

# Rapid biodiversity assessment of a Neotropical rainforest using soundscape recordings

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#### **Abstract**

Developing cheap and efficient methods to estimate biodiversity is an important task for biodiversity conservation, especially in tropical forests where biodiversity inventories are often difficult and expensive. Recently, a method was proposed to estimate biodiversity from sound recordings, without species identification. I applied this method to soundscape recordings from a tropical wet forest in Tortuguero, Costa Rica, to test its feasibility and cost-effectiveness as a biodiversity indicator. I recorded in four rainforest sites that had been subjected to varying degrees of logging in the past and that were therefore expected to differ in their biodiversity. Several diversity indices were calculated using acoustic measures, which were then compared between sites. Two new acoustic diversity indices,  $H_{\rm fp}$  and  $\beta$ sor, indicated differences between sites that were consistent with expectations based on logging history. The method was demonstrated to be highly applicable in tropical wet forest and cost-effective when compared with traditional taxonomic surveys. The study showed that methods to estimate biodiversity from soundscape recordings have great potential for future use in tropical conservation management.

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#### 1 Introduction

With habitat destruction threatening biodiversity in many of the world's biodiversity hotspots and with limited time and resources for biodiversity conservation, it has become necessary to find places to which conservation resources should be concentrated (Brooks et al. 2002, Myers et al. 2000, Putz et al. 2001). In order to establish conservation priority, diversity indices are commonly used to assess the condition of ecosystems. Two of the most widely used diversity indices in ecology are the Shannon and Simpson indices, which measure species diversity (Ricotta 2004). Species diversity has two main components: the number of species (species richness) and the evenness of their relative abundances (Peet 1974, Smith & Wilson 1996, Tuomisto 2012). Although there is general agreement that species diversity indices are useful in ecology, they are still controversial because of uncertainty as to what ecological phenomena they actually measure, and whether they are relevant for conservation (Ricotta 2004, Tuomisto 2012). Some authors have suggested that indices of phylogenetic or functional diversity are more relevant for conservation (review in Ricotta 2004).

Diversity indices have traditionally relied on species inventories, but in highly diverse environments such as tropical rainforest inventories are expensive and time demanding (Lawton et al. 1998). Recently the use of proxies or indicators of biodiversity has been attempted as an alternative that can reduce the need for exhaustive species inventories in tropical forests. For example, indicator taxa may be used to assess habitat intactness, or to predict the occurrence of endemics (Brown 1997, Barlow et al. 2007). Successful use of indicator taxa, however, requires good knowledge about distributions and taxon complementarity, which is often lacking in the tropics (Moritz et al. 2001). Attempts to identify indicator taxa which can predict species diversity has produced varying results in tropical forests (Lawton et al. 1998, Barlow et al. 2007). Habitat mapping, using remote sensing data, soil type or other environmental parameters, may also identify tropical forests with high diversity of certain taxa (Peay et al. 2010, Pekin et al. 2012).

Recently, another proxy has received increasing interest: Sound recording technology potentially presents a cheap and effective means to study biodiversity (Frommolt et al. 2008, Snaddon et al. 2013). Sound recordings eliminate many of the costly and invasive aspects of traditional inventories, and allow surveys to be done by non-experts (Penman et al. 2005, Frommolt et al. 2008). By using automated recorders a single surveyor can record at multiple locations simultaneously, reducing the number of field personnel needed (Penman et al. 2005). The calls of many animal species can be identified from sound recordings, using automatic signal recognition, manual identification by trained experts upon playback or human-in-the-loop-analysis (Wimmer et al. 2013). Automatic recognition algorithms have been developed for bats, birds, amphibians, marine mammals, and insects, with recognition rates up to 90%, but are not yet sufficiently advanced to deal with complex acoustic environments with many overlapping signals from widely different species (Obrist et al. 2010).

Sound has two important properties that can be measured: amplitude and frequency. Amplitude measures the magnitude of changes in atmospheric pressure caused by sound waves, and is usually measured using the Root Mean Square or RMS, which is the square root of the average squared amplitude of a signal. The form of the variation in amplitude over time is called the amplitude envelope. Frequency is the number of changes in atmospheric pressure per second. The human ear can discern sound frequencies between  $20 \, \text{Hz} - 20 \, \text{kHz}$ ,

frequencies above this range are termed ultrasound. Many animals can hear and produce sounds far into the ultrasound spectrum. Of course, even though these sounds are inaudible to humans they can be registered by microphones and recorded.

Sounds produced by animals are usually studied at the individual or species level. However, animal sound production can also be viewed at a larger scale, i.e. at a community or landscape scale. All sounds produced in a landscape constitute the so-called soundscape (Schäfer 1977). The soundscape has been divided in biological, geophysical and anthropogenic components, termed biophony, geophony and anthrophony, respectively (Krause 1998, 2002). Diverse animal communities are often loud and appear unstructured; this "cacophony" has been considered as a constraint by biologists trying to study specific animal signals, but can contain useful information in itself (Krause 1987). According to the Acoustic Niche Hypothesis sound can become a limited resource because of interference among signals. The acoustic space is then partitioned into acoustic niches, defined by different frequency ranges or time patterns (Krause 1987). Acoustic partitioning has been observed for birds (Ficken et al. 1974), anurans (Gerhardt 1994), cicadas (Sueur 2002) and orthopterans (Riede 1993).

The Acoustic Niche Hypothesis has important implications for conservation. In old, undisturbed habitats animal signals have had more time to adapt to minimise interference with each other, therefore the extent of acoustic partitioning should be a result of evolutionary time and the degree of disturbance (Gage et al. 2001 and Krause 2002 via Pijanowski et al. 2011). Also, higher species diversity should cause more acoustic niches to be occupied when acoustic partitioning is effective. These assumptions enable simple acoustic measures to be used to calculate "acoustic diversity indices", which opens up possibilities for quick and cost-effective methods for environmental monitoring and biodiversity assessment (Riede 1993, Sueur et al. 2008 A).

Sueur et al. (2008) first developed an acoustic diversity index to assess the overall acoustic complexity of the biophony. They made an index based on the Shannon index, which they dubbed the Acoustic Entropy Index (H). It measures the heterogeneity across the frequency spectrum and the amplitude envelope. The H index was successfully correlated with species diversity in simulations. Modifications of this index have since been published (Villanueva-Rivera et al. 2011, Depraetere et al. 2012). Acoustic indices to measure beta diversity, phylogenetic and functional diversities have also been developed (Sueur et al. 2008 A, Gasc et al. 2013).

