SFJ: An implementation of Semantic Featherweight Java *

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Abstract. There are two approaches to defining subtyping relations: the *syntactic* and the *semantic* approach. In semantic subtyping, one defines a model of the language and an interpretation of types as subsets of this model. Subtyping is defined as inclusion of subsets denoting types. An orthogonal subtyping question, typical of object-oriented languages, is the *nominal* versus the *structural* subtyping. Dardha *et al.* [9,10] defined boolean types and semantic subtyping for Featherweight Java (FJ) and integrated both nominal and structural subtyping, thus exploiting the benefits of both approaches. However, these benefits were illustrated only at a theoretical level, but not exploited practically.

We present SFJ—Semantic Featherweight Java, an implementation of FJ which features boolean types, semantic subtyping and integrates nominal as well as structural subtyping. The benefits of SFJ, illustrated in the paper and the accompanying video (with audio/subtitles) [26], show how static typechecking of boolean types and semantic subtyping gives higher guarantees of program correctness, more flexibility and compactness of program writing.

 $\label{eq:Keywords: Nominal Subtyping Semantic Featherweight Java \cdot Object-oriented languages \cdot Boolean types \cdot Type theory.$

1 Introduction

There are two approaches to defining subtyping relations: the *syntactic* and the *semantic* approach. Syntactic subtyping [18] is more mainstream in programming languages and is defined by means of a set of formal deductive subtyping rules. Semantic subtyping [6,5,11] is more recent and less known: one defines a formal model of the language and an interpretation of types as subsets of this model. Then, subtyping is defined as set inclusion of subsets denoting types.

Orthogonally, for object-oriented languages there are two approaches to defining subtyping relations: the *nominal* and the *structural* approach [19,20]. Nominal subtyping is based on declarations by the developer and is *name*-based: "A is

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a subtype of B if and only if it is declared to be so, that is if the class A extends (or implements) the class (or interface) B". Structural subtyping instead is based on the *structure* of a class, its fields and methods: "a class A is a subtype of a class B if and only if the fields and methods of A are a superset of the fields and methods of B, and their types in A are subtypes of the types in B". For example, the set of inhabitants of a class Student is smaller than the set of inhabitants of a class Person, as each Student is a Person, but not the other way around. However, the set of fields and methods of Student is a superset of that of Person. Hence, Student is a structural subtype of Person, even if it is not declared so.

Dardha et al. [9,10] define boolean types—based on set-theoretic operations such as and, not, or—and semantic subtyping for Featherweight Java (FJ) [16]. This approach allows for the integration of both nominal and structural subtyping in FJ, bringing in higher guarantees of program correctness, flexibility and compactness in program writing. Unfortunately, these benefits were only presented at a theoretical level and not exploited practically, due to the lack of an implementation of the language, its types and type system.

In this paper, we present SFJ—Semantic Featherweight Java § 3, an implementation of FJ with boolean types and semantic subtyping. In SFJ the developer has a larger and more expressive set of types, by using boolean connectives and, not, or, with the expected set-theoretic interpretation. On the other hand, this added expressivity does not add complexity. Rather the opposite is true, as the developer has an easier, more compact and elegant way of programming. SFJ integrates both structural and nominal subtyping, and the developer can choose which one to use. Finally, as discussed in Dardha et al. [10, §8.4], thanks to semantic subtyping, we can easily encode in SFJ standard programming constructs and features of the full Java language, such as lists, or overloading classes via multimethods [4], which are missing in FJ, thus making SFJ a more complete language closer to Java.

Example 1 (Polygons). This will be our running example both in the paper and in the tool video [26] to illustrate the benefits of boolean types and semantic subtyping developed by Dardha et al. [9,10] and implemented as SFJ.

