

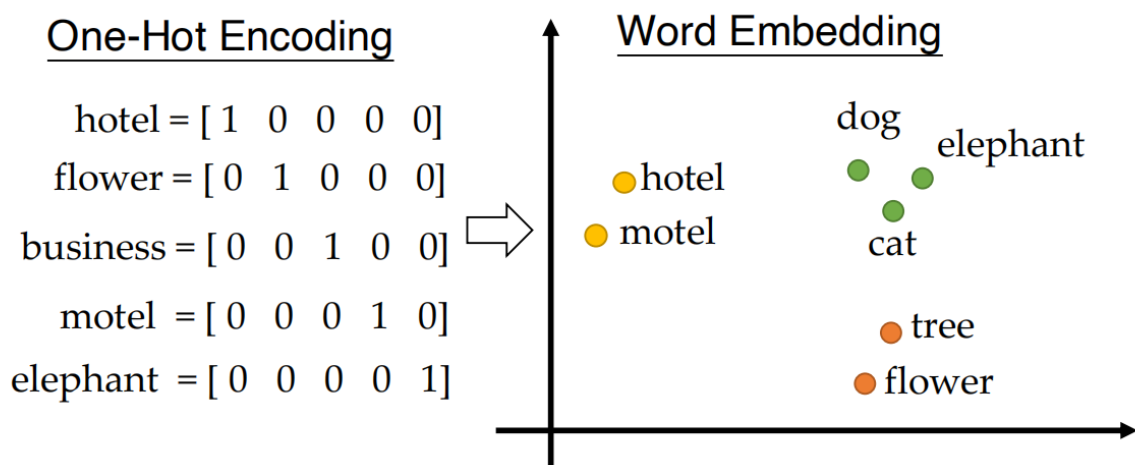


# ch9: Word Embedding

## Word Embedding

### overview(P6)

- Represent words as **low-dimensional dense vectors** that can reflect their semantic similarities. *sparse to dense*



**Note:** word vectors are sometimes called **word embeddings** or word representations. They are **distributed** representations.

### Why Word Embeddings?

Can capture the rich relational structure of the lexicon.(抓住词之间的语义关系)

- Two words are similar if they have similar word contexts**

## Models

### Counting based: the vector space model(P10-14)

- The cornerstone technology in **information retrieval**.

信息检索中的重要算法

- **Term-Document Matrix**

Each cell is the count of word  $t$  in document  $d$

	$d_1$	$d_2$	$d_3$	$d_4$	$d_5$
ekonomi	0	1	40	38	1
pusing	4	5	1	3	30
keuangan	1	2	30	25	2
sakit	4	6	0	4	25
Inflasi	8	1	15	14	1

在文档 $d_4$ 中出现的次数

vector of  $d_3$   
 $= [40, 1, 30, 0, 15]$

- Two documents are similar if they have similar vector!

$$d_3 = [40, 1, 30, 0, 15]$$

$$d_4 = [38, 3, 25, 4, 14]$$

- **Weighting:** in practice, we usually use weights such as **TF-IDF**, instead of just using **raw counts** (only TF).

$$\text{tf-idf}_{w,d} = \text{tf}_{w,d} \times \log(N / \text{df}_w)$$

惩罚项

$\text{tf}_{w,d}$  = frequency of  $w$  in  $d$

$\text{df}_w$  = number of documents containing  $w$

$N$  = total number of documents

→ 如果在所有文档中都出现, 说明重要性一般

	$d_1$	$d_2$	$d_3$	$d_4$	$d_5$
ekonomi	0	1	40	38	1
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$$\text{TF}(\text{sakit}) = [4, 6, 0, 4, 25]$$

$$\text{DF}(\text{sakit}) = 4$$

$$N = 5$$

$$\text{IDF}(\text{sakit}) = \log(5/4)$$

$$\text{TF-IDF}(\text{sakit}) = [\dots\dots]$$

- **Limitations of Vector Space Model**

- TF-IDF vectors are
  - **long** (length  $|V| = 20,000$  to  $50,000$ )
  - **sparse** (most elements are zero)

- difficult to use as features in machine learning (more weights to tune)
- storing explicit counts can be difficult for generalization

在机器学习中很难作为特征使用（更多的权重来调整）  
存储显式计数很难泛化

## Prediction based: word2vec(P16-26)

- overview(P17)

- **Word2vec** (Mikolov et al. 2013) is a framework for learning word vectors.