Acoustic diversity indices can potentially be an important tool for conservation management in areas with high diversity of vocalising animals, where resources for traditional biodiversity surveys are limited and where species inventories are otherwise impractical. However, the indices published so far need to be tested in different habitats before they can be applied in a real conservation context. New indices also need to be constructed to measure acoustic partitioning on different spatial and temporal scales. Furthermore, the feasibility and cost-effectiveness of recording soundscapes for the purpose of calculating acoustic diversity indices has to my knowledge never been investigated. The purpose of this study was to test the performance of acoustic diversity indices for use in conservation management and to test practical aspects and the cost-effectiveness of the method. I applied two previously published and several new acoustic indices to recordings from a tropical wet forest in Tortuguero, Costa

Rica. Costa Rica is one of the most biodiverse countries in the world and belongs to one of 25 global biodiversity hotspots (Myers et al. 2000). The country, which lies at the cross-road of the North and South American faunas, contains 3.6% of the estimated number of species on the planet, or 4.5% of all described species (Obando 2007). We can then expect to find a high acoustic diversity in this country. The Tortuguero area is likewise highly diverse, but its biodiversity is understudied and the protected areas are managed with limited resources. Tortuguero thus represents a typical situation where acoustic diversity indices should be useful. Also, its network of canals made the study sites highly accessible without the noise created by roads. Soundscape recordings were made at four sites subjected to varying degrees of logging in the past. Logging practices change community dynamics in tropical forests, and tropical forest diversity can generally be expected to decrease along a gradient of higher logging pressure, although the differences between selectively logged and primary forest has been a topic for debate (Barlow et al. 2007, Gardner et al. 2007). The acoustic diversity was expected to be higher in the sites less affected by logging (Krause 1987, Sueur et al. 2008), which provided a framework to test the applicability of using sound recordings to estimate biodiversity.

## 2 Materials and Methods

#### 2.1 Study area

This study was conducted in a lowland tropical wet forest in Costa Rica, Central America (Fig. 1), with permission from Ministerio del Ambiente y Energía (MINAE). The study area was located near the small town Tortuguero, Limón province, northeast Costa Rica. The town borders two natural protection areas; Barra del Colorado Wildlife Refuge (BCWR), 81177ha, to the north, and Tortuguero National Park, 26156ha, to the south (Fig. 2) (UICN/ORCA 1992). There are no roads in the area and transportation is by small river boats. The climate is unpredictable and does not always conform to the Neotropical dry and wet seasons, which are normally in March – September (dry) and September – February (wet) (Lewis et al. 2010). Average daily temperature oscillates between 23 and 32°C and the annual rainfall is 5000-7000 mm. Seasonal flooding occurs annually during heavy rains from November-January and occasionally in May (Lewis et al. 2010).

The flora and fauna of the Tortuguero area is poorly studied, with some exceptions. There are several monitoring programs for birds with a current species list that includes over 300 resident birds (Widdowson & Widdowson, 2000; Ralph et al., 2005). Herpetofauna has also been studied and includes 122 species of reptiles and amphibians (Lewis et al. 2011).

Ubiquitous vocal species at the study sites included the Strawberry Poison Dart Frog (*Oophaga pumilio*), the Common Tink Frog (*Diasporus diastema*), the Mantled Howler Monkey(*Alouatta palliata*), the Chestnut-Mandibled Toucan (*Ramphastos ambiguus swainsonii*) and the Great Tinamou (*Tinamus major*), which was heard at dusk. Daytime cicada choruses were formed by *Zammara smaragdina* (thanks to Geert Goemans for identification) at site CT and by an unidentified species at site TNP. Night sounds were dominated by orthopterans, mostly Gryllidae, and the Common Tink Frog. Anurans would be more vocal during the wet season (Todd Lewis pers. com.).

#### 2.2 Recording sites

Four recording sites were chosen to represent a cross-section of the most dominant forest



Fig. 1. Location of Costa Rica.

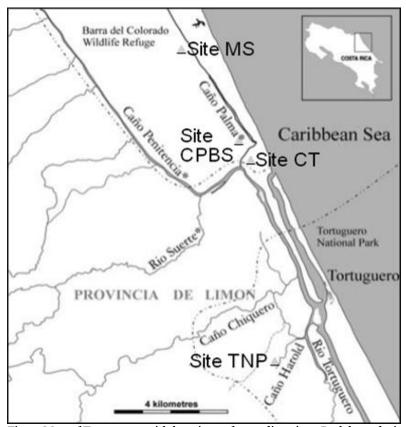


Fig. 2. Map of Tortuguero with locations of recording sites. Park boundaries are marked by dotted lines.

types in the area; lowland tropical wet forest, *Manicaria* swamp forest and coastal edge *varzea* forest (Myers 1990, Lewis et al. 2010). The sites had been subjected to varying degrees of logging in the past and were ranked 1-4 based on logging history; from unaltered habitat (site TNP), minor selective logging (site CT), extensive to selective logging (site CPBS), to extensive logging (site MS). Large-scale logging started in the area in the 1930's (Kelso 1965), none of the recording sites were believed to have been extensively logged for approximately 50 years. Fig. 2 marks the approximate locations of the sites.

Breaking wave noise from the Caribbean Sea dominated the lowest frequency bands at sites MS and CPBS, while sites CT and TNP were mostly unaffected by noise. All sites were in the vicinity of canals frequented by tour boats during daytime, but the sound emitted by boat motors was mostly at low (>2 kHz) frequencies that could be filtered out.

#### 2.2.1 Site TNP (1)

The recording site (Fig. 3) was located along a defunct forest trail accessible from the Caño Harold canal in Tortuguero National Park. The park was created in 1975 and contains a large expanse of primary and secondary lowland tropical wet forest (website, SINAC). All recording spots were placed within 100 m from the canal. The site had a sandy, well-drained soil interspersed with swampy depressions. The canopy had relatively few large emergent trees and many sub-canopy or middle-sized trees. Common tree species in this part of the park were *Paramachaerium gruberi*, *Prioria copaifera*, *Pentaclethra macroloba* and *Pachira aquatica* (Garcia-Quesada et al. 2006). No logging has been reported (Todd Lewis pers. com.).

#### 2.2.2 Site CT (2)

The site was located in BCWR, at the foot of Cerro Tortuguero, a small volcanic hill dating from late Tertiary to early Quaternary times (MINAET-SINAC 2004), bounded by the Caño Palma canal and Laguna Penitencia to the west, the Caribbean Sea to the east and the village San Francisco to the south. The slopes and the immediate area surrounding the hill were covered by tall secondary forest. Only selective logging by locals had been carried out here and many large, old trees remained (Todd Lewis pers. com.). Parts of this forest had a taller, more closed canopy than could be found elsewhere in the area. The forest had probably suffered from fragmentation and edge effects because of its small area.

