

### Chapter 3

- \* Process = a Program in execution → must progress sequentially in time sharing systems: unit of work, tasks  
all Processes are executed concurrently  
in batch systems: job ~~inter~~

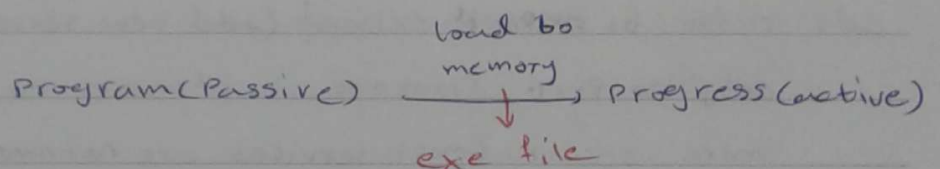
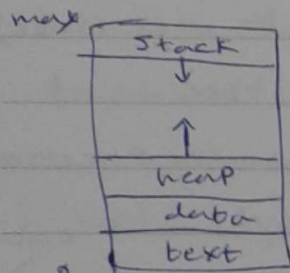
Program code → text section

current activity → represented by Program Counter and contents of registers

Process stack → contains temporary data (function Params...)

data section → contains global variables

heap → contains dynamically allocated memory during runtime



a Program can have several Processes

⇒ example: web browser  
same text section

JRM  
↑

a Process can be an execution environment for other code

### \* Process State

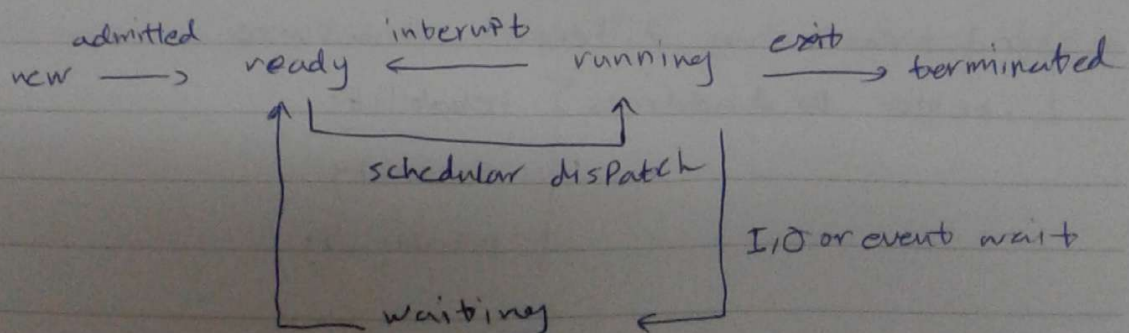
new: Process is being created

running: instructions are being executed → ~~just~~ <sup>only</sup> one Process can be running

waiting: waiting for some event to occur

ready: waiting to be assigned to a processor

terminated: has finished execution



\* Process Control block (PCB) (also called task control block)  
each Process is represented by a PCB

PCB  $\longrightarrow$  Process state

$\longrightarrow$  Program Counter interrupt

$\longrightarrow$  CPU registers  $\longrightarrow$  along with PC must be saved during an

$\longrightarrow$  CPU scheduling info = Process Priority + Pointer to scheduling queues + ...

$\longrightarrow$  mem management info = base and limit registers + ...

$\longrightarrow$  accounting info = CPU and real time usage + time limits + ...

$\longrightarrow$  I/O status info = list of I/O devices, open files and ...

