

18CSC303J – DATABASE MANAGEMENT SYSTEMS

End Semester Notes

UNIT – 1

Database Management Systems (DBMS):

- Database Management Systems (DBMS) are software systems used to store, retrieve, and run queries on data which is stored in a database.
- A DBMS serves as an interface between an end-user and a database, allowing users to create, read, update, and delete data in the database.
- DBMS manage the data, the database engine, and the database schema, allowing for data to be manipulated or extracted by users and other programs.
- This helps provide data security, data integrity, concurrency, and uniform data administration procedures.

Uses of DBMS:

- To develop software applications in less time.
- Data independence and efficient use of data.
- For uniform data administration.
- For data integrity and security.
- For concurrent access to data, and data recovery from crashes.
- To use user-friendly declarative query language.

Applications of DBMS:

| Domain | Usage of DBMS |
|-------------------|---|
| Banking | Managing customer information, account activities, payments, deposits, loans, etc. |
| Transportation | Maintain and Manage the Passenger Manifesto, reservations and schedule information. |
| Universities | Student information, course registrations, colleges, and grades. |
| Telecommunication | It helps to keep call records, monthly bills, maintaining balances, etc. |
| Finance | For storing information about stock, sales, and purchases of financial instruments like stocks and bonds. |
| Sales | To store customer details, product details & sales information. |
| Manufacturing | It is used for the management of supply chain and for tracking production of items. Inventories status in warehouses. |
| Social Media | Manage the user accounts, security, data access. |

The purpose of a DBMS is to convert raw data into information,
then information to knowledge, then knowledge to action.

| File System | DBMS |
|---|--|
| The file system is software that manages and organizes the files in a storage medium within a computer. | DBMS is software for managing the database. |
| Redundant data. | No redundant data. |
| It doesn't provide backup and recovery of data. | It provides backup and recovery of data. |
| No efficient query processing. | Efficient query processing. |
| Less data consistency. | More data consistency. |
| Less complex. | More complex. |
| Provides less security. | Provides more security. |
| Less expensive. | Very expensive. |
| No data independence. | Data independence exists. |
| Only one user can access data at a time. | Multiple users can access data at a time. |
| Sharing data is not easy. | Sharing data is easy. |
| It gives details of storage and representation of data. | It hides the internal details of database. |
| Integrity constraints are difficult to implement. | Integrity constraints are easy to implement. |

Data Abstraction:

To make the easy access of data by the users, the complications are kept hidden and the remaining part of the database is accessible to the them through data abstraction.

Physical level:

Describes how a record (e.g., student) is stored.

Logical level:

Describes data stored in database, and the relationships among the data.

```

type student = record
    student_reg_number : integer;
    student_name : string;
    student_degree : string;
    customer_mobile : integer;
    student_email : string
end;
```

View level:

Application programs hide details of data types. Views can also hide information (such as a student's mobile number /email) for security purposes.

Instances and Schemas:

Instance: The actual content of the database at a particular point in time.

Schema: The logical structure of the database.

- Physical Schema: Datafiles, Control file, Redo log files, Tablespaces, Data Blocks, Segments, Extents.
- Logical Schema: Tables, Views, Synonyms, Indexes, Clusters, Sequences.

Database Users:

Naive Users:

- Those who don't have any knowledge about DBMS.
- They don't have any privileges to modify the database, simply use the application.

Example: Railway booking users.

Application Programmers:

- Users who develop DBMS applications.
- They are backend programmers and use languages such as C++, Java, PHP, Python, etc.

Sophisticated Users:

- Having knowledge about database and DBMS.
- They can create their own applications based on requirements.
- They don't program them, but manage using queries.

Example: Business Analyst.

Native Users:

- These are the users, who use the existing database applications.
- They don't write any codes or queries.

Example: Library Management Systems.

Specialized Users:

- These are also sophisticated users, but they write special database application programs.
- They are the developers who develop the complex programs to the requirement.

Stand-alone Users:

- These users will have a stand-alone database for their personal use.
- These kinds of the database will have readymade database packages which will have menus and graphical interfaces.

Database Administrator (DBA):

- DBA is a person or a group who define and manage the database in all three levels.
- DBA can create / modify / remove the users based on the requirements.
- DBA is the super user having all the privileges of DBMS.

The DBA's responsibilities are as follows:

- Installing the Database
- Upgrading the Database
- Design and Implementation
- Database Tuning and Security
- Migrating the Database
- User Management
- Backup and Recovery

Structured Query Language (SQL):

SQL became a standard of the American National Standards Institute (ANSI) in 1986, and of the International Organization for Standardization (ISO) in 1987.

- SQL is a common language for all databases.
- SQL is a fourth generation Language
- SQL is non-procedural.
- SQL is not case sensitive.
- All SQL statements should end with a terminator, the default terminator is semi-colon (;)
- Based on the operation SQL divided into three categories:
 - DDL (Data Definition Language) - To specify the database schema.
 - DML (Data Manipulation Language) - To express the database queries and updates.
 - DCL (Data Control Language) - To manage the database operations.
 - TCL (Transaction Control Language) – To manage the transactions.

Data Definition Language (DDL):

DDL consists of the following commands:

- CREATE: Used to create a new object / schema with a defined structure.
`CREATE TABLE table_name (columnn datatype,..., columnN datatype);`
- ALTER: Alter command used to modify the base table structure.
`ALTER TABLE table_name ADD / MODIFY column_name datatype;`
- DROP: Used to remove the base table with records from database permanently.
`DROP TABLE table_name ;`
- TRUNCATE: Used to delete the records from the base table permanently but retains the structure.
`TRUNCATE TABLE table_name;`

Data Manipulation Language (DML):

DML consists of the following commands:

- INSERT:
 - It relates only with new records.
 - Only one row can be inserted at a time.
 - Multiple rows can be inserted using "&" symbol one by one.
 - Must follow the order of the column specified in the query statement.`INSERT INTO <table_name> (column_name_n <datatype>,..., column_name_N <datatype>)
VALUES (value n,..., value N);`
- UPDATE:
 - It works with only existing records.
 - It works only column wise.
 - It is used to modify the column values.`UPDATE <table_name> set <field_name> = value [where <condition>];`

- DELETE:

- It works only with existing records.
- It works only with row wise.
- It not possible to delete a single column in a row.

