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Newton's method and high-order algorithms for the *n*th root computation

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ABSTRACT

Two modifications of Newton's method to accelerate the convergence of the nth root computation of a strictly positive real number are revisited. Both modifications lead to methods with prefixed order of convergence $p \in \mathbb{N}, p \geq 2$. We consider affine combinations of the two modified pth-order methods which lead to a family of methods of order p with arbitrarily small asymptotic constants. Moreover the methods are of order p+1 for some specific values of a parameter. Then we consider affine combinations of the three methods of order p+1 to get methods of order p+1 again with arbitrarily small asymptotic constants. The methods can be of order p+2 with arbitrarily small asymptotic constants, and also of order p+3 for some specific values of the parameters of the affine combination. It is shown that infinitely many pth-order methods exist for the nth root computation of a strictly positive real number for any $p \geq 3$.

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1. Introduction

The computation of the nth root $r^{1/n}$ of a strictly positive real number r is an old problem [1,12]. Recently several authors have suggested high-order methods for the computation of $r^{1/2}$. In [8,16], continued fraction expansions are used to derive such methods. In [10], methods similar to those presented in [16] are obtained as a special case of a determinantal family of root-finding methods [9]. For the computation of the nth root, third- and fourth-order methods are presented in [6]. General high-order methods can be derived from the application of Newton's method to an appropriate modified function [3] or using a modification of Newton's method applied to the original function [7]. Finally, using combinations of basic functions identified for methods proposed in [3,7], new high-order methods are derived for the computation of $r^{1/2}$ in [13]. In this paper we start with a review of two extensions of Newton's method applied to the function

$$f(x) = x^n - r \tag{1.1}$$

to find the *n*th root of *r*. In order to accelerate the convergence, we consider the following two possibilities. Firstly, as suggested in [4,5] and developed in [3], we consider $F_p(x) = g_p(x)f(x)$ and then apply Newton's method on $F_p(x)$ to get the *p*th-order iterative method

$$x_{k+1} = \Phi_{0,p}(x_k) = x_k - \frac{F_p(x_k)}{F_p^{(1)}(x_k)}.$$
(1.2)

Secondly, as used in [7], we look for a good choice of $G_p(x)$ for changing the step size of the correction of Newton's method to obtain the pth-order iterative method

$$x_{k+1} = \Phi_{1,p}(x_k) = x_k - G_p(x_k) \frac{f(x_k)}{f^{(1)}(x_k)}.$$
(1.3)

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Both methods are revisited in Section 2 to get the higher-order derivatives needed in the next two sections. In Section 3.1 we consider affine combinations of pth-order methods revisited in Section 2 of the form

$$x_{k+1} = \Phi_{\lambda, p}(x_k) = (1 - \lambda) \Phi_{0, p}(x_k) + \lambda \Phi_{1, p}(x_k). \tag{1.4}$$

We obtain new pth-order methods for any values of the parameter λ with arbitrarily small asymptotic constants, except for some specific values of the parameter for which the new methods are of order p+1. In Section 3.2 we consider affine combination of methods of order p+1 of the form

$$x_{k+1} = \Psi_{(\mu_0, \mu_1), p+1}(x_k) \tag{1.5}$$

where

$$\Psi_{(\mu_0,\mu_1),p+1}(x_k) = (1 - \mu_0 - \mu_1) \, \Phi_{\lambda_n,p}(x_k) + \mu_0 \, \Phi_{0,p+1}(x_k) + \mu_1 \, \Phi_{1,p+1}(x_k). \tag{1.6}$$

All these methods are of order p+1 and the asymptotic constants can be made arbitrarily small. They can be of order p+2 with arbitrarily small asymptotic constants, and even of order p+3 for some specific values of the parameters of the affine combination. Finally, in Section 4 we compare the methods with respect to their asymptotic constants and in Section 5 we present some examples.

2. High-order methods revisited

In this paper we use the following notation

$$\binom{\delta}{i} = \begin{cases} \frac{1}{\delta(\delta - 1)\cdots(\delta - (i - 1))} & \text{for } i = 0, \\ \frac{\delta(\delta - 1)\cdots(\delta - (i - 1))}{i!} & \text{for } i \ge 1. \end{cases}$$
 (2.1)

The following lemma can be proved by mathematical induction.

Lemma 2.1. Let $H(\cdot)$ be a regular real-valued function and $\delta \in \mathbb{R} \setminus \{0\}$. Let $h(y) = H(y^{\delta})$, then for $j \geq 1$ we have

$$\frac{\mathrm{d}^{j}}{\mathrm{d}y^{j}}h(y) = \sum_{k=1}^{j} H^{(k)}(y^{\delta})y^{k\delta-j}w_{j,k}(\delta) \tag{2.2}$$

where $w_{1,1}(\delta) = \delta$, and

$$w_{i,1}(\delta) = (\delta - (j-1))w_{i-1,1}(\delta), \tag{2.3}$$

$$w_{i,i}(\delta) = \delta w_{i-1,i-1}(\delta), \tag{2.4}$$

for $j \geq 2$, and

$$w_{i,k}(\delta) = \delta w_{i-1,k-1}(\delta) + (k\delta - (j-1))w_{i-1,k}(\delta)$$
(2.5)

for $j \ge 3$ *and* k = 2, ..., j − 1.

Moreover $w_{j,j}(\delta) = \delta^j$, $w_{j,1}(\delta) = j! {\delta \choose j}$, and

$$\begin{cases} w_{j,j-1}(\delta) = \alpha_{j,1}(\delta-1)\delta^{j-1} & \text{for } j \geq 2 \\ w_{j,j-2} = (\beta_{j,2}\delta + \alpha_{j,2})(\delta-1)\delta^{j-2} & \text{for } j \geq 3 \\ w_{j,j-3} = (\gamma_{j,3}\delta^2 + \beta_{j,3}\delta + \alpha_{j,3})(\delta-1)\delta^{j-3} & \text{for } j \geq 4 \end{cases}$$

where
$$\alpha_{j,1} = \binom{j}{2}$$
, $\beta_{j,2} = \frac{3j-5}{4} \binom{j}{3}$, $\alpha_{j,2} = -\frac{3j-1}{4} \binom{j}{3}$, $\gamma_{j,3} = \frac{1}{2}(j-3)(j-2) \binom{j}{4}$, $\beta_{j,3} = -(j^2-3j+1) \binom{j}{4}$, and $\alpha_{j,3} = \frac{1}{2}j(j-1) \binom{j}{4}$.