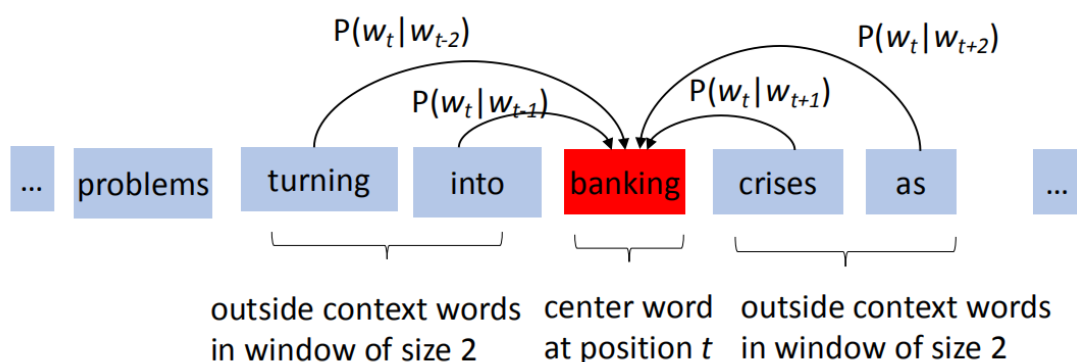
### Idea:

- we have a large corpus of text.
- every word in a fixed vocabulary is represented by a vector;
- go through each position  $t$  in the text, which has a center word  $c$  and context (“outside”) words  $o$ ;
- use the **similarity of the word vectors** for  $c$  and  $o$  to **calculate the probability** of  $o$  given  $c$  (or vice versa);
- **keep adjusting the word vectors** to maximize this probability.



learnable, 可变的  
保证 word 和 neighbor word 共同出现的概率尽可能高

## Example: window and process for computing $P(w_t | w_{t+j})$



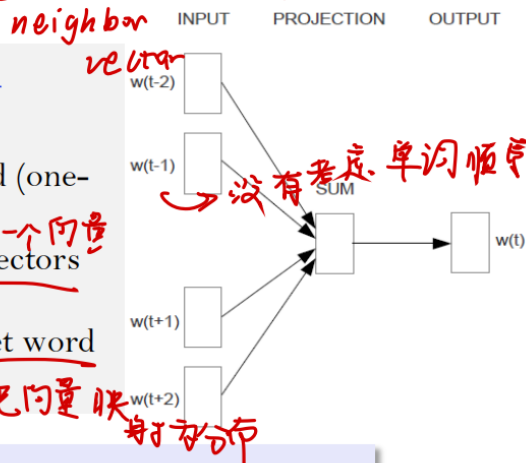
- Mikolov's CBOW(P20)

- **CBOW**: the distributed representations of context (or surrounding words) are combined to **predict the word in the middle**.

$$P(w_t | w_{t-k}, \dots, w_{t-1}, w_{t+1}, \dots, w_{t+k}) = \text{NN}(V(w_{t-k}) + \dots + V(w_{t+k}))$$

This can be represented by a **neural network**:

- An **input layer** which converts each word (one-hot) into a **dense vector**.
- A **projection layer** which combines the vectors of input words.
- An **output layer** which predicts the **target word**  $w_t$  given the combined context vector.

