

# Banana state estimation using Convolutional Neural Networks for waste reduction

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# Introduction

Almost 59 million tonnes of food waste amounting to 131kg per person are generated yearly in the EU, according to the Eurostat report on food waste released in 2022.

More than half of the total food waste is generated by households and an additional 15% is generated by food service and retail combined.

# Introduction

This has impacts on:

- Carbon emissions
- Prices
- Health
- Processed perishable foods industry

# Motivation

Reduce industrial and domestic perishable food waste.

# Research question

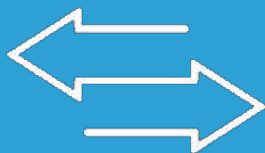
How can a Convolutional Neural Network (CNN) be used to perform an external analysis of bananas to estimate their state?

# State of research

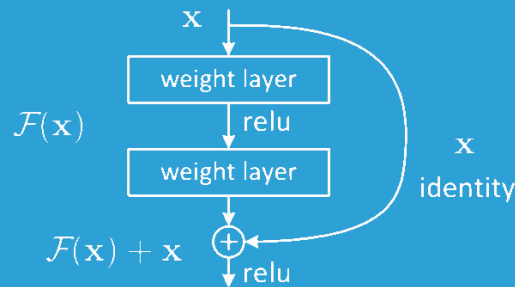
Recent studies have applied Artificial Intelligence techniques to classify fruits as either fresh or rotten. In particular, Convolutional Neural Networks(CNN) have been employed and were found to be a viable option. Specifically, the ResNet50 architecture was found to be very well performing. The authors of one of these studies on binary classification suggest that models could be trained to classify different levels of "rottenness".

# State of research

How to train a deep residual CNN with limited data



**Transfer learning**



**Residual block**

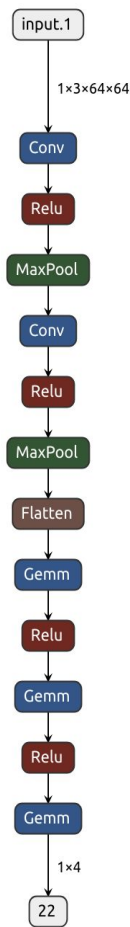
# The goal

Classify bananas according to their state as either:  
unripe - ripe - overripe - rotten

This allows to monitor the state of food (before it becomes waste)



ResNet50



CustomCNN

# Methods

## CustomCNN

- Convolutional Neural Network
- 7 layers - 500k parameters

## ResNet50

- Deep Residual Convolutional Neural Network
- Transfer Learning
- 50 layers - 23.9 million parameters



# Methods

Perform a grid search  
to select the optimal  
hyperparameters

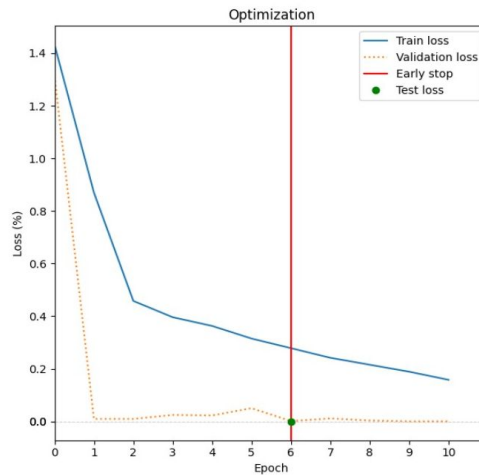
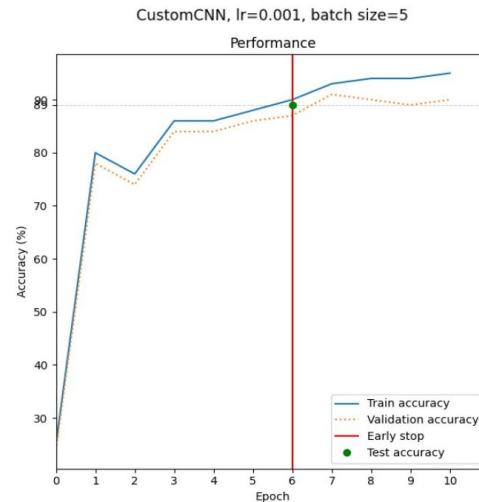
The number of epochs is  
subject to early-stopping  
and capped at 30

Architecture	CustomCNN ResNet50
Learning Rate	0.001 0.0005 0.0001
Batch size	5 10 20

# Methods

Perform a grid search to select the optimal hyperparameters

The number of epochs is subject to early-stopping and capped at 30



# Original Dataset

TRAIN / TEST SPLIT	Training Set <span>87%</span> <b>16k</b> images	Validation Set <span>8%</span> <b>1.5k</b> images	Testing Set <span>4%</span> <b>757</b> images
PREPROCESSING	<b>Auto-Orient:</b> Applied <b>Resize:</b> Stretch to 416×416		
AUGMENTATIONS	<b>Outputs per training example:</b> 3 <b>Flip:</b> Horizontal, Vertical <b>Crop:</b> 0% Minimum Zoom, 20% Maximum Zoom <b>Brightness:</b> Between -25% and +25% <b>Noise:</b> Up to 5% of pixels <b>Cutout:</b> 4 boxes with 15% size each		
DETAILS	<b>Classes:</b> freshripe - freshunripe - unripe - ripe - overripe - rotten <b>Multi-label:</b> Yes		



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DETAILS	<b>Classes:</b> freshripe - freshunripe - unripe - ripe - overripe - rotten <b>Multi-label:</b> Yes		