A Rapid Biodiversity Assessment was carried out by Jiménes et al. (2011) who discovered many interesting plant species. Because of its soil properties and geological history Cerro Tortuguero probably has many unique plants to the Tortuguero area. Important canopy species included *Pentaclethra macroloba*, *Croton schiedeanus* and *Apeiba membranacea* (Jiménes et al. 2011).

Recordings were made along a small trail at the foot of the hill below the west slope (Fig. 4). The east side was unsuitable because of a dense network of hiking trails and because of the closeness to the sea. The west side was, however, mostly undisturbed and almost completely shielded from breaking wave noise from the ocean. The site had the most frequent boat traffic of all sites but this occurred mostly during the daytime.

#### 2.2.3 Site CPBS (3)

The site was located at Caño Palma Biological Station, which has been owned and operated

by the Canadian Organisation for Tropical Education and Rainforest Conservation (COTERC) since 1990. The station property includes a small (40 ha) forest reserve. The forest at the site comprised mostly *Manicaria* swamp mixed with elevated patches supporting a more diverse plant community (Lewis et al. 2010). It was similar to TNP in its canopy and had few large emergents and many sub-canopy or middle-sized trees (Lewis et al. 2010). The understory was, however, much denser compared to TNP. Recordings were made along a trail named the *Raphia* trail and were located approximately 100 m northwest of the station. The recording spots were located on the edge between *Manicaria* swamp secondary forest, and more diverse lowland tropical wet forest which had only small traces of recent logging activity (Lewis 2009, Lewis et al. 2010). Fig. 5 shows the dense undergrowth near the first three recording spots. Recording spots four and five had slightly wetter localities with a more open understory.

#### 2.2.4 Site MS (4)

A large part of the lowlands near the Caribbean is covered by secondary forest comprising expanses of monospecific palm swamp (Myers 1990, Lewis 2009). The forest near the Caño Palma canal is known as *Manicaria* swamp and is dominated by the palms *Manicaria* saccifera and *Raphia taedigera*, with scattered hardwoods such as *Pentaclethra macroloba*, *Prioria copaifera* and *Carapa nicaraguensis* creating a sparse canopy umbrella (Lewis et al. 2010). The site (Fig. 6) was located in BCWR, along the Caño Palma canal 3 km north of Caño Palma Biological Station. A transect was constructed that commenced westward into the swamp for approximately 200 m. The forest along the transect was clearly secondary, with a very sparse canopy.

#### 2.3 Methods

#### 2.3.1 Spatial sampling

From four to five recorders were used at each site. Recording spots (Table 1) were placed at 50 meter intervals and were selected to roughly represent local habitat variation. The same spots were used in repeated sampling when possible (trees or branches fell on two of the spots during the study period).

Table 1. Approximate (25 m) GPS coordinates of recording spots (subsites) in WGS84.

		Site				
Sub	site	TNP	СТ	CPBS	MS	
	N:	10° 31.032'	10° 35.160'	10° 35.688'	10° 37.204'	
1	W:	083° 31.107'	083° 31.803'	083° 31.800'	083° 32.677'	
	N:	10° 31.022'	10° 35.221'	10° 35.667'	10° 37.182'	
2	W:	083° 31.127'	083° 31.827'	083° 31.795'	083° 32.726'	
	N:	10° 31.006'	10° 35.223'	10° 35.649'	10° 37.165'	
3	W:	083° 31.080'	083° 31.837'	083° 31.767'	083° 32.744'	
	N:	10° 30.980'	10° 35.245'	10° 35.636'	10° 37.145'	
4	W:	083° 31.089'	083° 31.826'	083° 31.721'	083° 32.765'	
	N:		10° 35.146'	10° 35.620'		
5	W:	-	083° 31.789'	083° 31.707'	-	

#### 2.3.2 Time sampling

Recordings were carried out in the "dry season", from March 20 – May 5, 2011. Weather data was recorded by hand at Caño Palma Biological Station in the morning and evening. Table 2 shows the number of replicates (recording days) for each site together with recording times.

In total 2000 hours of sound data was recorded of which 1250 hours remained after editing and filters. Recordings on average lasted 16 hours, many stopped prematurely due to battery failure. Successively less data was available towards dawn and very few recordings contained any data between 1200 - 1600h.



Fig. 3. Site "TNP"; primary forest in Tortuguero National Park, near Caño Harold.



Fig. 4. Natural gap at the foot of Cerro Tortuguero in Barra del Colorado Wildlife Refuge, near site "CT".



Fig. 5. Site "CPBS"; secondary forest at Caño Palma Biological Station.



Fig. 6. Site "MS"; Manicaria secondary swamp forest in Barra del Colorado Wildlife Refuge.

Table 2. Start and end times for time replicates. End time refers to the end time of the longest recording on that
recording day/site. N = number of spatial replicates.

	Site											
	TNP			СТ		CPBS		MS				
Repl.	Start	End	N	Start	End	Ν	Start	End	Ν	Start	End	Ν
1	04-apr 1600h	05-apr 1602h	4	20-mar 1700h	21-mar 1042h	3	19-mar 1600h	20-mar 1100h	5	07-apr 1600h	08-apr 1059h	3
2	06-apr 1600h	07-apr 1634h	3	25-mar 1700h	26-mar 1221h	5	23-mar 1800h	24-mar 1119h	3	10-apr 1700h	11-apr 1656h	3
3	12-apr 1600h	13-apr 1613h	3	29-mar 1800h	30-mar 1110h	3	30-mar 1700h	31-mar 1223h	4	14-apr 1700h	15-apr 0645h	3
4	19-apr 1500h	20-apr 1449h	4	02-apr 1700h	03-apr 1308h	4	05-apr 1600h	06-apr 1034h	4	17-apr 1700h	18-apr 1311h	3
5	21-apr 1700h	22-apr 1615h	3	09-apr 1600h	10-apr 1031h	4	11-apr 1500h	12-apr 1518h	4	18-apr 1600h	19-apr 1517h	4
6	24-apr 1600h	25-apr 1630h	4	14-apr 1200h	15-apr 1157h	3	16-apr 1800h	17-apr 1729h	4	25-apr 1800h	26-apr 0749h	2
7	26-apr 1600h	27-apr 1103h	2	22-apr 1500h	23-apr 1037h	5	29-apr 1700h	30-apr 0814h	3	01-may 1600h	02-may 1222h	4
8	-	-		29-apr 1600h	30-apr 1353h	4	01-may 1800h	02-may 1033h	2	-	-	

#### 2.3.3 Recording Methods

Ten digital recorders of the brand and model Zoom H2 were used. The recorders have built-in stereo microphones and record to Secure Digital (SD) media. The frequency response is relatively flat up to 15 kHz (Fig. 7). Recordings were made in 44.1 kHz 16 bit wav format. 16GB SDHC recording media was used to hold 24 hours of recordings. Battery holders for 8 AA batteries were soldered to the recorders to prolong battery time. The recorders were mounted at breast height, hanging from a piece of string which was tied to a twig or vine and covered with a plastic sheet against rain (Fig. 8).

