



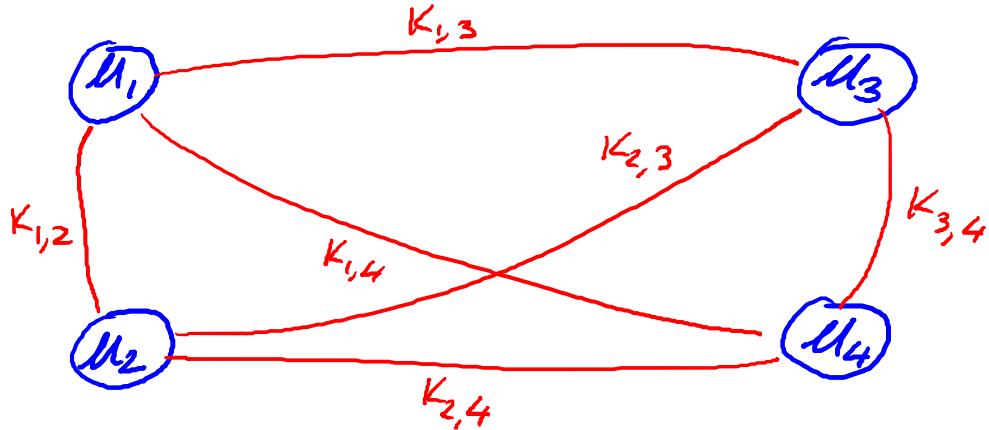
# Public Key Encryption

*Jong Hwan Park*

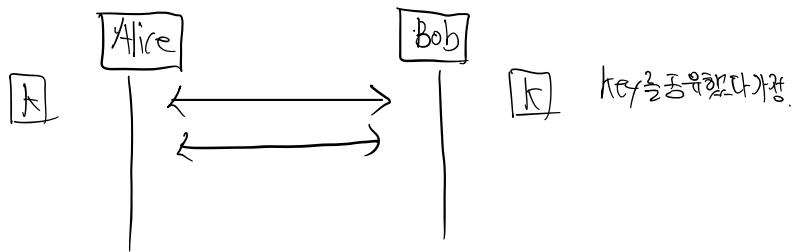
# Key management

Problem: n users. Storing mutual secret keys is difficult

상호간에 키를 공유해야 한다.



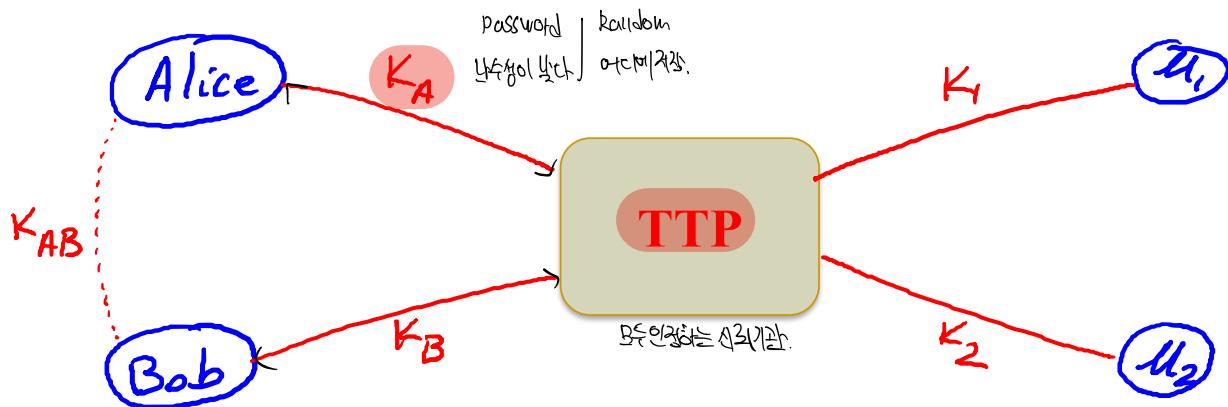
Total:  $O(n)$  keys per user



# A better solution

## Online Trusted 3<sup>rd</sup> Party (TPP)

암호 단점을 극복.



Every user only remembers one key.

장점 : 모든 사용자는 하나의 key만 (TPP와 공유하는 키) 공유하지만 된다.  $\hookrightarrow$  이 외의 사용자와 key 공유 X.

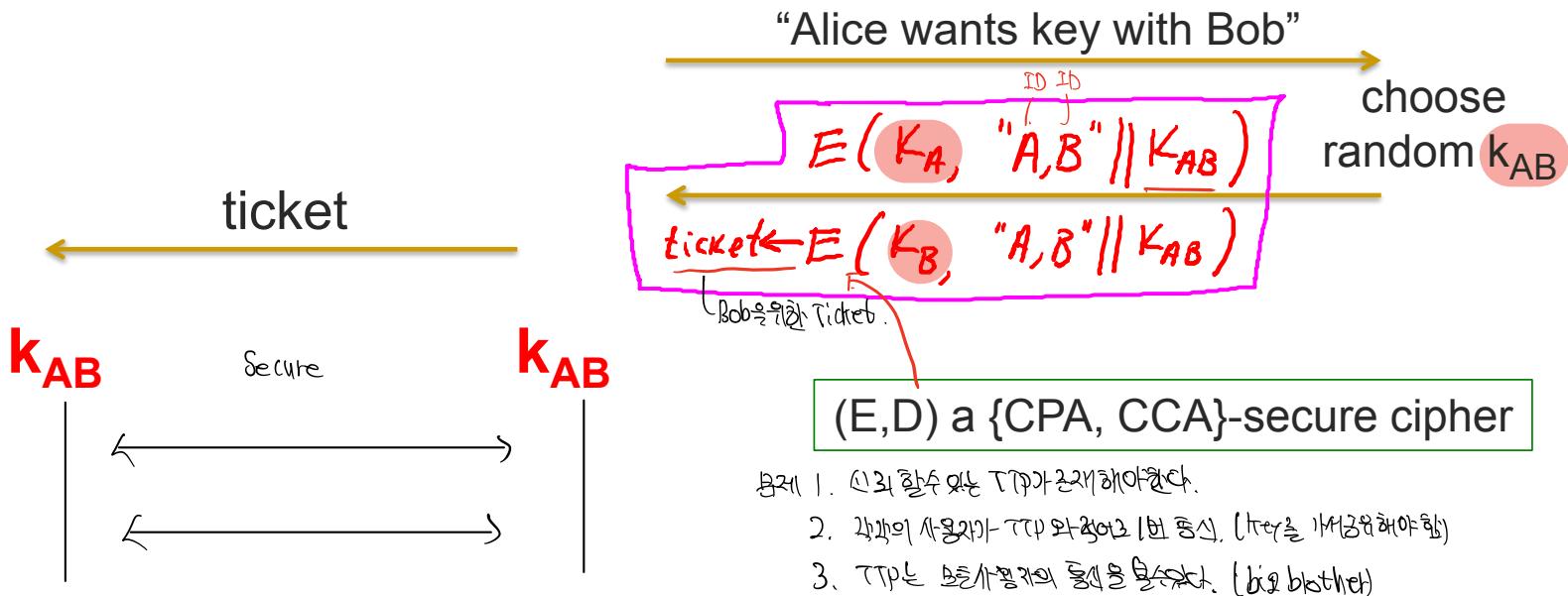
# Generating keys: a toy protocol

Alice wants a shared key with Bob.