One approach to get high-order methods for finding $r^{1/n}$ is to use a function $g_p(x)$ such that $F_p(x) = g_p(x)f(x) = g_p(x)(x^n - r)$ satisfies the assumptions of the following result about Newton's method.

Theorem 2.2 ([2,5,15]). Let p be an integer ≥ 2 and let $F_p(x)$ be a regular function such that $F_p(\alpha) = 0$, $F_p^{(1)}(\alpha) \neq 0$, $F_p^{(j)}(\alpha) = 0$ for $j = 2, \ldots, p-1$, and $F_p^{(p)}(\alpha) \neq 0$. Then Newton's method applied to the equation $F_p(x) = 0$ generates a sequence $\{x_k\}_{k=0}^{+\infty}$ where

$$x_{k+1} = \Phi_{0,p}(x_k) = x_k - \frac{F_p(x_k)}{F_p^{(1)}(x_k)}$$
 $(k = 0, 1, 2, ...)$

which converges to α for a given x_0 sufficiently close to α . Moreover, the convergence is of order p and the asymptotic constant is

$$K_{0,p}(\alpha) = \lim_{k \to +\infty} \frac{x_{k+1} - \alpha}{(x_k - \alpha)^p} = \frac{p-1}{p!} \frac{F_p^{(p)}(\alpha)}{F_p^{(1)}(\alpha)}. \quad \Box$$

One such function $g_p(x)$ suggested in [3] is

$$g_p(x) = \sum_{i=1}^{p-1} a_i (x^n - r)^{i-1} = \sum_{i=1}^{p-1} {1/n \choose i} \frac{(x^n - r)^{i-1}}{r^i}.$$
 (2.6)

If we set $a_i = \frac{1}{r^i} \binom{1/n}{i}$ for $i = 1, \ldots, p-1$, then

$$F_p(x) = \sum_{i=1}^{p-1} a_i (x^n - r)^i.$$
 (2.7)

In the next theorem we give expressions for all the derivatives of $F_p(x)$.

Theorem 2.3 ([3]). Let n and p be integers ≥ 2 and $F_p(x)$ be given by (2.7). Then

$$F_p^{(j)}(r^{1/n}) = u_{p,j}(n)n^j {1/n \choose j} j! r^{-\frac{j}{n}}$$
(2.8)

where

$$u_{p,0}(n) = 0,$$
 (2.9)

$$u_{p,1}(n) = 1, (2.10)$$

$$u_{p,j}(n) = 0$$
 for $j = 2, ..., p - 1$, (2.11)

$$u_{p,p}(n) = -1, (2.12)$$

and for $j \ge p + 1$

$$u_{p,j}(n) = -\left[1 + \sum_{k=p}^{j-1} w_{j,k} (1/n) n^k \frac{\binom{1/n}{k} k!}{\binom{1/n}{j} j!} u_{p,k}(n)\right]. \tag{2.13}$$

Proof. We have the following identity

$$F_p((y+r)^{1/n}) = \sum_{i=1}^{p-1} a_i y^i = Q_p(y).$$
 (2.14)

Then we use Lemma 2.1 with $\delta = \frac{1}{n}$ and $H(\xi^{1/n}) = F_p(\xi^{1/n})$ to get

$$\frac{d^{j}}{dy^{j}}F_{p}((r+y)^{1/n}) = \frac{d^{j}}{d\xi^{j}}F_{p}(\xi^{1/n})|_{\xi=r+y}$$

$$= \sum_{i=1}^{j} F_{p}^{(k)}((r+y)^{1/n})(r+y)^{\frac{k}{n}-j}w_{j,k}(1/n).$$
(2.15)

From the fact that

$$Q_p^{(j)}(y) = \begin{cases} \sum_{i=j}^{p-1} a_i \frac{i!}{(i-j)!} y^{i-j} & \text{for } j = 1, \dots, p-1, \\ 0 & \text{for } j \geq p, \end{cases}$$

we obtain the result by setting y = 0 recursively for j = 1, 2, 3, ...

It follows that the sequence $\{x_k\}_{k=0}^{+\infty}$ generated by: x_0 given sufficiently close to $r^{1/n}$, and

$$x_{k+1} = \Phi_{0,p}(x_k) = x_k - \frac{\left(x_k^n - r\right)\sum_{i=1}^{p-1} \binom{1/n}{i} \left(\frac{x_k^n}{r} - 1\right)^{i-1}}{nx_k^{n-1}\sum_{i=1}^{p-1} i \binom{1/n}{i} \left(\frac{x_k^n}{r} - 1\right)^{i-1}}$$
(2.16)

for $k = 0, 1, 2, \ldots$, converges to $r^{1/n}$. Moreover, the convergence is of order p, and the asymptotic constant is

$$K_{0,p}(r^{1/n}) = \lim_{k \to +\infty} \frac{x_{k+1} - r^{1/n}}{(x_k - r^{1/n})^p} = -(p-1)n^p {1/n \choose p} r^{-\frac{p-1}{n}}.$$
(2.17)

Another approach to get high-order methods for finding $r^{1/n}$ is to use a function $G_p(x)$ such that the modified Newton's method given by $x_{k+1} = \Phi_{1,p}(x_k) = x_k - G_p(x_k) \frac{f(x_k)}{f^{(1)}(x_k)}$ satisfies the assumptions of the following result about fixed-point methods.

Theorem 2.4 ([11,14]). Let p be an integer ≥ 2 and let $\Phi_{1,p}(x)$ be a regular function such that $\Phi_{1,p}(\alpha) = \alpha$, $\Phi_{1,p}^{(j)}(\alpha) = 0$ for $j = 1, \ldots, p-1$, and $\Phi_{1,p}^{(p)}(\alpha) \neq 0$. Then the sequence $\{x_k\}_{k=0}^{+\infty}$ generated by $x_{k+1} = \Phi_{1,p}(x_k)$ for $k = 0, 1, 2, \ldots$, converges to α for a given x_0 sufficiently close to α . Moreover, the convergence is of order p, and the asymptotic constant is

$$K_p(\alpha) = \lim_{k \to +\infty} \frac{x_{k+1} - \alpha}{(x_{\nu} - \alpha)^p} = \frac{\Phi_{1,p}^{(p)}(\alpha)}{p!}. \quad \Box$$

As established in [7], for $p \ge 2$ we take

$$G_p(x) = \frac{\sum_{i=0}^{p-1} {1/n \choose i} \left(\frac{r}{x^n} - 1\right)^i - 1}{\frac{1}{n} \left(\frac{r}{x^n} - 1\right)},$$
(2.18)

and define

$$\Phi_{1,p}(x) = x - G_p(x) \frac{x}{n} \left(1 - \frac{r}{x^n} \right) = x \sum_{i=0}^{p-1} {1/n \choose i} \left(\frac{r}{x^n} - 1 \right)^i.$$
 (2.19)

The next theorem presents a formula for all the derivatives of $\Phi_{1,n}(x)$.

