## VI. Church-Turing Thesis

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### **Fundamental Question**

How do computation models characterize the informal notion of effective computability?

#### Fundamental Result

**Theorem**. The set of functions definable in  $\lambda$ -Calculus (Turing Machine Model, Unlimited Random Access Machine Model) is precisely the set of recursive functions.

#### Proof.

We have already showed that  $\mu$ -definable  $\Rightarrow \lambda$ -definable  $\Rightarrow$  Turing definable  $\Rightarrow$  URM-definable.

We have to show that URM-definable  $\Rightarrow \mu$ -definable.

# **Synopsis**

- 1. Gödel Encoding
- 2. Kleene's Proof
- 3. Church-Turing Thesis

# Gödel Encoding

### Godel's Insight

The set of syntactical objects of a formal system is denumerable.

More importantly, every syntactical object can be coded up effectively by a number in such a way that a unique syntactical object can be recovered from the number.

This is the crucial technique Gödel used in his proof of the Incompleteness Theorem.

#### Enumeration

An enumeration of a set X is a surjection  $g: \omega \to X$ ; this is often represented by writing  $\{x_0, x_1, x_2, \ldots\}$ .

It is an enumeration without repetition if g is injective.

#### Denumeration

A set X is denumerable if there is a bijection  $f: X \to \omega$ . (denumerate = denote + enumerate)

Let X be a set of "finite objects".

Then X is effectively denumerable if there is a bijection  $f:X\to\omega$  such that both f and  $f^{-1}$  are computable.

# **Encoding Pair**

**Fact**.  $\omega \times \omega$  is effectively denumerable.

#### Proof.

A bijection  $\pi:\omega\times\omega\to\omega$  is defined by

$$\pi(m,n) \stackrel{\text{def}}{=} 2^m(2n+1)-1,$$
 $\pi^{-1}(I) \stackrel{\text{def}}{=} (\pi_1(I), \pi_2(I)),$ 

where

$$\pi_1(x) \stackrel{\text{def}}{=} (x+1)_1,$$
 $\pi_2(x) \stackrel{\text{def}}{=} ((x+1)/2^{\pi_1(x)} - 1)/2.$ 

# **Encoding Tuple**

**Fact**.  $\omega^+ \times \omega^+ \times \omega^+$  is effectively denumerable.

#### Proof.

A bijection  $\zeta:\omega^+\times\omega^+\times\omega^+\to\omega$  is defined by

$$\zeta(m, n, q) \stackrel{\text{def}}{=} \pi(\pi(m-1, n-1), q-1),$$
  
 $\zeta^{-1}(I) \stackrel{\text{def}}{=} (\pi_1(\pi_1(I)) + 1, \pi_2(\pi_1(I)) + 1, \pi_2(I) + 1).$ 

**Fact**.  $\bigcup_{k>0} \omega^k$  is effectively denumerable.

#### Proof.

A bijection  $\tau: \bigcup_{k>0} \omega^k \to \omega$  is defined by

$$\tau(a_1,\ldots,a_k) \stackrel{\text{def}}{=} 2^{a_1} + 2^{a_1+a_2+1} + 2^{a_1+a_2+a_3+2} + \ldots + 2^{a_1+a_2+a_3+\ldots,a_k+k-1} - 1.$$

Now given x it is easy to find  $b_1 < b_2 < \ldots < b_k$  such that

$$2^{b_1} + 2^{b_2} + 2^{b_3} + \ldots + 2^{b_k} = x + 1.$$

It is then clear how to calculate  $a_1, a_2, a_3, \ldots, a_k$ . Details are next.

A number  $x \in \omega$  has a unique expression as

$$x = \sum_{i=0}^{\infty} \alpha_i 2^i,$$

where  $\alpha_i$  is either 0 or 1 for all  $i \geq 0$ .

1. The function  $\alpha(i,x) = \alpha_i$  is primitive recursive:

$$\alpha(i,x) = \operatorname{rm}(2,\operatorname{qt}(2^i,x)).$$

2. The function  $\ell(x) = if x > 0$  then k else 0 is primitive recursive:

$$\ell(x) = \sum_{i < x} \alpha(i, x).$$

3. If x > 0 then it has a unique expression as

$$x = 2^{b_1} + 2^{b_2} + \ldots + 2^{b_k},$$

where  $1 \le k$  and  $0 \le b_1 < b_2 < ... < b_k$ .