Bob ( $k_B$ )

Alice ( $k_A$ ) = TTP로 초기화 키

TTP



# Generating keys: a toy protocol

Alice wants a shared key with Bob. Eavesdropping security only.

Eavesdropper sees:  $E(k_A, "A, B" \parallel k_{AB})$  ;  $E(k_B, "A, B" \parallel k_{AB})$

$(E, D)$  is CPA-secure  $\Rightarrow$

eavesdropper learns nothing about  $k_{AB}$

$(E, D)$  is CCA-secure  $\Rightarrow$  //

Note: TTP needed for every key exchange, knows all session keys.

(basis of Kerberos system, later)

# Key question

Can we generate shared keys without an **online** trusted 3<sup>rd</sup> party?

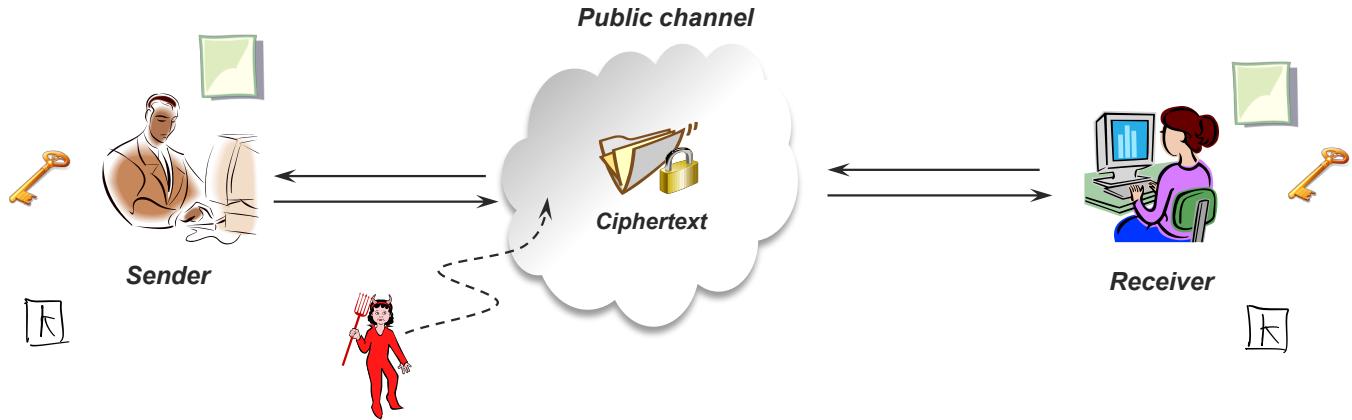
인터넷으로 허위하고, 제3의 신뢰가 필요 없는 키를 공유할 수 있다.

Answer: yes!

Starting point of public-key cryptography:

- Diffie-Hellman (1976), // RSA (1977), ElGamel (1979)
- More recently: ID-based enc. (BF 2001), Functional enc. (BSW 2011)

# Limitations of Symmetric-key Cryptography

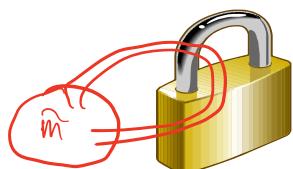


- Three problems that arise when using SKE (alone)
  - Key distribution
  - Managing so many secret keys, i.e., O(n) keys for each user
    - In 'closed systems' where physical means are possible
  - Inapplicability in 'open' systems

사용자는 자신의 PK 와 SK를 가짐.  
SK는 일정한 형태로 저장되어야 한다.  
→ 암호화

# The Public-Key Revolution

- “New Directions in Cryptography” – Diffie and Hellman in 1976
  - Observed that there is a natural asymmetry in the world
  - Proposed three public-key primitives:
    - Public-key encryption
    - Digital signatures
    - Key exchange
- In public-key encryption schemes,
  - *Public key(PK) can be public (available even to adversary)*
  - How can PKE addresses the (three) limitations of SKE?



Public Key (PK)

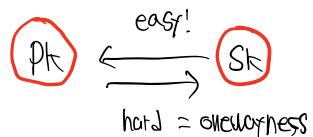
누구나가 접근할 수 있는 공개 키

수신자로 충돌연결



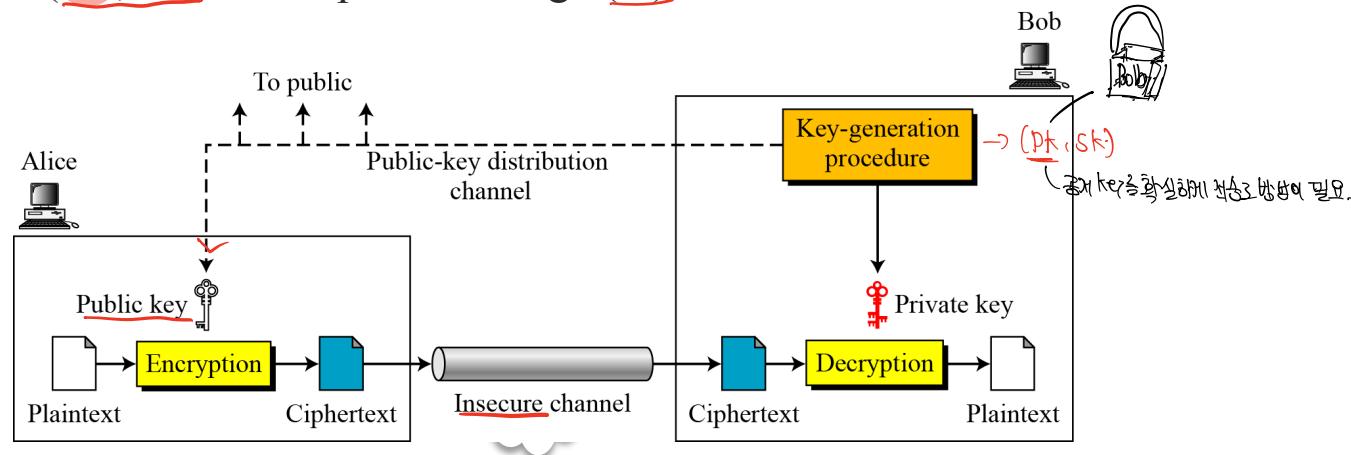
Secret Key (SK)