Theorem 2.5 ([7]). Let f(x) be given by (1.1). Let n and p be integers ≥ 2 , and let $\Phi_{1,p}(x)$ be given by (2.19). Then

$$\Phi_{1,p}^{(j)}(r^{1/n}) = (-1)^j v_{p,j}(n) n^j \binom{1/n}{j} j! r^{\frac{1-j}{n}}$$
(2.20)

where

$$v_{n,0}(n) = 1, (2.21)$$

$$v_{p,i}(n) = 0 \quad \text{for } j = 1, \dots, p-1,$$
 (2.22)

$$v_{n,n}(n) = -1, (2.23)$$

and for $j \ge p + 1$

$$v_{p,j}(n) = \sum_{k=p}^{j-1} (-1)^k v_{p,k}(n) n^{k-1} \frac{\binom{1/n}{k} k!}{\binom{1/n}{j} j!} w_{j-1,k-1}(-1/n).$$
(2.24)

Proof. We clearly have $\Phi_{1,p}(r^{1/n}) = r^{1/n}$. Also, using (2.19) and the identity

$$\binom{1/n}{i+1} = \binom{1/n}{i} \frac{\frac{1}{n} - i}{i+1},$$

we get

$$\begin{split} \Phi_{1,p}^{(1)}(x) &= \sum_{i=0}^{p-1} \binom{1/n}{i} \left(\frac{r}{x^n} - 1\right)^i - \sum_{i=1}^{p-1} \binom{1/n}{i} i \left(\frac{r}{x^n} - 1\right)^{i-1} \left(\frac{nr}{x^n}\right) \\ &= np \binom{1/n}{p} \left(\frac{r}{x^n} - 1\right)^{p-1}. \end{split}$$

Since

$$\Phi_{1,p}^{(1)}\left(\left(\frac{1+y}{r}\right)^{-1/n}\right) = np\left(\frac{1/n}{p}\right)y^{p-1} \tag{2.25}$$

we use Lemma 2.1 with $\delta = -\frac{1}{n}$ and $H(\xi^{-1/n}) = \Phi_{1,p}^{(1)}(\xi^{-1/n})$ to obtain

$$\begin{split} \frac{\mathrm{d}^{j}}{\mathrm{d}y^{j}} \, \varPhi_{1,p}^{(1)} \left(\left(\frac{1+y}{r} \right)^{-1/n} \right) &= \frac{\mathrm{d}^{j}}{\mathrm{d}\xi^{j}} \, \varPhi_{1,p}^{(1)} (\xi^{1/n}) |_{\xi = \frac{1+y}{r}} \left[\frac{\mathrm{d}}{\mathrm{d}y} \left(\frac{1+y}{r} \right) \right]^{j} \\ &= \frac{1}{r^{j}} \sum_{k=1}^{j} \, \varPhi_{1,p}^{(1+k)} \left(\left(\frac{1+y}{r} \right)^{-1/n} \right) \left(\frac{1+y}{r} \right)^{-\frac{k}{n}-j} w_{j,k} (-1/n) \\ &= \sum_{k=1}^{j} \, \varPhi_{1,p}^{(1+k)} \left(\left(\frac{1+y}{r} \right)^{-1/n} \right) (1+y)^{-\frac{k}{n}-j} r^{\frac{k}{n}} w_{j,k} (-1/n). \end{split}$$

Since

$$\frac{\mathrm{d}^{j}}{\mathrm{d}y^{j}}np\binom{1/n}{p}y^{p-1} = \begin{cases} np\binom{1/n}{p}\binom{p-1}{j}y^{p-1-j} & \text{for } j=0,\ldots,p-1, \\ 0 & \text{for } j\geq p, \end{cases}$$

the result follows by setting y = 0 recursively for $j = 2, 3, 4, \dots$

Thus the sequence $\{x_k\}_{k=0}^{+\infty}$ generated by: x_0 given sufficiently close to $r^{1/n}$, and

$$x_{k+1} = \Phi_{1,p}(x_k) = x_k \sum_{i=0}^{p-1} {1/n \choose i} \left(\frac{r}{x_k^n} - 1\right)^i$$
(2.26)

for $k = 0, 1, 2, \ldots$, converges to $r^{1/n}$. The convergence is of order p, and the asymptotic constant is

$$K_{1,p}(r^{1/n}) = \frac{\Phi_{1,p}^{(p)}(\alpha)}{p!} = (-1)^{p-1} n^p \binom{1/n}{p} r^{-\frac{p-1}{n}}.$$
(2.27)

It is possible to show that for any $x_0 > r^{1/n}$ the sequence $\{x_k\}_{k=0}^{+\infty}$ is monotonically decreasing and converges to $r^{1/n}$ [7].

3. More high-order methods

In the preceding section we have revisited two families of methods for the computation of $r^{1/n}$. In this section we combine the two families of methods to get new high-order methods. We consider $p \ge 3$ since $\Phi_{0,2}(x) = \Phi_{1,2}(x)$ and it corresponds to Newton's method of order 2.