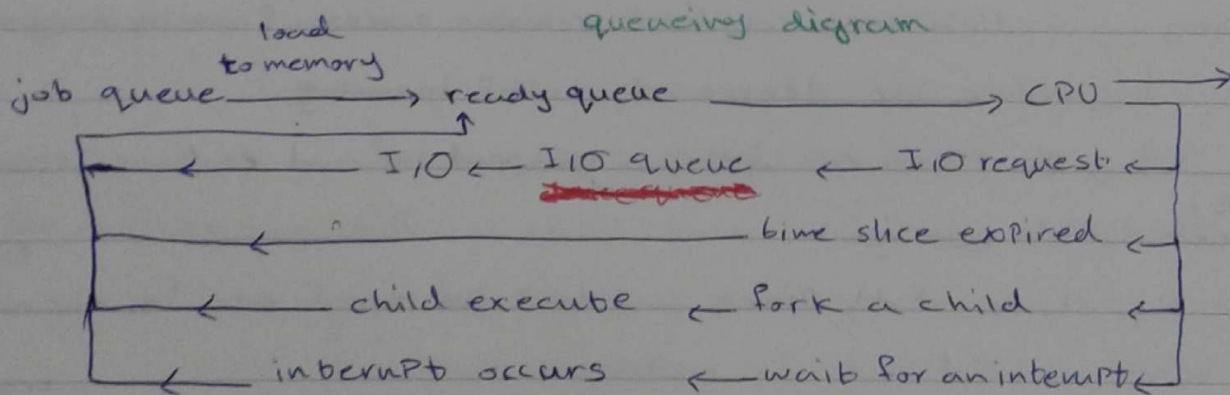
\* Process scheduling

multiProgramming: have some Process running at all times

$\Rightarrow$  maximize CPU utilization ~~time~~

$\Rightarrow$  scheduling

time sharing: Switch the CPU among Processes Frequently



ready queue: stored as a linked list

header contains pointers to first and last PCB

each device has it's own device queue

Process termination  $\longrightarrow$  Process is removed from all queues and has it's PCB and resources deallocated



## \* Schedulers

- long-term scheduler (job scheduler) → Job queue to ready queue  
↳ is invoked infrequently (seconds, mins) ⇒ may be slow

- ↳ controls the degree of multiProgramming (# of Processes in memory)

- short-time scheduler (CPU scheduler) → ready queue to CPU

- ↳ is invoked frequently ⇒ must be fast (ms)

- ↳ sometimes the only scheduler in a system

\* if the degree of multiProgramming is stable, the long-term scheduler may need to be invoked only when a Process leaves the system.

\* if there's no long-term scheduler, the stability of the system depends on → Physical limitations (number of terminals and ...)

- ↳ human self-adjusting nature

Processes → I/O bound → more time doing I/O (device queue)

- ↳ CPU bound → more time doing computations (ready queue)

⇒ long-term scheduler must balance these Processes

Swapping → medium-term scheduler removes process from memory and CPU

- ↳ reduce the degree of multiProgramming

- ↳ the Process can later be swapped in and continue execution

(PCB)

## \* Context Switch (context switch)

- ↳ save the state of old Process and load the saved state for the new Process

- ↳ is pure overhead

- ↳ the more complex the system (OS, hardware) and PCB ⇒ the longer the context switch

- ↳ time dependent on hardware (multiple set of registers ⇒ multiple context)

## \* Process Creation

parents + children → Process tree      Parent has children's Pid

Process Identifier (Pid) → integer

resource sharing option } children share subset of Parent's resources

⇒ prevents Processes from overloading the sys

children and Parent share all resources

children and Parent share no resources

Parent can initialize data to child

execution option → Parent and child execute concurrently

↳ Parent waits until children terminate

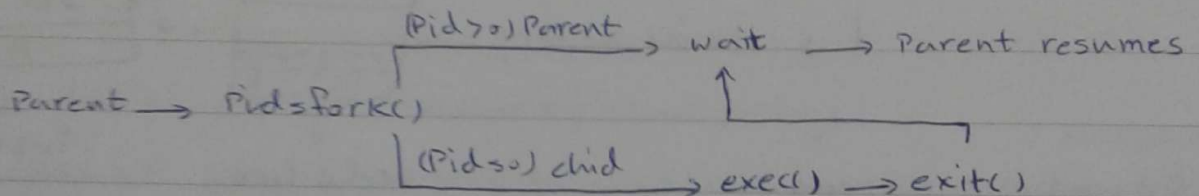
address space possibilities } child duplicate of Parent → `fork()`

child has a Program loaded into it → `exec()`

`exec()` → loads a new binary file to memory (Process address space)

