



# Data Format Description Language and the Apache Daffodil Incubator Project

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**TRESYS**  
Deep.

# Got Gnarly Data? - Come to BoF Session!

Wednesday 19:00 Terrasse

## Use Apache Daffodil (Incubating) to parse your data into XML/JSON

- Bring an example of gnarly data
- We will create a schema for it
- Prize for gnarliest data format

# Goal For Today

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My goal in this talk is to...

*Build Community* for Apache Daffodil (Incubating)

- Recruit developers
- Find additional mentors
- Encourage integration of Daffodil into Apache projects that intake/export data

Convince you that....

- DFDL/Daffodil solves an important problem
- Daffodil is technology that would be *fun* to work on

# Agenda



## Motivation

- The Data Format Problem
- DFDL, standards, Daffodil, and why it is important
- DFDL Schemas

## Technology



DFDL (pronounced “DaFoDiL” or “Dee Eff Dee Ell”)

- Daffodil – the software
  - Code base details and status
  - Cool Stuff Daffodil/DFDL Does for You
    - Streaming unparsing with forward reference
- What’s Next – Plans/Hopes/Dreams

# Why is DFDL Needed?

There are *hundreds* of ad-hoc data format description systems

## Every Enterprise Software Company

- IBM (10+)
- Oracle(10+)
- SAP(10+)
- Microsoft
- SAS
- SyncSort
- AbInitio
- Pervasive
- Qlik/Expressor
- .... Dozens more

## Every kind of software that takes in data:

- data directed routing
- database
- data analysis and/or data mining
- data cleansing
- master data management
- application integration

**All these data format descriptions are:**

- *proprietary*
- *ad-hoc*
- *incompatible*

**Even within products of the same company!**

# Why DFDL is Needed?

Hundreds of data format description systems... means:

- Investment is spread too thin
  - Tools for creating data formats are inadequate
  - No product is comprehensive enough
    - Difficulty is grossly underestimated
  - Some products aren't fast enough
- Customer lock in
- Inflexible packaging
  - Not libraries - must embed some product in your application data flow



# Why DFDL is Needed - New Use Cases

## Cybersecurity

- Normalization of data
  - Complete rip and rebuild
  - Break down data fully based on DFDL schema
  - Validate at fine granularity
  - Reassemble according to DFDL schema
- Removes a large class of data-borne threats
  - Data adheres to format spec. exactly!
  - Reduces the "attack surface" for software processing it.

## Data Publishing

- Open Data mandates

# Why DFDL is Needed - New Use Cases

## Modernization

- Legacy data systems are still the source, *and target* for much processing
- Coexistence is required for successful incremental modernization

## Skills Leverage

- Developers with XML/JSON skills are available
- Legacy data format skills are precious
  - Military data formats - Link16, VMF, USMTF, ...
  - COBOL and other FINSERV formats



# Solving the Data Format Problem

## An Open Standard DFDL

- Multiple implementations that interoperate
  - Commercial & Open Source 
- Long-term sponsors
  - IBM – has their own DFDL implementations
  - US DoD, Canada DND
    - Cybersecurity
- Available DFDL schemas for important data formats

## A High-Quality Open Source Library Implementation

- With a supporting community of developers 
- With available commercial support (Tresys)

# Data Format Description Language

## DFDL: A new open standard

- From the Open Grid Forum (OGF)
- Work began in **2001**, accelerated around 2008
- Major contributors from UK, Canada, and USA
- First Implementation: IBM - November 2011
  - Business-oriented subset of DFDL language
- DFDL Specification - Version 1.0 – Sept. 2014
  - Thick - about 200 pages if you print it.
  - Allows "conforming subsets" - required core is small
- Proposed Recommendation - Status (as of Oct 2016)
  - Waiting for two-implementation interoperability demonstration
- DFDL Workgroup is active
  - Clarifications, Errata on v1.0

# Data Format Description Language

DFDL is a way of *describing* data formats

- It is NOT a data format itself!

DFDL combines State-of-the-Art

- Union of capabilities across many marketplace data integration products/tools/packages

DFDL adds small number of real innovations

- Overcome limitations of prior-gen e.g.,
  - Computed Elements Capability
  - Expression language
  - BitOrder

# Data Format Description Language

## Core Concepts

- Leverage XML Schema (XSDL)
  - Grammar scaffolding
  - Describes the *logical* data model
  - DFDL uses only a *subset* of XML schema
  - Provides standard ways to annotate
- Add annotations
  - Describe the *physical* representation.
- Read and write from same DFDL Schema

## Because Developers [ Love | Hate ] XML

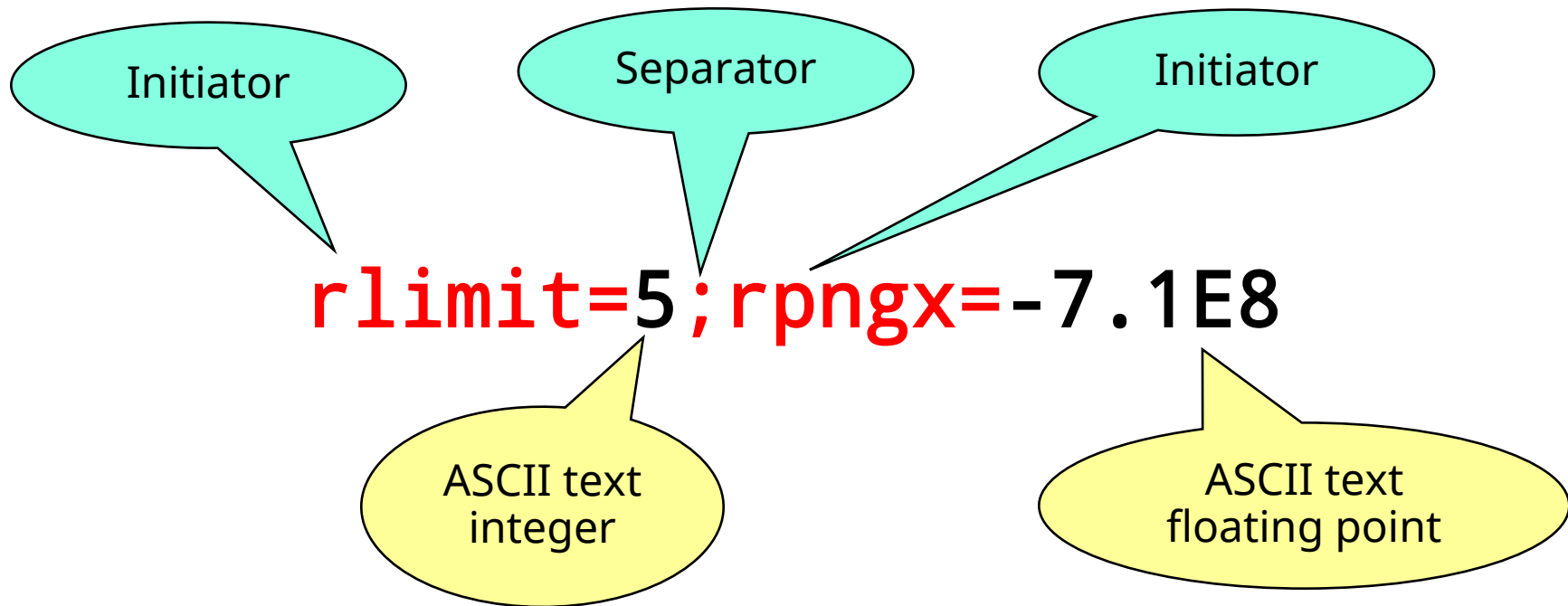
- The DFDL Schema is based on XSDL
- The Infoset created when parsing data does NOT have to be XML

# Example – Delimited Text Data

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`rlimit=5;rpngx=-7.1E8`

# Example – Delimited Text Data



Separators, initiators (aka tags), & terminators are all examples in DFDL of *delimiters*

# DFDL Schema

```
<xs:complexType name="rValues">
  <xs:sequence>
    <xs:element name="rlim" type="xs:int"/>
    <xs:element name="rpng" type="xs:float"/>
  </xs:sequence>
</xs:complexType>
```