열쇠를 가진 이끼 사용자에게만



# Public-Key Encryption (PKE)

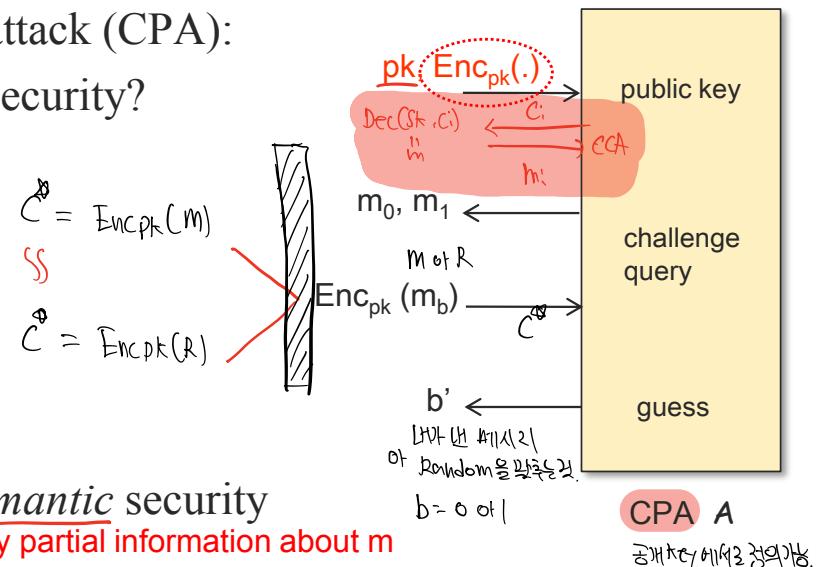
- Definition of PKE = (Gen, Enc, Dec)
  - Gen( $1^n$ ) → a public key (PK) and a private key (SK)  
영적 핵심 [○ Enc(PK, m) → a ciphertext (CT)  
○ Dec(SK, CT) → output a message (m)



- PK is the receiver(Bob)'s public key
  - How can sender(Alice) obtain PK?
  - Need authenticated channel for distributing PK (why?)

# Security of PKE

- Adversary is given a public-key PK, meaning that:
  - Adversary can encrypt messages of its choice (for free) (why?)
    - Can manipulate Enc algorithm at its will
  - Defining chosen-plaintext attack (CPA):
  - How about defining CCA-security?



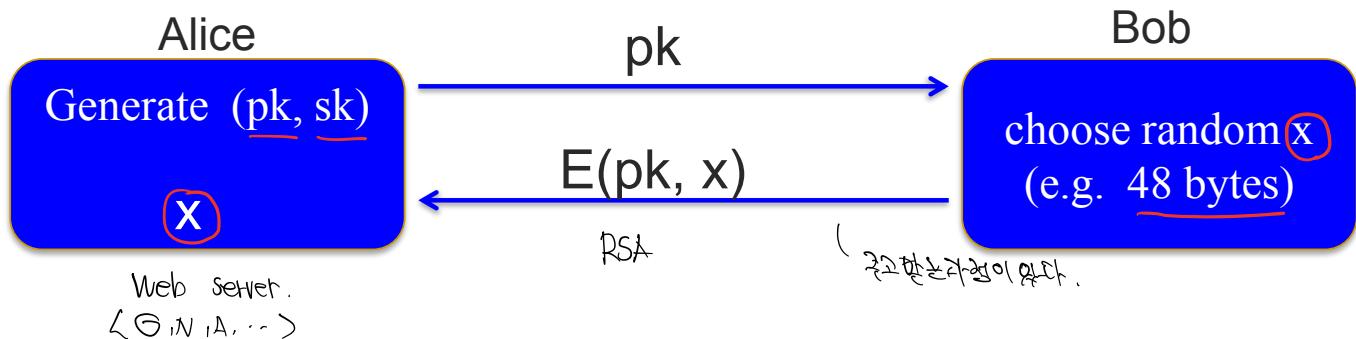
- We use the fact that:
  - IND-CPA security vs. Semantic security  
구별하기 힘들.  
Any partial information about  $m$
  - Theorem:

An encryption scheme is IND-CPA (or IND-CCA) secure iff an encryption scheme is semantically secure

$\text{RCA wins}] \doteq \frac{1}{2}$

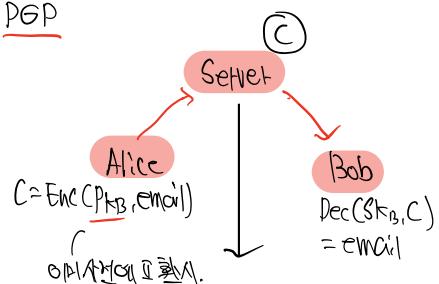
# Applications

## ◆ Key exchange (e.g. in HTTPS)



## ◆ Non-interactive applications: (e.g. Email) <sup>"PGP"</sup>

- Bob sends email to Alice encrypted using  $pk_{alice}$
- Note: Bob needs  $pk_{alice}$  (public key management)

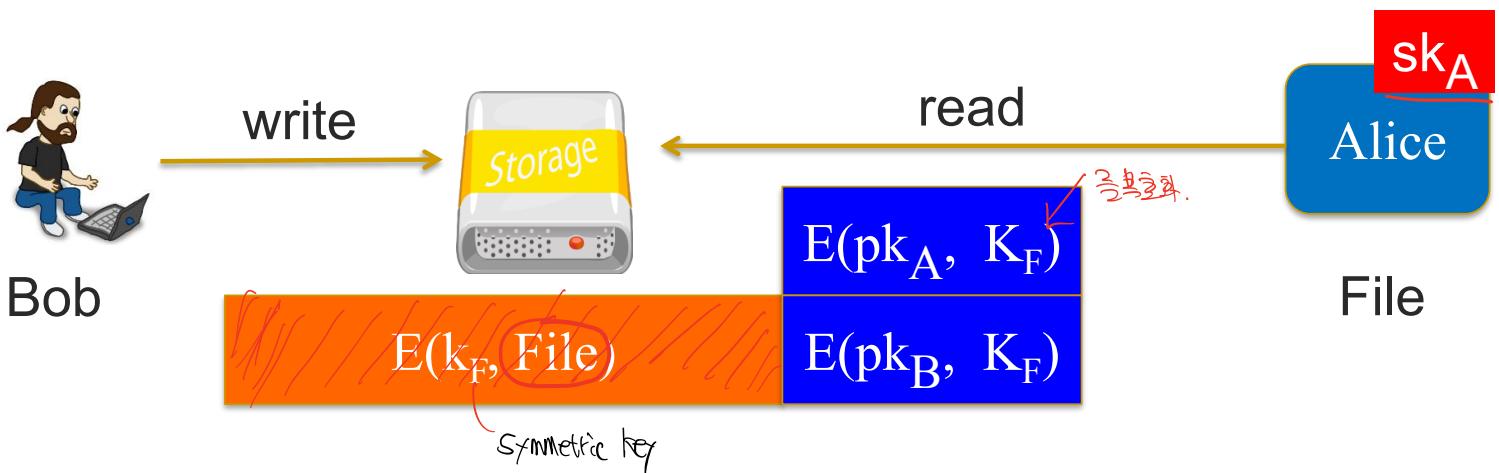
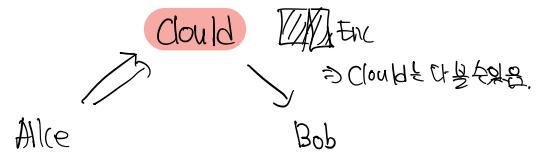


# Applications

Key exchange (e.g. in HTTPS)

Encryption in non-interactive settings:

- Secure Email: Bob has Alice's pub-key and sends her an email
- Encrypted File Systems (e.g. secure Cloud)