DELETE from <table_name> [where <condition>];

- SELECT:

- Works with existing records
- Works with row wise and column wise
- Works with multiple tables
- Never affect / change / update / modification in the data base

SELECT column_list FROM table-name
[WHERE clause]
[GROUP BY clause]
[HAVING clause]
[ORDER BY clause]

NOTE:

- Where clause (Conditional retrieval)
- Order by clause (Retrieval in Ascending or Descending Order)
- Group by clause (Retrieval of distinct values by considering groups)
- Having clause (Followed by Group by clause with COUNT function)

Data Control Language (DCL):

DCL consists of the following commands:

- GRANT: To give access privileges of an object to other users by the owner.
GRANT [ALL / INSERT /UPDATE /DELETE /SELECT]
on <OBJECT_NAME> to <USER_NAME>;
- REVOKE: To get back all the privileges from the user who has been granted.
REVOKE [ALL / INSERT /UPDATE /DELETE /SELECT]
on <OBJECT_NAME> from <USER_NAME>;

TCL (Transaction Control Language):

TCL consists of the following commands:

- COMMIT: Commits a transaction, making its changes permanent.
COMMIT;
- ROLLBACK: Rolls back a transaction, undoing its changes.
ROLLBACK;
- SAVEPOINT: Creates a named point within a transaction to which it can be rolled back.
SAVEPOINT <SAVEPOINT_NAME> ;

Database System:

The database system is divided into three components:

- Query Processor
- Storage Manager
- Disk Storage

Query Processor:

- It interprets the requests (queries) from user(s) via an application program/interface into instructions.
- It also executes the user request which is received from the DML compiler.

| | |
|----------------------------------|---|
| DML Compiler | It processes the DML statements into low level instruction. |
| DDL Interpreter | It processes the DDL statements into a set of tables containing meta data. |
| Embedded DML Pre-compiler | It processes DML statements embedded in an application program into procedural calls. |
| Query Optimizer | It executes the instruction generated by DML Compiler. |

Storage Manager:

- It is an interface between the information stored in the database and the requests.
- It is also known as Database Control System ; maintains the consistency and integrity.

| | |
|------------------------------|---|
| Authorization Manager | It ensures role-based access control, i.e., checks whether the particular person is privileged to perform the requested operation or not. |
| Integrity Manager | It checks the integrity constraints when the database is modified. |
| Transaction Manager | It controls concurrent access by performing the operations in a scheduled way that it receives the transaction. |
| File Manager | It manages the file space and the data structure used to represent information in the database. |
| Buffer Manager | It is responsible for cache memory and the transfer of data between the secondary storage and main memory. |

Disk Storage:

- Used to store all the information.
- It contains the following components.

| | |
|-------------------------|--|
| Data Files | It stores the data. |
| Data Dictionary | It contains the information about the structure of any database object. It is the repository of information that governs the metadata. |
| Indices | It provides faster retrieval of a data item. |
| Statistical Data | Contains the statistics of all information |

Data Independence:

Data Independence is used to achieve the changes in physical level without affecting logical level and vice versa. There are two types of data independence, namely:

Physical Data Independence: It is defined as to make the changes in the structure of the physical level /low level of DBMS without affecting the logical level / view level.

Logical Data Independence: It is defined as to make the changes in the structure of the logical level / view level of DBMS without affecting the physical / low level.

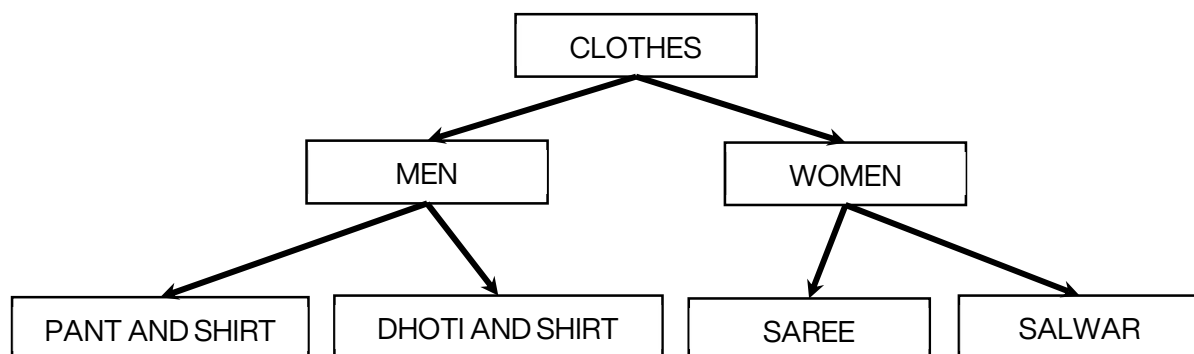
| Physical Data Independence | Logical Data Independence |
|---|--|
| Concerned with the storage of the data. | Concerned with the structure of data definition. |
| Easy to retrieve. | Difficult to retrieve. |
| Easy to achieve. | Difficult to achieve. |
| Concerned with physical schema. | Concerned with logical schema. |

Evolution of Data Models:

- Hierarchical Model
- Network Model
- Entity Relationship Model
- Relational Model
- Object Oriented Model

Hierarchical Model:

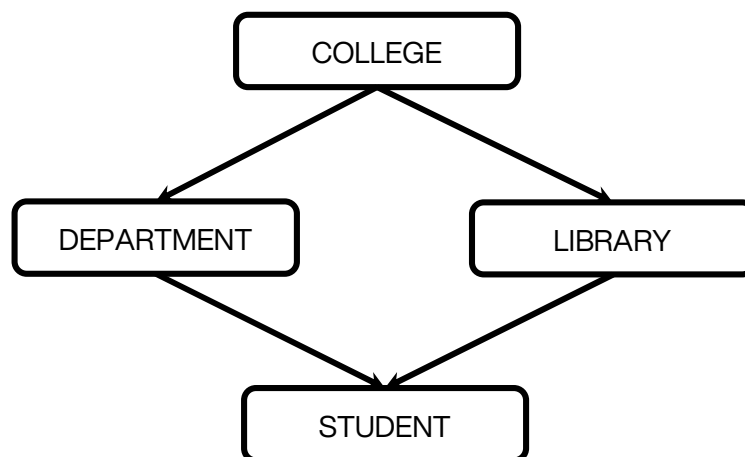
- The first and foremost model of the DBMS.
- This model organizes the data in the hierarchical tree structure.
- This model is easy to understand with real time examples site map of a website.



| Advantages | Disadvantages |
|---|--|
| The design of the hierarchical model is simple. | Implementation is complex. |
| Data sharing is feasible since the data is stored in a single database. | Maintenance is difficult since changes done in the database may want you to do changes in the entire database structure. |

Network Model:

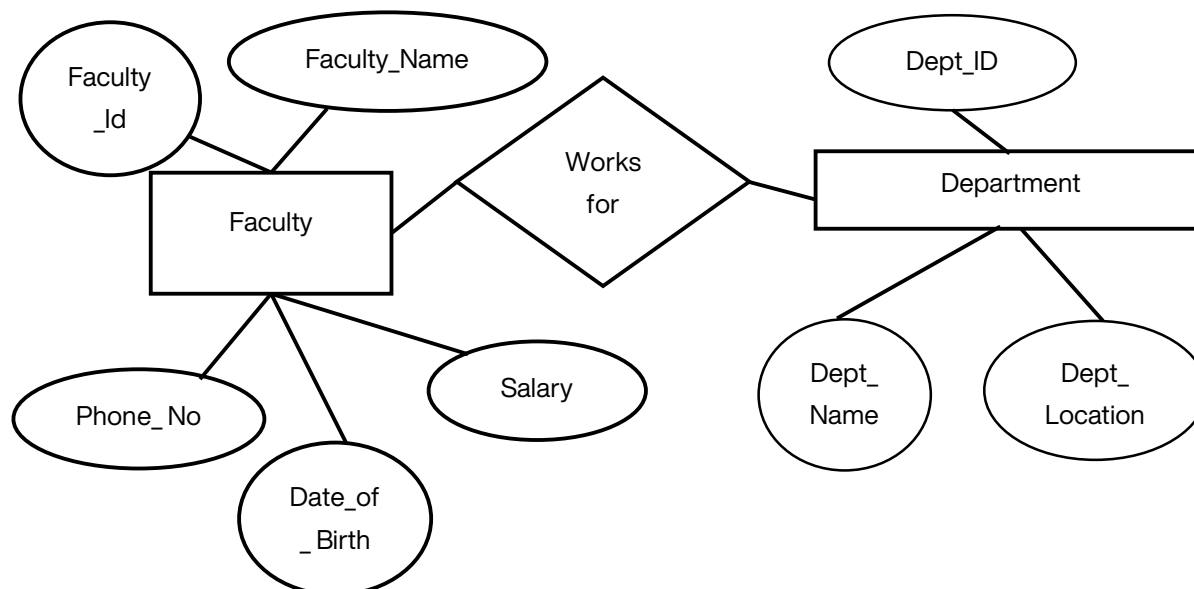
- Network model is an extension of hierarchical model.
- This model was recommended as the best before relationship model.



| Advantages | Disadvantages |
|---|--|
| Easy to design. | Pointers bring complexity since the records are based on pointers and graphs. |
| It isolates the program from other details. | Changes in the database is not easy that makes it hard to achieve structural independence. |

Entity Relationship Model:

- This model is a high-level data model.
- Represents the real – world problem as a pictorial representation.
- Easy to understand by the developers about the specification.



| Advantages | Disadvantages |
|-----------------------|-----------------------|
| Very Simple. | No industry standard. |
| Better communication. | Hidden information. |

Relational Model:

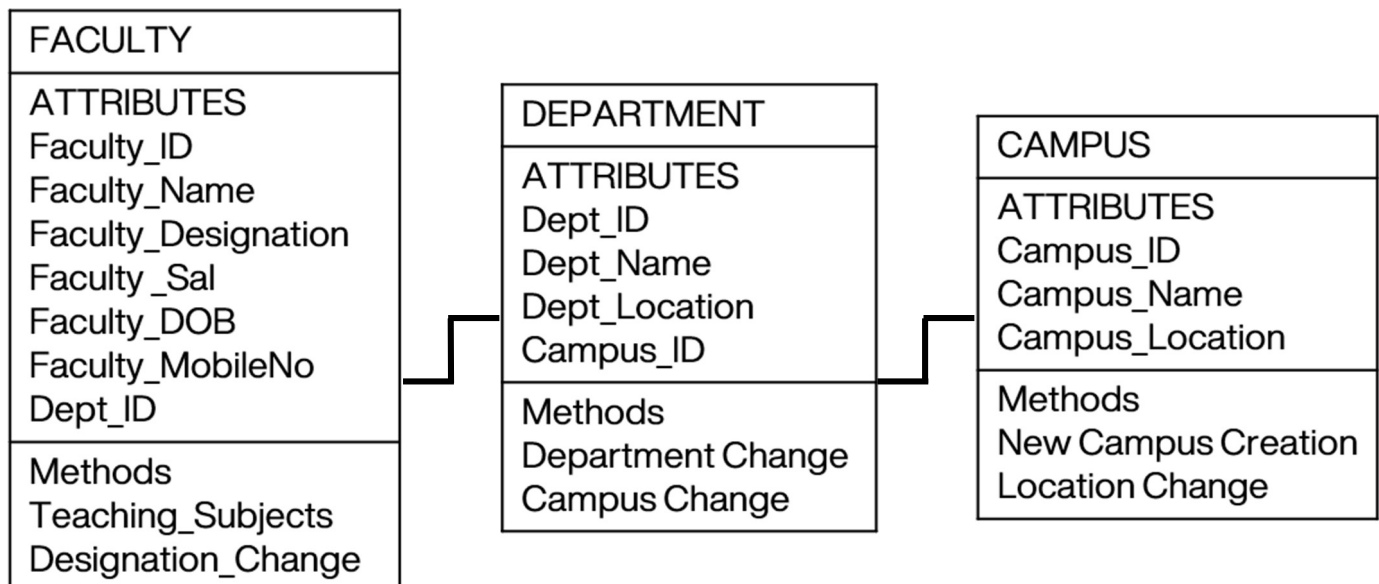
- Widely used model
- Data are represented as row-wise and column-wise (2-Dimensional Array)