3.1. Combination of pth-order methods

We start with the two pth-order methods given by (2.16) and (2.26) and consider an affine combination

$$\Phi_{\lambda,p}(x) = (1 - \lambda) \Phi_{0,p}(x) + \lambda \Phi_{1,p}(x) \tag{3.1}$$

where the parameter $\lambda \in \mathbb{R}$. Since $\Phi_{\lambda,p}(r^{1/n}) = r^{1/n}$ and $\Phi_{\lambda,p}^{(1)}(r^{1/n}) = (1-\lambda)\Phi_{0,p}^{(1)}(r^{1/n}) + \lambda\Phi_{1,p}^{(1)}(r^{1/n}) = 0$, the sequence $\{x_k\}_{k=0}^{+\infty}$ generated by: x_0 given sufficiently close to $r^{1/n}$, and

$$x_{k+1} = \Phi_{\lambda,D}(x_k) \tag{3.2}$$

for k = 0, 1, 2, ..., converges to $r^{1/n}$. Moreover, the convergence is of order p, and the asymptotic constant is given by $K_{\lambda,p}(r^{1/n}) = (1 - \lambda)K_{0,p}(r^{1/n}) + \lambda K_{1,p}(r^{1/n})$.

From (2.17) and (2.27), we get

$$K_{\lambda,p}(r^{1/n}) = B(\lambda; p) n^p \binom{1/n}{n} r^{-\frac{p-1}{n}}$$
(3.3)

where

$$B(\lambda; p) = \lambda \left((p-1) + (-1)^{p-1} \right) - (p-1). \tag{3.4}$$

This asymptotic constant can be made arbitrarily small for $p \ge 3$, and is 0 for the value of λ which is a solution of

$$B(\lambda; p) = 0, (3.5)$$

namely for

$$\lambda = \lambda_p = \frac{(p-1)}{(p-1) + (-1)^{p-1}}.$$

In that case the method is of order p + 1 and its asymptotic constant is given by

$$\begin{split} K_{\lambda_p,p+1}^+(r^{1/n}) &= \lim_{k \to +\infty} \frac{x_{k+1} - r^{1/n}}{(x_k - r^{1/n})^{p+1}} \\ &= \lim_{k \to +\infty} \frac{\Phi_{\lambda_p,p}(x_k) - \Phi_{\lambda_p,p}(r^{1/n})}{(x_k - r^{1/n})^{p+1}} \\ &= (1 - \lambda_p) \frac{p F_p^{(p+1)}(r^{1/n})}{(p+1)! F_p^{(1)}(r^{1/n})} + \lambda_p \frac{\Phi_{1,p}^{(p+1)}(r^{1/n})}{(p+1)!}. \end{split}$$

From Theorems 2.3 and 2.5 we have

$$F_p^{(p+1)}(r^{1/n}) = \frac{(np - (p+2))}{2(np-1)}(p-1)n^{p+1}(p+1)! \binom{1/n}{p+1} r^{-\frac{p+1}{n}}$$
(3.6)

and

$$\Phi_{1,p}^{(p+1)}(r^{1/n}) = (-1)^{p+1} \frac{(n+1)}{2(np-1)} p(p-1) n^{p+1} (p+1)! \binom{1/n}{p+1} r^{-\frac{p+1}{n}}. \tag{3.7}$$

We finally obtain

$$K_{\lambda_p,p+1}^+(r^{1/n}) = (-1)^{p+1}C(n,p)n^{p+1} \binom{1/n}{p+1} r^{-p/n}. \tag{3.8}$$

where

$$C(n,p) = \frac{p(p-1)}{2(np-1)} \left[\frac{2np - (n+3)}{(p-1) + (-1)^{p-1}} \right]. \tag{3.9}$$

3.2. Combination of (p + 1)th-order methods

We consider the affine combination of the three (p + 1)th-order methods

$$\Psi_{(\mu_0,\mu_1),p+1}(x) = (1 - \mu_0 - \mu_1) \Phi_{\lambda_p,p}(x) + \mu_0 \Phi_{0,p+1}(x) + \mu_1 \Phi_{1,p+1}(x)$$
(3.10)

where μ_0 and $\mu_1 \in \mathbb{R}$. Let us observe that for $\mu_0 + \mu_1 = 1$ we have $\Psi_{(\mu_0,\mu_1),p+1}(x) = \Phi_{\mu_1,p+1}(x)$. Since $\Psi_{(\mu_0,\mu_1),p+1}(r^{1/n}) = r^{1/n}$ and $\Psi_{(\mu_0,\mu_1),p+1}^{(1)}(r^{1/n}) = 0$, the sequence $\{x_k\}_{k=0}^{+\infty}$ generated by: x_0 given sufficiently close to $r^{1/n}$, and

$$x_{k+1} = \Psi_{(\mu_0, \mu_1), p+1}(x_k) \tag{3.11}$$

for $k = 0, 1, 2, \ldots$, converges to $r^{1/n}$. Moreover, the convergence is of order p + 1 and the asymptotic constant is given by

$$K_{(\mu_0,\mu_1),p+1}(r^{1/n}) = (1 - \mu_0 - \mu_1)K_{\lambda_0,p+1}^+(r^{1/n}) + \mu_0K_{0,p+1}(r^{1/n}) + \mu_1K_{1,p+1}(r^{1/n}).$$
(3.12)

From (3.12), (2.17) and (2.27), we get

$$K_{(\mu_0,\mu_1),p+1}(r^{1/n}) = (-1)^{p+1}R(\mu_0,\mu_1;n,p)n^{p+1} {1/n \choose p+1} r^{-\frac{p}{n}}$$
(3.13)

where

$$R(\mu_0, \mu_1; n, p) = C(n, p)(1 - \mu_0 - \mu_1) + (-1)^p p \mu_0 - \mu_1.$$
(3.14)

This asymptotic constant can be made arbitrarily small, and is 0 for the values of (μ_0, μ_1) which are solutions of

$$R(\mu_0, \mu_1; n, p) = 0,$$
 (3.15)

which is equivalent to

$$\left[1 + (-1)^{p+1} \frac{p}{C(n,p)}\right] \mu_0 + \left[1 + \frac{1}{C(n,p)}\right] \mu_1 = 1.$$
(3.16)