# Key Exchange(1)

- Establishing a shared secret

Alice

$$(\text{pk}, \text{sk}) \leftarrow G()$$

Bob

"Left (왼쪽)"

"Alice",  $\text{pk}$

low data를 주다면, CPA에게는 풀릴 가능

but man-in-the-middle는 X.

choose random  
 $x \in \{0,1\}^{128}$

"Bob",  $c \leftarrow E(\text{pk}, x)$

$D(\text{sk}, c) \rightarrow x$

$x$ : shared secret

# Key Exchange(2)

- Security (only eavesdropping)  
드롭 CPA

Adversary sees  $\text{pk}$ ,  $\underline{\text{E(pk, x)}}$  and wants  $\text{x} \in M$

Semantic security  $\Rightarrow$   
adversary cannot distinguish

$\{ \text{pk}, \underline{\text{E(pk, x)}}, \text{x} \}$  from  $\{ \text{pk}, \underline{\text{E(pk, R)}}, \text{R} \in M \}$

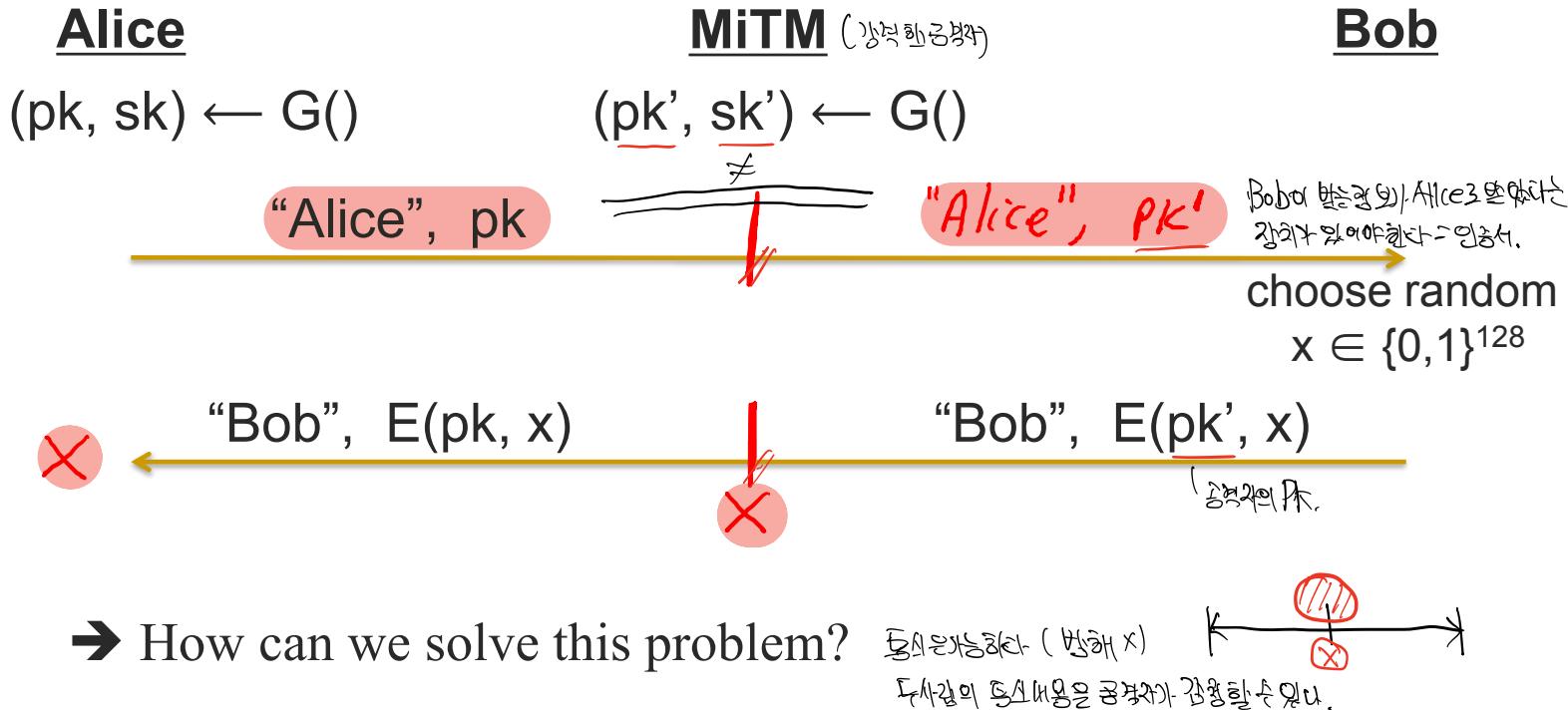
$\Rightarrow$  can derive session key from  $\text{E(pk, x)}$ .

Note: protocol is vulnerable to man-in-the-middle attack

# Key Exchange(3)

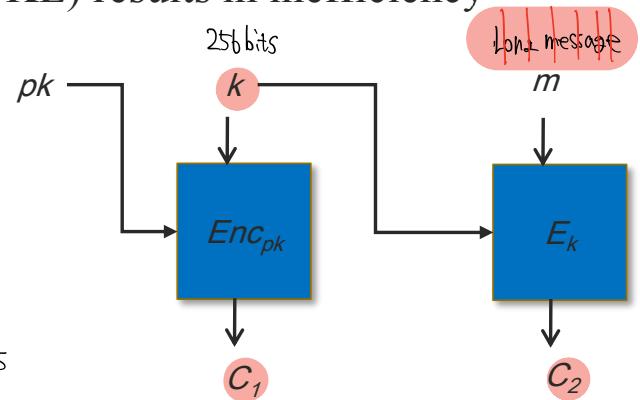
- Insecure against man in the middle attack

As described, the protocol is insecure against active attacks



# Encryption in Non-Interactive Settings

- SKE is significantly more efficient than PKE
  - Encrypting long messages (only using PKE) results in inefficiency  
길 메세지를 암호화하는 데 효율적이지 않다.
- Hybrid encryption (= PKE+SKE)
  - How to decrypt CT = (C<sub>1</sub>, C<sub>2</sub>)
  - Widely used in practice



$$C = \text{Enc}(pk, m)$$

2048 bits  
1000 bits

Construction of  $\text{PKE}^{\text{hy}} = (\text{Gen}^{\text{hy}}, \text{Enc}^{\text{hy}}, \text{Dec}^{\text{hy}})$

1.  $\text{Gen}^{\text{hy}}(1^n)$ : runs  $\text{Gen}(1^n)$  to output  $(pk, sk)$
2.  $\text{Enc}^{\text{hy}}(pk, m)$  : on input  $(pk, m)$ :
  - (1) Choose a random  $k \leftarrow \{0,1\}^n$
  - (2) Compute  $C_1 \leftarrow \text{Enc}_{pk}(k)$  and  $C_2 \leftarrow \text{Enc}_k(m)$  and output  $CT = (C_1, C_2)$
3.  $\text{Dec}^{\text{hy}}(sk, CT)$  : on input  $(sk, CT = (C_1, C_2))$ :
  - (1) Compute  $k \leftarrow \text{Dec}_{sk}(C_1)$
  - (2) Output the message  $m \leftarrow \text{Dec}_k(C_2)$

# RSA Encryption(1)

$n = p \cdot q$   $\xrightarrow{\text{hard}}$   $p, q \Rightarrow \text{one-way!}$   
 $\xleftarrow{\text{easy!}}$

가네다음에  $p, q$ 를 구할 수 있으면 수학적으로  $e \rightarrow$  이를 구할 수 있다.