`wait()` → moves Process off the ready queue

↳ does not return control until an error occurs



\* Process termination → `exit()` system call

↳ Process returns an integer value to its Parent via `wait()` system call

↳ all Process resources are deallocated

termination → when a Process finishes executing

↳ Caused by a system call (`Terminate Process()` in windows)

↳ can only be called by Parent using Pid

Parent terminating child → child task is no longer required

↳ Parent is exiting → cascading termination (OS)

↳ child exceeding its usage of some of the resources

↳ child entry in the Process table remains there 'till Parent calls `wait()`

`wait()` → Zombie → exist only briefly

↳ orphan → assigning `init` Process as Parent

↳ root of the Process tree

↳ calls `wait()`



## \* interProcess communication

Process → independent → can't affect or be affected → doesn't share data

↳ cooperating → can affect or be affected → shares data

adv ↳ info sharing → files and ...

• ↳ computation speed up → breaking a task into subtasks and running them in parallel

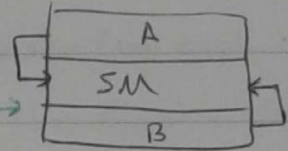
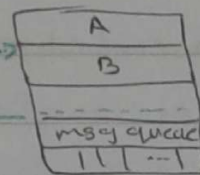
• ↳ modularity

• ↳ convenience

need ↳ interProcess communication (IPC)

↳ message passing →

↳ shared memory →



\* for multicore systems

message passing is better \*

useful for  
small data  
no conflict

easy to  
implement  
(system calls)

fast  
no kernel  
intervention

## \* shared memory systems

shared memory region resides on the address space of the process creating it ⇒ other processes must add it to their address space

⇒ removing restrictions

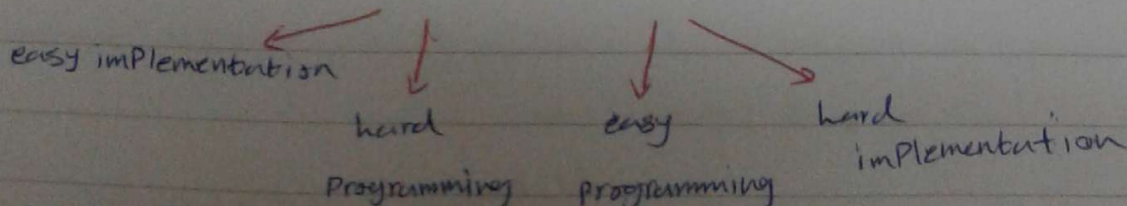
Processes must not write to the same location simultaneously

⇒ Producer-consumer problem → bounded buffer → consumer waits  
↳ unbounded buffer → both wait

## \* Message Passing systems ⇒ communication link

useful for distributed systems

message can be fixed or variable in size



send() / receive() operations:

direct or indirect

direct → a link between every two Processes (one and only one)

↳ each link associated with only two Process

↳ Processes must explicitly name each other

↳ symmetry or asymmetry

↳ symmetry → both Processes <sup>know each</sup> other's name

↳ asymmetry → ~~only~~ sender knows receiver's name

↳ receiver knows sender's id

disadv → limited modularity (hard coding)

indirect → messages sent to and received from Ports or <sup>mailboxes</sup>

↳ each mailbox has a unique id

↳ two Processes can communicate only if they have a shared Port or mailbox ⇒ link

↳ a link may be associated with more than two Processes

↳ two Processes may have several links

↳ when a Process that owns a Port terminates, the Port disappears. <sup>Permissions</sup>

↳ a mailbox can be owned by the OS.

## \* Synchronization

Message Passing → blocking (<sup>sync</sup> ~~synchronous~~)

↳ nonblocking (<sup>async</sup> ~~asynchronous~~)

blocking sender and receiver ⇒ rendezvous → Producer-consumer problem