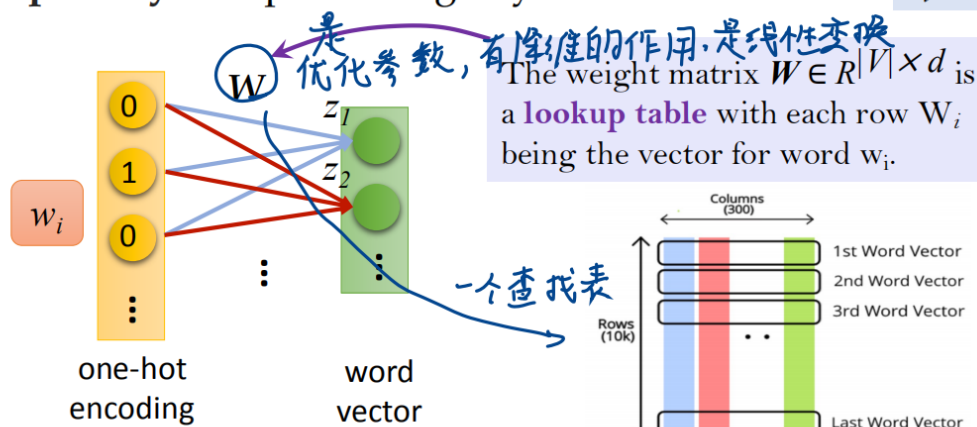


**CBOW = Continuous-Bag-of-Words**

the order of words in the context does not influence the projection.

- **Architecture(P21-24)**

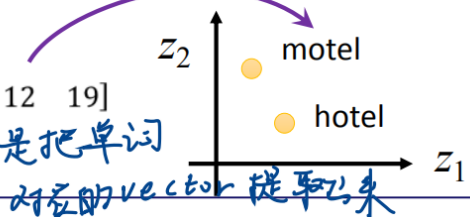
- **Input Layer**: representing any word into a vector.  $z_i = Wx_i = W$



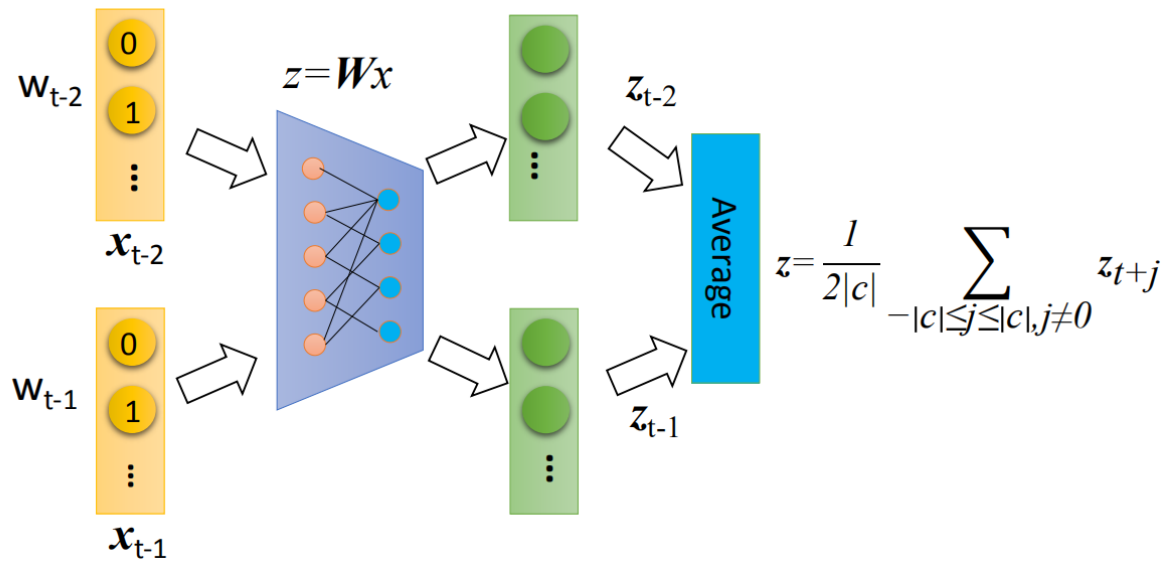
**Example**

$$\begin{bmatrix} 0 & 0 & 0 & 1 & 0 \end{bmatrix} \times \begin{bmatrix} 17 & 24 & 1 \\ 23 & 5 & 7 \\ 4 & 6 & 13 \\ 10 & 12 & 19 \\ 11 & 18 & 25 \end{bmatrix} = \begin{bmatrix} 10 & 12 & 19 \end{bmatrix}$$