Logical  
Elements



# DFDL schema

```
<xs:annotation>
  <xs:appinfo source="http://www.ogf.org/dfdl/v1.0">
    <dfdl:format representation="text"
      textNumberRep="standard" encoding="ascii"
      lengthKind="delimited" .../>
  </xs:appinfo>
</xs:annotation>
```

```
<xs:complexType name="rValues">
  <xs:sequence dfdl:separator=";" ... >
    <xs:element name="rLim" type="xs:int"
      dfdl:initiator="rLimit=" ... />
    <xs:element name="rpngx" type="xs:float"
      dfdl:initiator="rpngx=" ... />
  </xs:sequence>
</xs:complexType>
```

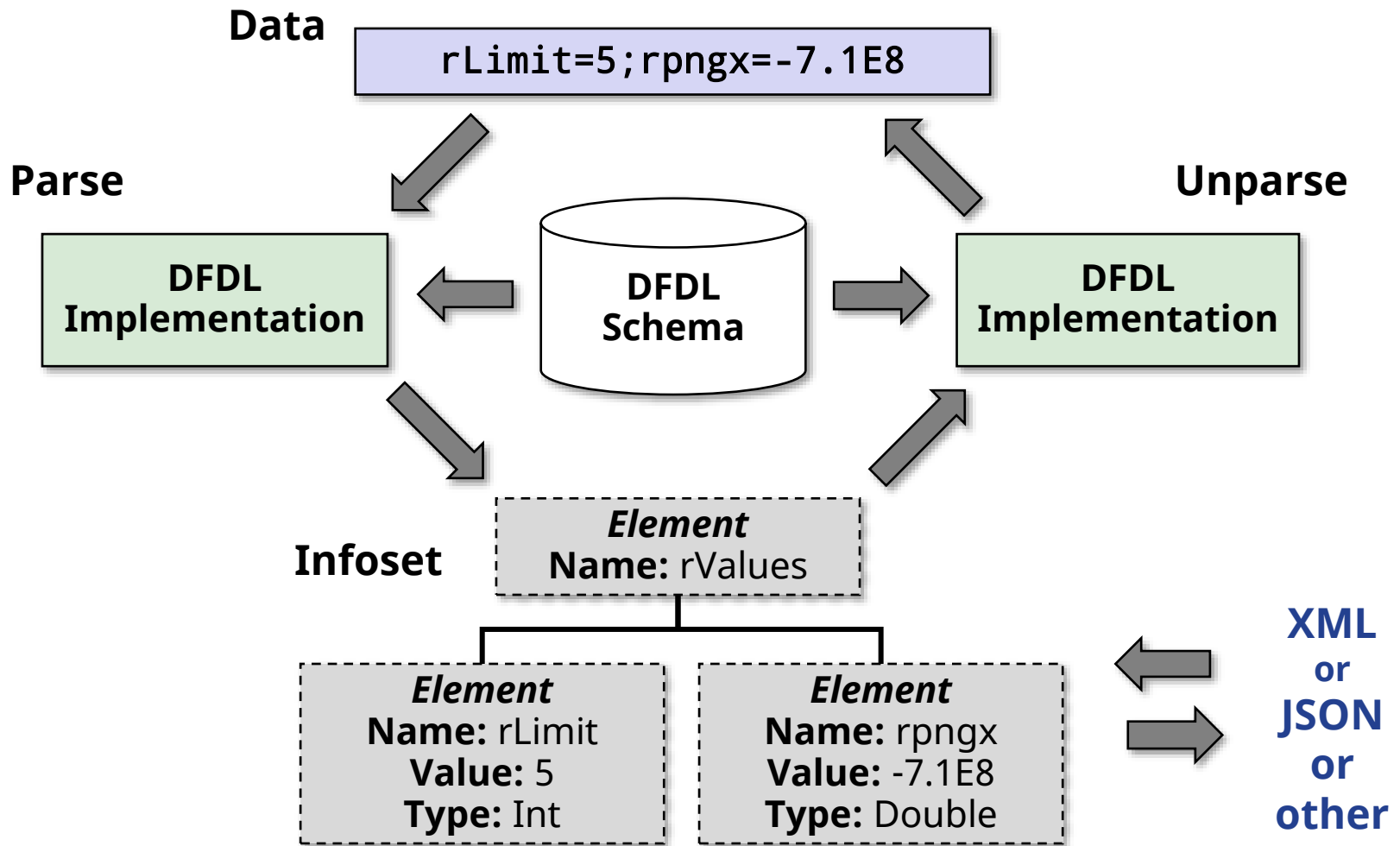
DFDL  
properties

;

rLimit=5

rpngx=-7.1E8

# DFDL Data and Infoset Lifecycle



# More DFDL Properties

initiator  
terminator  
documentFinalTerminatorCanBeMissing  
outputNewLine  
length  
lengthPattern  
textStringPadCharacter  
textNumberPadCharacter  
textCalendarPadCharacter  
textBooleanPadCharacter  
escapeCharacter  
escapeBlockStart  
escapeBlockEnd  
escapeEscapeCharacter  
extraEscapedCharacters  
textNumberPattern  
textStandardGroupingSeparator  
textStandardDecimalSeparator  
textStandardExponentRep  
textStandardInfinityRep  
textStandardNaNRep  
textStandardZeroRep  
textBooleanTrueRep  
textBooleanFalseRep  
calendarPattern  
calendarLanguage  
binaryCalendarEpoch  
nilValue  
separator  
occursStopValue

inputValueCalc  
outputValueCalc

textBidi  
textBidiTextOrdering  
textBidiOrientation  
textBidiSymmetric  
textBidiTextShaped  
textBidiNumeralShapes

byteOrder  
bitOrder  
encoding  
encodingErrorPolicy  
utf16Width  
ignoreCase

alignment  
alignmentUnits  
fillByte  
leadingSkip  
trailingSkip

lengthKind  
lengthUnits  
prefixIncludesPrefixLength  
prefixLengthType

representation

textPadKind  
textTrimKind  
textOutputMinLength

escapeKind  
generateEscapeBlock

textStringJustification  
textNumberRep  
textNumberJustification

textNumberCheckPolicy  
textStandardBase  
textNumberRoundingMode  
textNumberRounding  
textNumberRoundingIncrement  
textZonedSignStyle

binaryNumberRep  
binaryDecimalVirtualPoint  
binaryNumberCheckPolicy  
binaryPackedSignCodes  
binaryFloatRep

textBooleanJustification

binaryBooleanTrueRep  
binaryBooleanFalseRep

textCalendarJustification

calendarPatternKind  
calendarCheckPolicy  
calendarTimeZone  
calendarObserveDST  
calendarFirstDayOfWeek  
calendarDaysInFirstWeek  
calendarCenturyStart  
binaryCalendarRep

nilKind  
nilValueDelimiterPolicy

useNilForDefault  
emptyValueDelimiterPolicy

sequenceKind  
hiddenGroupRef

initiatedContent

separatorPosition  
separatorPolicy  
separatorSuppressionPolicy

choiceLengthKind  
choiceLength  
choiceDispatchKey  
choiceBranchKey

occursCountKind  
occursCount

floating  
truncateSpecifiedLengthString

decimalSigned

# DFDL Schemas

\* = in development  
\*\* = not yet published

Public (github)	MIL-STD-2045 PCAP NITF PNG JPEG NACHA vCard ShapeFile(.shp)	EDIFACT IBM4690-TLOG ISO8583 BMP GIF Praat TextGrid <b>ARINC429*</b> JPEG2000**  planned: EP, DNG, WMF, EMF, ... planned: Asterisk, IPFIX
FOUO (DI2E.net & Forge.mil)	VMF (MIL-STD-6017) USMTF ATO (MIL-STD-6040) <b>LINK16 (NATO STANAG 5516/MIL-STD-6016)</b> A-GNOSC REMEDY ARMY DRRS USCG UCOP CEF-R1965 GMTIF (STANAG 4607)	
Commercial License \$\$\$	SWIFT-MT (IBM) HIPAA-5010 (IBM) HL7-2.7 (IBM)	

# Other DFDL Implementations

- IBM DFDL - The First DFDL Implementation - v1.0 Nov 2011
  - Embeddable as Library, C and Java
  - Includes Eclipse based tooling - graphical DFDL schema editor/test environment
  - Found in IBM products:
    - IBM App Connect Enterprise product family
    - IBM InfoSphere Master Data Management
    - IBM z/TPF product family
- European Space Agency - DFDL4S = DFDL for Space
  - Embeddable as Library, Java and C++ versions.
  - Binary-data-only subset of DFDL
  - Created by ESA for satellite data descriptions
  - Evolving to be a fully compatible DFDL subset.
  - More info at
    - <http://eop-cfi.esa.int/index.php/applications/dfdl4s>

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# Daffodil

The Open Source DFDL Implementation  
aka Apache Daffodil (Incubating)

# Daffodil - History

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- Started out at University of Illinois/NCSA
  - Research project ~2009
  - ♥ Written in **Scala** - runs on Java JVM
- Further developed by Tresys Technology ~2012
  - Funded by the US DoD, Canada DND
  - Open source from the start
  - Version 1.0 – parse only, XML – 2015-03
  - Version 2.0 – parse & unparse, XML + JSON – 2017-09
- Apache Incubator - started 2017-08
  - Version 2.1.0 – 2018-05
  - Version 2.2.0 - available now



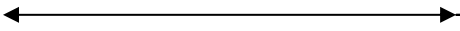
# Avoid Version Confusion

## *DFDL Language Specification*

- v1.0

## *Daffodil Software*

- v1.0.0
- v1.1.0
- v2.0.0
- v2.1.0
- v2.2.0
- v3.x
- ....