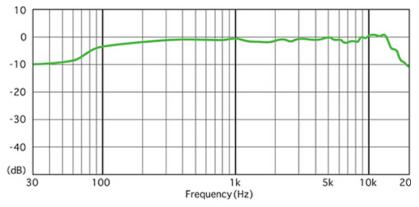


Fig. 7. Frequency response of Zoom H2 built-in microphones (website, Zoom).

The main steps of field data collection, sound processing and analysis are summarised in Fig. 9. The sound files were split into 1-hour files, starting at 1600h, 1700h, etc., and renamed according to date, time and recorder ID using the software SoX (website, Sourceforge) and a Linux shell script. To remove noise a high pass filter at 2 kHz was applied to all sound files using the software GoldWave.



Fig. 8. Recorder field setup.

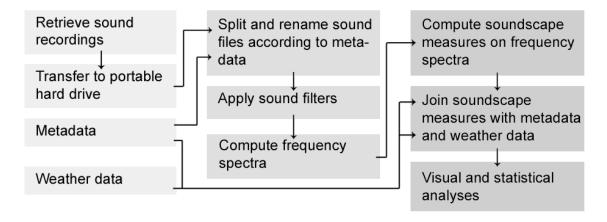


Fig. 9. Flowchart of the methodology used in this study. Metadata refers to date, time and location data.

#### 2.3.4 Soundscape analyses

Soundscape analyses were made in the statistical environment R with the packages "seewave" (Sueur et al. 2008 B), "tuneR", "ade4" and "vegan" (website, CRAN). The left stereo channel of the first minute of every 10 minutes of recordings was loaded into R with the function readWave() of TuneR. Each 1-minute segment was subjected to a "rain filter". This original filter consisted of two thresholds: A) minimum ratio between the peak amplitude and the RMS amplitude and B) maximum RMS amplitude. A threshold of 0.045 was selected for A and 68 for B. These thresholds were estimated by selecting 150 sound samples from the data with or without rain and manually adjusting the thresholds to remove as many rain samples as possible, while retaining most rain-free samples. Loud thuds caused by rain drops hitting the plastic covering the recorders allowed rain to be easily identified and removed using this filter. Mean frequency spectra were then computed for the remaining segments with the function meanspec() of seewave, with a window length of 512 samples.

The Acoustic Entropy Index (H) is based on the Shannon index and consists of two components: the temporal entropy,  $H_t$ , and spectral entropy,  $H_f$  (Sueur et al. 2008 A). Only  $H_f$  was used in this study because tropical recordings usually have a flat amplitude envelope, making  $H_t$  irrelevant (Jerome Sueur pers. com.).  $H_f$  was computed using the function sh() of the package seewave with the mean frequency spectra as input.

Villanueva-Rivera et al. (2011) used a modification of  $H_f$ , which uses a specified set of frequency bands to compute the index. I will refer to this index as Band Diversity (BD). Villanueva-Rivera et al. (2011) provided an R-script that calculates the proportion of dB values over a specified amplitude threshold occurring in each frequency band. These proportions are then used to compute a Shannon index. I used the same R-script to compute the band diversity, with 22 frequency bands (0 – 22050 kHz), a bandwidth of 1000 Hz and an amplitude threshold of -50 dB.

The function fpeaks() of the package seewave detects frequency peaks, i.e. amplitude peaks along the frequency axis, with a sensitivity specified by an amplitude slope threshold and outputs the number of frequency peaks, their respective frequencies and relative amplitudes. I selected an amplitude slope threshold of 0.01, which detected the most pronounced peaks and eliminated peaks due to background noise. Fig. 10 shows an example from a 30-second dawn recording. Additional indices were computed using the frequency peak data. The index Hfp was computed similarly to Hf, using the function sh(). The number of categories that were used to compute the Hfp index was not constant, making it a "true" diversity index, unlike Hf and BD which are essentially evenness indices (Jerome Sueur pers. com.). Pielou's evenness (Pielou 1966) for the frequency peaks was obtained by dividing Hfp by the log of the number of frequency peaks.

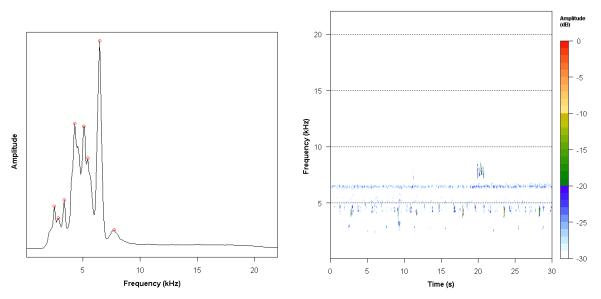


Fig. 10. Example of a frequency peak plot (left) with the corresponding spectrogram (right) of a 30-second dawn recording. Red circles indicate the "counted" frequency peaks.

The uniformity of the frequency distribution among frequency peaks was tested with with a  $\chi^2$  goodness of fit test on the consecutive distances (in kHz) between frequency peaks.

To estimate beta diversity within sites, frequency peaks were first rounded to Hz and

counted. These data were then used to compute the Sørensen dissimilarity index,  $\beta$ sor, which makes pair-wise comparisons between sites (or subsites) using the numbers of unique and shared species at each site (for a definition see Koleff et al. 2003). A distance matrix based on  $\beta$ sor was first computed for each site, using the function vegdist() of the R package vegan (website, CRAN), with recording spots as "sites", frequencies as "species" and frequency peak counts as "abundances". Before computing distance matrices some recording days were removed from the data and only data from between 1800-2400h was used to ensure approximately equal sample sizes among sites.  $\beta$ sor was then calculated as the mean of each distance matrix, resulting in one mean per site.