If (μ_0^*, μ_1^*) is any solution of (3.15), or (3.16), the method is of order p + 2. Its asymptotic constant is given by

$$K_{(\mu_{0}^{*},\mu_{1}^{*}),p+2}^{*}(r^{1/n}) = \lim_{k \to +\infty} \frac{x_{k+1} - r^{1/n}}{(x_{k} - r^{1/n})^{p+2}}$$

$$= \lim_{k \to +\infty} \frac{\Psi_{(\mu_{0}^{*},\mu_{1}^{*}),p+1}(x_{k}) - \Psi_{(\mu_{0}^{*},\mu_{1}^{*}),p+1}(r^{1/n})}{(x_{k} - r^{1/n})^{p+2}}$$

$$= (1 - \mu_{0}^{*} - \mu_{1}^{*}) \left[(1 - \lambda_{p}) \left(\frac{(p+1)F_{p}^{(p+2)}(r^{1/n})}{(p+2)!F_{p}^{(1)}(r^{1/n})} - \frac{p-1}{2p!} F_{p}^{(p)}(r^{1/n}) \frac{F_{p}^{(3)}(r^{1/n})}{[F_{p}^{(1)}(r^{1/n})]^{2}} \right) + \lambda_{p} \frac{\Phi_{1,p}^{(p+2)}(r^{1/n})}{(p+2)!} \right]$$

$$+ \mu_{0}^{*} \frac{(p+1)F_{p+1}^{(p+2)}(r^{1/n})}{(p+2)!F_{p+1}^{(1)}(r^{1/n})} + \mu_{1}^{*} \frac{\Phi_{1,p+1}^{(p+2)}(r^{1/n})}{(p+2)!}, \tag{3.17}$$

and from Theorems 2.3 and 2.5 we obtain

$$K_{(\mu_0^*,\mu_1^*),p+2}^*(r^{1/n}) = (-1)^{p+2} S(\mu_0^*,\mu_1^*;n,p) n^{p+2} {1/n \choose p+2} r^{-\frac{p+1}{n}}$$
(3.18)

where

$$S(\mu_{0}^{*}, \mu_{1}^{*}; n, p) = (1 - \mu_{0}^{*} - \mu_{1}^{*}) \left[(-1)^{p+2} (1 - \lambda_{p}) \left((p+1)u_{p,p+2}(n) + \frac{(p+2)(p+1)(p-1)(n-1)(2n-1)}{2(np-1)(n(p+1)-1)} u_{p,3}(n) \right) + \lambda_{p} v_{p,p+2}(n) \right] + (-1)^{p+2} \mu_{0}^{*}(p+1)u_{p+1,p+2}(n) + \mu_{1}^{*} v_{p+1,p+2}(n).$$

$$(3.19)$$

Here again, this asymptotic constant can be arbitrarily small, and 0 for the values of (μ_0^*, μ_1^*) which are solutions of

$$S(\mu_0^*, \mu_1^*; n, p) = 0.$$
 (3.20)

If (μ_0^{**}, μ_1^{**}) is any solution of the system (3.15) and (3.20), the method is of order p + 3. Its asymptotic constant is given by

$$K_{(\mu_{0}^{**},\mu_{1}^{**}),p+3}^{**}(r^{1/n}) = \lim_{k \to +\infty} \frac{x_{k+1} - r^{1/n}}{(x_{k} - r^{1/n})^{p+3}}$$

$$= \lim_{k \to +\infty} \frac{\Psi_{(\mu_{0}^{**},\mu_{1}^{**}),p+1}(x_{k}) - \Psi_{(\mu_{0}^{**},\mu_{1}^{**}),p+1}(r^{1/n})}{(x_{k} - r^{1/n})^{p+3}}$$

$$= (1 - \mu_{0}^{**} - \mu_{1}^{**}) \left[(1 - \lambda_{p}) \left(\frac{(p+2)F_{p}^{(p+3)}(r^{1/n})}{(p+3)!F_{p}^{(1)}(r^{1/n})} - \frac{pF_{p}^{(p+1)}(r^{1/n})F_{p}^{(3)}(r^{1/n})}{2!(p+1)![F_{p}^{(1)}(r^{1/n})]^{2}} \right.$$

$$\left. - \frac{(p-1)F_{p}^{(p)}(r^{1/n})F_{p}^{(4)}(r^{1/n})}{3!p![F_{p}^{(1)}(r^{1/n})]^{2}} \right) + \lambda_{p} \frac{\Phi_{1,p}^{(p+3)}(r^{1/n})}{(p+3)!}$$

$$\left. + \mu_{0}^{**} \frac{(p+2)F_{p+1}^{(p+3)}(r^{1/n})}{(p+3)!F_{p}^{(1)}(r^{1/n})} + \mu_{1}^{**} \frac{\Phi_{1,p+1}^{(p+3)}(r^{1/n})}{(p+3)!}, \right.$$

$$(3.21)$$

and from Theorems 2.3 and 2.5 we obtain

$$K_{(\mu_0^{++},\mu_1^{++}),p+3}^{***}(r^{1/n}) = (-1)^{p+3}W(n,p)n^{p+3} \left(\frac{1/n}{p+3}\right)r^{-\frac{p+2}{n}}$$
(3.22)

where

$$W(n,p) = (1 - \mu_0^{**} - \mu_1^{**}) \left[(-1)^{p+3} (1 - \lambda_p) \left((p+2) u_{p,p+3}(n) - \frac{(p+3)(p+2)p(n-1)(2n-1)}{2(n(p+1)-1)(n(p+2)-1)} u_{p,p+1}(n) u_{p,3}(n) \right. \\ + \left. \frac{(p+3)(p+2)(p+1)(n-1)(2n-1)(3n-1)}{6(np-1)(n(p+1)-1)(n(p+2)-1)} u_{p,4}(n) \right) + \lambda_p v_{p,p+3}(n) \right] \\ + (-1)^{p+3} \mu_0^{**} (p+2) u_{p+1,p+3}(n) + \mu_1^{**} v_{p+1,p+3}(n).$$

$$(3.23)$$

4. Comparison of asymptotic constants

To compare methods of the same order we compare their asymptotic constants. For methods of order p, from (2.17) and (2.27), we have

$$K_{0,p}(r^{1/n}) = (-1)^p (p-1) K_{1,p}(r^{1/n}),$$

then $|K_{0,p}(r^{1/n})| > |K_{1,p}(r^{1/n})|$ for p > 2. Using (3.3) we have

$$K_{\lambda,p}(r^{1/n}) = \begin{cases} -\frac{1}{(p-1)} B(\lambda; p) K_{0,p}(r^{1/n}), \\ (-1)^{p-1} B(\lambda; p) K_{1,p}(r^{1/n}). \end{cases}$$
(4.1)