# Daffodil

**If you download it,  
what do you get?**

- Jar libraries – runs on JVM
  - Compiler, runtime, utilities, TDML runner
- Command Line Interface
  - Interactive CLI debugger and trace
- Java & Scala API with documentation
- XML and JSON for parse-output, unparse-input

You must get your DFDL schemas somewhere...

- github (DFDLSchemas, others)
- Daffodil/DFDL project on DI2E.net/Forge.mil
- Write them! (and share them!)

# Daffodil Internal Components

## Compiler

- compiles DFDL schemas to runtime data structures
- Issues diagnostics

Scala API

Java API

Command Line Interpreter

## Runtime

DPath - Xpath-like language

- compiler
- runtime

Parser primitives

Infoset

- Convert to/from XML, JSON
- Fast, constant-time access

Unparser primitives

Breakpoint debugger

## TDML Runner

- Test (& Tutorial) Data Markup Language

Tests - Unit  
(Scala)

Tests - System  
(TDML)

## Utility Libraries

- for compiler
- for runtime

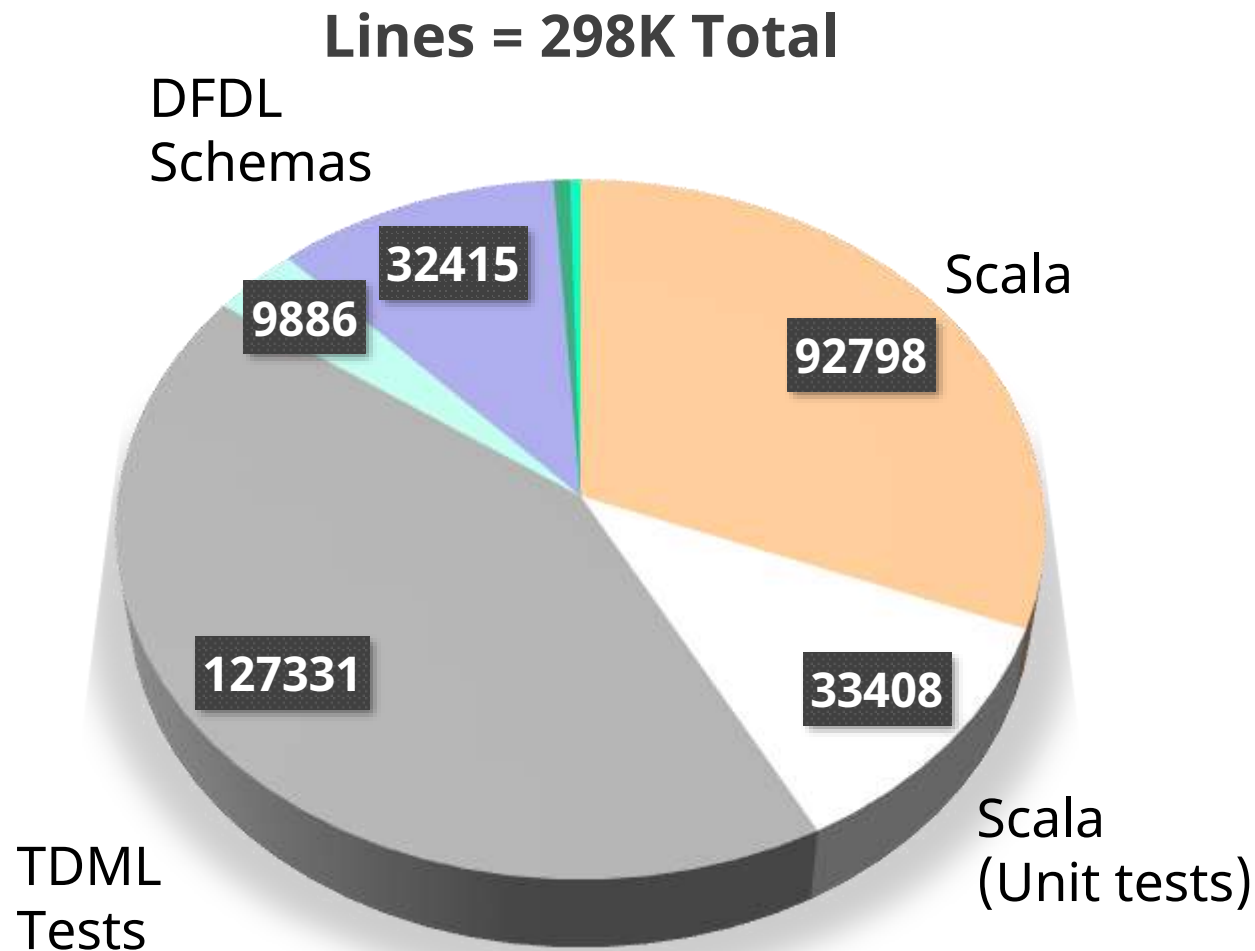
## I/O library

- bits (not bytes), bitOrder
- streaming
- non-8-bit-characters (7, 6, 5)
- unbounded lookahead - parsing/backtracking, and unparsing

Written in Scala

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# Daffodil Code Base



Data as of 2018-08-06

# Daffodil Integrations

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- Apache
  - Spark
  - NiFi
  - ...Your Project Here...
    - If you can intake/export XML or JSON, then Daffodil enables you to handle anything else describable with DFDL.
- Non-Apache
  - XProc - Calabash XML Pipeline Engine
  - Software<sup>AG</sup> webMethods<sup>TM</sup> Integration Server

# Some Technical Coolness

Daffodil Runtime Implementation of  
Streaming Unparser with Calculated-Value Elements

# What is Harder: Parsing or Unparsing?

- Most CompSci people think parsing
  - backtracking - state saving/restoring
  - lookahead (bounded/unbounded)
- Unparsing seems simple in comparison
  - Just a tree-walk of the InfoSet outputting each element.....

But...

- DFDL has computed elements
  - New innovation over prior-gen format languages
- Let's take a look at what that means for unparsing

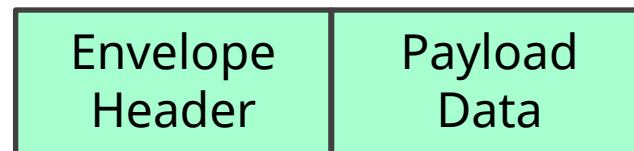


# Streaming Unparsing

- Limit memory footprint
- Unparser consumes a stream of XML-like events (like SAX - Streaming API for XML)
- The InfoSet tree does *\*NOT\** exist
  - We did NOT just parse the data
  - We are starting from the XML events
- InfoSet tree is built up as events arrive
  - Can be pruned when tree nodes are no longer needed
  - InfoSet stays small even though data stream implies unbounded size

# Common: Envelope + Payload

- Data Payload is surrounded by Envelope
- Envelope holds *Stored data length of payload*



***length of payload data is  
stored in middle of  
envelope header***

# Stored Length Limitations on Streaming

<Packet>

<PacketHeader>

<Seconds>1371631556</Seconds>

<Useconds>838904</Useconds>

<InclLen> ??? </InclLen>

<OrigLen> ??? </OrigLen>

</PacketHeader>

<pcap:LinkLayer>

<pcap:Ethernet>

<MACDest>005056E01449</MACDest>

<MACSrc>000C29340BDE</MACSrc>

<EtherType>2048</EtherType>

....