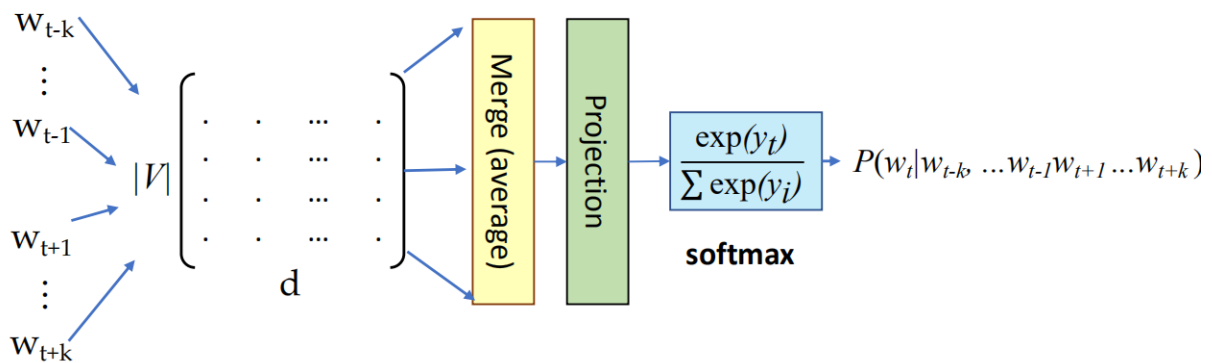
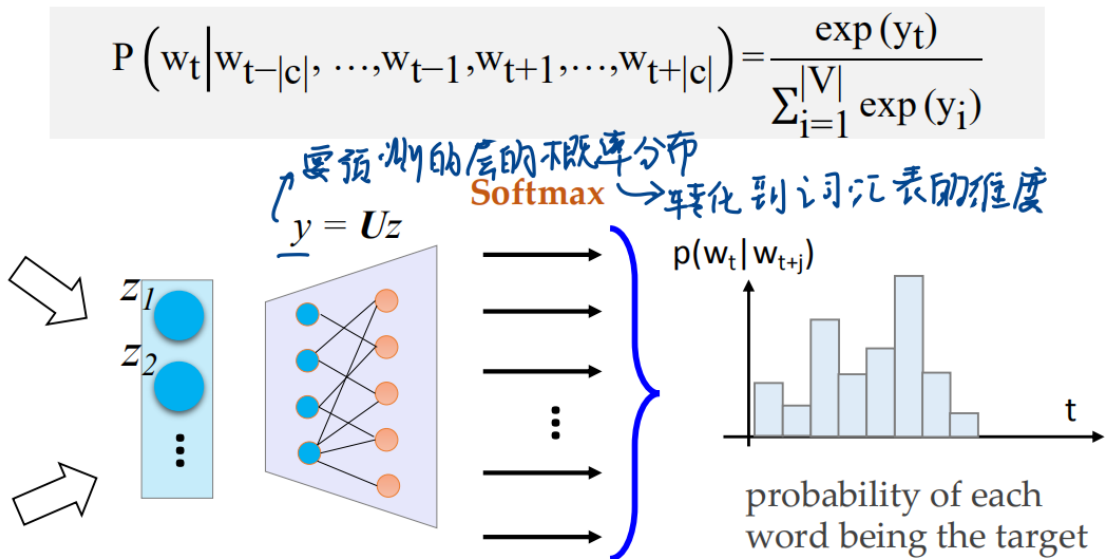
"motel"



- **Projection Layer:** combining context vectors into one vector.



- **Output Layer:** predicts the probability of the target word.



- Training(P25)

- Given  $D = \{w_1, w_2, \dots, w_N\}$ , minimize the **negative log likelihood** (NLL) loss function:

$$L(W, U | D) = -\frac{1}{N} \sum_{t=1}^N \log p(w_t | w_{t-k}, \dots, w_{t-1}, w_{t+1}, \dots, w_{t+k})$$

与交叉熵等价

using **gradient descend**.

## The Skip-Gram Model (P27)

- We seek a model for  $P(w_{t+j} | w_t)$ .