If we set $\lambda = \lambda_p + \Delta \lambda$ and $B_p = [(p-1) + (-1)^{p-1}]^{-1}$, then

$$|K_{\lambda,p}(r^{1/n})|<|K_{0,p}(r^{1/n})|\quad \text{iff } |\Delta\lambda|<(p-1)B_p,$$

and

$$|K_{\lambda,p}(r^{1/n})|<|K_{1,p}(r^{1/n})|\quad \text{iff } |\Delta\lambda|< B_p.$$

Table 1 Values of λ_n and B_n

р	3	4	5	6
λ _p	2/3	3/2	4/5	5/4
Bn	1/3	1/2	1/5	1/4

Table 2 Values of C(n, p)

р	3	4	5	6
C(2, p)	7/5	33/7	10/3	285/44
C(5, p)	11/7	96/19	7/2	195/29

For methods of order p + 1, we have

$$K_{\lambda_{p},p+1}^{+}(r^{1/n}) = \begin{cases} -\frac{1}{p}C(n,p)K_{0,p+1}(r^{1/n}), \\ (-1)^{p}C(n,p)K_{1,p+1}(r^{1/n}), \end{cases}$$
(4.2)

and

$$K_{(\mu_0,\mu_1),p+1}(\mathbf{r}^{1/n}) = \begin{cases} \frac{(-1)^p}{p} R(\mu_0,\mu_1;n,p) K_{0,p+1}(\mathbf{r}^{1/n}), \\ -R(\mu_0,\mu_1;n,p) K_{1,p+1}(\mathbf{r}^{1/n}). \end{cases}$$
(4.3)

For methods of order p + 2, we have

$$K_{(\mu_0,\mu_1),p+1}^*(\mathbf{r}^{1/n}) = \begin{cases} \frac{(-1)^{p+1}}{p+1} S(\mu_0, \mu_1; n, p) K_{0,p+2}(\mathbf{r}^{1/n}), \\ -S(\mu_0, \mu_1; n, p) K_{1,p+2}(\mathbf{r}^{1/n}). \end{cases}$$
(4.4)

Finally, for methods of order p + 3, we have

$$K_{(\mu_0^{**},\mu_1^{**}),p+1}^{**}(\mathbf{r}^{1/n}) = \begin{cases} \frac{(-1)^{p+2}}{p+2} W(n,p) K_{0,p+3}(\mathbf{r}^{1/n}), \\ -W(n,p) K_{1,p+3}(\mathbf{r}^{1/n}). \end{cases}$$
(4.5)

Even if we have the preceding expressions to compare the asymptotic constants, it is not easy to find a general conclusion as the examples of the next section suggest.

5. Examples

We have computed $35^{1/n}$ for n = 2, 5. The results for the different iterative methods are given in Appendix A for n = 2 with $x_0 = 95/16$, and in Appendix B for n = 5 with $x_0 = 131/64$. The asymptotic constants are estimated by the formula

$$|K_{\bullet,p}(r^{1/n})| = \frac{|x_4 - r^{1/n}|}{|x_3 - r^{1/n}|^p}$$

for a method of order p.

We have considered the iterative methods $\Phi_{0,p}$ and $\Phi_{1,p}$ for p=3,4,5,6,7. Also we have illustrated, with $\Phi_{7/12,3}$, $\Phi_{5/6,3}$ and $\Phi_{25/16,4}$, methods of the family $\Phi_{\lambda,p}$ which are of order p with asymptotic constants less then $K_{1,p}(35^{1/n})$, and also $K_{0,p}(35^{1/n})$, for n=2,5 and p=3,4. The values of $B(\lambda;p)$ are respectively B(7/12;3)=-1/4, B(5/6;3)=1/2, and B(25/16;4)=1/8. The results for these methods appear in Tables 3–7 for p=2 and in Tables 8–12 for p=3,4,5,6. The corresponding values of p=3,4,5,6 are given in Table 1, and those of p=3,4,5,6. The corresponding values of p=3,4,5,6.

For the method $\Psi_{(\mu_0,\mu_1),p+1}$, the expressions for $R(\mu_0,\mu_1;n,p)$ and $S(\mu_0,\mu_1;n,p)$ are given below:

$$R(\mu_0, \mu_1; n, p) = \begin{cases} \frac{-1}{5}(22\mu_0 + 12\mu_1 - 7) & \text{for } n = 2, p = 3, \\ \frac{-1}{7}(5\mu_0 + 40\mu_1 - 33) & \text{for } n = 2, p = 4, \\ \frac{-1}{7}(32\mu_0 + 18\mu_1 - 11) & \text{for } n = 5, p = 3, \\ \frac{-1}{19}(20\mu_0 + 115\mu_1 - 96) & \text{for } n = 5, p = 3, \end{cases}$$

Table 3 Methods of order 3: computation of $|x_k - 35^{1/2}|$ and estimated asymptotic constant $|K_{\bullet,3}(35^{1/2})|$

k	$\Phi_{0,3}$	Φ 1,3	Φ 7/12,3	$\Phi_{5/6,3}$
1	2.81×10^{-7}	1.39×10^{-7}	3.59×10^{-8}	$6.91\ldots\times10^{-8}$
2	6.35×10^{-22}	3.85×10^{-23}	1.65×10^{-25}	2.36×10^{-24}
3	7.32×10^{-66}	8.20×10^{-70}	1.62×10^{-77}	9.45×10^{-74}
4	1.12×10^{-197}	7.89×10^{-210}	1.52×10^{-233}	6.04×10^{-222}
	Estimated asymptotic constant $ K_{\bullet} $	$3(35^{1/2})$		
	$ K_{0,3}(35^{1/2}) $ 2.85×10^{-2}	$ K_{1,3}(35^{1/2}) 1.42 \times 10^{-2}$	$ K_{7/12,3}(35^{1/2}) 3.57 \dots \times 10^{-3}$	$ K_{5/6,3}(35^{1/2}) 7.14 \times 10^{-3}$

