## X. Creative Set

Yuxi Fu

BASICS, Shanghai Jiao Tong University

## Quotation from Post

The terminology 'creative set' was introduced by E. Post in

Recursively Enumerable Sets of Positive Integers and their Decision Problems. *Bulletin of American Mathematical Society*, 1944.

"... every symbolic logic is incomplete and extensible relative to the class of propositions".

"The conclusion is inescapable that even for such fixed, well-defined body of mathematical propositions, mathematical thinking is, and must remain, essentially creative."

## What are the Most Difficult Semi-Decidable Problems?

We know that K is the most difficult semi-decidable problem.

What is then the m-degree  $d_m(K)$ ?

What is an r.e. set C s.t.  $A \leq_m C$  for every r.e. set A?

## What are the Most Difficult Semi-Decidable Problems?

An r.e. set is very difficult if it is very non-recursive.

An r.e. set is very non-recursive if its complement is very non-r.e..

A set is very non-r.e. if it is easy to distinguish it from any r.e. set.

These sets are creative respectively productive.

# **Synopsis**

- 1. Productive Set
- 2. Creative Set
- 3. The Lattice of m-Degrees

## 1. Productive Set

Suppose  $W_x \subseteq \overline{K}$ . Then  $x \in \overline{K} \setminus W_x$ .

So x witnesses the strict inclusion  $W_x \subseteq \overline{K}$ .

In other words the identity function is an effective proof that  $\overline{K}$  differs from every r.e. set.

### Productive Set

A set A is productive if there is a total computable function p such that whenever  $W_x \subseteq A$ , then  $p(x) \in A \setminus W_x$ .

The function p is called a productive function for A.

A productive set is not r.e. by definition.

## Example

- 1.  $\overline{K}$  is productive.
- 2.  $\{x \mid c \notin W_x\}$  is productive.
- 3.  $\{x \mid c \notin E_x\}$  is productive.
- 4.  $\{x \mid \phi_X(x) \neq 0\}$  is productive.

## Example

Suppose  $A = \{x \mid \phi_x(x) \neq 0\}.$ 

By S-m-n Theorem one gets a primitive recursive function p(x) such that  $\phi_{p(x)}(y)=0$  if and only if  $\phi_x(y)$  is defined. Then

$$p(x) \in W_x \Leftrightarrow p(x) \notin A$$
.

So if  $W_x \subseteq A$  we must have  $p(x) \in A \setminus W_x$ .

Thus p is a productive function for A.

## Productive Set

**Lemma**. If  $A \leq_m B$  and A is productive, then B is productive.

## Proof.

Suppose  $r: A \leq_m B$  and p is a production function for A.

By applying S-m-n Theorem to  $\phi_X(r(y))$ , one gets a primitive recursive function k(x) such that  $W_{k(x)} = r^{-1}(W_x)$ .

Then rpk is a production function for B.

### Productive Set

**Theorem**. Suppose that  $\mathcal{B}$  is a set of unary computable functions with  $f_{\emptyset} \in \mathcal{B}$  and  $\mathcal{B} \neq \mathcal{C}_1$ . Then  $\mathcal{B} = \{x \mid \phi_x \in \mathcal{B}\}$  is productive.

### Proof.

Suppose  $g \notin \mathcal{B}$ . Consider the function f defined by

$$f(x,y) \simeq \begin{cases} g(y), & \text{if } x \in W_x, \\ \uparrow, & \text{if } x \notin W_x. \end{cases}$$

By S-m-n Theorem there is a primitive recursive function k(x) such that  $\phi_{k(x)}(y) \simeq f(x,y)$ .

Clearly  $x \notin W_x$  iff  $\phi_{k(x)} = f_{\emptyset}$  iff  $\phi_{k(x)} \in \mathcal{B}$  iff  $k(x) \in \mathcal{B}$ .

Hence  $k : \overline{K} \leq_m B$ .

# Property of Productive Set

**Lemma**. Suppose that g is a total computable function. Then there is a primitive recursive function p such that for all x,  $W_{p(x)} = W_x \cup \{g(x)\}.$ 

#### Proof.

Using S-m-n Theorem, take p(x) to be a primitive recursive function such that

$$\phi_{p(x)}(y) \simeq \left\{ \begin{array}{l} 1, & \text{if } y \in W_x \lor y = g(x), \\ \uparrow, & \text{otherwise.} \end{array} \right.$$

We are done.

# Property of Productive Set

Theorem. A productive set contains an infinite r.e. subset.

### Proof.

Suppose p is a production function for A.

Take  $e_0$  to be some index for  $\emptyset$ . Then  $p(e_0) \in A$  by definition.

By the Lemma there is a primitive recursive function k such that for all x,  $W_{k(x)} = W_x \cup \{p(x)\}.$ 

Apparently  $\{e_0, \ldots, k^n(e_0), \ldots\}$  is r.e.

Consequently  $\{p(e_0), \ldots, p(k^n(e_0)), \ldots\}$  is a r.e. subset of A, which must be infinite by the definition of k.

### Productive Function via a Partial Function

**Proposition**. A set A is productive iff there is a partial recursive function p such that

$$\forall x. (W_x \subseteq A \Rightarrow (p(x) \downarrow \land p(x) \in A \setminus W_x)). \tag{1}$$

#### Proof.

Suppose p is a partial recursive function satisfying (1). Let s be a primitive recursive function such that

$$\phi_{s(x)}(y) \simeq \begin{cases} y, & p(x) \downarrow \land y \in W_x, \\ \uparrow, & \text{otherwise.} \end{cases}$$

A productive function q can be defined by running p(x) and p(s(x)) in parallel and stops when either terminates.

