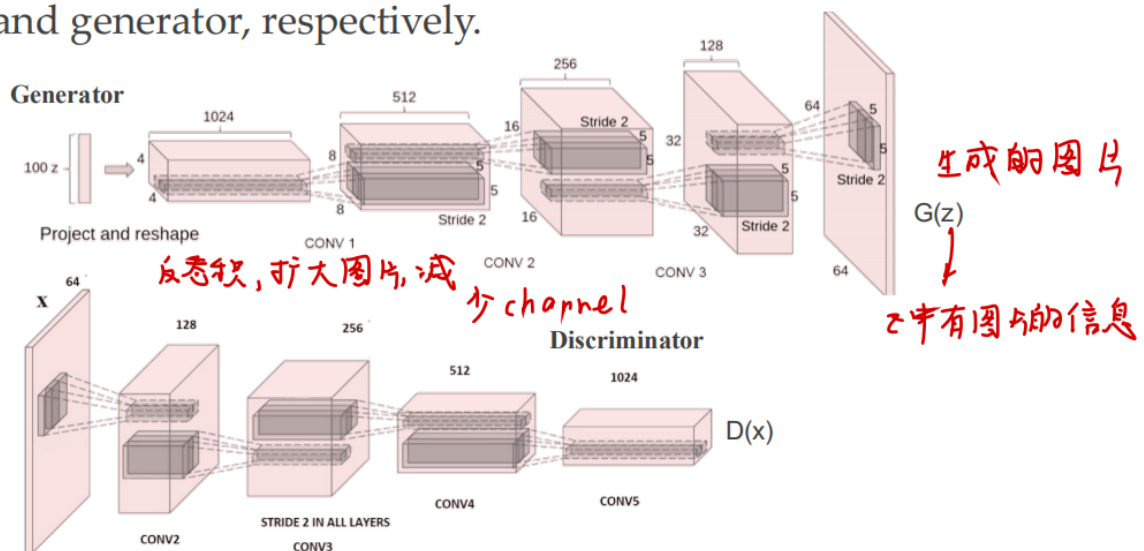
- Sample minibatch of  $m$  noise samples  $\{z^{(1)}, \dots, z^{(m)}\}$  from noise prior  $p_g(z)$ .
- Update the generator by descending its stochastic gradient:

$$\nabla_{\theta_g} \frac{1}{m} \sum_{i=1}^m \log (1 - D(G(z^{(i)}))).$$

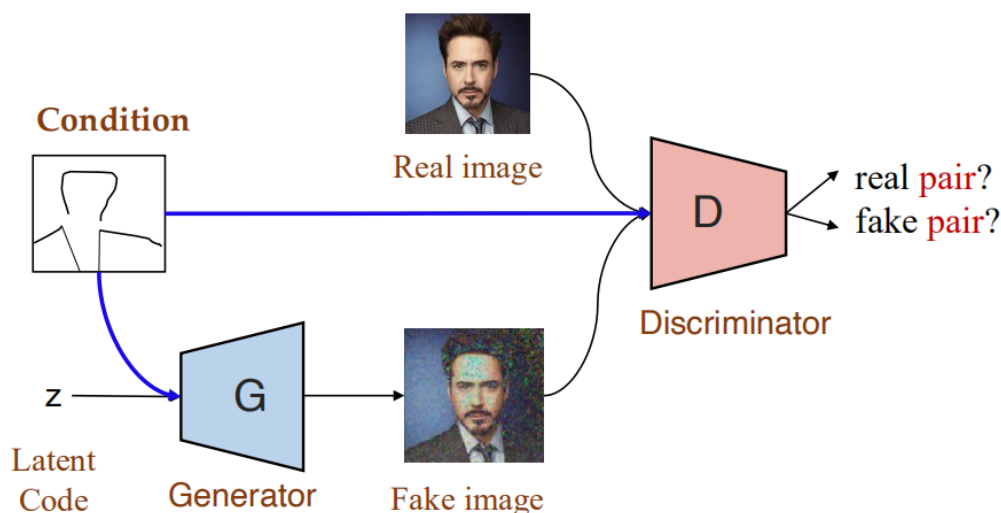
**end for**

## Some GANs

- Deep Convolutional GANs (DCGAN)(P30)
- Use **convolution** and **deconvolution** for the discriminator and generator, respectively.