Table 4 Methods of order 4: computation of $|x_k-35^{1/2}|$ and estimated asymptotic constant $|K_{\bullet,4}(35^{1/2})|$

k	arPhi 0,4	$\Phi_{1,4}$	$^{\Phi}$ 25/16,4	arPhi 2/3,3	$\Psi(1/5, 3/10), 4$
1	1.91×10^{-9}	6.27×10^{-10}	9.53×10^{-11}	8.86×10^{-10}	1.27×10^{-10}
2	1.20×10^{-37}	4.67×10^{-40}	3.12×10^{-44}	2.61×10^{-39}	1.57×10^{-43}
3	1.93×10^{-150}	1.43×10^{-160}	3.58×10^{-178}	1.97×10^{-157}	3.71×10^{-175}
4	1.28×10^{-601}	1.28×10^{-642}	6.20×10^{-714}	6.41×10^{-630}	1.15×10^{-701}
	Estimated asymptotic cons	stant $ K_{\bullet,4}(35^{1/2}) $			
	$ K_{0,4}(35^{1/2}) $ 9.05×10^{-3}	$ K_{1,4}(35^{1/2}) $ 3.01×10^{-3}	$ K_{25/16,4}(35^{1/2}) $ 3.77×10^{-4}	$ K_{2/3,4}^{+}(35^{1/2}) $ 4.22×10^{-3}	$ K_{(1/5,3/10),4}(35^{1/2}) 6.03 \times 10^{-4}$

Table 5 Methods of order 5: computation of $|x_k - 35^{1/2}|$ and estimated asymptotic constant $|K_{\bullet,5}(35^{1/2})|$

k	$\Phi_{0,5}$	Φ 1,5	$^{\Phi}$ 3/2,4	$\Psi(1/5, 13/60), 4$
1	1.29×10^{-11}	3.16×10^{-12}	1.58×10^{-11}	9.19×10^{-13}
2	1.03×10^{-57}	2.26×10^{-61}	2.62×10^{-57}	1.34×10^{-64}
3	3.43×10^{-288}	4.23×10^{-307}	4.21×10^{-286}	8.82×10^{-324}
4	1.36×10^{-1440}	9.73×10^{-1536}	4.47×10^{-1430}	1.09×10^{-1619}
	Estimated asymptotic constant I	$(6.5)(35^{1/2})$		
	$ K_{0,5}(35^{1/2}) $	$ K_{1,5}(35^{1/2}) $	$ K_{3/2,5}^+(35^{1/2}) $ 3.36×10 ⁻³	$ K_{(1/5,13/60),5}^*(35^{1/2}) 2.04 \times 10^{-4}$
	2.85×10^{-3}	7.14×10^{-4}	3.36×10^{-3}	$2.04\ldots\times10^{-4}$

Table 6 Methods of order 6: computation of $|x_k - 35^{1/2}|$ and estimated asymptotic constant $|K_{\bullet,6}(35^{1/2})|$

k	arPhi 0,6	Φ 1,6	arPhi 4/5,5	$\Psi(4/25,29/100),4$
1	8.80×10^{-14}	1.70×10^{-14}	5.78×10^{-14}	5.02× 10 ⁻¹⁵
2	4.20×10^{-82}	4.51×10^{-87}	2.25×10^{-83}	8.65×10^{-91}
3	5.03×10^{-492}	1.53×10^{-522}	7.92×10^{-500}	2.24×10^{-545}
4	1.46×10^{-2951}	2.35×10^{-3135}	1.49×10^{-2998}	6.81×10^{-3273}
	Estimated asymptotic constant K	, ₆ (35 ^{1/2})		
	$ K_{0,6}(35^{1/2}) $ 9.05× 10 ⁻⁴	$ K_{1,6}(35^{1/2}) $ 1.81×10^{-4}	$ K_{4/5,6}^+(35^{1/2}) $ 6.03×10 ⁻⁴	$ K_{(4/25,29/100),6}^{**}(35^{1/2}) 5.34 \times 10^{-5}$

Table 7 Methods of order 7: computation of $|x_k-35^{1/2}|$ and estimated asymptotic constant $|K_{\bullet,7}(35^{1/2})|$

k	Φ 0,7	Φ 1,7	$^{\Phi}$ 5/4,6	$\Psi(-41/195, 166/195), 5$
1	6.01×10^{-16}	9.17×10^{-17}	6.38×10^{-16}	3.40×10^{-16}
2	8.26×10^{-111}	3.81×10^{-117}	1.34×10^{-110}	8.86×10^{-113}
3	7.59×10^{-775}	5.64×10^{-820}	2.44×10^{-773}	7.15×10^{-789}
	Estimated asymptotic cons	stant $ K_{\bullet,7}(35^{1/2}) $		
	$ K_{0,7}(35^{1/2}) $ 2.88×10^{-4}	$ K_{1,7}(35^{1/2}) $ 4.81×10^{-5}	$ K_{5/4,7}^+(35^{1/2}) $ 3.11×10 ⁻⁴	$ K^{**}_{(-41/195,166/195),7}(35^{1/2}) $ 1.66×10^{-4}

Table 8 Methods of order 3: computation of $|x_k - 35^{1/5}|$ and estimated asymptotic constant $|K_{\bullet,3}(35^{1/5})|$

k	$^{\Phi}$ 0,3	arPhi 1,3	arPhi 7/12,3	Φ 5/6,3	
1	3.62×10^{-6}	1.73×10^{-6}	4.98×10^{-7}	8.41×10^{-7}	
2	1.37×10^{-16}	7.55×10^{-18}	4.48×10^{-20}	4.31×10^{-19}	
3	7.58×10^{-48}	6.24×10^{-52}	3.25×10^{-59}	5.80×10^{-56}	
4	1.26×10^{-141}	3.52×10^{-154}	1.24×10^{-176}	1.41×10^{-166}	
	Estimated asymptotic constant $ K_{\bullet,3}(35^{1/5}) $				
	$ K_{0,3}(35^{1/5}) $ 2.89	$ K_{1,3}(35^{1/5}) $ 1.44	$\begin{array}{c} K_{7/12,3}(35^{1/5}) \\ 0.361 \end{array}$	$ K_{5/6,3}(35^{1/5}) $ 0.723	