정수론에서 배운 개념.

$\Rightarrow$  단순 암호화 문제. PK와 SK는 수학적으론 연관.

$\begin{matrix} \text{PK} \\ (n = p \cdot q, e) \end{matrix} \xrightarrow{\quad} \begin{matrix} \text{SK} \\ d \end{matrix}$

$e \cdot d \equiv 1 \pmod{\phi(n)}$  : 기수에서 만족하는 사칙연산.

대량화에서 112bit 사용과 같다.

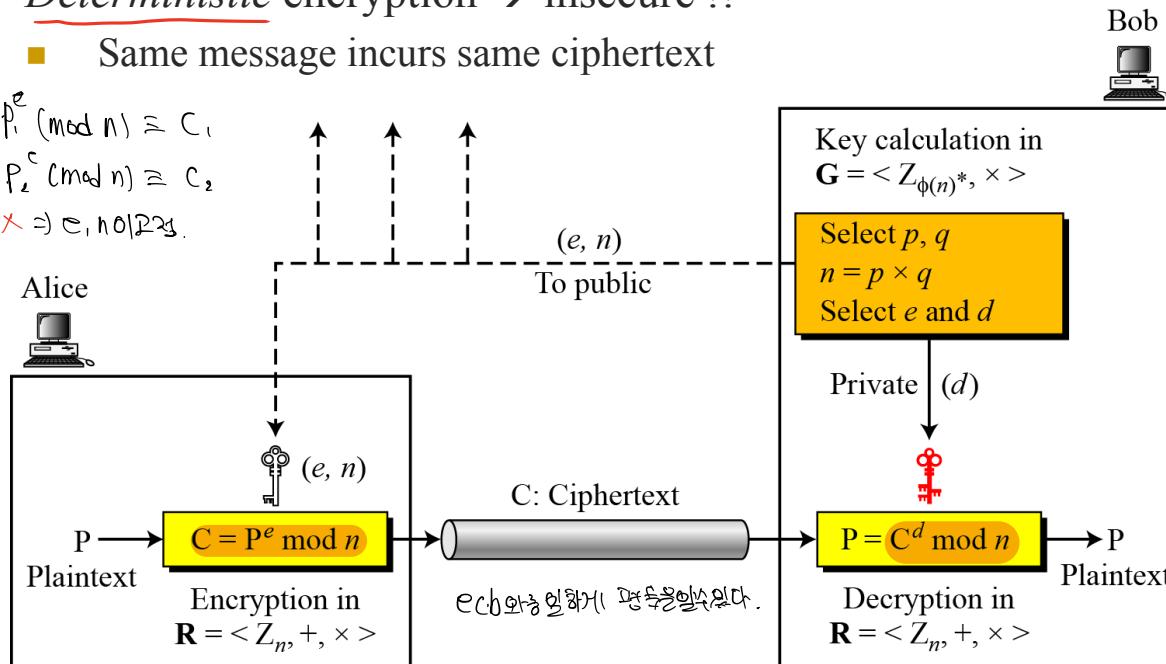
## Textbook RSA encryption (never use!)

- PK = (n, e) and SK = (d)
  - $p, q = 1024$  bits and thus  $n = 2048$  bits (at the 112-bit security level)
- Enc and Dec algorithms require only one exponentiation
- Deterministic encryption  $\rightarrow$  insecure !!
  - Same message incurs same ciphertext

$$p_1 = \text{Hello!} \rightarrow p_1^e \pmod{n} \equiv c_1$$

$$p_2 = \text{Worldy} \rightarrow p_2^e \pmod{n} \equiv c_2$$

$\Rightarrow$  random  $\times$   $\Rightarrow$   $c_1, c_2$  같은데.



Key calculation in  
 $\mathbf{G} = \langle Z_{\phi(n)}, \times \rangle$

Select  $p, q$   
 $n = p \times q$   
Select  $e$  and  $d$

Private  $(d)$

$(P^e)^d \pmod{n}$   
 $\equiv P^{ed} \pmod{n}$   
 $\equiv P \pmod{n}$

$P = C^d \pmod{n}$   
Decryption in  
 $\mathbf{R} = \langle Z_n, +, \times \rangle$

Bob



$$\begin{aligned} & (P^e)^d \pmod{n} \\ & \equiv P^{ed} \pmod{n} \\ & \equiv P \pmod{n} \end{aligned}$$

# RSA Encryption(2)

## ■ Key generation

### RSA\_Key\_Generation

{

Select two large primes  $p$  and  $q$  such that  $p \neq q$ .

$n \leftarrow p \times q$

$\phi(n) \leftarrow (p - 1) \times (q - 1)$

Select  $e$  such that  $1 < e < \phi(n)$  and  $e$  is coprime to  $\phi(n)$

$d \leftarrow e^{-1} \bmod \phi(n)$  //  $d$  is inverse of  $e$  modulo  $\phi(n)$

Public\_key  $\leftarrow (e, n)$  // To be announced publicly

Private\_key  $\leftarrow d$  // To be kept secret

return Public\_key and Private\_key

}

$$(P^e)^d \equiv P \pmod{n}$$

## ■ Number theory behind RSA

If  $n = p \times q$ ,  $a < n$ , and  $k$  is an integer, then  $a^{k \times \phi(n)+1} \equiv a \pmod{n}$ .

$$P_1 = C^d \bmod n = (P^e \bmod n)^d \bmod n = P^{ed} \bmod n$$

$$ed = k\phi(n) + 1 \quad // d \text{ and } e \text{ are inverses modulo } \phi(n)$$

$$P_1 = P^{ed} \bmod n \rightarrow P_1 = P^{k\phi(n)+1} \bmod n$$

$$P_1 = P^{k\phi(n)+1} \bmod n = P \bmod n \quad // \text{Euler's theorem (second version)}$$

# Modular Exponentiation: $m^e$ , $C^d \pmod{n}$

- Very big numbers when computing  $m^e$  &  $C^d \pmod{n}$

- For instance,  $|m|$  &  $|C| = \underline{2048}$  bits
- $|e|$  or  $|d| = \underline{2048}$  bits



- How to perform modular exponentiation in an efficient way?

- Square-and-multiply method
- Idea: if we calculate  $a^{32} \pmod{n}$ , then we can compute

$$a \longrightarrow a^2 \longrightarrow a^4 \longrightarrow a^8 \longrightarrow a^{16} \longrightarrow a^{32}$$

in 5 steps by repeated squaring

- Can compute  $a^b \pmod{n}$  in k steps when  $b=2^k$
- But what if b is not a power of 2 ?

$$\begin{cases} a \cdot a = a^2 \\ a^2 \cdot a^2 = a^4 \\ a^4 \cdot a^4 = a^8 \\ a^8 \cdot a^8 = a^{16} \\ a^{16} \cdot a^{16} = a^{32} \end{cases}$$

5步의 곱셈  
 $\therefore a^{2^k}$  이면 계산 가능하다.