Consider the set of polygons, such as triangles, squares and rhombuses given by a class hierarchy. We want to define a method diagonal that takes a polygon and returns the length of its longest diagonal. This method makes sense only if the polygon passed to it has at least four sides, hence triangles are excluded. In Java this could be implemented in the following ways:

```
class Polygon {...}
class Triangle extends Polygon {...}
class Other_Polygons extends Polygon {
    double diagonal(Other_Polygons shape) {...}
}
class Square extends Other_Polygons {...}
class Rhombus extends Other Polygons {...}
```

Or by means of an interface Diagonal:

```
public interface Diagonal {
        double diagonal(Polygon shape);
}
class Polygon {...}
class Triangle extends Polygon {...}
class Square extends Polygon implements Diagonal {...}
class Rhombus extends Polygon implements Diagonal {...}
// other geometric figures...
```

Now, suppose our class hierarchy is such that *Polygon* is the parent class and all other geometric figures extend *Polygon*, which is how one would naturally define the set of polygons. Suppose the class hierarchy is given and is part of legacy code, which cannot be changed. Then again, a natural way to implement this in Java is by defining the method *diagonal* in the class *Polygon* and using an **instanceof**, for example, inside a **try-catch** construct. Then, an exception would be thrown at runtime, if the argument passed to the method is a triangle.

We propose a more elegant solution, by combining boolean types and semantic subtyping, where only static typechecking is required and we implement this in SFJ [26]: it is enough to define a method *diagonal* that has an argument of type *Polygon* and not *Triangle*, thus allowing the typechecker to check at compile time the restrictions on types:

```
 \begin{array}{l} \textbf{class } Polygon \; \{\ldots\} \\ \textbf{class } Triangle \; \textbf{extends } Polygon \; \{\ldots\} \\ \textbf{class } Square \; \textbf{extends } Polygon \; \{\ldots\} \\ \textbf{class } Rhombus \; \textbf{extends } Polygon \; \{\ldots\} \\ \ldots \\ \textbf{class } Diagonal \; \{ \\ \ldots \\ \textbf{double } diagonal((Polygon \; \textbf{and \; not } \; Triangle) \; shape) \{\ldots\} \\ \} \end{array}
```

We can now call *diagonal* on an argument of type *Polygon*: if the polygon is **not** a *Triangle*, then the method computes and returns the length of its longest diagonal; otherwise, there will be a type error at compile time.

Structure of the paper: \S 2 details the technical developments behind semantic subtyping and its properties. \S 3 details the design and implementation of SFJ. \S 4 concludes the paper.

2 Background

The technical developments behind semantic subtyping and its properties are complex, however, they are completely transparent to the programmer. The

framework is detailed and proved correct in the relevant work by Dardha *et al.* [9,10], and SFJ builds on that framework.

In this section we will briefly detail the types and terms of SFJ.

2.1 Types

The syntax of types τ is defined by the following grammar:

```
\begin{array}{lll} \tau ::= \alpha \mid \mu & & \textit{Types} \\ \alpha ::= \mathbf{0} \mid \mathbb{B} \mid [\widetilde{l} : \tau] \mid \alpha \text{ and } \alpha \mid \mathbf{not} \; \alpha & & \textit{Field types} \; (\alpha\text{-types}) \\ \mu ::= \alpha \rightarrow \alpha \mid \mu \text{ and } \mu \mid \mathbf{not} \; \mu & & \textit{Method types} \; (\mu\text{-types}) \end{array}
```

The α -types are used to type fields and the μ -types are used to type methods. Type $\mathbf 0$ is the empty type. Type $\mathbb B$ denotes the *basic* types, such as integers, booleans, etc. Record types $[\widehat{l}:\tau]$, where \widetilde{l} is a sequence of disjoint labels, are used to type objects. Arrow types $\alpha \to \alpha$ are used to type methods.

The boolean connectives **and** and **not** in the α -types and μ -types have their expected set-theoretic meanings. We let $\alpha \setminus \alpha'$ denote α **and** (**not** α'), and α **or** α' denote **not**(**not** α **and** (**not** α')).

2.2 Terms

The syntax of terms is defined by the following grammar and is based on the standard syntax of terms in FJ [16]:

```
Class declaration L ::= \mathbf{class} \ C \ \mathbf{extends} \ C \ \widetilde{(\alpha \ a; \ K; \ M)}

Constructor K ::= C \ (\widetilde{\alpha \ x}) \ \{ \ \mathbf{super}(\widetilde{x}); \ \widetilde{\mathbf{this}}.a = \widetilde{x}; \}

Method declaration M ::= \alpha \ m \ (\alpha \ x) \ \{ \ \mathbf{return} \ e; \}

Expressions e ::= x \ | \ c \ | \ e.m(e) \ | \ \mathbf{new} \ C(\widetilde{e})
```

We assume an infinite set of names, with some special names: *Object* denotes the root class, **this** denotes the current object and **super** denotes the parent object. We let A, B, \ldots range over classes; a, b, \ldots over fields; m, n, \ldots over methods and x, y, z, \ldots over variables.