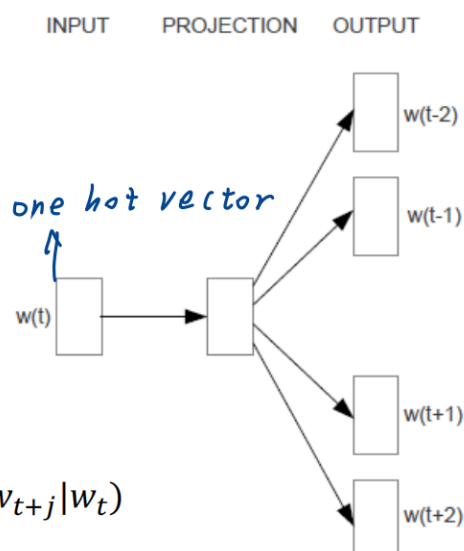
$$P(w_{t+j} | w_t) = \frac{\exp(y_{t+j})}{\sum_{i=1}^{|V|} \exp(y_i)}$$

$$y = Uz$$

$$z = Wx$$

别词出现的概率 → 想要得到

$$L(W, U | \chi) = -\frac{1}{N} \sum_{t=1}^N \sum_{-c \leq j \leq c, j \neq 0} \log p(w_{t+j} | w_t)$$



## The Word Analogy Task(P29-30)

## • Word Analogy: 单词类推

$$a:b :: c:?$$

man:woman :: king:?

### Examples

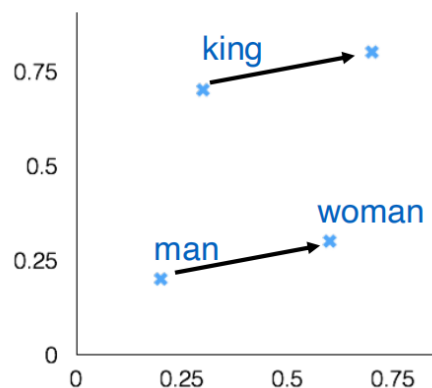
- Man is to Woman as King is to \_\_\_?
- Good is to Best as Smart is to \_\_\_?
- China is to Beijing as America is to \_\_\_?

How to find d?

$$d = \arg \max_i \frac{(x_b - x_a + x_c)^T x_i}{\|x_b - x_a + x_c\|}$$

- It turns out that word2vec is good for such an analogy task.

$$V_{\text{king}} - V_{\text{man}} + V_{\text{woman}} = V_{\text{queen}}$$



## Language Models(P33-35)

- A **probabilistic** model of how **likely** a given string appear in a given “**language**”. 评估一个 string 在语言中出现的概率
- For a given sequence  $x = (w_1, w_2, \dots, w_N)$ . A **language model** can be defined as:

$$p(x) = p(w_1, w_2, \dots, w_N)$$

概率越高, 对语言的掌握程度越高

### Example:

$P_1 = P(\text{“我爱机器学习”})$   
 $P_2 = P(\text{“我爱学习机器”})$   
 $P_3 = P(\text{“机器我爱学习”})$   
 $P_4 = P(\text{“爱我机器学习器”})$

Chinese:  $P_1 > P_2 > P_3 > P_4$

### • Applications:

message suggestion; document generation; spelling correction; machine translation; speech recognition;...

- What is the probability of  $P(w_1, \dots, w_N)$ ?

$$p(\text{我爱机器学习}) = ?$$

- Chain Rule:

$$p(w_1, \dots, w_N) = p(w_1)p(w_2|w_1)\dots p(w_N|w_1, \dots, w_{N-1})$$

$$p(\text{我爱机器学习}) = p(\text{我})p(\text{爱}|\text{我})p(\text{机}|\text{我爱})p(\text{器}|\text{我爱机})p(\text{学}|\text{我爱机器})p(\text{习}|\text{我爱机器学})$$

- Markov Assumption: 在一个词生成时, 只看前面  $n-1$  个 (only consider the last  $n-1$  words)

$$p(w_i|w_1, \dots, w_{i-1}) = p(w_i|w_{i-n+1}, \dots, w_{i-1})$$

$$p(\text{习}|\text{我爱机器学}) \approx p(\text{习}|\text{机器学}) \approx p(\text{习}|\text{学})$$

So that's what we get for  $n=2$ : 只看前面一个

$$p(w) = p(w_1)p(w_2|w_1)\dots p(w_N|w_{N-1})$$

$$\frac{1}{18} \times \frac{1}{8} \times \frac{1}{120} \times \frac{1}{4} \times \frac{1}{420} \times \frac{1}{2}$$

$$p(\text{我爱机器学习}) = p(\text{我})p(\text{爱}|\text{我})p(\text{机}|\text{爱})p(\text{器}|\text{机})p(\text{学}|\text{器})p(\text{习}|\text{学})$$