# Square-and-multiply method

- Algorithm:

- Let  $\text{bin}(n) = b_{k-1} \dots b_0$  be the binary representation of  $n$ , meaning

$$n = \sum_{i=0}^{k-1} b_i 2^i$$

$$\begin{aligned} 37 &= 100101_2 \\ &\quad \downarrow \quad \downarrow \quad \downarrow \\ 37 &= 32 + 4 + 1 \\ &= a^2 + a^2 + a^0 \\ &= \overbrace{a^2 \cdot a^2 \cdot a^0}^{\alpha} \\ &= a^0 \cdot a^2 \cdot a^2 \cdot a^0 \\ &= a^0 \cdot a^2 \cdot a^4 \cdot a^0 \longrightarrow 0 \\ &= a^0 \cdot a^4 \cdot a^8 \cdot a^0 \longrightarrow 0 \\ &= a^0 \cdot a^4 \cdot a^{16} \cdot a^0 \longrightarrow 0 \\ &= a^{32} \end{aligned}$$

**Alg** EXP<sub>G</sub>( $a, n$ ) //  $a \in G, n \geq 1$

$b_{k-1} \dots b_0 \leftarrow \text{bin}(n)$

$y \leftarrow 1$

for  $i = k - 1$  downto 0 do  $y \leftarrow y^2 \cdot a^{b_i}$

return  $y$

- Can be applied to other schemes that require modular exponentiation

# Padded RSA

- Textbook RSA is insecure under CPA (or CCA) adversary
- An improved approach: padded RSA

- Gen( $1^n$ ): output  $\text{PK} = (n, e)$  and  $\text{SK} = (d)$  풍선

- Enc( $\text{PK}, m$ ):

- Choose a random string  $r \leftarrow \{0,1\}^{|n|-l}$

- Output a ciphertext  $C = (r \parallel m)^e \pmod{n}$

$$\boxed{\begin{array}{|c|c|}\hline \text{|||||} & m \\ \hline \end{array}}^e \pmod{n}$$

- Dec( $\text{SK}, C$ ):

- Compute  $\cancel{r} \parallel \cancel{m} = C^d \pmod{n}$

$$\boxed{\begin{array}{|c|c|}\hline \cancel{r} & m \\ \hline \end{array}} =$$

but  
문제가 있다.

- Output message  $m$  by taking the  $l$  LSBs of  $r \parallel m$

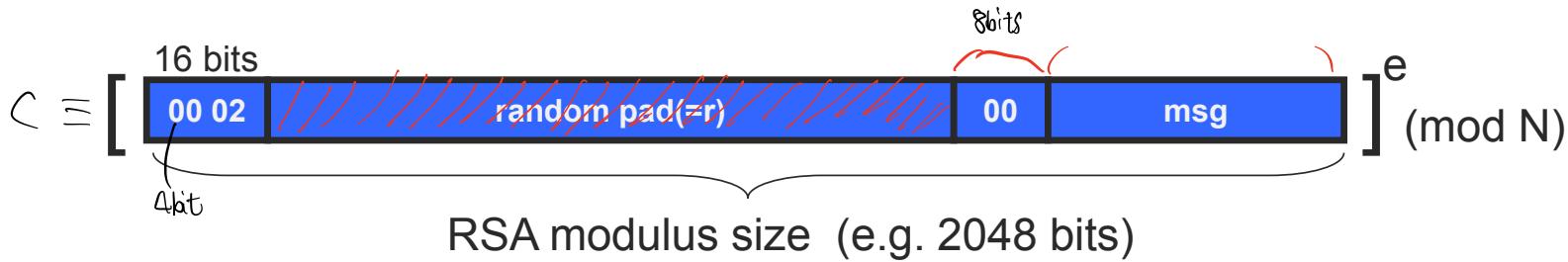
- Note:

- If message length  $l$  is too large, padded RSA is not CPA-secure (why?)
  - If  $l$  is very small, it is proven that

Padded RSA is CPA-secure under RSA problem

# PKCS #1 v1.5

- PKCS(Public-Key Cryptography Standard)#1 version 1.5
  - A widely-used and standardized encryption (e.g. in HTTPS)
  - Utilize preprocessing, similar to (essentially) padded RSA encryption



- r is a randomly-generated string (where |r| is at least 46 bytes)
- PKCS #1 v1.5 is believed to be CPA-secure, although no proof
- Subsequently, it is shown that PKCS #1 v1.5 is not CCA-secure
  - Motivate a change to a new standard called 'RSA-OAEP' PKCS#1 Ver 2.
  - But, PKCS#1 v1.5 is still widely deployed for backwards-compatibility

# PKCS#1 v2.0: RSA-OAEP

※ 시험

- Optimal Asymmetric Encryption Padding (OAEP)
  - New preprocessing function

M: Padded message

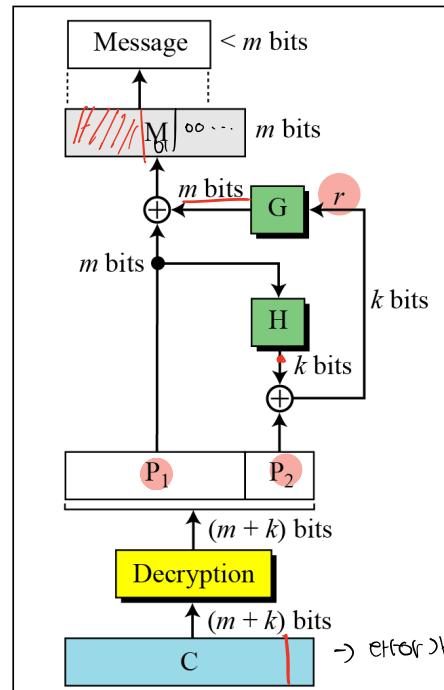
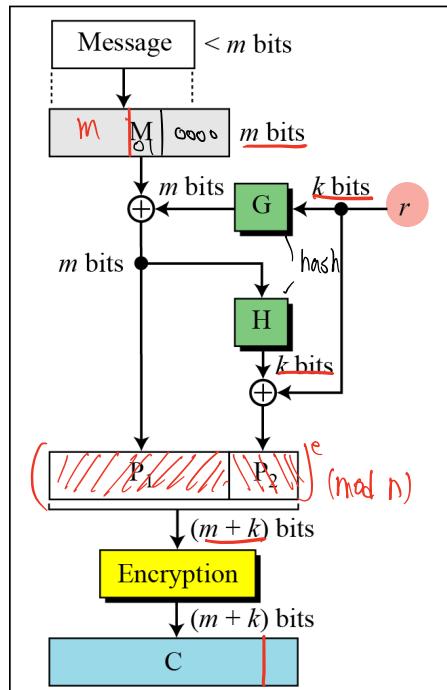
r: One-time random number

P: Plaintext ( $P_1 \parallel P_2$ )

C: Ciphertext

G: Public function ( $k$ -bit to  $m$ -bit)