A program (\widetilde{L},e) is a pair of a sequence of class declarations \widetilde{L} , giving rise to a class hierarchy as specified by the inheritance relation, and an expression e to be evaluated. A class declaration L specifies the name of the class, the name of the parent class it extends, its typed fields, the constructor K and its method declarations M. The constructor K initialises the fields of the object by assigning values to the fields inherited by the super-class and to the fields declared in the current **this** class. A method declaration M specifies the signature of the method, namely the return type, the method name and the formal parameter as well as the body of the method. Notice that in our theoretical development we use unary methods, without loss of generality: tuples of arguments can be modelled by an object that instantiates a "special" class containing as fields all the needed arguments. Expressions e include variables, constants, field access, method call and object creation.

On multimethods Since FJ is a core language, some features of the full Java are removed, such as overloading methods. In our framework, by leveraging the expressivity of boolean connectives and semantic subtyping, we are able to restore overloading, among other features [10, §8.4]. We can thus model multimethods, [4], which according to the authors is "very clean and easy to understand [...] it would be the best solution for a brand new language". As an example, taken from Dardha et al. [9,10], consider the following class declarations:

```
 \begin{array}{lll} \textbf{class} \ A \ \textbf{extends} \ Object \ \{ & \textbf{class} \ B \ \textbf{extends} \ A \ \{ & \textbf{int} \ length \ (\textbf{string} \ s) \{ \ \dots \ \} \\ \} & \} \\ \end{array}
```

Method *length* has type **string** \rightarrow **int** in class A. However, because class B extends class A, *length* has type (**string** \rightarrow **int**) **and** (**int** \rightarrow **int**) in class B, which can be simplified to (**string or int**) \rightarrow **int**.

Following FJ [16], we rule out ill-formed programs, such as declaring a constructor named B within a class named A; or multiple fields or methods having the same name; or fields having the same type as the type of the class they are defined in.

3 SFJ: Design and Implementation

3.1 On Valid Type Definitions

Since we want to use types τ in practice in SFJ, we restrict them to *finite trees* whose leaves are basic types \mathbb{B} § 2.2 with no cycles. For example, a recursive type $\alpha = [a:\alpha]$ denotes an infinite program tree **new** $C(\mathbf{new}\ C(\cdots))$, hence we rule it out as it is not inhabitable. Similarly, the types $\alpha = [b:\beta]$, $\beta = [a=\alpha]$ create a cycle and thus would not be inhabitable. Notice that these types can be defined and inhabited in Java by assigning *null* to all fields in a class, however they are not useful in practice.

SFJ is implemented using ANTLR [22]. We start by defining the grammar of the language in Extended Backus-Naur Form (EBNF), following § 2.1 and by running ANTLR, we can automatically generate a parser for SFJ and extend it in order to implement the required checks for our types and type system. Running the parser on an SFJ program returns an abstract syntax tree (AST) of that program.

When visiting the AST, we check if the program is well-formed, following the intuition at the end of \S 2. We mark any classes containing fields typed with only basic types as resolved otherwise, as unresolved. Using this information, Algorithm 1 checks if the type definitions in a program are valid, namely, if they are finite trees whose leaves are basic types with no cycles. At each iteration of the algorithm we can resolve at least one type, until all the types in the SFJ program are resolved, and return True. However, if there is at least one type unresolved, meaning there is a cycle in the type definition, we return False.

Input : classes, the set of classes marked resolved if their fields contain only basic types, unresolved otherwise.