#### 2.3.5 Statistical analyses

To assess possible spatial and temporal acoustic patterns (within sites), mean spectra were analysed with Principal Coordinate Analysis (PCO). First, a distance matrix was computed from all mean spectra, using the function dist.prop() of the R package ade4, which computes distance matrices from percentages. The amplitudes of the mean spectra were then used as input percentages. The PCO was performed using the function dudi.pco() of the package ade4, with the distance matrix as input. This process was repeated separately for each site's data.

### 3 Results

# 3.1 Spatial heterogeneity

The highest values of  $H_f$  and BD were found at sites CPBS and MS, which had the highest levels of geophonic noise (Table 3). Excluding  $H_f$  and BD, the indices on average agreed with the expected ranks based on logging activities (Table 3). However, only  $H_{fp}$  for night recordings and  $\beta$ sor consistently agreed with the expected ranks at all sites. The p-values of  $\chi^2$  goodness of fit tests on frequency distributions were highest at site CT, which was the geologically oldest habitat, but otherwise followed the expected gradient (Table 3).

 $H_{\rm fp}$  also showed consistent differences between sites over time (Fig. 11). The average difference in mean  $H_{\rm fp}$  between sites was 0.021. The average range in mean  $H_{\rm fp}$  among recording spots (within sites) was 0.047. The variation among subsites was the highest in site MS and the lowest in site CT. In MS subsites 1 and 4, where the recorders were placed on hardwoods,  $H_{\rm fp}$  was higher than in 2 and 3, which were in undergrowth. This was not observed for the other sites. In subsite 5 of CPBS, which more closely resembled MS in habitat characteristics,  $H_{\rm fp}$  was lower than the rest of CPBS.

#### 3.2 Time heterogeneity

The average range in mean  $H_{\rm fp}$  was 0.055 among recording days (within sites), similar in magnitude to the variation among subsites. The variation among recording days was highest in site MS and lowest in site CT. Principal Coordinate Analysis (PCO) indicated distinct acoustic communities day and night and a succession of species from dusk to dawn (Fig. 13). Only site TNP appeared to have a consistent succession of species also during daytime, although the axes of MS and CPBS were displaced because of noise and thus difficult to interpret (Appendix Fig. a-c).

 $H_{\rm fp}$  and the number of frequency peaks were highest around 1900h and decreased towards dawn (Fig. 11).  $H_{\rm fp}$  had a sharp peak at 1800h, but this peak was not visible in the number of

frequency peaks.  $H_f$  and BD generally increased during periods of low sound activity, except at the peak of activity around 1900h where  $H_f$  showed similarly shaped curves to those of  $H_{fp}$  (Fig. 12). BD also showed a small increase around 1900h for sites CT and TNP. After 2400h data availability was too patchy to draw conclusions on smaller temporal scales.

Table 3. Mean of acoustic indices for four tropical wet forest sites. The sites were ranked 1-4 based on degree of logging where 1=primary habitat and 4=extensively logged. Observed ranks within parentheses. \* Lowest value ranked highest.

Site		TNP	СТ	CPBS	MS
Expected rank		1	2	3	4
$ m H_{fp}$	Night	0.899 (1)	0.878 (2)	0.849 (3)	0.828 (4)
	Day	0.845 (2)	0.831 (3)	0.855 (1)	0.811 (4)
Frequency peaks	Night	7.25 (3)	7.46 (2)	7.95 (1)	6.62 (4)
	Day	6.44 (1)	5.83 (3)	6.09 (2)	5.19 (4)
Pielou's evenness	Night	0.464 (1)	0.446 (3)	0.420 (4)	0.450 (2)
	Day	0.507 (4)	0.524 (3)	0.530 (2)	0.572 (1)
$ m H_{f}$	Night	0.751 (4)	0.753 (3)	0.762 (1)	0.757 (2)
	Day	0.824 (4)	0.828 (3)	0.853 (1)	0.839 (2)
Band diversity	Night	0.068 (3)	0.065 (4)	0.075 (2)	0.086 (1)
	Day	0.137 (2)	0.137 (4)	0.142 (1)	0.137 (3)
Freq. distribution $\chi^2$ -test, p-value	Night	0.964 (2)	0.976 (1)	0.958 (3)	0.931 (4)
	Day	0.811 (1)	0.743 (2)	0.576 (4)	0.610 (3)
Freq. distribution χ²-test, statistic *	Night	0.817 (2)	0.691 (1)	1.181 (4)	0.988 (3)
	Day	1.786 (1)	2.487 (2)	4.377 (4)	2.487 (3)
Mean Sørensen dissimilarity (βsor)	1800-2400h	0.313 (1)	0.283 (2)	0.251(3)	0.236 (4)

#### 3.3 Frequency components

Nightly activity was concentrated to the 3-9 kHz frequency bands and was highest between 5-7 kHz, while day recordings appeared to have a more flat frequency spectrum (Fig. 14). There were very few frequency peaks above 12 kHz day or night, suggesting that the higher frequency bands contained mostly wide-band signals and noise.

#### 3.4 Weather

300 mm of rain fell during the field study period. Humidity was commonly 80-90% but occasionally dropped below 60%. Average daily maximum and minimum temperatures were 31 and 20°C. The weather parameters had very similar means among sites (excluding the two sites furthest away from the weather station, which were likely affected by local variation in

weather). Thus weather was not likely to explain the observed differences in acoustic diversity between the sites, although the coldest recording day, with a maximum day temperature of 25°C, had the lowest average number of frequency peaks.

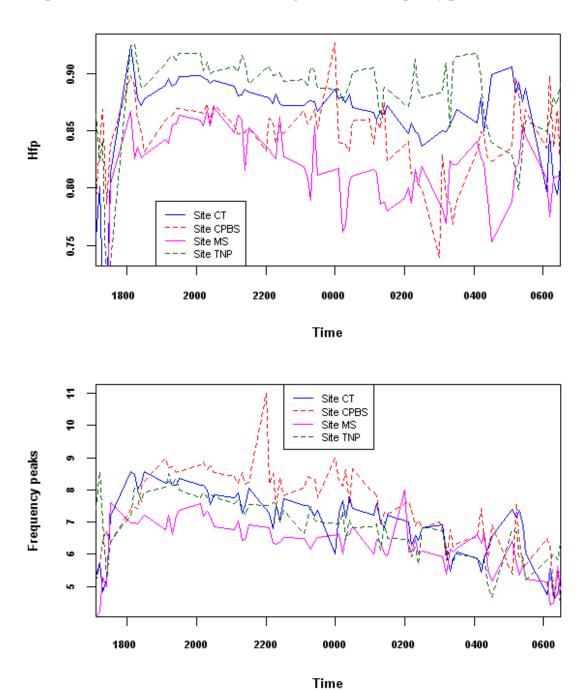
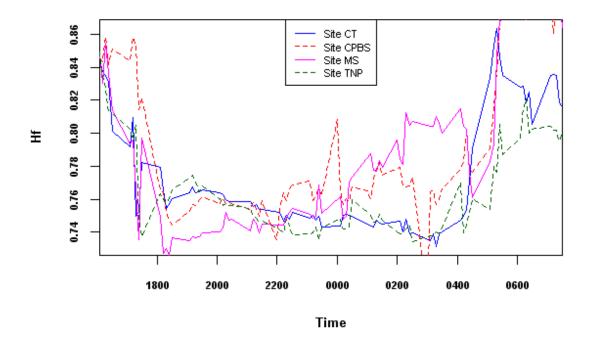


Fig. 11. Hourly averages of the acoustic diversity index  $H_{\text{fp}}$  and the number of frequency peaks. The means were calculated from the first minute of every 10 minutes of recordings.