H: Public function ( $m$ -bit to  $k$ -bit)



$M = \boxed{\text{msg}} \ 01 \ 00..0$

Check pad on decryption  
→ reject CT if invalid

In practice, use SHA-256  
for H and G

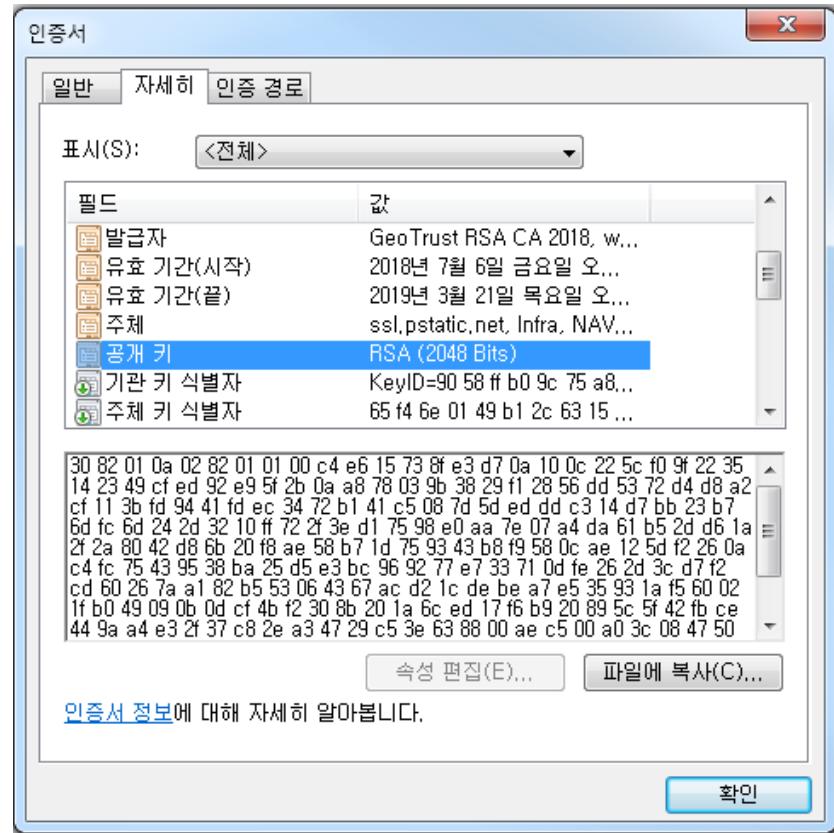
Under RSA assumption,  
RSA-OAEP is CCA-secure

Sender

Receiver

→ error 가 발생하는 경우.

# Example of RSA Public-Key



# RSA With Low public exponent

$$e \cdot d \equiv 1 \pmod{\phi(n)}$$

$\uparrow \downarrow$   
 $pk = (n, e)$   
2048bit

( $\phi(n)$ 은 2048비트로 훨씬 작습니다.)

To speed up RSA encryption use a small  $e$ :  $c = m^e \pmod{N}$

- Minimum value:  $e=3$  ( $\gcd(e, \phi(N)) = 1$ )
- Recommended value:  $e=65537=2^{16}+1$  10bit  $| 0000 | 0000 | 0000 | 0001_{(2)}$

Encryption: 17 multiplications

$$d \Rightarrow 2048 \text{ bits}$$

성질. Asymmetry of RSA: fast enc. / slow dec.

$$m = C^d \pmod{n}$$

평가 층으로서 전송은 상당히 느립니다.

- ElGamal encryption (next): approx. same time for both.

# NIST-recommended Key Lengths

Security of public key system should be comparable to security of symmetric cipher:

<u>Cipher key-size</u>		<u>RSA Modulus size</u>
80 bits	↔	1024 bits
112 bits	↔	2048 bits <small>← only ref 80b.</small>
128 bits		3072 bits
256 bits (AES)	↔	<u>15360</u> bits

# Implementation attacks

$$C = C^{32} \cdot C^4 \cdot C^1 \pmod{n} = m$$

$$\begin{aligned} C &= C^1 &\rightarrow & \bullet \\ C \cdot C &= C^2 &\rightarrow & \times \\ C^2 \cdot C^1 &= C^3 &\rightarrow & \bullet \\ C^3 \cdot C^1 &= C^4 &\rightarrow & \times \\ C^4 \cdot C^1 &= C^{13} &\rightarrow & \times \\ C^{13} \cdot C^1 &= C^{32} &\rightarrow & \bullet \end{aligned}$$

**Timing attack:** [Kocher et al. 1997] , [BB'04]

The time it takes to compute  $c^d \pmod{N}$  can expose  $d$

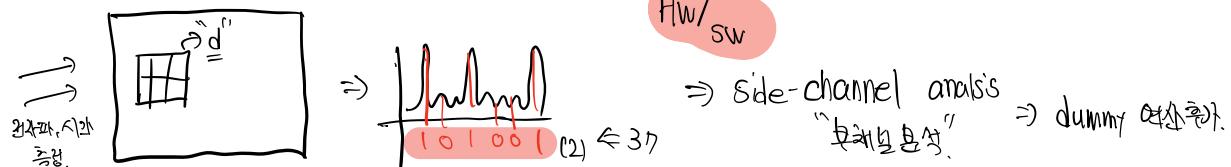
**Power attack:** [Kocher et al. 1999)

The power consumption of a smartcard while it is computing  $c^d \pmod{N}$  can expose  $d$ .

**Faults attack:** [BDL'97]

A computer error during  $c^d \pmod{N}$  can expose  $d$ .

A common defense:: check output. 10% slowdown.