 $\mathbf{Output}: True \text{ if all classes are valid type definitions, } False \text{ otherwise.}$

```
1 begin
        do
 \mathbf{2}
            resolutionOccured \longleftarrow False
 3
            for class that is unresolved in classes do
 4
                resolved \longleftarrow True
 5
                for field in class that contains a class type do
 6
                     if type of field is unresolved then
 7
                        resolved \longleftarrow False
 8
                     end
 9
                end
10
11
                if resolved = True then
12
                     class \longleftarrow resolved
13
                     resolutionOccured \longleftarrow True
14
                end
15
            end
16
        while resolutionOccured = True
17
18
        if not all classes are resolved then
19
            return False
20
        else
21
            return True
22
        end
23
24 end
```

Algorithm 1: Validity Check for Type Definitions

3.2 Building Semantic Subtyping for SFJ

If Algorithm 1 returns True, meaning all type definitions in a program are valid, we can then build the semantic subtyping. Leveraging the interpretation of types as sets of values to define semantic subtyping for FJ [9,10], in SFJ we keep track of the semantic subtyping relation by defining a map from a type to the set of its subtypes, satisfying the property that the set of values of a subtype is included in the set of values of the type. We start with basic types and let Universe be a supertype of all types. The full mapping for basic types is defined in Mapping 3.1.

```
 Double = \{Double, Float, Int, Short, Byte\} \ Float = \{Float, Short, Byte\} 
Long = \{Long, Int, Short, Byte\} \ Int = \{Int, Short, Byte\} 
Short = \{Short, Byte\} \ Byte = \{Byte\} 
Boolean = \{Boolean\} \ Void = \{Void\} 
Universe = \{Double, Float, Long, Int, \\ Short, Byte, Boolean, Void\} 
 (3.1)
```

Note that *Int* is not a subtype of *Float* as a 32-bit *float* cannot represent the whole set of 32-bit *integer* values accurately and therefore *Int* is not fully setcontained in *Float*, however this is not the case for *Int* and *Double*. Similarly, *Long* is not a subtype of *Double*.

Algorithm 2 builds the semantic subtyping relation for all class types of an SFJ program by calling the function *generateRelation*. Given that classes are valid type definitions by Algorithm 1, we are guaranteed that Algorithm 2 will terminate. The semantic subtyping generated by Algorithm 2 is a preorder: it is reflexive and transitive. This is also illustrated by Mapping 3.1.

Some comments on Algorithm 2 follow. In function generateRelation we iterate over the set of classes in an SFJ program. If the class currently being processed contains types in its fields or methods not present in the subtyping relation (lines 5, 30, 42), then we add the current class to the list of unprocessed classes (line 6) so we can process its fields and methods first and the class itself later after having all required type information. The set of unprocessed classes will then be inspected again in a recursive call (line 10). The next two functions of the algorithm, addClass and checkSuperSet, check subtyping for the current class being processed and update relation, which is a mapping from a type to its subtypes and originally only consists of entries from Mapping 3.1. In function addClass(class) we check if the type class is a subtype of an existing type in relation (lines 15-18), as well as the opposite, meaning if class is a supertype of an existing type in relation (line 19). In order to do so checkSuperSet checks all fields (lines 28-39) and all methods (lines 40-51) in class and compares them with an existing Class in relation. If a subtyping relation is established, then it is added to relation (line 53). Finally, upon returning from checkSuperSet, we also add class to its own relation (line 21) to satisfy reflexivity and to Universe (line 22), which is a supertype of all types.

It is worth noticing that the subtyping algorithm finds all nominal and structural subtypes of a given type. This is due to the fact that all pairs of types are inspected. Recall from § 1 that nominal subtyping is name-based and given by the class hierarchy defined by the programmer, whether structural subtyping is structure-based and given by the set-inclusion of fields and methods. In particular, it is contra-variant with respect to this set-inclusion. Algorithm 2 finds all structural subtypes of a given class because it checks that its fields and methods are a superset of existing types in relation. For example, all classes are structural subtypes of type empty = []. On the other hand, it also finds all nominal subtypes because a class inherits all fields and methods of its superclass and as such its fields and methods are a superset of its superclass. This means that checking for structural subtyping is enough because nominal subtyping will be captured due to inheritance of fields and methods.

Finally, a note on complexity. The complexity of Algorithm 1 is $\mathcal{O}(n)$, and the complexity of Algorithm 2 is $\mathcal{O}(n^2)$, with n being the size of the input. The reason for a quadratic complexity of Algorithm 2 is due to the symmetric check of structural subtyping between a class and an existing Class in relation.