Table 9 Methods of order 4: computation of $|x_k - 35^{1/5}|$ and estimated asymptotic constant $|K_{\bullet,4}(35^{1/5})|$

k	$\Phi_{0,4}$	$\Phi_{1,4}$	Φ 25/16,4	$\Phi_{2/3,3}$	$\Psi(1/5,2/7),4$
1	$1.01\ldots \times 10^{-7}$	$3.14\ldots imes 10^{-8}$	$7.62\ldots imes 10^{-9}$	5.17×10^{-8}	$2.57\ldots imes 10^{-9}$
2	7.76×10^{-28}	2.44×10^{-30}	1.05×10^{-33}	2.80×10^{-29}	8.52×10^{-36}
3	2.71×10^{-108}	8.87×10^{-119}	3.78×10^{-133}	2.42×10^{-114}	1.01×10^{-141}
4	4.06×10^{-430}	1.54×10^{-472}	6.37×10^{-531}	1.34×10^{-454}	2.08×10^{-565}
	Estimated asymptotic const	$ K_{\bullet,4}(35^{1/5}) $			
	$ K_{0,4}(35^{1/5}) $	$ K_{1,4}(35^{1/5}) $	$ K_{25/16,4}(35^{1/5}) $	$ K_{2/3,5}^+(35^{1/5}) $ 3.90	$ K_{(1/5,2/7),4}(35^{1/5}) $
	7.46	2.48	0.31	3.90	0.192

Table 10 Methods of order 5: computation of $|x_k - 35^{1/5}|$ and estimated asymptotic constant $|K_{\bullet,5}(35^{1/5})|$

k	$\Phi_{0,5}$	$\Phi_{1,5}$	arPhi 3/2,4	$\Psi(1/5,23/90),4$
1	2.71×10^{-9}	6.19×10^{-10}	3.27×10^{-9}	6.79×10^{-11}
2	2.75×10^{-42}	4.25×10^{-46}	8.88×10^{-42}	7.06×10^{-52}
3	2.94×10^{-207}	6.44×10^{-227}	1.29×10^{-204}	8.59×10^{-257}
4	4.13×10^{-1032}	5.16×10^{-1131}	8.63×10^{-1019}	2.28×10^{-1281}
	Estimated asymptotic const	ant $ K_{\bullet,5}(35^{1/5}) $		
	$ K_{0,5}(35^{1/5}) $	$ K_{1,5}(35^{1/5}) $	$ \kappa_{3/2,5}^{+}(35^{1/5}) $ 23.45	$ K^*_{(1/5,23/90),5}(35^{1/5}) $
	18.56	4.64	23.45	0.48

Table 11 Methods of order 6: computation of $|x_k-35^{1/5}|$ and estimated asymptotic constant $|K_{\bullet,6}(35^{1/5})|$

k	$\Phi_{0,6}$	Φ 1,6	Φ 4/5,5	$\Psi(13/70,59/210),4$
1	7.21×10^{-11}	$1.28\ldots\times10^{-11}$	$4.76\ldots\times10^{-11}$	3.72×10^{-13}
2	6.45×10^{-60}	4.09×10^{-65}	3.74×10^{-61}	5.06×10^{-76}
3	3.30×10^{-354}	4.32×10^{-386}	8.83×10^{-362}	3.18×10^{-453}
4	5.90×10^{-2120}	5.94×10^{-2312}	1.51×10^{-2165}	1.95×10^{-2716}
	Estimated asymptotic const	ant $ K_{\bullet,6}(35^{1/5}) $		
	$ K_{0,6}(35^{1/5}) $ 45.6	$ K_{1,6}(35^{1/5}) $ 9.12	$ K_{4/5,6}^+(35^{1/5}) $ 31.92	$ K_{(13/70,59/210),6}^{***}(35^{1/5}) $ 0.18

Table 12 Methods of order 7: computation of $|x_k-35^{1/5}|$ and estimated asymptotic constant $|K_{\bullet,7}(35^{1/5})|$

k	Φ 0,7	Φ 1,7	Φ 5/4,6	$\Psi(-167/775,676/775),5$
1 2	$1.90 \times 10^{-12} 1.02 \times 10^{-80}$	$2.75 \dots \times 10^{-13}$ $2.24 \dots \times 10^{-87}$	1.99×10^{-12} 1.54×10^{-80}	1.22×10^{-12} 3.12×10^{-82}
3	1.27×10^{-558}	5.30×10^{-606}	2.64×10^{-557}	2.21×10^{-569}
	Estimated asymptotic constant	$ K_{\bullet,7}(35^{1/5}) $		
	$ K_{0,7}(35^{1/5}) $ 111.33	$ K_{1,7}(35^{1/5}) $ 18.55	$ K_{5/4,7}^{+}(35^{1/5}) $ 124.72	$ K_{(-167/775,676/775),7}^{**}(35^{1/5}) $ 75.85

and

$$S(\mu_0, \mu_1; n, p) = \begin{cases} \frac{6}{49}(-\mu_0 + 4\mu_1 - 1) & \text{for } n = 2, p = 3, \\ \frac{1}{21}(39\mu_0 + 39\mu_1 - 25) & \text{for } n = 2, p = 4, \\ \frac{12}{133}(-55\mu_0 + 15\mu_1 + 6) & \text{for } n = 5, p = 3, \\ \frac{1}{76}(139\mu_0 + 63\mu_1 - 25) & \text{for } n = 5, p = 3. \end{cases}$$

For n=2: the method $\Psi_{(1/5,3/10),4}$ is of order 4 and R(1/5,3/10;2,3)=-1/5; the method $\Psi_{(1/5,13/60),4}$ is of order 5 because R(1/5,13/60;2,3)=0 but S(1/5,13/60;2,3)=-2/7; the method $\Psi_{(4/25,29/100),4}$ is of order 6 because R(4/25,29/100;2,3)=0 and S(4/25,29/100;2,3)=0, and W(2,3)=-31/105; and the method $\Psi_{(-41/195,166/195),5}$ is of order 7 because R(-41/195,166/195;2,4)=0 and S(-41/195,166/195;2,4)=0, and S(-4

For n=5: the method $\Psi_{(1/5,2/7),4}$ is of order 4 and R(1/5,2/7;5,3)=-19/245; the method $\Psi_{(1/5,23/90),4}$ is of order 5 because R(1/5,23/90;5,3)=0 but S(1/5,23/90;5,3)=-10/57; the method $\Psi_{(1/7,0.59/210),4}$ is of order 6 because R(13/70,59/210;5,3)=0 and S(13/70,59/210;5,3)=0, and W(5,3)=-11/532; and the method $\Psi_{(-167/775,676/775),5}$ is of order 7 because R(-167/775,676/775;5,4)=0 and S(-167/775,676/775;2,4)=0, and S(-167/775,

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Appendix A. Results for $35^{1/2}$

See Tables 3-7.

Appendix B. Results for 35^{1/5}

See Tables 8-12.

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