</pcap:Ethernet>

</pcap:LinkLayer>

</Packet>

Unparse of  
Envelope needs  
length of  
Payload

Payload is a complex  
object. Must unparse  
it to determine its  
length.

# Schema with Stored Length

```
<xs:element name="packetHeader">
  <xs:complexType>
    <xs:sequence>
      <xs:element name="Seconds" type="pcap:uint32"/>
      <xs:element name="USeconds" type="pcap:uint32"/>
      <xs:element name="InclLen" type="pcap:uint32"
        ...
      />
    </xs:sequence>
  </xs:complexType>
</xs:element>
...
<xs:element ref="pcap:LinkLayer"
  dfdl:lengthUnits="bytes" dfdl:lengthKind="explicit"
  dfdl:length="{ ../packetHeader/InclLen }"/>
```

# Schema with Stored Length

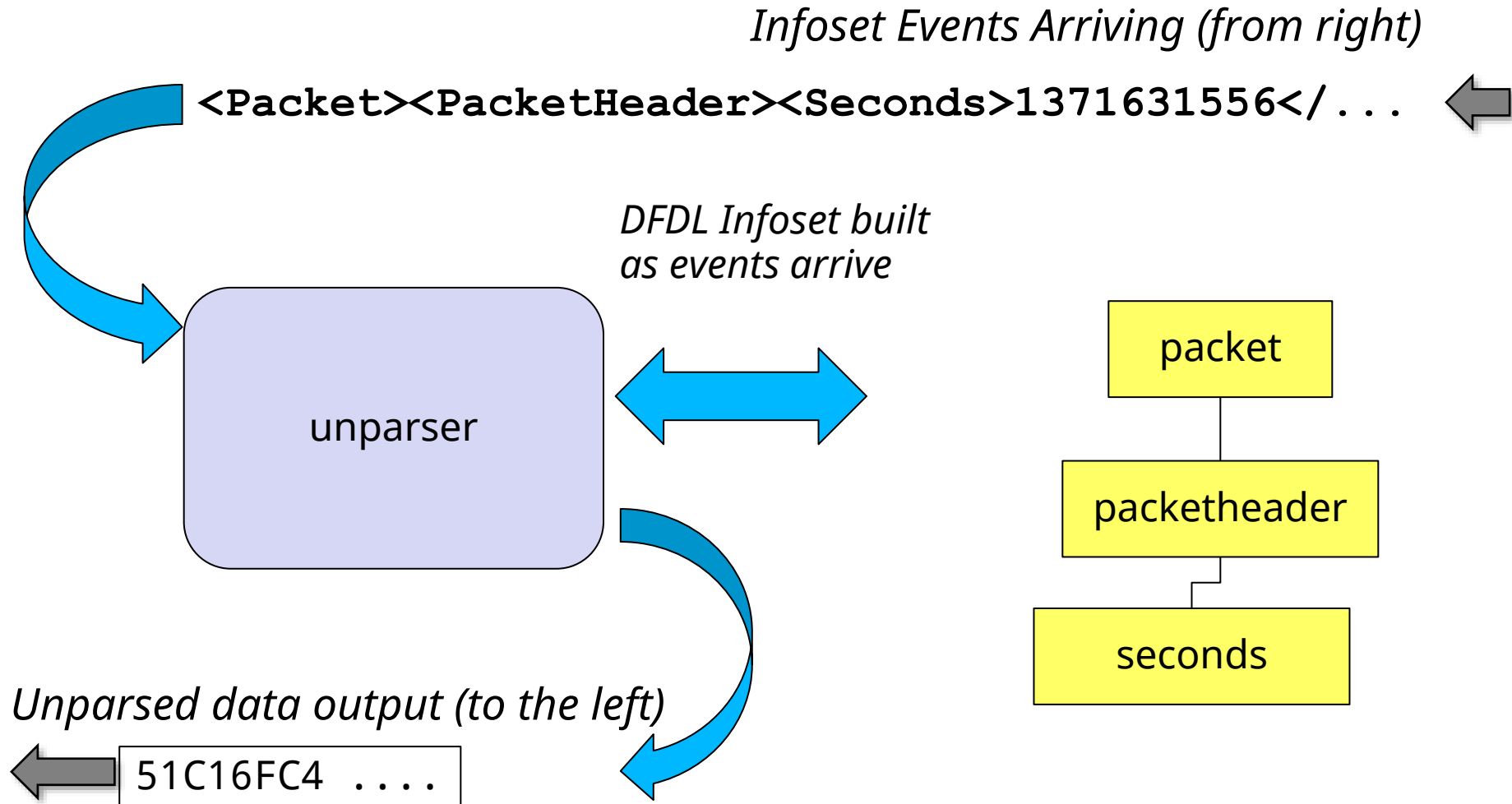
```
<xs:element name="packetHeader">
  <xs:complexType>
    <xs:sequence>
      <xs:element name="Seconds" type="pcap:uint32"/>
      <xs:element name="USeconds" type="pcap:uint32"/>
      <xs:element name="InclLen" type="pcap:uint32"
```

```
        dfdl:outputValueCalc="{
          if (dfdl:valueLength(
            ../../pcap:LinkLayer/pcap:Ethernet,
            'bytes') le 60) then 60
          else
            dfdl:valueLength(
              ../../pcap:LinkLayer/pcap:Ethernet,
              'bytes') }"
      />
    ....
  </xs:sequence>
</xs:complexType>
</xs:element>
...
<xs:element ref="pcap:LinkLayer"
  dfdl:lengthUnits="bytes" dfdl:lengthKind="explicit"
  dfdl:length="{ ../../header/InclLen }"/>
```

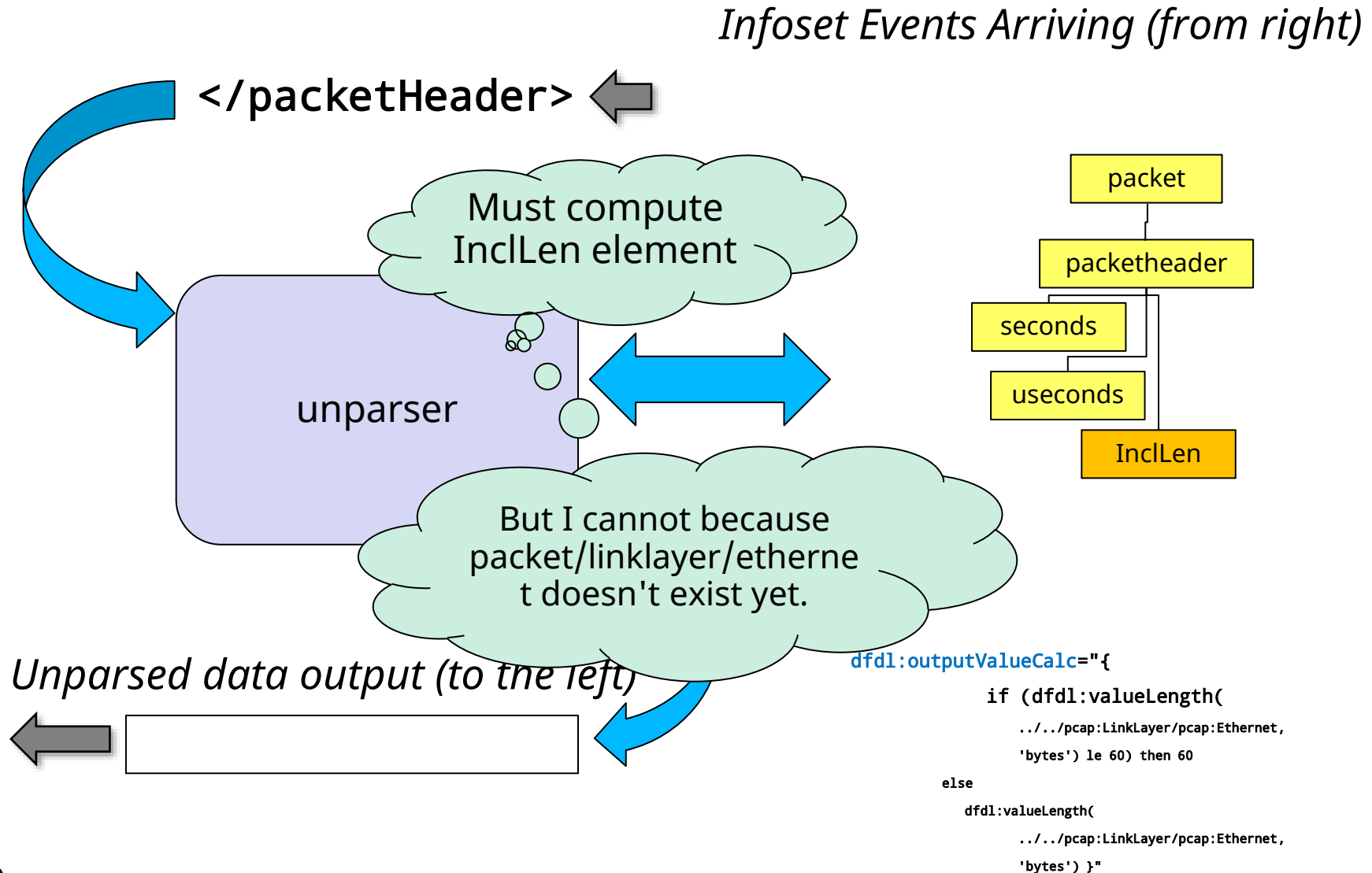
*Expressions  
in DFDL's  
expression  
language  
(like XPath)*