Input : classes, the set of classes in an SFJ program for which we have not yet defined the subtyping relation.

relation, the mapping of types to the set of subtypes, initially being Mapping 3.1.

```
1 begin
        Function generateRelation(classes: List<Class>):
 2
            unprocessed: List < Class > \longleftarrow []
 3
            for class in classes do
 4
                if addClass(class) = False then
 5
                    unprocessed.add(class)
 6
 7
                end
            \quad \mathbf{end} \quad
 8
            if untyped \neq [] then
 9
               generateRelation(unprocessed)
10
            end
11
        end
12
13
        Function addClass(class: Class) \rightarrow boolean:
14
            for existing class type in relation do
15
                if checkSuperSet(class, existingClass) = False then
16
                    return False
17
18
                end
                checkSuperSet(existingClass, class)
19
20
            end
21
            relation[class].add(class)
22
            relation[Universe].add(class)
            return True
23
\mathbf{24}
        end
25
        Function checkSuperSet(class: Class, other: Class) \rightarrow boolean:
26
            flag \longleftarrow True
27
            for field in class do
28
                if field contains type not in relation then
29
30
                   return False
                end
31
32
                if other does not contain field then
                    flag \longleftarrow False
33
                else
34
                    if other.field.types does not fully contain field.types then
35
36
                        flag \longleftarrow False
37
                    end
               end
38
            end
39
            for method in class do
40
                if method contains type not in relation then
41
                    return False
42
                end
43
44
                if other does not contain method then
45
                    flag \longleftarrow False
                else
46
                    if other.method.types does not fully contain method.types then
47
                       flag \longleftarrow False
48
                    end
49
               end
50
            \mathbf{end}
51
52
            if flag = True then
               relation[other].add
53
            end
54
55
       \mathbf{end}
56 end
```

Algorithm 2: Semantic Subtyping for SFJ Classes

Notice that if we were to only work with nominal subtyping, then we only require traversing the class hierarchy once, which gives an $\mathcal{O}(n)$ complexity.

3.3 Type System for SFJ

The type system for the SFJ language, given in § 2.2, is based on the type system by Dardha *et al.* [9,10] where the formal typing rules and soundness properties are detailed. As these formal developments are beyond the scope of this paper, we discuss typing for SFJ only informally.

A program (\hat{L}, e) is well typed if both \hat{L} and e are well typed. Class declaration L and method declaration M are well typed if all their components are well typed. Let us move onto expressions E. Field access e.a, method call e.m(e) and object creation $\mathbf{new}\ C(\tilde{e})$ are typed in the same way as in Java: we inspect the type of the field and the type of the method and its arguments to determine the type of the field access and method call, respectively. The type of an object creation is determined by the type of its class. Regarding constants, in order to respect the set-theoretic interpretation of types as sets of values, we type constants with the most restrictive type, i.e., the type representing the smallest set of values containing the value itself. For example, the type system would assign to the value 42 the type \mathbf{byte} , which is the smallest in the sequence \mathbf{byte} , \mathbf{short} , \mathbf{int} (see Mapping 3.1 for details).

Finally, the subtyping relation generated by Algorithm 2 is used in the type system for the SFJ language via a subsumption typing rule:

$$\frac{\Gamma \vdash e : \alpha_1 \qquad \alpha_1 \le \alpha_2}{\Gamma \vdash e : \alpha_2}$$

We read this typing rule as follows: if an expression e is of type α_1 under a typing context Γ (details of a typing context are irrelevant here) and type α is a subtype \leq of α_2 , then expression e can be typed with α_2 .

3.4 Polygons: Continued

Let us illustrate the semantic subtyping algorithm on our *Polygons* given in Example 1. Algorithm 2 generates the subtyping relation given in Mapping 3.2, together with the subtyping relation for basic types, omitted here and defined in Mapping 3.1. Notice that the mapping for *Universe* is extended with the new types for polygons.

```
Polygon = \{Polygon, Triangle, Square, Rhombus\} \ Triangle = \{Triangle\} \\ Square = \{Square\} \\ Universe = \{Double, Float, Long, Int, Short, Byte \\ Boolean, Void, Polygon, Square \\ Square, Rhombus, Diagonal\}  (3.2)
```

Recall the method diagonal in class Diagonal, with signature

double diagonal((Polygon and not Triangle) shape)

The result of the set operation on its parameter type gives the following set of polygons:

```
Polygon \text{ and not } Triangle = \{Polygon, Square, Rhombus\}
```

In order to define the **not** Triangle type we need the Universe type so that we can define it as $Universe \setminus Triangle$. Then, the **and** connective is the intersection of sets of Polygon with **not** Triangle.