# Productive Function Made Injective

**Proposition**. A productive set has an injective productive function.

#### Proof.

Suppose p is a productive function of A. Let

$$W_{h(x)} = W_x \cup \{p(x)\}.$$

Clearly

$$W_{\mathsf{x}} \subseteq \mathsf{A} \Rightarrow W_{\mathsf{h}(\mathsf{x})} \subseteq \mathsf{A}.$$
 (2)

Define q(0) = p(0).

- ▶ If p(x+1), ph(x+1),...,  $ph^{x+1}(x+1)$  are pairwise distinct, let q(x+1) be the smallest one not in  $\{q(0), \ldots, q(x)\}$ .
- ▶ Otherwise we can let q(x+1) be  $\mu y.y \notin \{q(0), \dots, q(x)\}$ . This is fine since  $W_x \not\subseteq A$  due to (2).

It is easily seen that q is an injective production function for A.

## Myhill's Characterization of Productive Set

**Theorem**. (Myhill, 1955) A is productive iff  $\overline{K} \leq_1 A$  iff  $\overline{K} \leq_m A$ .

 $\overline{K} \leq_1 A$  implies  $\overline{K} \leq_m A$ , which in turn implies "A is productive".

## Proof

Suppose p is a productive function for A. Define

$$f(x, y, z) \simeq \begin{cases} 0, & \text{if } z = p(x) \text{ and } y \in K, \\ \uparrow, & \text{otherwise.} \end{cases}$$

By S-m-n Theorem there is an injective primitive recursive function s(x, y) such that

$$\phi_{s(x,y)}(z) \simeq f(x,y,z).$$

By definition,

$$W_{s(x,y)} = \begin{cases} \{p(x)\}, & \text{if } y \in K, \\ \emptyset, & \text{otherwise.} \end{cases}$$

### **Proof**

By Recursion Theorem there is an injective primitive recursive function n(y) such that  $W_{s(n(y),y)} = W_{n(y)}$  for all y. So

$$W_{n(y)} = \begin{cases} \{p(n(y))\}, & \text{if } y \in K, \\ \emptyset, & \text{otherwise.} \end{cases}$$

We claim that  $\overline{K} \leq_m A$ .

$$y \in K \Rightarrow W_{n(y)} = \{p(n(y))\} \Rightarrow p(n(y)) \notin A.$$
  
 $y \notin K \Rightarrow W_{n(y)} = \emptyset \Rightarrow p(n(y)) \in A.$ 

By the previous theorem we may assume that p is injective. So the reduction function  $p(n(_{-}))$  is injective. Conclude  $\overline{K} \leq_1 A$ .

A set A is creative if it is r.e. and its complement  $\overline{A}$  is productive.

Intuitively a creative set A is effectively non-recursive in the sense that the non-recursiveness of  $\overline{A}$ , hence the non-recursiveness of A, can be effectively demonstrated.

- 1. K is creative.
- 2.  $\{x \mid c \in W_x\}$  is creative.
- 3.  $\{x \mid c \in E_x\}$  is creative.
- 4.  $\{x \mid \phi_x(x) = 0\}$  is creative.

**Theorem**. Suppose that  $A \subseteq C_1$  and let  $A = \{x \mid \phi_x \in A\}$ . If A is r.e. and  $A \neq \emptyset$ ,  $\mathbb{N}$ , then A is creative.

### Proof.

Suppose A is r.e. and  $A \neq \emptyset$ ,  $\mathbb{N}$ . If  $f_{\emptyset} \in \mathcal{A}$ , then A is productive by a previous theorem. This is a contradiction.

So  $\overline{A}$  is productive by the same theorem. Hence A is creative.

The set  $K_0 = \{x \mid W_x \neq \emptyset\}$  is creative. It corresponds to the set  $\mathcal{A} = \{f \in \mathcal{C}_1 \mid f \neq f_\emptyset\}$ .

# Creative Sets are m-Complete

Theorem. (Myhill, 1955)

C is creative iff C is m-complete iff C is 1-complete iff  $C \equiv K$ .

3. The Lattice of m-Degrees

## What Else?

**Q**: In the world of recursively enumerable sets, is there anything between the recursive sets and the creative sets?

### What Else?

**Q**: In the world of recursively enumerable sets, is there anything between the recursive sets and the creative sets?

A: There is plenty.

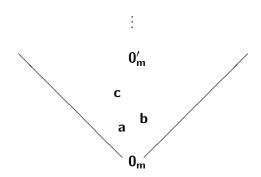
# Trivial m-Degrees

- 1.  $\mathbf{o} = \{\emptyset\}.$
- 2.  $\mathbf{n} = \{\mathbb{N}\}.$
- 3.  $\mathbf{o} \leq_m \mathbf{a}$  provided  $\mathbf{a} \neq \mathbf{n}$ .
- 4.  $\mathbf{n} \leq_m \mathbf{a}$  provided  $\mathbf{a} \neq \mathbf{o}$ .

## Nontrivial m-Degrees

- 5. The recursive m-degree  $\mathbf{0}_m$  consists of all the nontrivial recursive sets.
- 6. An r.e. m-degree contains only r.e. sets.
- 7. The maximum r.e. m-degree  $d_m(K)$  is denoted by  $\mathbf{0}'_m$ .

# The Distributive Lattice of m-Degrees



The m-degrees ordered by  $\leq_m$  form a distributive lattice.

## Problem with m-Degree

The m-reducibility has two unsatisfactory features:

- (i) The exceptional behavior of  $\emptyset$  and  $\mathbb{N}$ .
- (ii) The invalidity of  $A \not\equiv_m \overline{A}$  in general.

The problem is due to the restricted use of oracles.

We shall remove this restriction in Turing reducibility.