- Conditional GANs(P32)

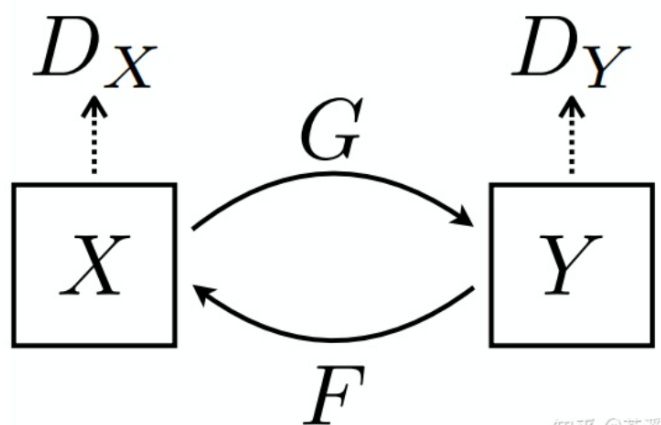


## Results – Image-to-Image Translation

- **CycleGAN: Domain Transformation(P34)**

CycleGAN补充：

CycleGAN的主要目的是实现Domain Adaptation，这里我们以风景照片和梵高画作为例，假设有两个数据集  $X$  和  $Y$  分别存放风景照片和梵高画作。我们希望训练出一个生成器  $G$ ，输入一个风景照，吐出一个梵高画作，即  $G(x) = y', x \in X$ ；同时，我们还希望训练出另一个生成器  $F$ ，它吃一个梵高画作，吐出一个风景照，即  $F(y) = x', y \in Y$ 。为了达到这个目的，我们还需要训练两个判别器  $D_X$  和  $D_Y$ ，分别判断两个生成器生成图片的好坏：如果生成器产生图片  $y'$  不像数据集  $Y$  里的图片  $y$ ，此时判别器  $D_Y$  应给它低分（规定最低分为0），反之如图片  $y'$  像数据集  $Y$  里的图片  $y$ ，则此时判别器  $D_Y$  应给他高分（规定最高分为1）。此外，判别器  $D_Y$  应该永远给真实图片  $y$  高分。对于判别器  $D_X$  也是同理。整个CycleGAN的模型框架如下所示：



知乎 @苍溪



在训练过程中，判别器和生成器是分别训练的，整个过程有点像进化论中捕食者和被捕食者迭代进化的过程：

当我们固定住生成器的参数训练判别器时，判别器便能学到更好的判别技巧，当我们固定住判别器参数训练生成器时，生成器为了骗过现在更厉害的判别器，被迫产生出更好质量的图片。两者便在这迭代学习的过程中逐步进化，最终达到动态平衡。


这是一开始GAN被提出时的思想，而在CycleGAN中，我们不仅想要生成器产生的图片 $y'$ 跟数据集 $Y$ 中的图片画风一样，我们还希望生成器产生的图片 $y'$ 跟他的输入图片 $x$ 内容一样（比如我们输入一张带房子的照片，我们希望产生梵高画风的房子图片，而不是其他内容的图片，比如向日葵、星夜等等，否则意义就不是很大了。因为在一些APP中，我们通常想把自己拍摄的照片变成梵高画作嘛~）。

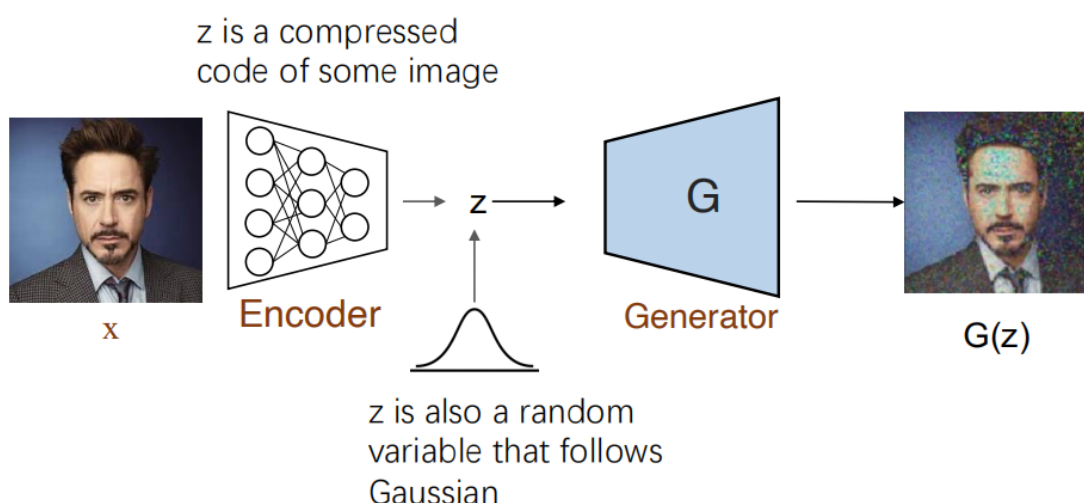
为了做到这一点，论文作者提出了**Cycle Consistency Loss**，即将图片 $y'$ 再放入生成器 $F$ 中，产生的新图片 $x'$ 和最开始的图片 $x$ 尽可能的相似