# Streaming Unparsing Illustration



# PCAP Streaming Unparsing Illustration



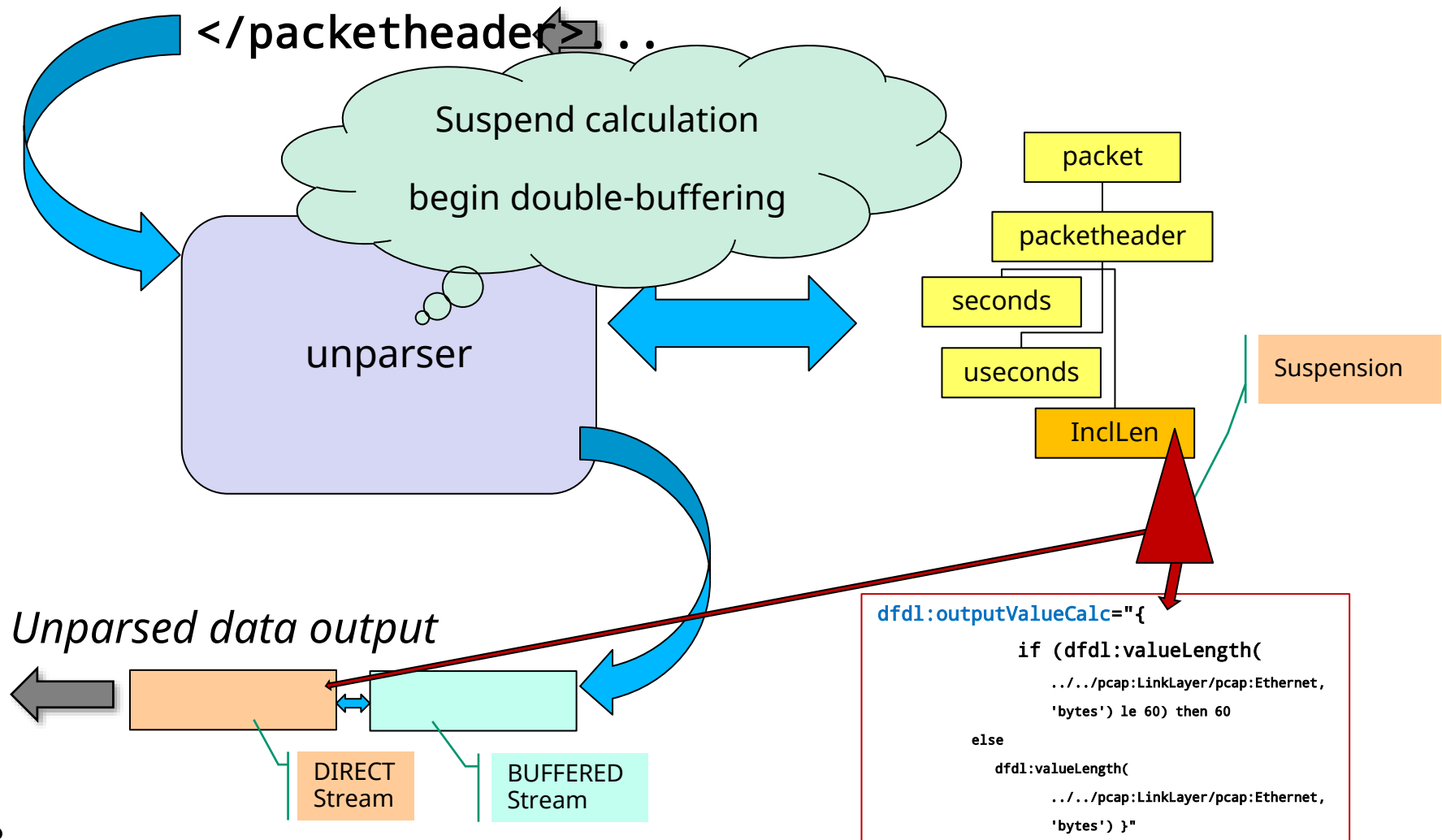


# Suspensions and Double Buffering

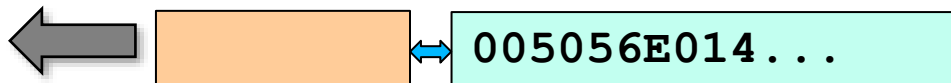
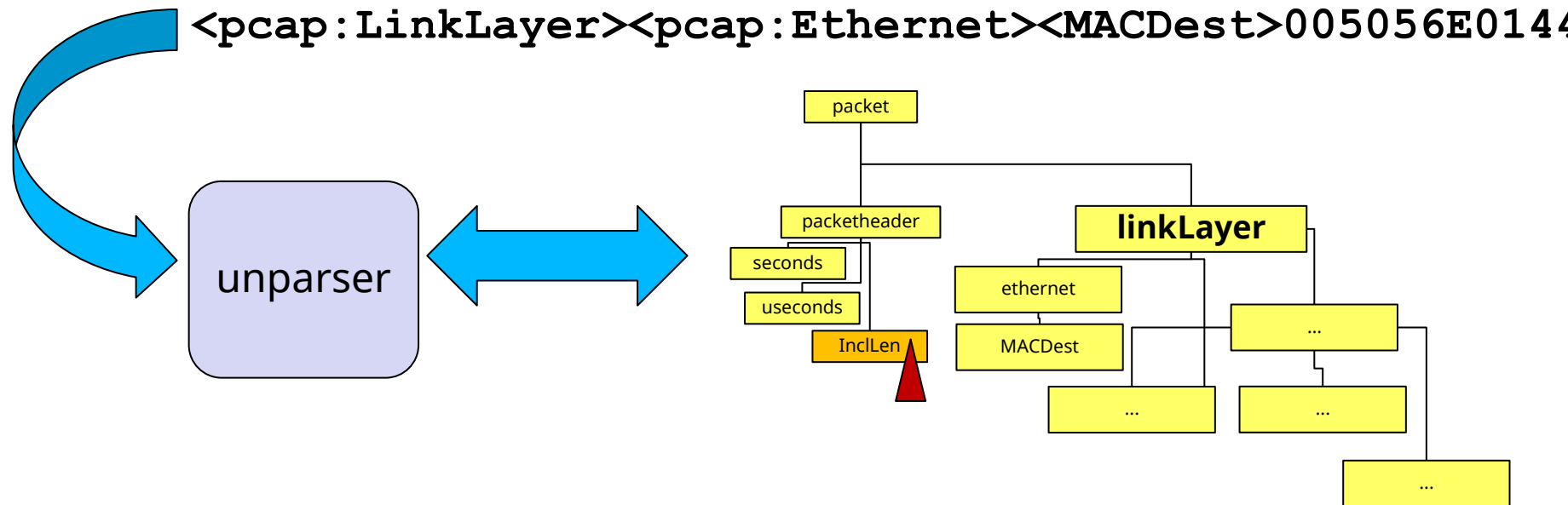
- Must suspend outputValueCalc computation
  - Suspension object - like a co-routine object
- Blocked until packet/linklayer/ethernet
  - Event arrives
  - Added to InfoSet tree
  - All its sub-events/elements arrive and are added
  - And... all of it is unparsed to determine the length of its representation