The function  $b(i,x) = if(x > 0) \land (1 \le i \le \ell(x))$  then  $b_i$  else 0 is primitive recursive:

$$\mathsf{b}(i,x) = \left\{ \begin{array}{l} \mu y < x \left( \sum_{k \leq y} \alpha(k,x) = i \right), & \text{if } (x > 0) \land (1 \leq i \leq \ell(x)); \\ 0, & \text{otherwise.} \end{array} \right.$$

4. If x > 0 then it has a unique expression as

$$x = 2^{a_1} + 2^{a_1+a_2+1} + \ldots + 2^{a_l+a_2+\ldots+a_k+k-1}$$

The function  $a(i, x) = a_i$  is primitive recursive:

$$a(i,x) = b(i,x), \text{ if } i = 0 \text{ or } i = 1,$$
  
 $a(i+1,x) = (b(i+1,x)-b(i,x))-1, \text{ if } i \ge 1.$ 

We conclude that  $a_1, a_2, a_3, \ldots, a_k$  can be calculated by primitive recursive functions.

### **Encoding Programme**

Let  $\mathcal{I}$  be the set of all instructions.

Let  $\mathcal{P}$  be the set of all programs.

The objects in  $\mathcal{I}$ , and  $\mathcal{P}$  as well, are 'finite objects'.

### **Encoding Programme**

**Theorem**.  $\mathcal{I}$  is effectively denumerable.

#### Proof.

The bijection  $\beta: \mathcal{I} \to \omega$  is defined as follows:

$$\beta(Z(n)) = 4(n-1),$$

$$\beta(S(n)) = 4(n-1)+1,$$

$$\beta(T(m,n)) = 4\pi(m-1,n-1)+2,$$

$$\beta(J(m,n,q)) = 4\zeta(m,n,q)+3.$$

The converse  $\beta^{-1}$  is easy.

### **Encoding Programme**

**Theorem**.  $\mathcal{P}$  is effectively denumerable.

#### Proof.

The bijection  $\gamma: \mathcal{P} \to \omega$  is defined as follows:

$$\gamma(P) = \tau(\beta(I_1), \ldots, \beta(I_s)),$$

assuming  $P = I_1, \ldots, I_s$ .

The converse  $\gamma^{-1}$  is obvious.

### Gödel Number of Programme

The value  $\gamma(P)$  is called the Gödel number of P.

$$P_n$$
 = the programme with Godel index  $n$  =  $\gamma^{-1}(n)$ 

We shall fix this particular encoding function  $\gamma$  throughout.

### Example

Let P be the program T(1,3), S(4), Z(6).

$$\beta(T(1,3)) = 18$$
,  $\beta(S(4)) = 13$ ,  $\beta(Z(6)) = 20$ .

$$\gamma(P) = 2^{18} + 2^{32} + 2^{53} - 1.$$

### Example

Consider  $P_{4127}$ .

$$4127 = 2^5 + 2^{12} - 1.$$

$$\beta(I_1) = 4 + 1$$
,  $\beta(I_2) = 4\pi(1,0) + 2$ .

So 
$$P_{4127}$$
 is  $S(2)$ ;  $T(2,1)$ .

### Kleene's Proof

Kleene demonstrated how to prove that machine computable functions are recursive functions.	

The state of the computation of the program  $P_e(\tilde{x})$  can be described by a configuration and an instruction number.

A state can be coded up by the number

$$\sigma = \pi(c,j),$$

where c is the configuration that codes up the current values in the registers

$$c=2^{r_1}3^{r_2}\ldots=\prod_{i>1}p_i^{r_i},$$

and j is the next instruction number.

To describe the changes of the states of  $P_e(\widetilde{x})$ , we introduce three (n+2)-ary functions:

$$\begin{array}{lll} \mathsf{c}_n(e,\widetilde{x},t) &=& \text{the configuration after } t \text{ steps of } P_e(\widetilde{x}), \\ \mathsf{j}_n(e,\widetilde{x},t) &=& \text{the number of the next instruction after } t \text{ steps} \\ && \text{of } P_e(\widetilde{x}) \text{ (it is 0 if } P_e(\widetilde{x}) \text{ stops in } t \text{ or less steps)}, \\ \sigma_n(e,\widetilde{x},t) &=& \pi(\mathsf{c}_n(e,\widetilde{x},t),\mathsf{j}_n(e,\widetilde{x},t)). \end{array}$$

If  $\sigma_n$  is primitive recursive, then  $c_n, j_n$  are primitive recursive.