| EMPNO | ENAME | JOB | MGR | HIREDATE | SAL | COMM | DEPTNO |
|-------|--------|-----------|------|-----------|------|------|--------|
| 7369 | SMITH | CLERK | 7902 | 17-DEC-80 | 800 | - | 20 |
| 7499 | ALLEN | SALESMAN | 7698 | 20-FEB-81 | 1600 | 300 | 30 |
| 7521 | WARD | SALESMAN | 7698 | 22-FEB-81 | 1250 | 500 | 30 |
| 7566 | JONES | MANAGER | 7839 | 02-APR-81 | 2975 | - | 20 |
| 7654 | MARTIN | SALESMAN | 7698 | 28-SEP-81 | 1250 | 1400 | 30 |
| 7698 | BLAKE | MANAGER | 7839 | 01-MAY-81 | 2850 | - | 30 |
| 7782 | CLARK | MANAGER | 7839 | 09-JUN-81 | 2450 | - | 10 |
| 7788 | SCOTT | ANALYST | 7566 | 09-DEC-82 | 3000 | - | 20 |
| 7839 | KING | PRESIDENT | - | 17-NOV-81 | 5000 | - | 10 |

| Advantages | Disadvantages |
|----------------------|----------------------------------|
| Simple and scalable. | Hardware overheads. |
| Structured format. | Have to be updated repetitively. |

Object Oriented Model:

- The real- time problems are easily represented through an object.
- In this model, the data and its relationship present in the single structure.
- Complex data like images, audio, videos can be stored easily.



| Advantages | Disadvantages |
|--|---|
| Complex data sets can be saved and retrieved quickly and easily. | Object databases are not widely adopted. |
| Object IDs are assigned automatically. | In some situations, the high complexity can cause performance problems. |

Three-Tier Database Architecture:

Database architecture refers to the overall design and structure of a database system. It encompasses the components, relationships, and organization of data within a database, as well as the underlying software and hardware infrastructure supporting the database system. There are various architectural models and approaches, but a common one is the three-tier architecture.

| Tier | Description |
|-------------------|---|
| Presentation Tier | Responsible for the user interface and interaction with the database system. |
| | Includes components such as web browsers, mobile apps, or desktop apps. |
| Application Tier | Contains the application logic and acts as an intermediary between the presentation tier and the data tier. |
| | Handles business logic, data processing, and communication with the data tier. |
| | Includes application servers, APIs, and other middleware components. |
| Data Tier | Stores and manages the actual data in the database system. |
| | Consists of the database management system (DBMS) and the physical database where data is stored. |
| | Handles data storage, retrieval, indexing, data integrity, and security. |

The presentation tier focuses on the user interface, the application tier handles the business logic, and the data tier is responsible for storing and managing the data within the database system.

Common Database Languages:

| Language | Use |
|------------------------------|---|
| SQL | Used for querying, manipulating, and managing relational databases. |
| PL/SQL | Used for procedural programming and creating stored procedures in Oracle databases. |
| T-SQL | Used for querying and managing data in Microsoft SQL Server databases. |
| PostgreSQL | Used as an open-source relational database system supporting SQL and advanced features. |
| MongoDB Query Language (MQL) | Used for querying and manipulating data in MongoDB, a NoSQL document database. |
| Neo4j's Cypher | Used for querying and manipulating data in Neo4j, a graph database management system. |
| Redis Commands | Used for interacting with Redis, an in-memory data structure store and cache. |

UNIT – 2

Entity Relationship Diagram & its Terminologies:

- An Entity-Relationship (ER) diagram is a visual representation of the relationships among entities in a database.
- It depicts the structure of a database system by showing the entities, their attributes, and the relationships between them.
- ER diagrams are commonly used in database design to model and understand the relationships between different entities in a system.

In an ER diagram, entities are represented as rectangles, attributes are represented as ovals or ellipses, and relationships are represented as diamond shapes. Lines or arrows are used to connect entities and represent the relationships between them.

Here is an easy example to illustrate an ER diagram. Let us consider a simple bookstore database.

In this database, we can identify three main entities: "Book," "Author," and "Publisher."

- The "Book" entity would have attributes like "ISBN," "Title," "Genre," and "Price."
- The "Author" entity would have attributes like "AuthorID," "Name," "Birthdate," and "Nationality."
- The "Publisher" entity would have attributes like "PublisherID," "Name," "Location," and "YearFounded."

Now, the relationships between the entities can be:

- A book is written by one or more authors. This is a many-to-many relationship between "Book" and "Author" entities since a book can have multiple authors, and an author can write multiple books. We represent this relationship with a diamond shape connected to both entities.
- A book is published by one publisher, but a publisher can have multiple books. This is a one-to-many relationship between the "Book" and "Publisher" entities. We represent this relationship with a line connecting the "Book" entity to the "Publisher" entity.