Thus, if we pass to *diagonal* an argument of type *Triangle*, we get a type-checking error at compile time, as illustrated in the accompanying video [26].

3.5 Nominal vs. Structural Subtyping

Note that both approaches to subtyping have their drawbacks. Consider two structurally equivalent class/record types coordinate = [x:int,y:int,z:int] and colour = [x:int,y:int,z:int]. While structurally they can be used interchangeably in our type system, their "meaning" is completely different. Therefore, we lose some safety in our language as it is unlikely we would like to use a colour where we expect a coordinate, but in the eyes of the type-checker, it is correct.

Dardha et al.[10] suggest a possible extension to the language by including a nominal keyword that can be used in the class signature to indicate that this class should only be considered by the nominal component of the type system. This would allow us finer control over the type system and eliminate the previously mentioned problems. However, being optional, we argue this would create more bugs than problems it would solve, due to easily forgetting the keyword. For example with destructors in C++ classes, leaving out the virtual keyword of the parent class destructor in certain situations can lead to memory leaks. Instead a structural keyword could be introduced as an extension to the language which specifies that this class is allowed to have structural subtypes. A class with this keyword is not added to the subtyping relation of other structurally similar classes which are not marked structural as this would weaken the invariant conditions of that class.

On the other hand, with nominal subtyping in Java one can define an overridden method to perform the opposite logic to what the super class is expecting. Therefore, both approaches leave an expectation on the developer to ensure what they are doing is correct, hence the integration of both in SFJ is the key to overcoming these drawbacks, as one can choose which approach to use for a given task.

3.6 Method Types

Furthermore, we have extended FJ with *method types*, demonstrated below to show another possible implementation of the *Polygons* Example 1.

```
class Diagonal \{ int \ diagonal((getDiagonal: void \rightarrow int) \ shape) \{ ... \} \}
```

We define the method type as accepting any type and its subtypes which implement a method called *diagonal* which is a method from the type *Void* to a *Double*.

In order to type-check the value passed to such an argument, we can at compile-time build a collection of types $\{type_1, type_2, \ldots\}$ which have this method. We can do this the simply iterating over the same list of classes in the program as when we were creating our subtyping relation and checking for the presence of the required method. The set of accepted types would then be the union of all their corresponding subtypes $([type_1]_B \cup [type_2]_B \cup \ldots)$.

However, calculating this collection of types for each method of every class would be computationally unnecessary as only few of these would be used for method types. Therefore we only compute them on demand during type-checking when we come across such a type.

We can therefore use method types to statically include or exclude a portion of our type hierarchy. However unlike the use of interfaces such as in the suggested Java solution to the Polygons problem Example 1, the values that can be accepted by a method type do not have to be related to each other in any way in the class hierarchy. This becomes increasingly useful if we have a legacy system as we can still accept all the classes that have defined *Diagonal* methods without having to go back and add interface implementations.

4 Related Work and Conclusion

Semantic subtyping approach goes back to more than two decades ago [1,8]. Notable lines of work include: Hosoya and Pierce [13,15,14] who define XDuce, an XML-oriented language designed specifically to transform XML documents in other XML documents satisfying certain properties. Castagna et al. [6,5,12] extend XDuce with first-class functions and arrow types and implement it as CDuce. The starting point of their framework is a higher-order λ -calculus with pairs and projections. Muehlboeck and Tate [21] define a syntactic framework with boolean connectives which has been implemented in the Ceylon programming language [17]. Castagna et al. [7] define $\mathbb{C}\pi$, a variant of the asynchronous π -calculus [25], where channel types are augmented with boolean connectives. Ancona and Lagorio [2] define subtyping for infinite types coinductively by using union and object type constructors, where types are interpreted as sets of value of the language. Bonsangue et al. [3] study a coalgebraic approach to coinductive types and define a set-theoretic interpretation of coinductive types with union types. Pearce [24] defines semantic subtyping for rewriting rules in the Whiley Rewrite Language and for a flow-typing calculus [23].

To conclude, in this paper we presented the design and implementation of SFJ, an extension of Featherweight Java with boolean types and semantic subtyping, which allow for both structural and nominal subtyping in FJ as well as restore standard Java constructs for example, lists and features for example, overloading which were not present in FJ, making SFJ a more complete language.

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