

SWIM: Scalable Weakly-consistent Infection-style Process Group Membership Protocol

Das, A., **Gupta, I.** and Motivala, A., 2002, June. Swim: Scalable weakly-consistent infection-style process group membership protocol. In *Proceedings International Conference on Dependable Systems and Networks* (pp. 303-312). IEEE.

RAFT Recap



RAFT and similar systems are used to manage ordered logs.



Logs capture the state of a distributed system and so must be handled carefully

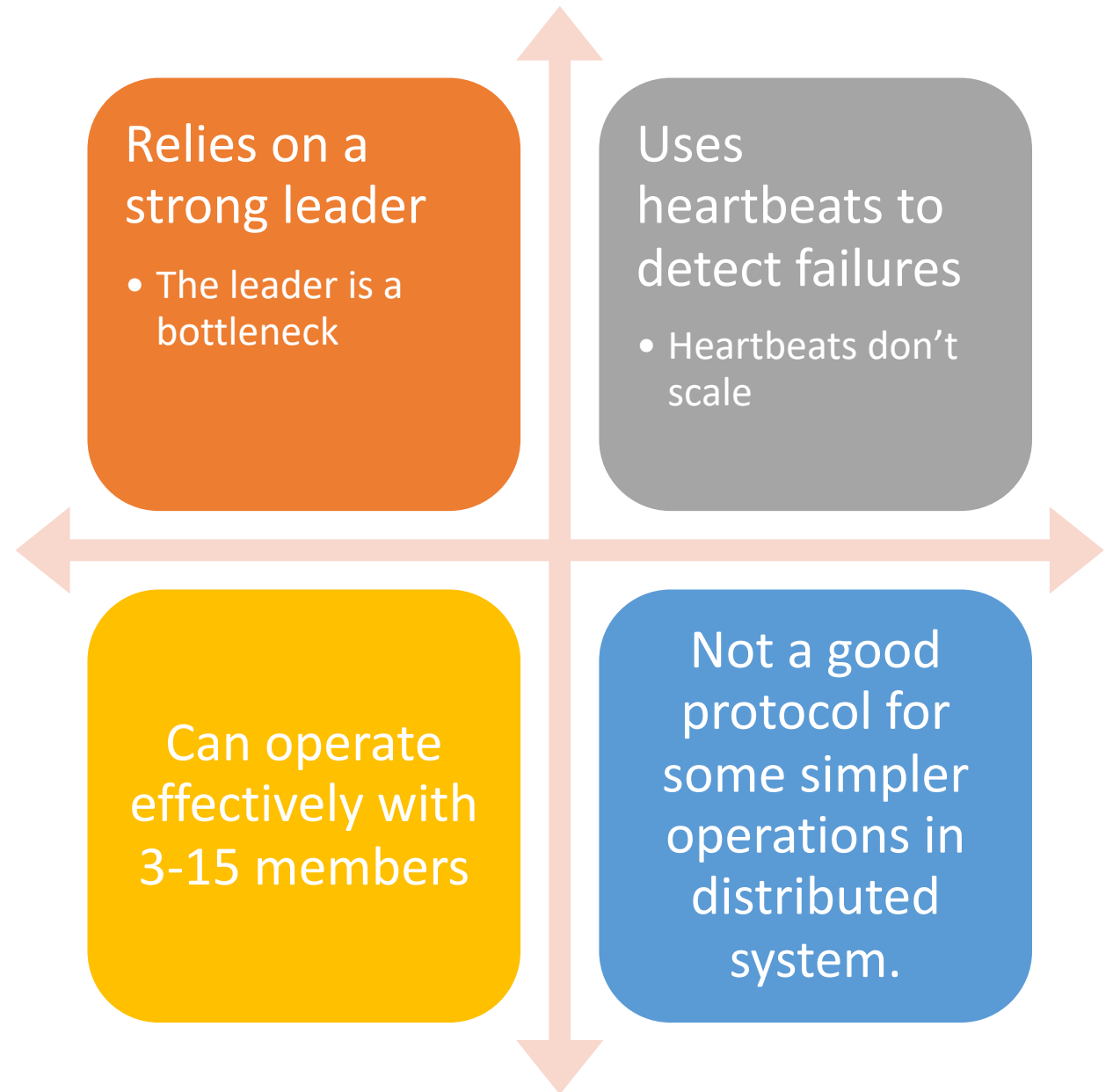


RAFT is fault-tolerant and strongly consistent

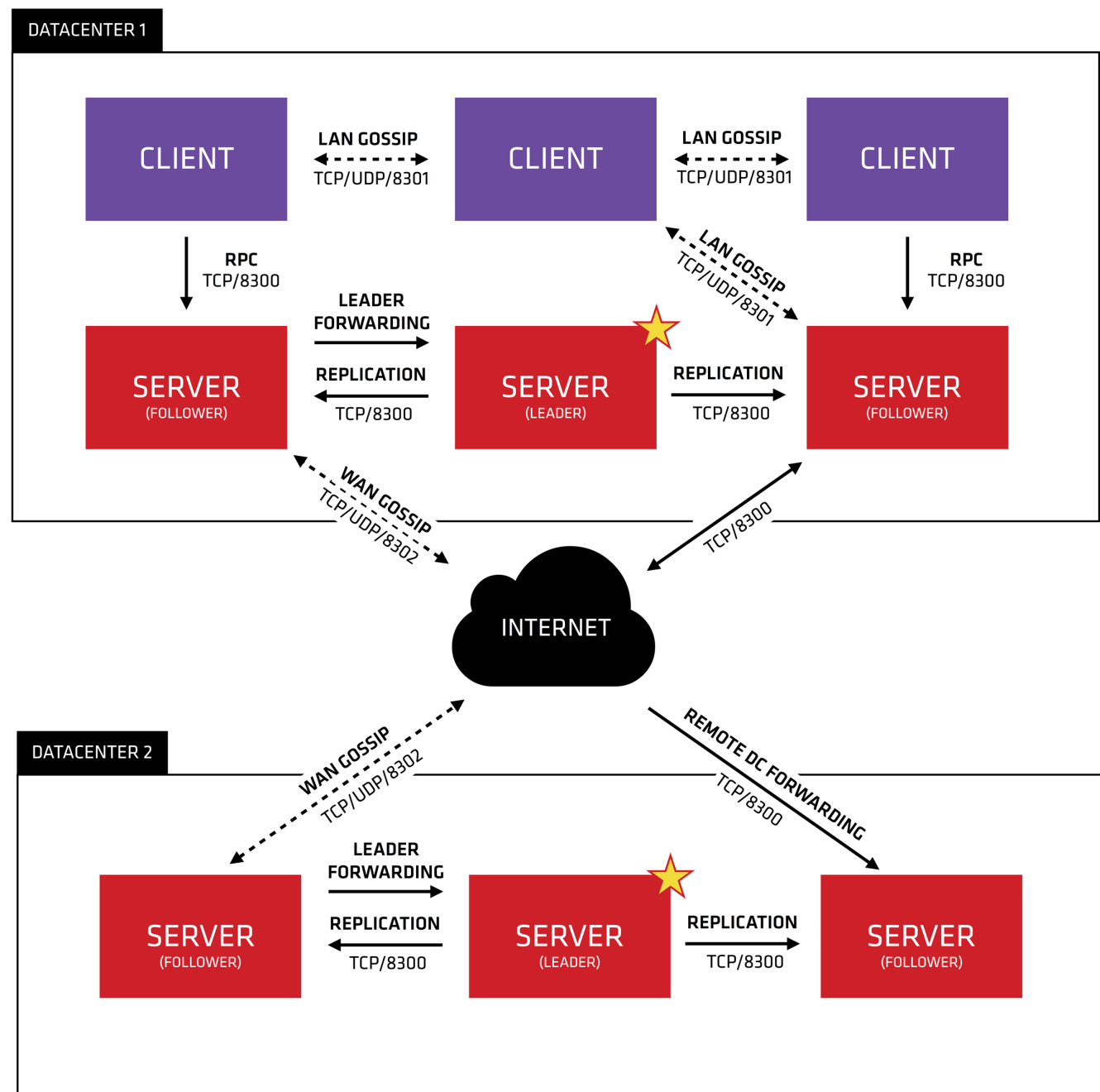


Consensus model

RAFT Scaling Limitations



Consul, RAFT, and SWIM/Gossip



Scaling to Data Center Size and Beyond



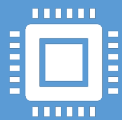
There are simpler services needed by distributed systems than logs.



But these need to scale to 1000s, 10,000s, 100,000s or more processes.



Even better, can you design a system that will scale indefinitely?



Example: how do you detect server failures if you are running a modern cloud center?

Two Key Operations for Distributed Systems

Membership: Each process knows all the other members

Faulty members need to be removed from each member's list



Fault Detection: Failed processes are detected by the other members and communicated throughout the system.

SWIM Insight Compared to Prior Systems



Membership changes and fault detection are separate processes



Monitoring needs to go on all the time, but membership changes because of faults occur at a different time scale.



Therefore, SWIM has two basic operations: Fault Detection and Dissemination

Problems with All-to-All Heartbeats



Each member of a cluster sends and receives small heartbeat messages with all other members



If M_x doesn't receive a heartbeat from M_y within a timeout, it marks M_y as faulty



This works for smaller systems



But it grows quadratically (like N^2) with the size of the cluster

Properties of Failure Detection Protocols



Strong completeness: crash failures are detected by all non-faulty members



Speed of detection: the time interval between a failure and its first detection



Accuracy: the rate of false positives



Network Message Load required



You Can't Have It All

- Failure detection in an asynchronous network cannot be simultaneously 100% accurate and strongly complete
- So you need to make a choice
- **Strong completeness** is the usual choice
- So we need a way to minimize false positives
 - That is, incorrectly marking a process as failed when it has not

SWIM Failure Detection, Step 1

SWIM cluster members only monitor a subset of the other members.