The resulting ER diagram would visually represent these entities, attributes, and relationships, allowing us to understand the structure of the bookstore database at a glance.

| Terminology | Description |
|--------------|---|
| Entity | A real-world object or concept that has attributes (properties) and can be uniquely identified. |
| Attribute | A property or characteristic of an entity. It describes the specific details or features of an entity. |
| Relationship | An association or connection between entities that captures how they are related or interact with each other. |
| Cardinality | It defines the number of instances of one entity that can be associated with the instances of another entity. |
| Primary Key | A unique identifier that uniquely identifies each instance of an entity. |
| Foreign Key | An attribute in one entity that refers to the primary key of another entity. It establishes relationships between entities. |

| | |
|----------------------------|---|
| Superclass | A higher-level entity that defines common attributes and relationships shared by multiple subclasses. |
| Subclass | A specialized entity that inherits attributes and relationships from its superclass. |
| Generalization | The process of defining a superclass and subclasses to represent a hierarchy of entities. |
| Aggregation | A relationship between entities where one entity represents the whole (aggregate) and others represent its parts. |
| Composite Attribute | An attribute that is composed of multiple sub-attributes, each representing a separate piece of data. |
| Derived Attribute | An attribute whose value can be derived or calculated based on other attributes or entities. |

Weak Entity Set vs Strong Entity Set:

| Weak Entity Set | Strong Entity Set |
|---|---|
| An entity set that depends on another entity set for its existence. It cannot exist without the relationship with the identifying entity set. | An entity set that can exist independently and does not depend on any other entity set for its existence. |
| It is identified by a partial key, which includes the primary key of the identifying entity set along with its own attributes. | It is identified by its own unique attributes or primary key. |
| It cannot exist without a relationship with the identifying entity set. | It can exist independently without any dependency on other entity sets. |
| It participates in a one-to-many relationship with the identifying entity set (strong entity set). | It can participate in various types of relationships with other entity sets. |
| Consider an "OrderItem" entity set that represents individual items in an order. It depends on the "Order" entity set for its existence. | Consider a "Customer" entity set that represents individual customers. It can exist independently without any dependency. |

Mapping Cardinalities:

| Cardinality | Description | Example |
|-------------|--|--|
| (1:1) | Each instance in one entity set is associated with exactly one instance in another entity set. | A person has one unique social security number, and a social security number is assigned to only one person. |
| (1:M) | Each instance in one entity set can be associated with zero or more instances in another entity set. | A customer can place multiple orders, but each order is associated with only one customer. |
| (M:1) | Each instance in one entity set is associated with at most one instance in another entity set. | Multiple students can belong to the same class, but a class can have only one teacher. |
| (M:M) | Each instance in one entity set can be associated with zero or more instances in another entity set, and vice versa. | Students can enroll in multiple courses, and each course can have multiple students. |

High Level Data Model:

A high-level data model, also known as a conceptual data model, is an abstraction of the database that focuses on the overall structure, relationships, and key entities without concerning itself with implementation details.

- It provides a broad view of the data requirements and serves as a foundation for database design and development.
- A high-level data model typically represents the entities, their attributes, and the relationships between them in a simplified and intuitive manner.

A high-level data model provides the database designer with a conceptual frame work which includes:

- What kind of data required by the database users?
- How the database to be designed to fulfil the requirements?
- Database designer should choose the appropriate data model and translate these requirements into a conceptual schema.
- The schema developed at this conceptual-design phase provides a detailed overview of the enterprise.
- The designer reviews the schema to confirm that all data requirements.
- The designer can review the design to remove the redundant features
- The focus at this point is on describing the data and their relationships, rather than on specifying physical storage details.

| Data Model | Description |
|-----------------------------------|---|
| Entity-Relationship (ER) Model | Represents entities as objects, attributes as properties of the objects, and relationships as associations between objects. |
| Unified Modelling Language (UML) | A general-purpose modelling language used in software engineering. The class diagram in UML represents classes and their relationships. |
| Object-Oriented Data Model (OODM) | Based on the concept of objects, representing entities as objects with attributes and methods, and relationships as associations. |
| Network Data Model | Organizes data using a network structure, with entities connected through links. |
| Hierarchical Data Model | Organizes data in a tree-like structure with parent-child relationships. |

(REFER UNIT – 1 NOTES FOR A BETTER DESCRIPTION)

UNIT – 3

PL/SQL Triggers:

A PL/SQL trigger is a named program unit that is automatically executed in response to a specific event occurring in a database. It is associated with a table, view, schema, or database and is triggered by data manipulation language (DML) statements like INSERT, UPDATE, or DELETE.

Syntax:

```
CREATE OR REPLACE TRIGGER trigger_name
{BEFORE | AFTER | INSTEAD OF} {INSERT | UPDATE | DELETE}
[OR {INSERT | UPDATE | DELETE}] ON table_name
[FOR EACH ROW]
[DECLARE
  -- Variable declarations ]
BEGIN
  -- Trigger logic
END;
```

Example:

Let us consider a scenario where we have a table called "Employees" with columns "emp_id," "emp_name," and "salary." We want to create a trigger that automatically updates the "last_updated" column with the current timestamp whenever a row in the "Employees" table is updated.

```
CREATE OR REPLACE TRIGGER employees_trigger
BEFORE UPDATE ON Employees
FOR EACH ROW
BEGIN
  :NEW.last_updated := SYSTIMESTAMP;
END;
/
```

| Advantages | Disadvantages |
|---|--|
| Provides automatic execution of code. | Can introduce performance overhead. |
| Helps enforce data integrity and consistency. | Triggers can be complex to write and maintain. |
| Allows customization and business logic implementation. | Triggers can impact the application scalability of the given data. |
| Enables auditing and logging of database events. | Can cause cascading triggers and potential infinite loops. |
| Facilitates data validation and error handling. | Triggers may introduce dependencies and tight coupling. |
| Enhances security by controlling access to data. | Trigger logic can be difficult to debug and troubleshoot. |
| Offers a way to enforce complex business rules. | Triggers may impact transaction management and locking. |
| Supports event-driven programming paradigm. | Inappropriate use of triggers can lead to unexpected behaviour. |

PL/SQL Cursors:

A PL/SQL cursor is a mechanism that allows you to retrieve and manipulate data from a result set returned by a SELECT statement. It provides a way to traverse and process individual rows returned by a query.