# Final Dataset

TRAIN / TEST SPLIT	Training Set <span>70%</span> <b>~9k</b> images	Validation Set <span>15%</span> <b>~2k</b> images	Testing Set <span>15%</span> <b>~2k</b> images
	ADDITIONAL OPERATIONS <b>Unify splits</b> <b>Merge classes:</b> Freshripe → ripe & freshunripe → unripe <b>Remove multi-label records</b> <b>Fix imbalance:</b> Undersample the "ripe" class and oversample the other classes to match <b>Split:</b> 70/15/15 with random sampling		

# Results

		Learning rate	0.001	0.0005	0.0001	0.001	0.0005	0.0001	0.001	0.0005	0.0001
		Batch size	5	5	5	10	10	10	20	20	20
Class accuracy	Architecture	CustomCNN									
	Unripe	94.6%	96.3%	96.5%	91.1%	95.9%	96.1%	97.4%	94.6%	97.4%	
	Ripe	89.5%	80.5%	93.0%	97.5%	98.2%	93.6%	83.6%	96.1%	86.3%	
	Overripe	89.6%	76.5%	88.6%	89.6%	91.9%	89.4%	61.4%	82.6%	82.6%	
	Rotten	84.0%	91.2%	68.5%	60.3%	54.9%	59.7%	81.9%	62.8%	59.9%	
	Accuracy	89%	86%	86%	84%	85%	84%	81%	83%	81%	
	Class accuracy SD	4	9	13	17	20	17	15	15	16	
	Loss	0.0002	0.00005	0.0001	0.0659	0.0316	0.1543	0.1919	0.1378	0.2129	
		Epochs	6	6	7	5	7	23	5	8	27
Class accuracy	Res50										
	Unripe	97.8%	97.6%	97.8%	95.9%	97.4%	96.1%	98.0%	97.8%	98.0%	
	Ripe	95.5%	98.0%	95.1%	95.9%	97.7%	92.8%	95.7%	97.1%	95.7%	
	Overripe	96.6%	97.5%	93.2%	95.3%	95.6%	93.0%	95.6%	94.5%	95.6%	
	Rotten	94.0%	90.7%	90.1%	94.0%	93.4%	87.7%	92.6%	91.2%	92.6%	
	Accuracy	95%	95%	94%	95%	96%	92%	95%	95%	95%	
	Class accuracy SD	2	3	3	1	2	3	2	3	2	
	Loss	0.0014	0.0045	0.0321	0.0024	0.0086	0.1751	0.0667	0.0523	0.0667	
		Epochs	4	5	8	3	4	9	4	5	4

Table 1: Results of the grid-search optimization

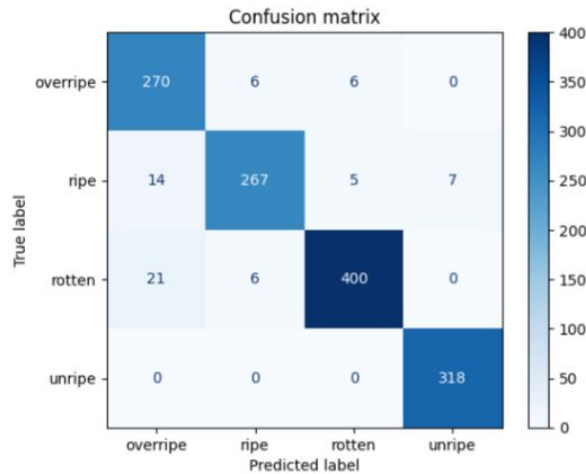
**BEST**

ResNet50

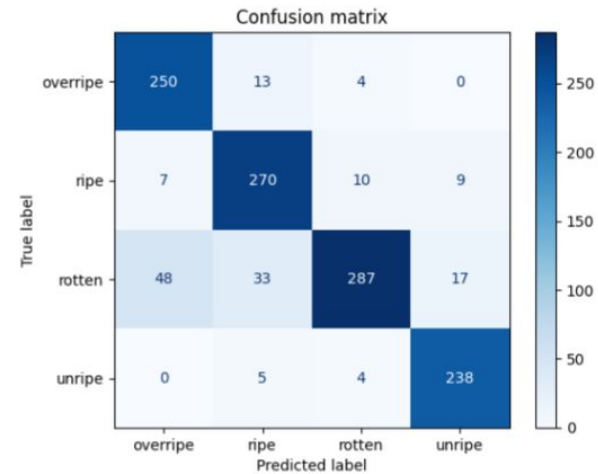
LR: 0.0005

BatchSize: 10

# Results



(a) Best ResNet50 model



(b) Best CustomCNN model

Fig. 4: Confusion matrices for the classification on the test set

# Analysis

The results appear to generalize to the test set

Randomness at epoch 0 for CustomCNN is found as expected

Tendency to misclassify an image and its relative augmentations and eventual up-sampling

# Limitations

- Randomness may be affecting results.
- Further optimization is likely possible.
- An external analysis may yield inaccurate estimates.
- The obtained model is very specific to the dataset.



# Conclusion

This study showed how to apply CNNs to the task of perishable food state classification.

As suggested in previous studies, models trained with the ResNet50 architecture, initialized with pretrained parameters were successful and especially well-performing.

# Future Work

- Run the grid search experiments multiple times and average the results to account for randomness.
- Adapt to multiple fruits and vegetables for domestic appliances (smart pantry/fridge).
- Build a more varied dataset and experiment with techniques(e.g. background reduction) to build a more robust and general model.



[barajasaway.ddns.net:8080/resnet50](http://barajasaway.ddns.net:8080/resnet50)

# ResNet50