# PCAP Streaming Unparsing Illustration

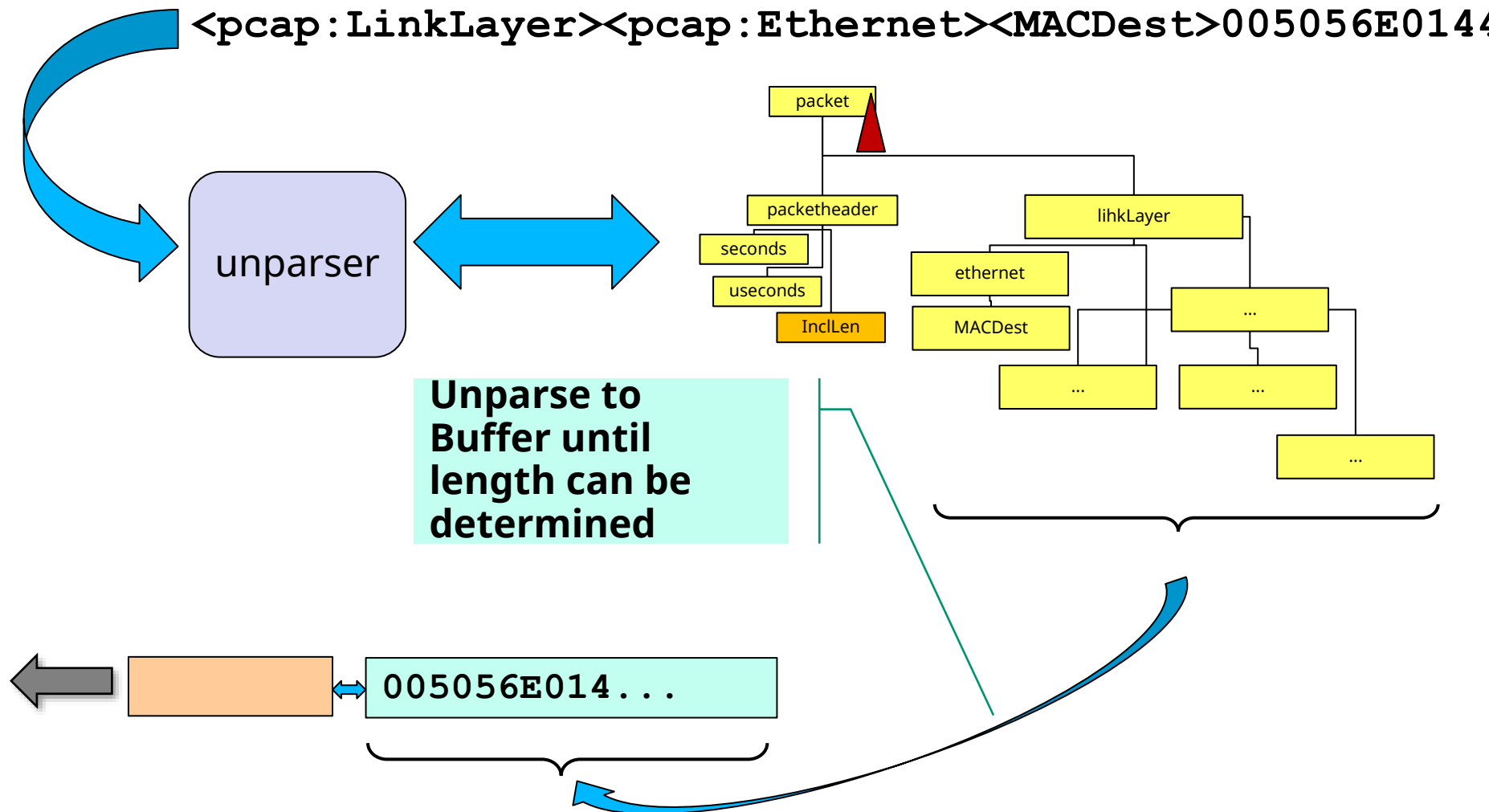
*Infoset events arriving (from right)*



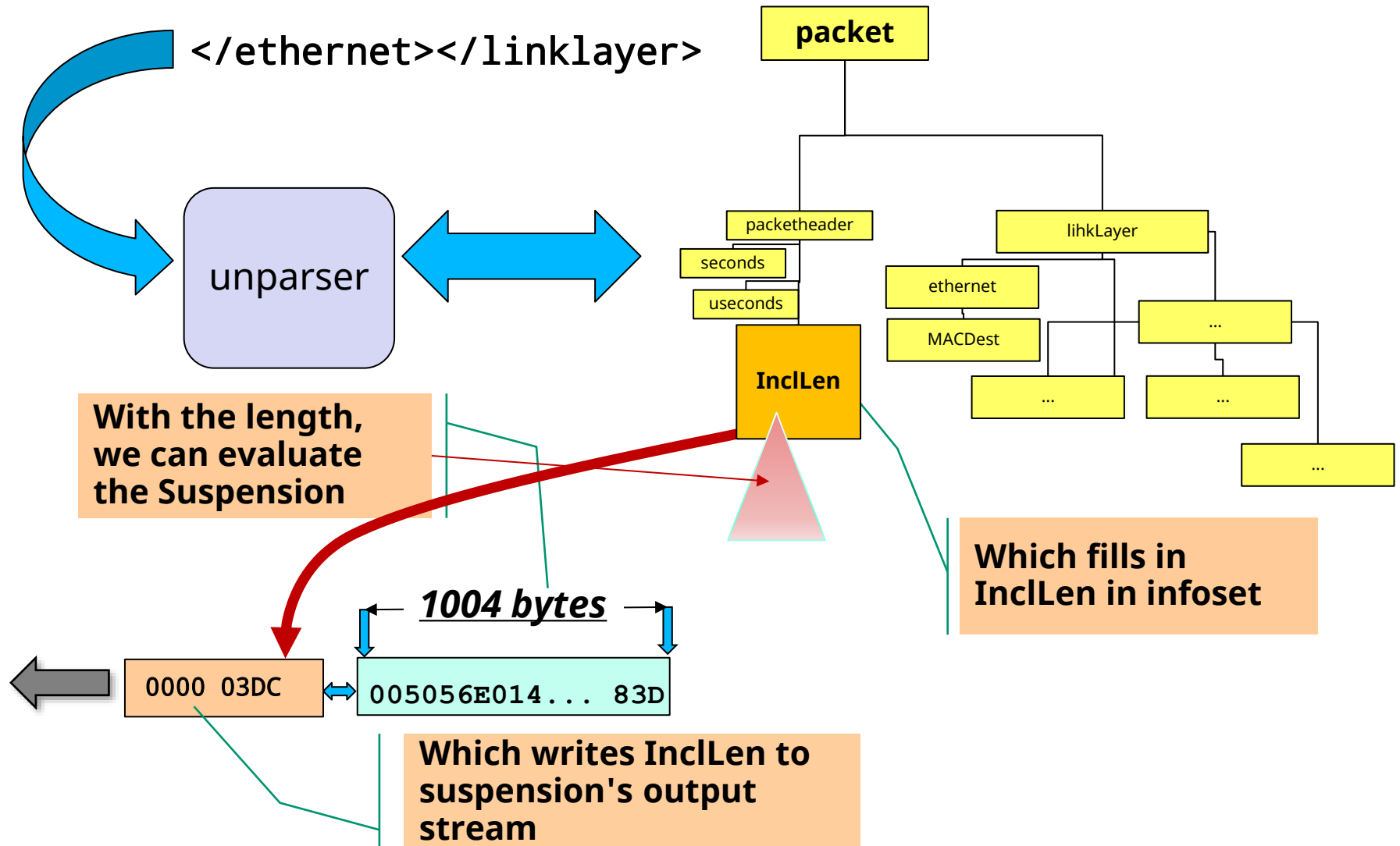
# PCAP Streaming Unparsing Illustration



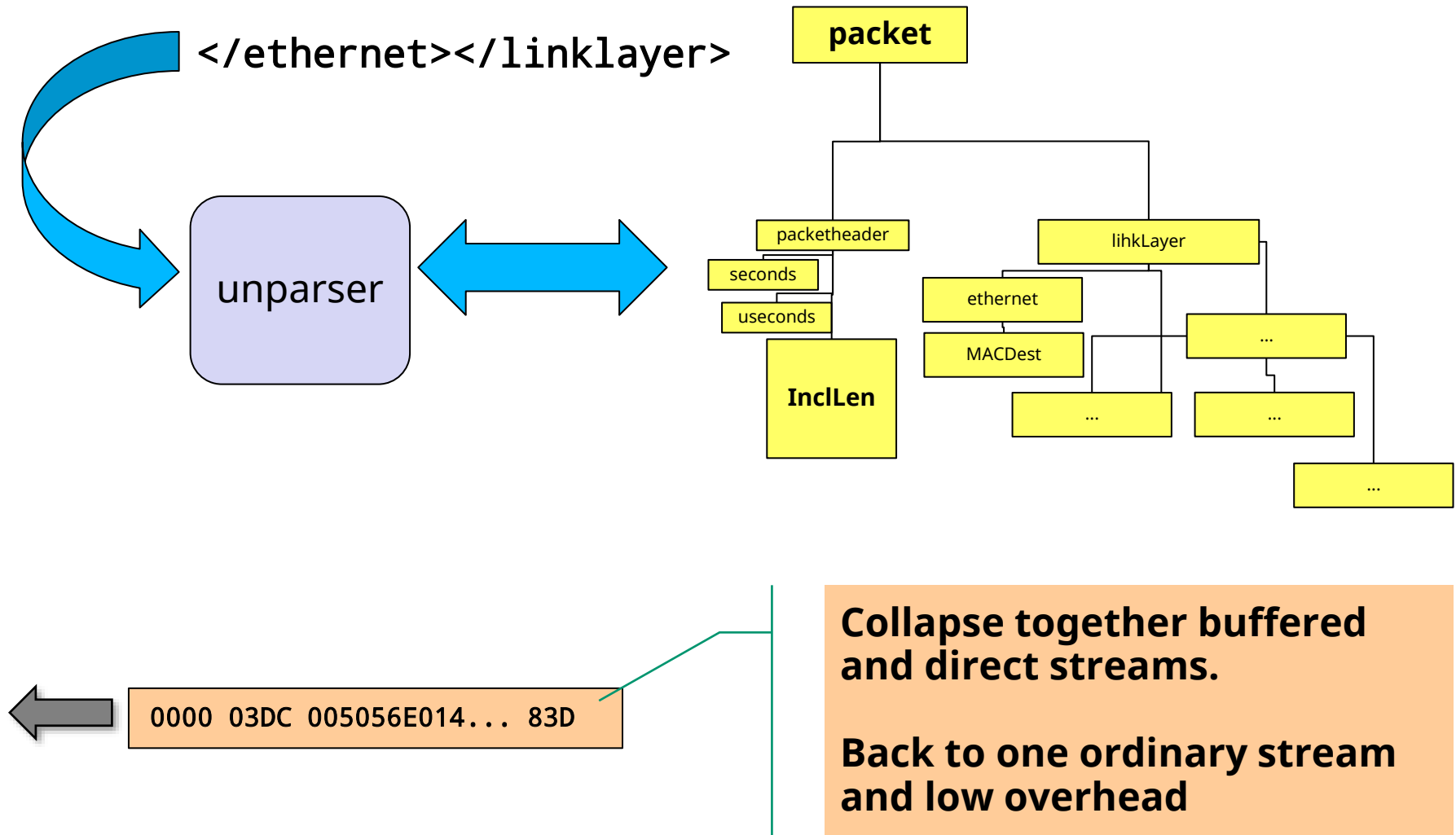
# PCAP Streaming Unparsing Illustration



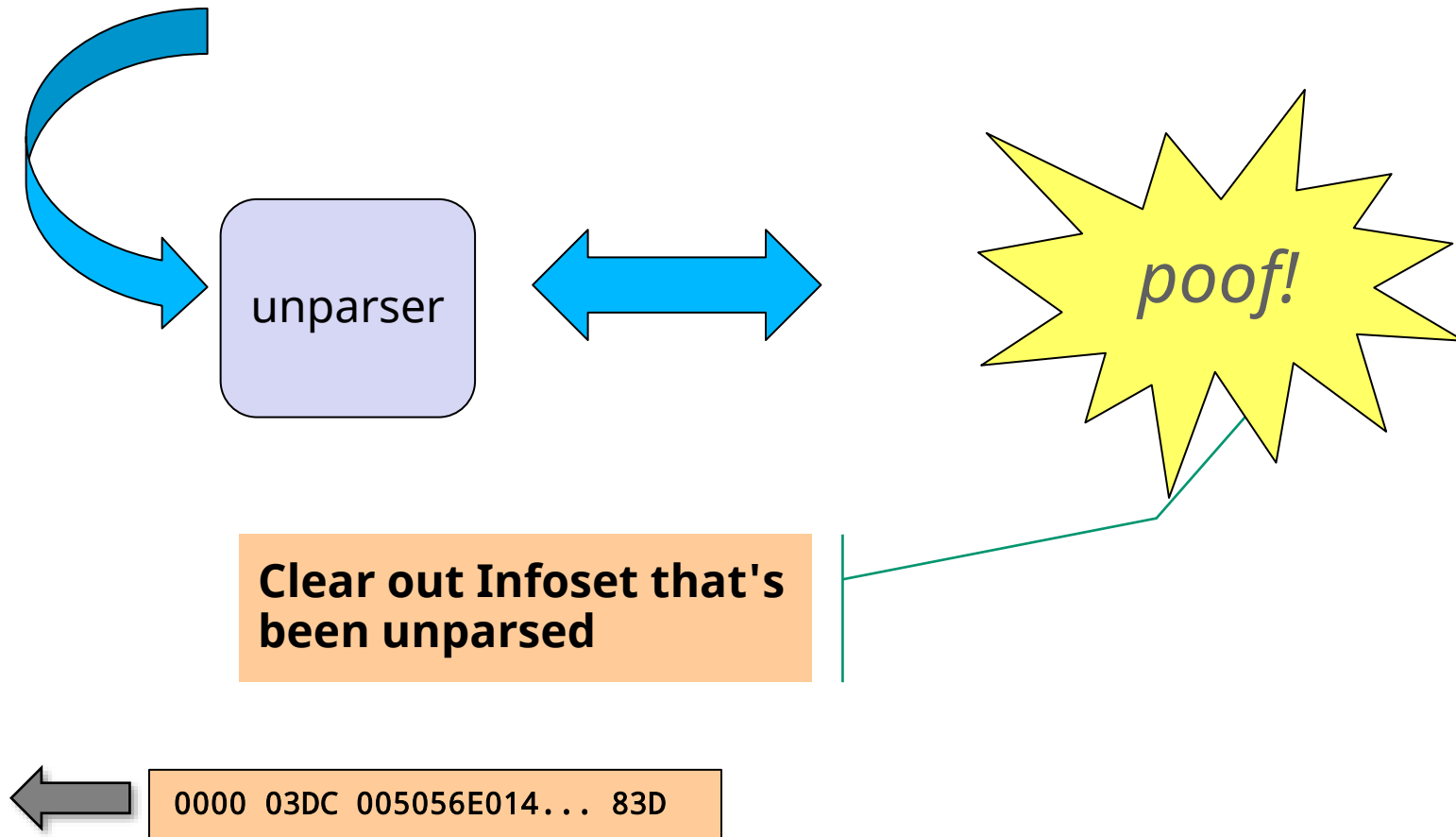
# PCAP Streaming Unparsing Illustration



# PCAP Streaming Unparsing Illustration



# PCAP Streaming Unparsing Illustration



# Streaming Unparsing Illustration

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In summary

- Unparsing in DFDL is quite complex
- IMHO: Harder than parsing
- DFDL is much more expressive than other format description languages
  - `dfdl:outputValueCalc` - computed value elements
- Applications can truly be unaware of stored-lengths - leave that up to Daffodil!



# Why is DFDL Needed?

There are *hundreds* of ad-hoc data format description systems

## Every Enterprise Software Company

- IBM (10+)
- Oracle(10+)
- SAP(10+)
- Microsoft
- SAS
- Informatica
- SyncSort
- AbInitio
- Pervasive
- Qlik/Exp
- Pentaho
- .... Dozens more

## Every kind of software that takes in data:

(makers)

Software world is very different now.