即我们希望  $F(G(x)) = x$

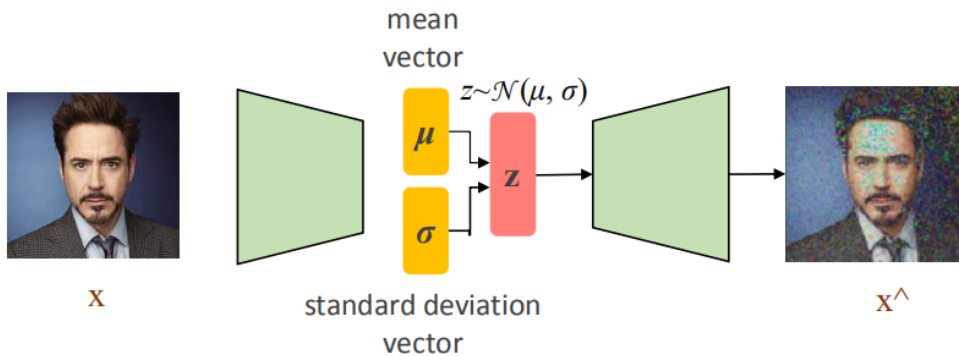
有了这样的约束，我们才能希望生成器将我们的输入照片的风格转化为梵高画风，而不是从梵高的作品中随便挑一张图片来应付我们了事。**Cycle Consistency Loss**在原论文中的插图解释为

## Variational Autoencoder (VAE) (P40-42)

Idea: can we let  $z$  be a latent code of real images and meanwhile force it to follow some distributions e.g., unit Gaussian ? 



- An **autoencoder** where the latent code is sampled from a predicted Gaussian distribution.



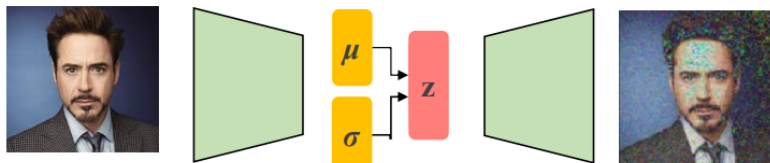
### Variational autoencoders are a probabilistic twist on autoencoders!

Sample from the mean and standard deviation to compute latent sample

变分自编码器是自编码器的概率扭曲:样本从均值和标准差来计算潜在样本

### Training

Sampling is nondifferentiable  
 $\Rightarrow$  Reparameterization trick:  $z = \mu + \sigma \odot \epsilon$  使可导



Encoder computes:  $q(z|x)$     Decoder computes:  $p_\theta(x|z)$

得到  $\mu$  和  $\sigma$     最大化对数似然    编码器得到的  $z$  的分布希望尽可能接近标准正态分布

$z$  是随机变量    Inferred latent distribution    Prior dist. of  $z$ , commonly unit Gaussian

$$L(\theta, \phi|x) = \underbrace{-\mathbb{E}_{z \sim q_\phi(z|x)} [\log p_\theta(x|z)]}_{\text{Reconstruction Loss}} + \underbrace{\text{KL}(q_\phi(z|x) \parallel p(z))}_{\text{Regularization}}$$

预测出来的图片和原始的图片尽可能像    真实的概率分布    尽可能接近

Xiaodong Gu    Machine Learning: Lecture 17    潜在分布

### Why Regularization (P43)



## What properties do we want to achieve from regularization?

连续性: 在潜在空间中接近的点, → 解码后的相似内容

1. **Continuity**: points that are close in latent space → similar contents after decoding  
连续的空间
2. **Completeness**: sampling from latent space → always “meaningful” content after decoding

## Limitations of VAEs (and also GANs) (P45)

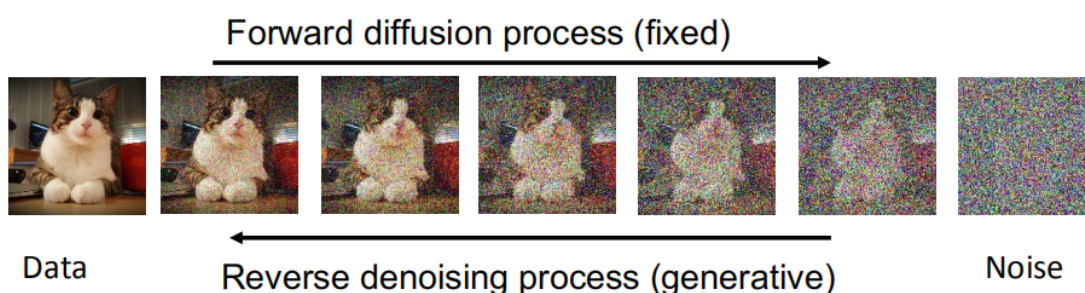
- The latent code  $z$  has a different (much smaller) size to the original image. 会导致图片质量的下降
- The content is generated all at once.