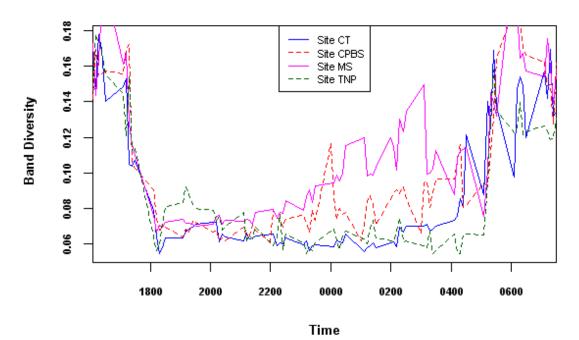


Fig. 12. Hourly averages of the acoustic diversity indices  $H_f$  and "BD". The means were calculated from the first minute of every 10 minutes of recordings.

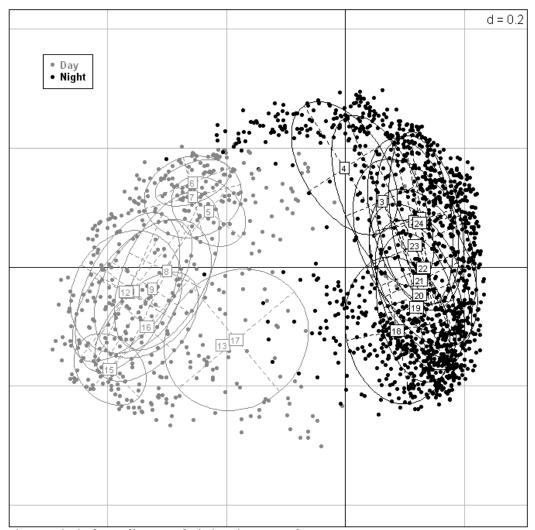


Fig. 13. Principal Coordinate Analysis (PCO) on mean frequency spectra. Mean spectra were computed from the first minute of every 10 minutes of recordings. Labels indicate the factor time (hours). Gray = day recording, black = night recording. The plot shows site CT only; see appendix Fig. a-c for the other sites.

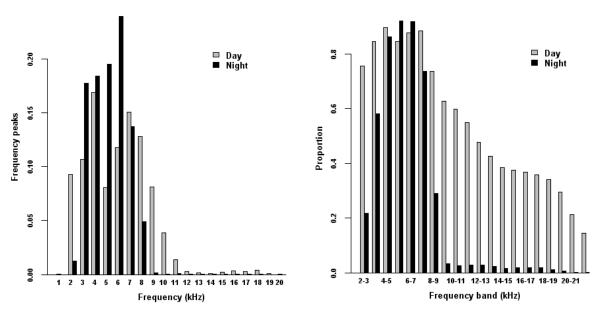


Fig. 14. Average number of frequency peaks per frequency (left) and the average proportion of sound per frequency band (right).

## 4 Discussion

#### 4.1 Acoustic indices

Soundscape recordings were made in four tropical wet forest habitats subjected to varying degrees of logging in the past. This study was fostered by the study by Sueur et al (2008 A), which found acoustic diversity as measured by the acoustic index "H" to be higher in the less altered of two Tanzanian coastal forests. Here, two acoustic diversity indices,  $H_{fp}$  and  $\beta$ sor, were used for the first time using sampling effort that was considerably larger than in Sueur et al.'s (2008 A) study. Both indices decreased with higher degree of logging, supporting the previous study by Sueur et al (2008 A), although different acoustic indices were used.

Periods of low acoustic activity during daytime increased the influence of background noise and of single, noisy species such as cicadas. Because of this, daytime results were difficult to interpret, and in the following discussion I will refer to night recordings unless specified otherwise.

H<sub>fp,</sub> as a Shannon index, has a "species richness" component and an evenness component (relative abundances). The "species richness" of H<sub>fp</sub> consists of the number of frequency peaks which are expected to increase with the number of species present in a recording. The evenness component is represented by Pielou's index and measures the evenness of the relative amplitudes of frequency peaks. It is, however, uncertain whether the relative amplitudes reflect actual species abundances, since they are influenced by both signal characteristics and the distance of the source to the microphone. Signal amplitude and duration are species-specific and might be non-randomly distributed between sites, since signals might be adapted to the physical properties of each habitat (Obrist et al. 2010, Bormpoudakis et al. 2013). The average distance of a species' individuals to the microphone should decrease with abundance and consequently increase the relative amplitude of frequency peaks generated by this species, but how this is eventually reflected in the evenness is not evident; many individuals of different species singing close to the microphone should cause a high evenness, but so should a situation where a few individuals are singing from far away. Pielou's evenness was unexpectedly high in the low diversity site (site MS), but otherwise followed the expected gradient, which highlights this problem. Nonetheless, H<sub>fp</sub> agreed with the expected biodiversity gradient across all sites. Thus the number of frequency peaks appears to compensate for the possible weaknesses in the measurement of evenness.

The number of frequency peaks was highest at the two sites of intermediate logging, which suggests higher species diversity at these sites, but considering their lower within-habitat beta diversity it is highly uncertain if it reflects the overall species diversity of the habitats. Less effective acoustic partitioning could also cause the soundscape to appear more crowded; the Acoustic Niche Hypothesis simply predicts that vocalising animals should minimise interference, not that the number of simultaneous signals should be maximised. The number of frequency peaks, when used as an independent index, should therefore be complemented with some measure of acoustic partitioning.