Syntax:

DECLARE

```
    cursor_name [parameters] RETURN datatype;
```

```
    cursor_variable cursor_name%ROWTYPE;
```

BEGIN

```
    OPEN cursor_variable;
```

```
    FETCH cursor_variable INTO target_variables;
```

```
    -- Processing logic
```

```
    CLOSE cursor_variable;
```

END;

Example:

Let's consider a scenario where we want to retrieve the employee names and salaries from the "Employees" table and display them using a cursor.

```
DECLARE CURSOR emp_cursor IS
```

```
    SELECT emp_name, salary FROM Employees;
```

```
    emp_rec emp_cursor%ROWTYPE;
```

BEGIN

```
    OPEN emp_cursor;
```

```
    LOOP
```

```
        FETCH emp_cursor INTO emp_rec;
```

```
        EXIT WHEN emp_cursor%NOTFOUND;
```

```
        DBMS_OUTPUT.PUT_LINE('Employee Name: ' || emp_rec.emp_name || ', Salary: ' || emp_rec.salary);
```

```
    END LOOP;
```

```
    CLOSE emp_cursor;
```

END;

/

| Advantages | Disadvantages |
|---|---|
| Allows processing of multiple rows in a controlled manner. | Can consume more memory and resources compared to other techniques. |
| Enables data retrieval and manipulation from result sets. | Cursor operations can be slower than set-based operations. |
| Supports explicit control over fetching, updating, and deleting rows. | Requires careful handling to avoid cursor-related errors like "cursor is closed". |
| Facilitates sequential access and navigation through query results. | Cursors can introduce complexity and reduce code readability. |
| Enables transactional control with open, fetch, and close operations. | Cursors may cause performance issues when used inefficiently. |

High-Level Query Processing:

High-level Query Processing includes translations on high level queries into low level expressions that can be used at physical level of file system, query optimization and actual execution of query to get the actual result. High-level query processing involves multiple stages and tasks to execute queries efficiently.

Query Parsing:

| | |
|--------------------------|--|
| Syntax Analysis | The query parser checks the query's syntax to ensure it adheres to the grammar rules of the query language (e.g., SQL). It verifies that the query is well-formed and properly structured. |
| Semantic Analysis | The parser performs semantic analysis to ensure the query's correctness and meaningfulness in terms of table & column names, data types, and other semantic rules. |

Query Optimization:

| | |
|------------------------|--|
| Query Rewriting | The optimizer may transform the original query into an equivalent, but more efficient, representation. It can rewrite the query to exploit certain query patterns or properties that enable more efficient execution. |
| Cost Estimation | The optimizer estimates the cost of different query execution plans to determine their relative efficiency. It considers factors such as disk I/O, CPU usage, and memory consumption to estimate the execution time for each plan. |
| Plan Generation | The optimizer generates alternative query execution plans based on optimization techniques. Each plan represents a different approach to retrieve the query result. |
| Plan Selection | The optimizer evaluates the generated plans based on their estimated costs and selects the plan with the lowest cost as the optimal execution plan. The chosen plan is the one expected to deliver the query result with the least resource consumption. |

Query Execution:

| | |
|----------------------------------|--|
| Plan Execution | The execution engine follows the steps defined in the chosen execution plan. It retrieves the necessary data from disk or memory, performs operations such as joins, filters, and aggregations, and produces intermediate results. |
| Data Access | The execution engine interacts with the storage layer to access the required data efficiently. It leverages indexing structures, caching mechanisms, and disk I/O optimizations to minimize data retrieval overhead. |
| Join Processing | If the query involves joins between multiple tables, the execution engine employs various join algorithms to combine the data from different tables efficiently. |
| Aggregation and Filtering | The execution engine performs aggregations (e.g., sum, count, average) and applies filters (e.g., WHERE clauses) to narrow down the result set based on the query criteria. |
| Result Presentation | Finally, the execution engine formats and presents the query result to the user or application in the desired format (e.g., table, JSON, XML). |

Throughout the high-level query processing, the focus is on optimizing the query execution plan and minimizing resource usage, such as disk I/O, CPU utilization, and memory consumption. The goal is to achieve efficient query processing and deliver accurate results in a timely manner. This process is critical for maintaining good performance in database systems handling large volumes of data and complex queries.

UNIT - 4

Database Normalization:

- Database Normalization is the technique of organizing data into more than one table in the database.
- It is a systematic approach of decomposing tables to eliminate data redundancy and undesirable characteristic like insertion, updation and deletion anomalies.
- It is a multi-step process that puts data into tabular form, removing duplicated data from the relation tables.

Anomalies:

| | |
|--------------------------|--|
| Insertion Anomaly | Insertion Anomaly refers to when one cannot insert a new tuple into a relationship due to lack of data. |
| Deletion Anomaly | The delete anomaly refers to the situation where the deletion of data results in the unintended loss of some other important data. |
| Updation Anomaly | The update anomaly is when an update of a single data value requires multiple rows of data to be updated. |

Normal Forms:

DBMS normalization is a process used to design and organize relational database tables to minimize redundancy and dependency issues. It involves applying a set of rules called normal forms to ensure data integrity and optimize database structure.

| Normal Form | Description |
|--------------------------------------|---|
| 1NF (First Normal Form) | Ensures that each column in a table contains only atomic values, and there are no repeating groups or arrays of values. |
| 2NF (Second Normal Form) | Builds upon 1NF and eliminates partial dependencies by ensuring that non-key attributes depend on the entire primary key. |
| 3NF (Third Normal Form) | Builds upon 2NF and eliminates transitive dependencies by ensuring that non-key attributes depend only on the primary key, not on other non-key attributes. |
| BCNF (Boyce-Codd Normal Form) | Builds upon 3NF and eliminates non-trivial dependencies by ensuring that every determinant is a candidate key. |
| 4NF (Fourth Normal Form) | Further refines the design by removing multi-valued dependencies and ensuring that each attribute depends only on the primary key. |