## Denoising Diffusion Model

| 由粗到细生成, 解决 generated all at once 的问题

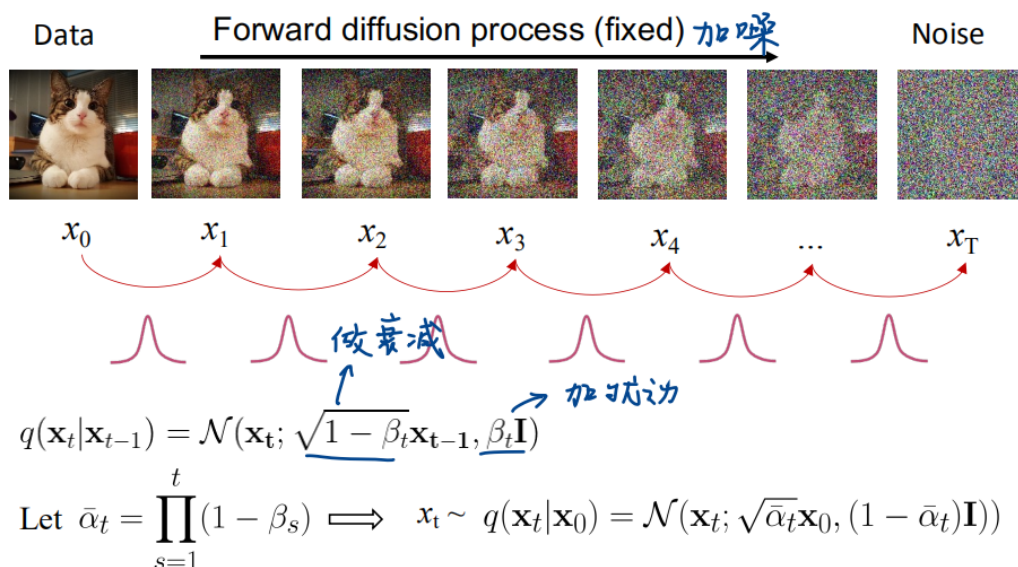
### Overview (P47)

- Two processes:
  - ▷ Forward diffusion process 扩散: gradually adds noise to input
  - ▷ Reverse denoising process: learns to generate data by denoising



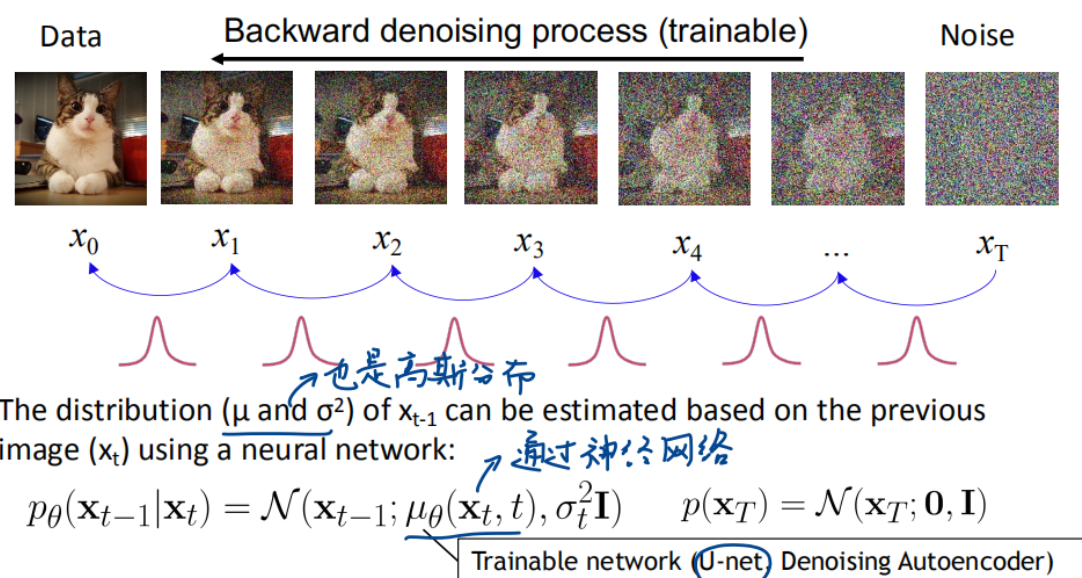
### Forward Diffusion Process (P48)