The observed gradients of  $H_{\rm fp}$  and  $\beta$ sor were similar (among sites); one potential explanation for this is that they measure the same phenomenon. The Acoustic Niche Hypothesis predicts more effective local habitat partitioning in primary habitat (Krause 1987). This should increase within-habitat beta diversity, which was measured by  $\beta$ sor, and also cause the community to better use available acoustic space, thus increasing the evenness among the

relative amplitudes of signals, which was measured by  $H_{fp}$ . I suggest that  $\beta$ sor should be interpreted as a measure of spatial acoustic partitioning and  $H_{fp}$  primarily as a measure of spatial acoustic partitioning and only secondarily as a measure of species diversity.

The results from  $\chi^2$  analyses on frequency distributions followed the expected biodiversity gradient, except that site CT had higher values than site TNP, indicating that CT had a more uniform frequency distribution. Since peak frequency is a species-specific trait, the frequency distribution is a measure of community composition, rather than a measure of how locally present species are distributed. The uniformity of the frequency distribution can then be expected to reflect long-term habitat stability and evolutionary time. It is thus complementary to the indices  $H_{\rm fp}$  and  $\beta$ sor in describing the acoustic community.

The detection of frequency peaks is a simple form of signal recognition that is biased towards narrow-band signals. In tropical night recordings this results in a bias towards the songs of Gryllidae rather than Tettigoniidae, which generally have wide-band songs (Riede, 1993). Most frequency peaks detected at night were in the 4-9 kHz range used by Gryllids (Riede, 1993). Hence the indicator value of  $H_{\rm fp}$  and related indices in this study was dependent on the indicator value of Gryllidae as a group. However, when species diversity does not vary much, the acoustic optimisation of the whole community should be a more important factor in determining the indices.

The indices  $H_f$  (Sueur et al. 2008) and "BD" (Villanueva-Rivera et al. 2011) were strongly influenced by breaking wave noise from the sea and did not provide much useful information in this study. Neither of the indices showed consistent differences in acoustic diversity between the two "noise-free" sites, although BD was higher at site TNP between 1800-2400h. The sensitivity of these indices, especially BD, to wide-band signals made them susceptible to single, noisy species having a large influence on the results (Sueur et al, 2008). This was a common problem in day recordings; BD had almost identical daytime means for all sites. Also, the amplitude threshold of BD might have increased the effect of noise by selectively removing noise from relatively clean recordings. Because of these weaknesses the  $H_f$  and BD indices are not recommended for daytime recordings and should not be used near sea shores or similar noise sources, including streams, roads and wind, which disqualifies them from large-scale implementation in many areas.

#### 4.2 Spatio-temporal heterogeneity

There was large variation in acoustic diversity between recording days and between recording spots (within sites) which shows the importance of both temporal and spatial replication. Both microphone placement and local habitat variation should be considered in sampling design, as well as differences due to changing weather conditions, season and time of day. The minimum number of replicates probably varies depending on habitat heterogeneity, community composition and diversity, and weather conditions. In contrast to taxonomic surveys this makes acoustic diversity surveys easier to do in tropical wet forests, since they are relatively a-seasonal and have a high and constant activity of vocalising animals.

The acoustic diversity – time curve proved useful when comparing recording sites. A snapshot of the acoustic diversity, for example at the peak of the dusk chorus, might be misleading because it does not take into account temporal partitioning. Effective temporal partitioning should even out the number of simultaneous signals over time. Peak measures of

acoustic diversity should thus be complemented with a mean over some period of time.

Recordings were made near ground level and might not represent the combined diversity of all forest layers, since the insect communities might be vertically stratified (Diwakar & Balakrishnan, 2007). Considering that site MS had a very sparse upper canopy its diversity was probably overestimated compared to the other sites. It is possible that the site had retained some facultative canopy species which were now singing from the lower canopy, further increasing the bias. How to representatively sample all forest layers is something for further studies.

#### 4.3 Cost-effectiveness

Gardner et al. (2008) calculated taxonomic survey costs for a number of animal groups in an Amazonian rainforest. Invertebrate survey costs minus salaries for field and laboratory personnel were around \$1000 - \$3000 USD. The equivalent sum for this study was approximately \$2000, but this will be reduced as recording technology advances. Moreover, the purchasing of sound recording equipment is a one-time cost and can be viewed as a long-term investment, rather than as a running cost. Gardner et al. also calculated standardised survey costs based on rarefaction curves; unfortunately this was not possible with my data. Although soundscape recordings cannot replace a taxonomic survey, they can reveal information about communities that would traditionally require sampling across multiple taxonomic groups and thus involve high costs associated with hiring taxonomic experts (Gardner et al., 2008). Such costs were entirely eliminated in this study.

The recording method used in this study represents a "semi-automated" design: I used push-to-record handheld digital recorders, but left them to record unsupervised. At the time of the study (2011) a handheld recorder of the type that was used was available for roughly 1/5 of the price of an automated call recorder. The benefits of automated recorders include scheduling of recording times, which enables unsupervised recording for long periods, and automated tagging/time stamping of files. Scheduling is of limited usefulness when the recorders have to be repeatedly moved around, such as when recording in many spots over a large area. Automated tagging saves some labour but the same information can easily be recorded manually. For similar surveys as in this study I consider the cost-effectiveness of handheld digital recorders to be greater than that of automated call recorders, but the trade-off between the one-time cost of recording equipment and the running cost of field technician salaries need to be carefully considered (Penman et al. 2005). Also, using a lower sampling rate such as 22 kHz should not remove any significant frequency peaks and will enable longer recordings using cheaper equipment.

#### 4.4 Implications for conservation management of the Tortuguero area

Site TNP had the highest values of the acoustic indices  $H_{fp}$  and  $\beta$ sor, indicating an undisturbed habitat with high species diversity. This primary habitat found in Tortuguero National Park is "acoustically optimised" to harbour many vocalising animals while minimising acoustic interference, and has a high conservation value (Krause 1987, Sueur et al. 2008 A). Primary forest should generally be of highest conservation priority because it is uncertain how many primary forest species can survive in secondary forest (Gardner et al. 2007).

Site CT had high values of the indices H<sub>fp</sub> and βsor, but lower values than TNP. The site had,

however, the most uniform frequency distribution as indicated by  $\chi^2$  analysis. The results could be explained by the fact that Cerro Tortuguero, although fragmented and selectively logged, has a much older and more static habitat than the surrounding alluvial plain (MINAET-SINAC 2004). This indicates that Cerro Tortuguero has an old community which might be unique to the area and should consequently be of high conservation priority. The remaining forest around the hill should be protected from further exploitation and be allowed to recover from recent selective logging.