If the computation of  $P_e(\widetilde{x})$  stops, it does so in

$$\mu t(\mathbf{j}_n(e,\widetilde{x},t)=0)$$

steps. Then the final configuration is

$$c_n(e, \widetilde{x}, \mu t(j_n(e, \widetilde{x}, t) = 0)).$$

We conclude that the value of the computation  $P_e(\widetilde{x})$  is

$$(c_n(e,\widetilde{x},\mu t(j_n(e,\widetilde{x},t)=0)))_1.$$

The function  $\sigma_n$  can be defined as follows:

$$\begin{array}{rcl} \sigma_n(e,\widetilde{x},0) & = & \pi(2^{x_1}3^{x_2}\dots p_n^{x_n},1), \\ \sigma_n(e,\widetilde{x},t+1) & = & \pi(\mathsf{config}(e,\sigma_n(e,\widetilde{x},t)),\mathsf{next}(e,\sigma_n(e,\widetilde{x},t))), \end{array}$$

#### where

- config $(e, \pi(c, j))$  is the configuration after t + 1 steps;
- ▶  $next(e, \pi(c, j))$  is the new number after t + 1 steps.

$$\mathsf{In}(e) = \mathsf{the} \ \mathsf{number} \ \mathsf{of} \ \mathsf{instructions} \ \mathsf{in} \ P_e;$$
 
$$\mathsf{gn}(e,j) = \left\{ \begin{array}{l} \mathsf{the} \ \mathsf{code} \ \mathsf{of} \ I_j \ \mathsf{in} \ P_e, & \mathsf{if} \ 1 \leq j \leq \mathsf{In}(e), \\ \mathsf{0}, & \mathsf{otherwise}. \end{array} \right.$$

Both functions are primitive recursive since

$$ln(e) = \ell(e+1), 
gn(e,j) = a(j,e+1).$$

$$\begin{array}{l} \mathrm{u}(z)=m \ \mathrm{whenever} \ z=\beta(Z(m)) \ \mathrm{or} \ z=\beta(S(m)) \\ \\ \mathrm{u}(z)=\mathrm{qt}(4,z)+1. \\ \\ \mathrm{u}_1(z)=m_1 \ \mathrm{and} \ \mathrm{u}_2(z)=m_2 \ \mathrm{whenever} \ z=\beta(T(m_1,m_2)) \\ \\ \mathrm{u}_1(z)=\pi_1(\mathrm{qt}(4,z))+1, \\ \\ \mathrm{u}_2(z)=\pi_2(\mathrm{qt}(4,z))+1. \\ \\ \mathrm{v}_1(z)=m_1 \ \mathrm{and} \ \mathrm{v}_2(z)=m_2 \ \mathrm{and} \ \mathrm{v}_3(z)=q \ \mathrm{if} \ z=\beta(J(m_1,m_2,q)) \\ \\ \mathrm{v}_1(z)=\pi_1(\pi_1(\mathrm{qt}(4,z)))+1, \\ \\ \mathrm{v}_2(z)=\pi_2(\pi_1(\mathrm{qt}(4,z)))+1, \\ \\ \mathrm{v}_3(z)=\pi_2(\mathrm{qt}(4,z))+1. \end{array}$$

The change in the configuration c effected by instruction Z(m):

$$zero(c, m) = qt(p_m^{(c)_m}, c).$$

The change in the configuration c effected by instruction S(m):

$$\operatorname{succ}(c,m)=p_mc.$$

The change in the configuration c effected by instruction T(m, n):

$$\operatorname{tran}(c,m,n) = \operatorname{qt}(p_n^{(c)_n},p_n^{(c)_m}c).$$

#### The following function

ch(c,z) = the resulting configuration when the configuration c is operated on by the instruction with code number z.

is primitive recursive since

$$\mathsf{ch}(c,z) \ = \ \begin{cases} \ \mathsf{zero}(c,\mathsf{u}(z)), & \text{if } \mathsf{rm}(4,z) = 0, \\ \ \mathsf{succ}(c,\mathsf{u}(z)), & \text{if } \mathsf{rm}(4,z) = 1, \\ \ \mathsf{tran}(c,\mathsf{u}_1(z),\mathsf{u}_2(z)), & \text{if } \mathsf{rm}(4,z) = 2, \\ \ c, & \text{if } \mathsf{rm}(4,z) = 3. \end{cases}$$