You have two parameters: T_p (protocol time) and K (# of members to monitor)

Every T_p seconds, each member sends out a **PING** to K other members of the cluster.

If M_x receive the ACK from M_y within a timeout period, all is well.

No need to update memberships.

Swim Failure Detection, Step 2



If M_x doesn't hear from M_y before the timeout, it asks for help



M_x sends a PING-REQ(M_y) message to K other members of the cluster



The other members PING(M_y) and return their results to M_x



If M_y responds to any of these pings, and if M_x gets this message back from at least one other member, all is well.



Again, no unnecessary membership updates

SWIM Failure Detection, Step 3

If M_x cannot confirm that M_y is alive even after Step 2, then it needs to tell the rest of M that it has detected a failure.

This is the Dissemination part of the protocol

Some Analysis

- Let Q_f be the number of non-faulty members of the cluster with N members
- The likelihood that a member is pinged by some other member in the protocol time step is $1 - \exp(-Q_f)$ in the limit of large N .
 - In other words, very likely unless the system is very faulty
- The time required for detecting a failure is $T_p / (1 - \exp(-Q_f))$
 - In other words, pick T based on a good guess for your average Q_f
- A more complicated expression helps you pick K to put a bound on false positives
 - Depends on Q_f and the probability of UDP packet delivery

SWIM: Strong Completeness Satisfied

- A fault member will eventually be PINGed, detected as faulty, and removed.
- Message load per member of the cluster is constant
- Compare all-to-all, where members have to respond to $\sim N^2$ pings
- None of the properties of SWIM's failure detection protocol depend on the cluster size.
 - Now that scales

SWIM Protocol Primitives Summary

- PING: sent by one member to another randomly chosen member
- PING-REQ (M_y): sent by a member to a subset of other members if it thinks M_y is faulty
 - The other members will now PING M_y
- ACK: the response to a PING

Dissemination

Telling the rest of the cluster about membership changes

Disseminating Failures

This part of the protocol needs to propagate efficiently to the entire system.

We'll assume failures are relatively rare compared to the protocol time T for PINGs

Infection-Style Dissemination

- What not to do: assume multicast works
- Instead, SWIM uses an infection-style method
 - Information about failed members spreads through the system
- M_x includes information about failed members in all of its communications with other members
 - Hey, M_z, PING, and by the way, M_y has failed
 - Hey, group, PING-REQ(M_a), and, by the way, M_y has failed
 - Hey, M_z, I'm alive, here's your ACK, and by the way, M_y has failed.
- Anyone receiving this message from M_x will remove M_y from its group list

Dissemination Performance Summary

- (Analysis deleted)
- Let LAMBDA be a parameter.
- After $t = \text{LAMBDA} * \log(N)$ rounds of the protocol, only $N^{(-2)(\text{LAMBDA} - 1)}$ members have not heard about the failure
 - Note that this goes quickly to zero, even for large N , thanks to the $\log()$

Reducing False Positives with Suspicion

A healthy cluster member may fail the PING test because of temporary network issues or its own load.

- Note: sidecars can help with load issues

SWIM uses a “Suspicion” subprotocol to reduce these.

Propagating Suspicion



If M_y fails M_x 's PING and PING-REQ tests, M_x marks it as “suspicious” rather than failed.



M_x propagates the message “ M_x suspects M_y ” to the rest of the cluster



M_z marks M_y as suspicious when it receives the message



Suspicious M_y is still treated as alive by the cluster

Suspicion, Continued



If M_z later successfully pings M_y , it moves it back to its “alive” list



M_z then propagates the message “ M_z knows M_y is alive” to the rest of the cluster



Other processes remove M_y from their suspicious list when they receive this message.



M_y can also disseminate this message

Suspicion, Continued

- Suspected entries are marked as failed if they haven't responded within a time out.
- If M_x expires M_y , it will propagate the message
 - “ M_x confirms M_y has failed”
- Since M_y can be suspected and unsuspected several times, its “suspected” status is associated with an “incarnation number”, monotonically increasing index

SWIM Scaling Properties



Imposes a constant message load per group member regardless of the cluster size



At least one working member detects failures within a constant time.



Provides a deterministic bound on the time it takes for any non-faulty process to learn about a faulty process



Latency for learning about failures increases logarithmically (slowly) with cluster size (good thing)



Reduces false positives (PING-REQ and Suspicion mechanisms) without performance penalties

Final Thoughts

- In practice, the Suspicion mechanism can still lead to too many fault positives under certain circumstances
 - Slow networks
 - Overloaded processes can be slow to respond
 - Denial of service attacks can make servers unresponsive
- SWIM may also not work well if there are too many faulty members
- Having healthy processes frequently getting kicked on and rejoining the system can hurt performance
- Consul uses a modified SWIM with extensions called Lifeguard to address this.