**T-steps:** each step ( $x_t$ ) adds a fixed gaussian noise ( $\beta_t$ ) to the previous step ( $x_{t-1}$ ). 确定的, 可以操纵的



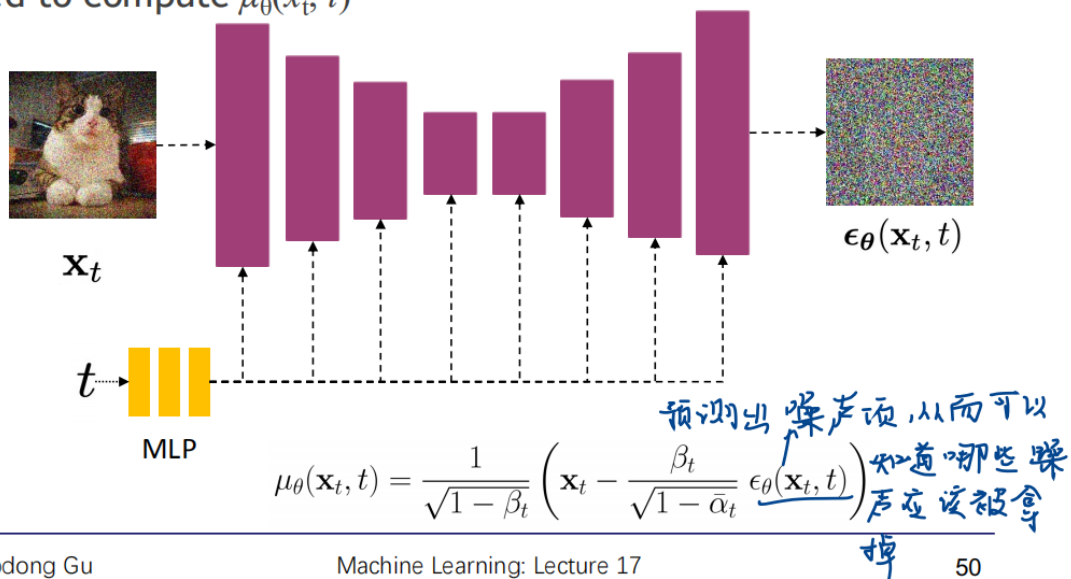
## Backward Denoising Process (P49)

**T steps:** each step ( $x_{t-1}$ ) removes some noise from the previous step ( $x_t$ ). 可训练但是比较难



## Architecture (P50)

Often use U-Net architectures with ResNet blocks and self-attention layers to represent  $\epsilon_\theta(x_t, t)$ , which can further be used to compute  $\mu_\theta(x_t, t)$



Xiaodong Gu

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## Algorithm (P51)

### Algorithm 1 Training

- 1: **repeat**
- 2:  $\mathbf{x}_0 \sim q(\mathbf{x}_0)$  → 原始图片
- 3:  $t \sim \text{Uniform}(\{1, \dots, T\})$
- 4:  $\epsilon \sim \mathcal{N}(\mathbf{0}, \mathbf{I})$  → 噪声
- 5: Take gradient descent step on  $\nabla_\theta \|\epsilon - \epsilon_\theta(\sqrt{\alpha_t} \mathbf{x}_0 + \sqrt{1 - \alpha_t} \epsilon, t)\|^2$
- 6: **until** converged

### Algorithm 2 Sampling

- 1:  $\mathbf{x}_T \sim \mathcal{N}(\mathbf{0}, \mathbf{I})$
- 2: **for**  $t = T, \dots, 1$  **do**
- 3:  $\mathbf{z} \sim \mathcal{N}(\mathbf{0}, \mathbf{I})$  if  $t > 1$ , else  $\mathbf{z} = \mathbf{0}$
- 4:  $\mathbf{x}_{t-1} = \frac{1}{\sqrt{\alpha_t}} \left( \mathbf{x}_t - \frac{1 - \alpha_t}{\sqrt{1 - \alpha_t}} \epsilon_\theta(\mathbf{x}_t, t) \right) + \sigma_t \mathbf{z}$
- 5: **end for**
- 6: **return**  $\mathbf{x}_0$