Relational Databases:

- A relational database is a type of database that stores and organizes data in a tabular form, with rows representing individual records and columns representing fields or attributes of those records.
- The relationships between tables are defined using keys, which allow data to be linked across different tables.
- Relational databases are widely used in a variety of applications, including financial systems, human resources management, inventory management, and e-commerce platforms. Some examples of popular relational databases include MySQL, Oracle, Microsoft SQL Server, and PostgreSQL.

| | |
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| Data Integrity | Relational databases ensure data integrity by enforcing constraints such as primary keys, unique keys, and foreign keys, which help maintain consistency and accuracy of data. |
| Scalability | Relational databases are designed to scale horizontally and vertically, making them suitable for use in applications with high data volumes. |
| Flexibility | Relational databases are highly flexible and can be easily adapted to meet changing business requirements. |
| Security | Relational databases provide robust security mechanisms such as user authentication, authorization, and encryption to protect sensitive data. |
| Querying and Reporting | Relational databases provide powerful querying and reporting capabilities, allowing users to retrieve and analyze data in a variety of ways. |

Relational Algebra:

Relational algebra is a formal query language used to perform operations on relational databases. It provides a theoretical foundation for the manipulation and retrieval of data in relational database systems. Relational algebra operates on sets of tuples (rows) and allows for the selection, projection, join, and union of relations (tables) to derive new relations as query results.

| Operation | Notation | Description |
|-------------------|-----------|---|
| Selection | σ | Selects a subset of tuples from a relation based on specified conditions. |
| Projection | π | Selects a subset of columns (attributes) from a relation. |
| Union | \cup | Combines two relations, including all tuples from both relations (removing duplicates). |
| Set Difference | $-$ | Computes the difference between two relations, returning tuples in the first but not in the second. |
| Cartesian Product | \times | Computes the cross-product of two relations, combining all possible combinations of tuples. |
| Join | \bowtie | Combines two relations based on common attributes, creating a new relation. |

Functional Dependency:

- A functional dependency is a constraint that specifies the relationship between two sets of attributes where one set can accurately determine the value of other sets.
- It is denoted as $X \rightarrow Y$, where X is a set of attributes that can determine the value of Y. The attribute set on the left side of the arrow, X is called Determinant, while on the right side, Y is called the Dependent.

Trivial Functional Dependency:

A trivial dependency is a set of attributes which are called a trivial if the set of attributes are included in that attribute. So, $X \rightarrow Y$ is a trivial functional dependency if Y is a subset of X.

| Emp_id | Emp_name |
|--------|----------|
| AS555 | Harry |
| AS811 | George |
| AS999 | Kevin |

$\{Emp_id, Emp_name\} \rightarrow Emp_id$ is a trivial functional dependency as Emp_id is a subset of $\{Emp_id, Emp_name\}$.

Non-Trivial Functional Dependency:

A nontrivial dependency occurs when $A \rightarrow B$ holds true where B is not a subset of A. In a relationship, if attribute B is not a subset of attribute A, then it is considered as a non-trivial dependency.

| Company | CEO | Age |
|-----------|---------------|-----|
| Microsoft | Satya Nadella | 51 |
| Google | Sundar Pichai | 46 |
| Apple | Tim Cook | 57 |

$\{\text{Company}\} \rightarrow \{\text{CEO}\}$ (if we know the Company, we know the CEO name)

But CEO is not a subset of Company, and hence it's non-trivial functional dependency.

Transitive Dependency:

A transitive dependency is a type of functional dependency which happens when "t" is indirectly formed by two functional dependencies.

| Company | CEO | Age |
|-----------|---------------|-----|
| Microsoft | Satya Nadella | 51 |
| Google | Sundar Pichai | 46 |
| Alibaba | Jack Ma | 54 |

$\{\text{Company}\} \rightarrow \{\text{CEO}\}$ (if we know the company, we know its CEO's name)

$\{\text{CEO}\} \rightarrow \{\text{Age}\}$ If we know the CEO, we know the Age.

Multivalued Functional Dependency:

Multivalued dependency occurs in the situation where there are multiple independent multivalued attributes in a single table. A multivalued dependency is a complete constraint between two sets of attributes in a relation.

| Car_model | Maf_year | Color |
|-----------|----------|----------|
| H001 | 2017 | Metallic |
| H001 | 2017 | Green |
| H005 | 2018 | Metallic |
| H005 | 2018 | Blue |
| H010 | 2015 | Metallic |
| H033 | 2012 | Gray |

maf_year and color are independent of each other but dependent on car_model. In this example, these two columns are said to be multi-value dependent on car_model.

This dependence can be represented like this: $\text{car_model} \twoheadrightarrow \text{maf_year}$, $\text{car_model} \twoheadrightarrow \text{colour}$.

UNIT - 5

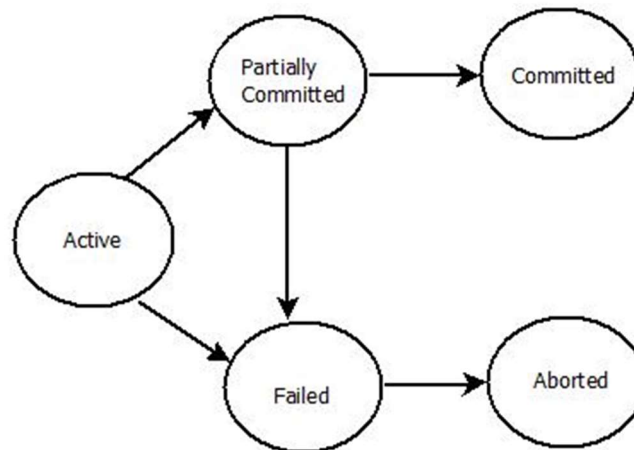
Transaction:

A transaction is a unit of program execution that accesses and possibly updates various data items. To preserve the integrity of data the database system must ensure:

| | |
|--------------------|---|
| Atomicity | Either all operations of the transaction are properly reflected in the database or none are. |
| Consistency | Execution of a transaction in isolation preserves the consistency of the database. |
| Isolation | Although multiple transactions may execute concurrently, each transaction must be unaware of other concurrently executing transactions. |
| Durability | After a transaction completes successfully, the changes it has made to the database persist, even if there are system failures. |

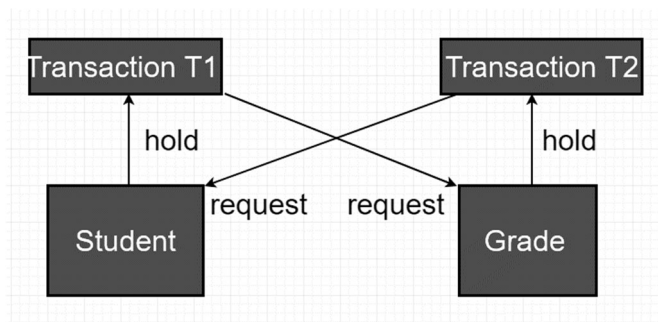
Transition States:

| | |
|----------------------------|--|
| Active | The initial state; the transaction stays in this state while it is executing. |
| Partially committed | After the final statement has been executed. |
| Failed | After the discovery that normal execution can no longer proceed. |
| Aborted | After the transaction has been rolled back and the database restored to its state prior to the start of the transaction. You can either restart or kill the transaction later. |
| Committed | After successful completion of the transaction. |



Deadlock:

- In database management systems (DBMS), a deadlock occurs when two or more transactions are blocked, each waiting for the other to release a resource that it needs in order to proceed. As a result, the transactions are unable to complete and the system becomes stuck, or deadlocked.
- Deadlocks can occur in any multi-user system where concurrent transactions are executed, including DBMS. Deadlocks can be difficult to detect and resolve, and can cause significant performance problems and data integrity issues.
- To prevent deadlocks, most DBMS use locking mechanisms to control access to shared resources. However, improper use of locking or insufficient concurrency control can lead to deadlocks.



| | |
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| Deadlock Avoidance | When a database is stuck in a deadlock, it is always better to avoid the deadlock rather than restarting or aborting the database. The deadlock avoidance method is suitable for smaller databases whereas the deadlock prevention method is suitable for larger databases. |
| Deadlock Detection | When a transaction waits indefinitely to obtain a lock, The DBMS should detect whether the transaction is involved in a deadlock or not. |
| Deadlock Prevention | A deadlock can be prevented if the resources are allocated in such a way that a deadlock never occurs. The DBMS analyses the operations whether they can create a deadlock situation or not, if they do, that transaction is never allowed to be executed. |

| WAIT – DIE | WOUND -WAIT |
|--|--|
| It is based on a non-preemptive technique. | It is based on a preemptive technique. |
| In this, older transactions must wait for the younger one to release its data items. | In this, older transactions never wait for younger transactions. |
| The number of aborts and rollbacks is higher in these techniques. | In this, the number of aborts and rollback is lesser. |

Features of a deadlock:

| | |
|----------------------------|---|
| Mutual Exclusion | Each resource can be held by only one transaction at a time, and other transactions must wait for it to be released. |
| No Preemption | Resources cannot be taken away from a transaction forcibly, and the transaction must release them voluntarily. |
| Circular Wait | Transactions are waiting for resources in a circular chain, where each transaction is waiting for a resource held by the next transaction in the chain. |
| Indefinite Blocking | Transactions are blocked indefinitely, waiting for resources to become available, and no transaction can proceed. |
| Inconsistent Data | Deadlock can lead to inconsistent data if transactions are unable to complete and leave the database in an intermediate state. |

Concurrency:

Concurrency control is an essential aspect of database management systems (DBMS) that ensures multiple transactions can execute concurrently while maintaining data consistency and integrity. A concurrency control mechanism allows transactions to access and modify data concurrently without causing conflicts or data inconsistencies.

| Concurrency Control Techniques | Description |
|---|--|
| Locking | Uses locks to control concurrent access to data items. Transactions request locks before accessing data, and conflicts between transactions are resolved based on lock compatibility. Locks can be shared (for reading) or exclusive (for writing). |
| Two-Phase Locking (2PL) | Ensures serializability by acquiring locks in two phases: an expanding phase (locks are acquired but not released) and a shrinking phase (locks are released but not acquired). Transactions are granted or blocked based on lock compatibility to avoid conflicts. |
| Timestamp Ordering | Assigns unique timestamps to transactions and uses them to determine the order of execution. Transactions proceed or roll back based on their timestamps in case of conflicts. Helps maintain serializability and allows concurrent execution of transactions. |
| Multiversion Concurrency Control (MVCC) | Maintains multiple versions of data items to allow concurrent reads and writes. Each transaction works with a snapshot of the database, and multiple versions ensure that concurrent transactions can read data without conflicts. New versions are created when data is modified. |
| Optimistic Concurrency Control (OCC) | Assumes conflicts between transactions are rare and allows them to execute without acquiring locks. Validation step is performed before committing changes to ensure no conflicts occurred. Conflicting transactions may be rolled back and retried. |

Serializability:

Serializability is a fundamental concept in database management systems (DBMS) that ensures that the execution of concurrent transactions produces the same result as if they were executed serially (one after another) in some order. In other words, serializability guarantees that the concurrent execution of transactions does not lead to any data inconsistency or violation of integrity constraints.

| Serializability | Description |
|--------------------------|---|
| Conflict Serializability | Ensures the order of conflicting operations in concurrent transactions is the same as in a serial execution, preserving data consistency. Conflicting operations refer to the same data item, with at least one performing a write operation. |
| View Serializability | Ensures that each transaction's read and write operations appear to be executed in a consistent order with a serial execution, maintaining data integrity. The observed snapshot of the database matches the state in a serial execution. |