All this proliferation of redundant engineering can be avoided in the future.

DFDL Language Standard + 300K lines of Apache Licensed Daffodil can eliminate this data format problem ***finally.***

Even within products of the same company!

# Collaborators Needed

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- Apache Daffodil (Incubating)
  - Growing our Community
    - To graduate from incubator to full Apache project status requires committers from more organizations
    - Scala programmers wanted
  - Another mentor or two....
- DFDL Schemas
  - Join github DFDLSchemas community

# Conclusion



## Daffodil and DFDL

We can finally end the data format problem

## DFDL

Basics

Innovations: calculated elements

What it can and cannot do (yet)

Daffodil 

Big Scala code base contains - Compiler, Runtime, Test system, many tests

Runtime - Unparser with calculated elements requires sophisticated co-routine-style behavior

Knarly (Gnarly?) Data BoF  
Tonight 7pm (19:00)  
Terrasse Room

# Got Gnarly Data? - Come to BoF Session!

Wednesday 19:00 Terrasse

## Use Apache Daffodil (Incubating) to parse your data into XML/JSON

- Bring an example of gnarly data
- We will create a schema for it
- Prize for gnarliest data format

# Questions?

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Knarly (Gnarly?) Data BoF  
Tonight 7pm (19:00)  
Terrasse Room

DFDL Specification:

<http://ogf.org/dfdl>

DFDL Schemas:

<https://github.com/DFDLSchemas>

Daffodil Open Source:

<https://daffodil.apache.org>

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SoftwareAG and webMethods are trademarks of SoftwareAG

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# END

Extra slides may follow for use in optional discussion.

# DFDL Doesn't Do...

Limitations, Intended or Otherwise

# Things DFDL (v1.0) Does

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## ***DFDL is for Data Sets***

- Things typically thought of as files full of data about XYZ.
  - Rows, Tables
  - Header-Body-Trailer
  - Hierarchical / Nested record-oriented data
  - Messages
- Industry & Military data interchange formats
  - HL7, HIPAA-5010, SWIFT, NACHA, X25, Link16, etc.



# Things DFDL (v1.0) Doesn't Do

- DFDL v1.0 was ***not originally*** intended for:
  - Document file formats  
e.g., MS Office documents (.doc), or RTF, PDF
  - Archives like zip files or tar files
  - Core dump/memory image format
  - Storage format of a RDBMS table
  - Graphs of pointers - object graphs dumped from memory

# Current DFDL v1.0 Language Limitations

## Recursive types

- DFDL v1.0 *not* a *Turing-Complete* language
- On purpose - it's a feature, not a bug



## Position of elements "by offset"

- Random jumping around data
- Ex: TIFF file format
  - TIFF cannot be described in DFDL v1.0

Thanks to  
<http://langsec.org/occupy/>

**TRESYS**  
Deep.

# Why is DFDL Needed?

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Q But what about...

- Apache Avro
- Apache Thrift
- Google GPBs
- ASN.1 BER (or PER/DER/XER)

A Those are great, but are *prescriptive*.

They don't describe formats, they *are* data formats themselves.

We need a *descriptive* language.

# Things DFDL (v1.0 + *BLOB*) Does

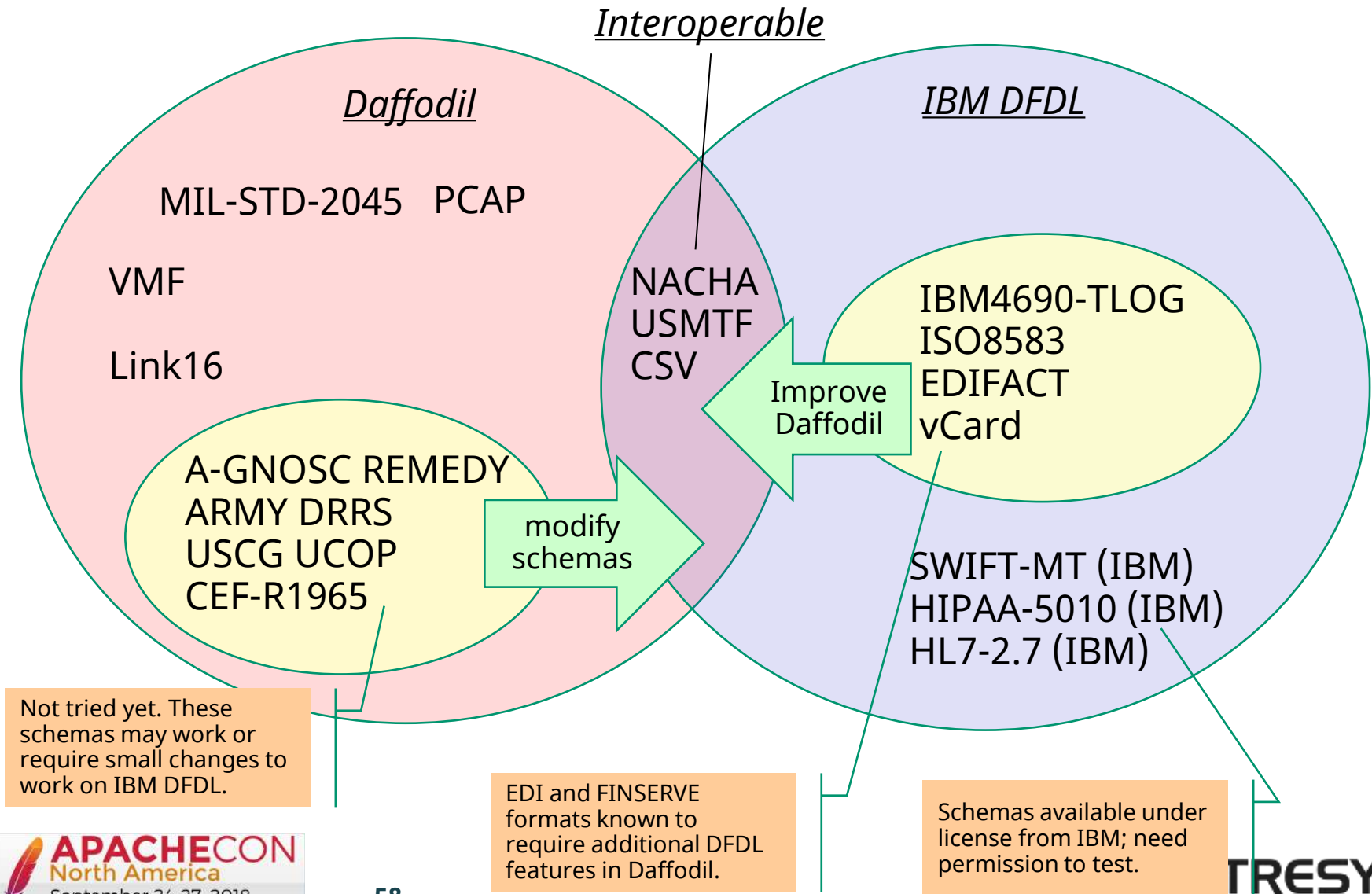
## *DFDL is for Images and Video*

- Originally not in scope
- Large user demand to use DFDL on the metadata content of image file formats
  - Cybersecurity applications
- Adding BLOB (Binary Large Object) feature to DFDL language to enable DFDL to describe image files

# Daffodil Future Development

- Cross-validation/test with IBM DFDL
  - Interop test on all IBM-created DFDL schemas
- Tutorials on writing/debugging DFDL schemas
- Improved trace and debug
- Full SAX-style streaming behavior for parsing, unparsing
- Faster schema compilation for large schemas
- Integrate into more frameworks
- Extensions: recursion, BLOB/CLOB, table-lookup,...

# Interoperability – Daffodil & IBM



# Why is DFDL Needed? - ASN.1 ECN

What about ASN.1 Encoding Control Notation?

- Already an ISO Standard (since 2008)
- Conceptually similar
  - Logical schema language + notations for physical representation
- Very different in the details.
- Developers [ Love | Hate ] [ ASN.1 | XML ]

Differences that matter:

- ASN.1 ECN
  - No open source implementation (as of 2018-08-29)
  - Extension of a binary data standard ASN.1 BER/PER/DER
  - Goal to describe legacy protocol messages
- DFDL
  - Open source Daffodil implementation
  - Extension of a textual data standard XML
  - Goal to be union of data integration tool capabilities for format description