The site CPBS represented a selectively logged, regenerating forest. A high number of frequency peaks indicated high species diversity, but the levels of acoustic partitioning appeared to be low. The forest at Caño Palma Biological Station and similar habitat can then possibly have species diversity comparable to that of Cerro Tortuguero and Tortuguero National Park, but a lower conservation value because of a more altered habitat, and likely fewer sensitive species.

The results from site MS indicate that the abundant *Manicaria* swamp forest has a much lower acoustic diversity than the other forest types studied. The site was occasionally completely quiet during daytime, in sharp contrast to site TNP which had a succession of species between all hours of the day. Nonetheless, there was considerable acoustic activity at night which shows that the *Manicaria* swamp forest has retained some diversity of singing insects, especially on remaining hardwoods, and that it is not unimportant for biodiversity conservation in the area.

#### 4.5 Conclusions

I have shown that acoustic surveys can be a cost-effective alternative to species inventories in calculating diversity indices for tropical forest conservation. A number of conclusions and practical advice can be drawn from the results of this study:

- The index  $H_{fp}$  and the number of frequency peaks provide some indication of species diversity, but should be complemented with other measures.
- Spatial acoustic partitioning can be estimated by the acoustic indices  $\beta$ sor and  $H_{fp}$ , as a potential indicator of habitat intactness.
- The uniformity of the frequency distribution of frequency peaks can be estimated by a  $\chi^2$  goodness of fit test, as a potential indicator of habitat age and intactness.
- The applicability of the previously published acoustic indices  $H_f$  and BD is highly limited because of their noise-sensitivity.
- The period between 1800-2400h had a high and predictable acoustic diversity and appeared to be the most suitable for recording. The diurnal variation is likely to be different among seasons and depending on habitat and geographic location.
- Recordings need to be extensively replicated in time and space to account for seasonal
  and diurnal variation, weather induced variation, and local variation due to
  microphone placement and local habitat heterogeneity.

Sueur et al. (2008 A) called for further studies to compare acoustic indices against real species inventories of different organism groups and from different habitats. This would be important in order to verify the indicator values of acoustic indices, but no such study has yet been made to my knowledge. Possible seasonal, latitudinal, elevational, and vertical canopy gradients in acoustic diversity should also be investigated for better sampling protocol design.

## **5** Acknowledgements

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# **Appendix**

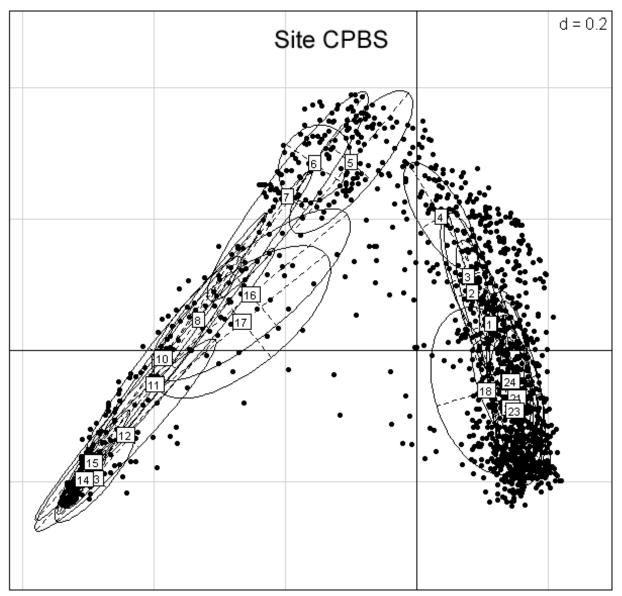


Fig. a. Principal Coordinate Analysis (PCO) on mean frequency spectra from site CPBS. Mean spectra were computed from the first minute of every 10 minutes of recordings. Labels indicate the factor time (hours).

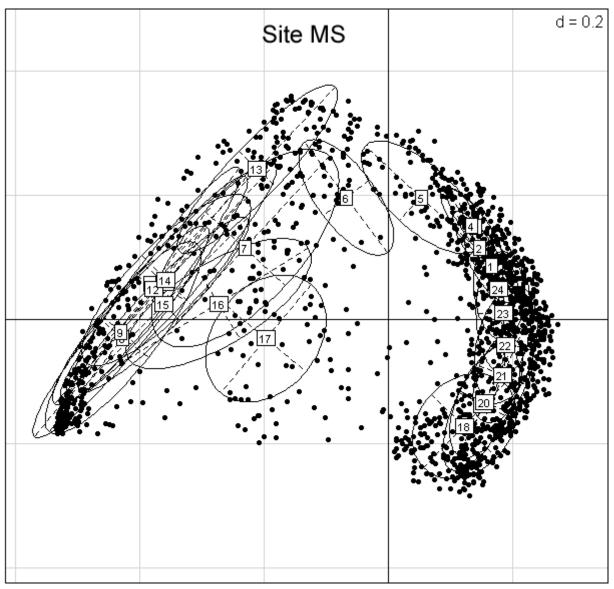


Fig. b. Principal Coordinate Analysis (PCO) on mean frequency spectra from site MS. Mean spectra were computed from the first minute of every 10 minutes of recordings. Labels indicate the factor time (hours).

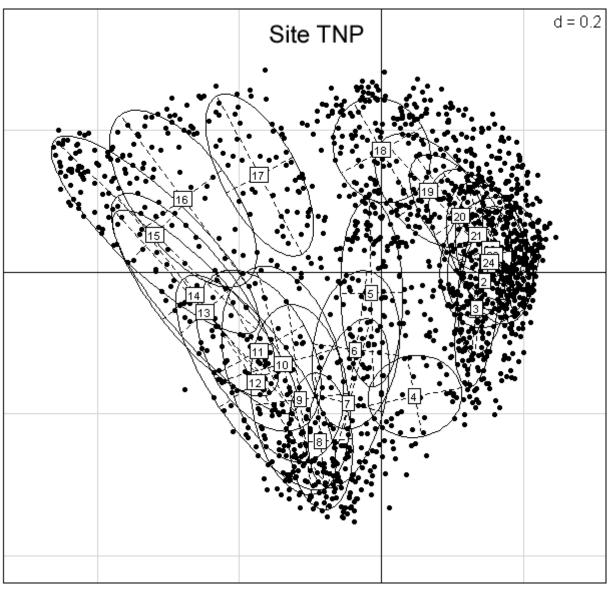


Fig. c. Principal Coordinate Analysis (PCO) on mean frequency spectra from site TNP. Mean spectra were computed from the first minute of every 10 minutes of recordings. Labels indicate the factor time (hours).