#### The following function

$$\mathsf{v}(c,j,z) \ = \ \begin{cases} \text{the number } j' \text{ of the next instruction} \\ \text{when the configuration } c \text{ is operated} & \text{if } j>0, \\ \text{on by the } j \text{th instruction with code } z, \\ 0, & \text{if } j=0. \end{cases}$$

is primitive recursive since

$$\mathsf{v}(c,j,z) \ = \ \begin{cases} j+1, & \text{if } \mathsf{rm}(4,z) \neq 3, \\ j+1, & \text{if } \mathsf{rm}(4,z) = 3 \ \land \ (c)_{\mathsf{v}_1(z)} \neq (c)_{\mathsf{v}_2(z)}, \\ \mathsf{v}_3(z), & \text{if } \mathsf{rm}(4,z) = 3 \ \land \ (c)_{\mathsf{v}_1(z)} = (c)_{\mathsf{v}_2(z)}. \end{cases}$$

$$\mathsf{config}(e,\sigma) \ = \ \left\{ \begin{array}{ll} \mathsf{ch}(\pi_1(\sigma),\mathsf{gn}(e,\pi_2(\sigma))), & \text{if } 1 \leq \pi_2(\sigma) \leq \mathsf{ln}(e), \\ \pi_1(\sigma), & \text{otherwise.} \end{array} \right.$$

$$\mathsf{next}(e,\sigma) \ = \ \left\{ \begin{array}{ll} \mathsf{v}(\pi_1(\sigma),\pi_2(\sigma),\mathsf{gn}(e,\pi_2(\sigma))), & \mathrm{if} \ 1 \leq \pi_2(\sigma) \leq \mathsf{ln}(e), \\ 0, & \mathrm{otherwise}. \end{array} \right.$$

We conclude that the functions  $c_n, j_n, \sigma_n$  are primitive recursive.

#### **Further Constructions**

For each  $n \ge 1$ , the following predicates are primitive recursive:

- 1.  $S_n(e, \widetilde{x}, y, t) \stackrel{\text{def}}{=} {}^{\iota}P_e(\widetilde{x}) \downarrow y$  in t or fewer steps'.
- 2.  $H_n(e, \tilde{x}, t) \stackrel{\text{def}}{=} {}^{\iota}P_e(\tilde{x}) \downarrow \text{ in } t \text{ or fewer steps'}.$

They are defined by

$$S_n(e,\widetilde{x},y,t) \stackrel{\text{def}}{=} j_n(e,\widetilde{x},t) = 0 \land (c_n(e,\widetilde{x},t))_1 = y,$$

$$H_n(e,\widetilde{x},t) \stackrel{\text{def}}{=} j_n(e,\widetilde{x},t) = 0.$$

#### Kleene's Normal Form Theorem

Let  $\phi_e^{(n)}$  denote the *n*-ary function computed by  $P_e$ .

### Theorem. (Kleene)

There is a primitive recursive function U(x) and, for each  $n \ge 1$ , a primitive recursive predicate  $T_n(e, \widetilde{x}, z)$  such that

- 1.  $\phi_e^{(n)}(\widetilde{x})$  is defined if and only if  $\exists z. T_n(e, \widetilde{x}, z)$ .
- 2.  $\phi_e^{(n)}(\widetilde{x}) \simeq U(\mu z T_n(e, \widetilde{x}, z)).$

#### Proof.

- (1)  $\mathsf{T}_n(e,\widetilde{x},z) = S_n(e,\widetilde{x},\pi_1(z),\pi_2(z)).$
- (2) Let  $U(x) = \pi_1(x)$ . Then  $\phi_e^{(n)}(\widetilde{x}) \simeq U(\mu z. T_n(e, \widetilde{x}, z))$ .

Every computable function can be obtained from a primitive recursive function by using at most one application of the  $\mu$ -operator in a standard manner.

# **Church-Turing Thesis**

#### Church-Turing Thesis.

The functions definable in all computation models are the same. They are precisely the computable functions.

- Church believed that all computable functions are  $\lambda$ -definable.
- Kleene termed it Church Thesis.
- Gödel accepted it only after he saw Turing's equivalence proof.
- ► Church-Turing Thesis is now universally accepted.

## Computable Function

Let  ${\mathcal C}$  be the set of all computable functions.

Let  $C_n$  be the set of all *n*-ary computable functions.

## Power of Church-Turing Thesis

**No**one has come up with a computable function that is not in C.

When you are convincing people of your model of computation, you are constructing an effective translation from your model to a well-known computation model.

## Making Use of Church-Turing Thesis

Church-Turing Thesis allows us to give an informal argument for the computability of a function.

We will make use of CTT in this way without explicitly defining it.

# Comment on Church-Turing Thesis

#### CTT and Physical Implementation

- ► Deterministic Turing Machines are physically implementable. This is the well-known von Neumann Architecture.
- ► Are quantum computers physically implementable? Can a quantum computer compute more or more efficiently?

CTT. is it a Law of Nature or a Wisdom of Human?