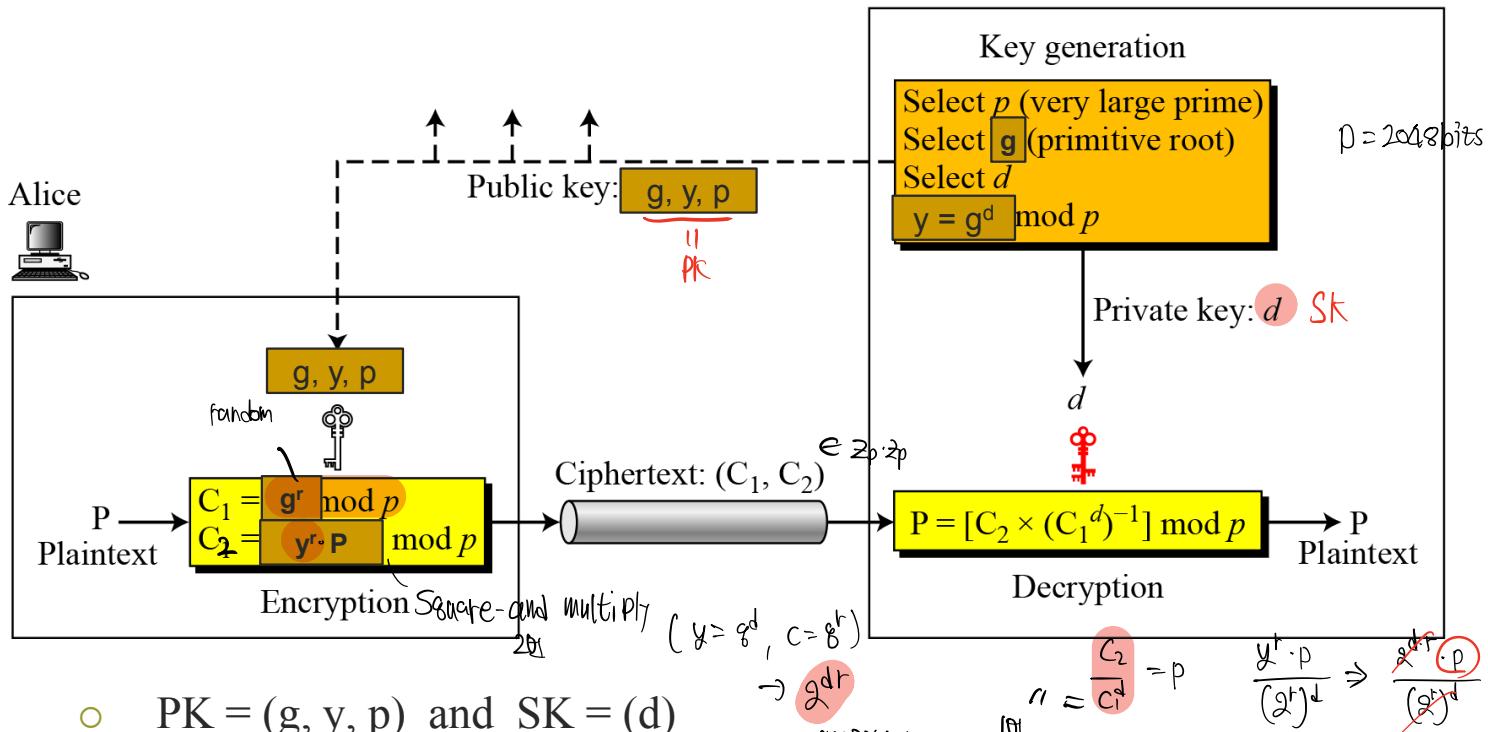
# ElGamal Encryption (1)

$y \equiv 2^x \pmod{p}$   
Given  $(y, g, p)$  find  $x \Rightarrow$  이산대수학적 (미)정

Bob



## ■ How it works:



- $PK = (g, y, p)$  and  $SK = (d)$
- CT is comprised of two group elements  $(C_1, C_2)$
- Enc requires two Exps and Dec requires one Exp

# ElGamal Encryption (2)

$$C = (r \parallel m)^e \quad c \bmod n \quad = \text{padded}$$

$$\begin{aligned} C_1 &= g^r \pmod{p} & y &= g^d \pmod{p} \\ C_2 &= \underline{y^r \cdot p} \pmod{p} & \underline{q} \end{aligned}$$

One time pad  $\rightarrow$  험수

If Decisional Diffie-Hellman (DDH) assumption is hard in  $G$ ,  
then ElGamal encryption is CPA-secure (4등분인 풍선)

## Implementation issue:

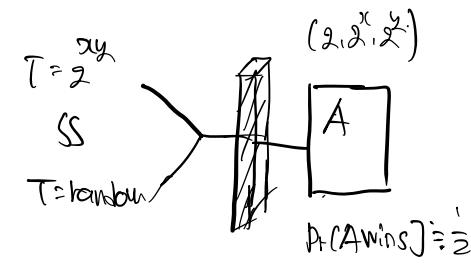
- Sharing public parameters ( $G, p, g$ ) is possible (in contrast to RSA)
- Recommended that  $p$  be at least 2048 bits
- Comparison to RSA in terms of  $|CT|$  and encryption cost
- But, when implementing ElGamal on Elliptic Curve group, we have that:
  - $|G| = 224$  bits (for 112-bit security level with RSA 2048 bits)
  - Why are smaller groups preferable?

Group Size	Cost of Exponentiation
$2^{160}$	1
$2^{1024}$	260 (224 bits, 2048 bits) at least 5 bits

DDH (give  $(g, g^x, g^y, T)$ , decide if  $T = g^{xy}$  or  $T = \text{random}$ )

$$PK = (\underline{n}, e) \quad SK = \underline{x}$$

Share 풍선.



# ElGamal system (DHIES/ECIES)

Diffe-Hellman

Integrated Encryption System

- $G$ : finite cyclic group of order  $n$  Elliptic Curve
- $(E_s, D_s)$ : symmetric auth. encryption defined over  $(K, M, C)$
- $H: G^2 \rightarrow K$  a hash function

	RSA	ElGamal	(Ec) ElGamal	EcIES
PK	$(n, e)$ 2048 bits	$(p, q, g)$ 2048 bits	$(p, g, y)$ 224 bits	
ICT	$1 Z_n$ 2048	$2p \cdot 2p$ 4096	$2p \cdot 2p$ 1138	
ENC	1 Exp	2 Exp	2 Exp	
DEC	1 Exp	1 Exp	1 Exp	

$$2^b = c_1$$

$$PK = (g, p, h)$$

$$\text{ElGamal} \Rightarrow h^b \cdot p = c_2 / c_1^a$$

Group,  $\text{defining}$

$E(pk=(g,h), m)$  :

$$\begin{aligned} b &\leftarrow \mathbb{Z}_n, u \leftarrow g^b, v \leftarrow h^b \\ k &\leftarrow H(u, v), c \leftarrow E_s(k, m) \\ \text{output } & (u, c) \\ & \quad \underbrace{(}_{\text{1}} \underbrace{2^b, c)}_{\text{1}} \end{aligned}$$

$D(sk=a, (u,c))$  :

$$h = 2^a$$

$$v \leftarrow u^a = (2^b)^a = 2^{ab} = (h)^b$$

$$k \leftarrow H(u, v), m \leftarrow D_s(k, c)$$

output  $m$

# (EC) ElGamal Performance

$pk \leftarrow (g, h, p)$

(특정사람에게 사용해)

E( pk=(g,h), m ) :

$$b \leftarrow Z_n, \quad u \leftarrow g^b, \quad v \leftarrow h^b$$

D( sk=a, (u,c) ) :

$$v \leftarrow u^a$$

**Encryption:** 2 exp.     (fixed basis)

- Can pre-compute  $[g^{(2^i)}, h^{(2^i)} \text{ for } i=1, \dots, \log_2 n]$
- 3x speed-up (or more)     3배 속도up     3배 빠름

**Decryption:** 1 exp.     (variable basis)

# NIST-recommended Key Lengths

Suppose prime  $p$  is  $n$  bits long.

Best known algorithm (GNFS): run time  $\exp(\tilde{O}(\sqrt[3]{n}))$

<u>cipher key size</u>	<u>modulus size</u> RSA $n$ ElGamal $p$	<u>Elliptic Curve size</u>
80 bits	1024 bits	160 bits
112 bits	2048 bits	224 bits
128 bits	3072 bits	256 bits
256 bits (AES)	<u>15360</u> bits	<u>512</u> bits Ec

As a result: slow transition away from  $(\text{mod } p)$  to elliptic curves

# Q & A