# Accuracy of genomic prediction by singular value decomposition of the genotype matrix

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#### Aim

 $\hookrightarrow$  Evaluate the effect of the number of components in singular value decomposition (SVD) of the genotype matrix on the accuracy of genomic prediction.

#### Definition: Orthogonal matrix

A matrix  $\mathbf{U} \in \mathbb{R}^{n \times m}$  is said to be *orthogonal* iff

$$\mathbf{U}^{\mathsf{T}}\mathbf{U} = \mathbf{I}_m$$

#### Definition: Eigenvector and eigenvalue

A non-null vector v is called an *eigenvector* of a matrix  $\mathbf{A} \in \mathbb{R}^{n \times n}$  when there is a scalar  $\lambda$  such that

$$\mathbf{A}\mathbf{v} = \lambda \mathbf{v}$$

 $\lambda$  is said to be the *eigenvalue* of **A** associated to the eigenvector v.

#### Theorem: SVD

For every matrix  $\mathbf{X} \in \mathbb{R}^{n \times m}$ , there exist two orthogonal matrices  $\mathbf{U} \in \mathbb{R}^{n \times n}$  and  $\mathbf{V} \in \mathbb{R}^{m \times m}$  such that

$$\mathbf{X} = \mathbf{U}\mathbf{S}\mathbf{V}^{\mathsf{T}}$$

where  $\mathbf{S} \in \mathbb{R}^{n \times m}$  is a diagonal matrix whose non-zero values are the square roots of the eigenvalues of  $\mathbf{A} = \mathbf{X}^{\mathsf{T}}\mathbf{X}$ .

We call the diagonal values of **S** the singular values of **A**.

# Principal Component Ridge Regression

$$\begin{aligned} \mathbf{y} &= \mathbf{X}\mathbf{b} + \mathbf{e} \\ &[\mathbf{X}^{\mathsf{T}}\mathbf{X} + \lambda \mathbf{I}] \, \hat{\mathbf{b}} = \mathbf{X}^{\mathsf{T}}\mathbf{y} \\ &\mathbf{X} = \mathbf{U}\mathbf{S}\mathbf{V}^{\mathsf{T}} \\ &\mathbf{T} = \mathbf{U}^{\mathsf{T}}\mathbf{S} \\ &[\mathbf{S}^{\mathsf{T}}\mathbf{S} + \lambda \mathbf{I}] \, \hat{\mathbf{s}} = \mathbf{T}^{\mathsf{T}}\mathbf{y} \\ &\hat{\mathbf{b}} = \mathbf{V}\hat{\mathbf{s}} \end{aligned}$$
 Truncated-SVD version of SNP-BLUP 
$$\mathbf{X} \approx \mathbf{U}_k \mathbf{S}_k \mathbf{V}_k^{\mathsf{T}} \\ &\mathbf{T} = \mathbf{U}_k^{\mathsf{T}}\mathbf{S}_k \\ &[\mathbf{S}_k^{\mathsf{T}}\mathbf{S}_k + \lambda \mathbf{I}] \, \hat{\mathbf{s}}_k = \mathbf{T}^{\mathsf{T}}\mathbf{y} \\ &\hat{\mathbf{b}} = \mathbf{V}_k \, \hat{\mathbf{s}}_k \end{aligned}$$

#### Materials and methods

- Genotypes\* from 1,927 Atlantic Salmon (Salmo salar) fish
  - 16,454 SNP markers (all on chromosome 1)

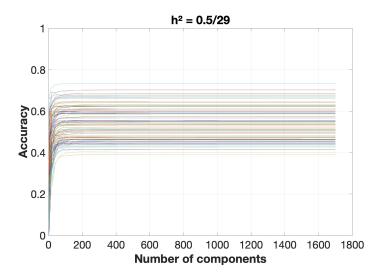
<sup>\*</sup>Data provided by AquaGen AS.

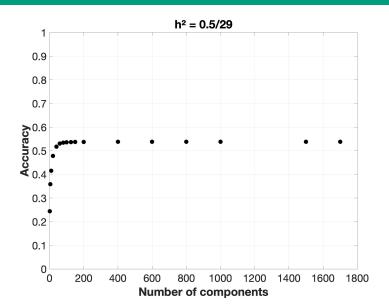
#### Simulation

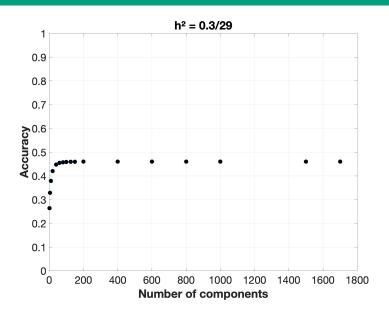
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$$b_1, \ldots, b_{1000}$$
 i.i.d.  $b_i \sim N(0, V_m)$ , where  $V_m = \frac{h^2}{2 \sum_{i=1}^{1000} p_i (1-p_i)}$ 

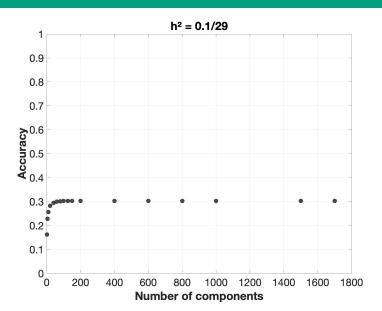
- $h^2 = \{0.1/29, 0.3/29, 0.5/29\}$
- 1,000 QTL randomly positioned along the 16,454 SNP loci
- mask the QTL from the genotype matrix
- calculate true breeding values g = Xb
- lacktriangle predict breeding values with PCRR  $\hat{f g}={f X}\hat{f b}={f X}{f V}_k\hat{f s}_k$ 
  - (10-fold cross-validation)
- lacksquare estimate correlation coefficient  $\hat{r}=rac{Cov(g,\hat{g})}{\hat{\sigma}_g\hat{\sigma}_{\hat{g}}}$
- lacksquare run 100 replicates and obtain average accuracy  $ar{r} = \sum_{j=1}^{100} \hat{r}_j/100$

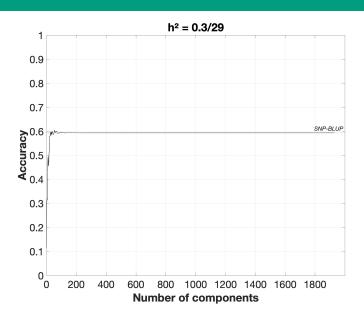
 $k = \{2, 5, 10, 20, 40, 60, 80, 100, 125, 150, 200, 400, 600, 800, 1000, 1500, 1700\}$ 

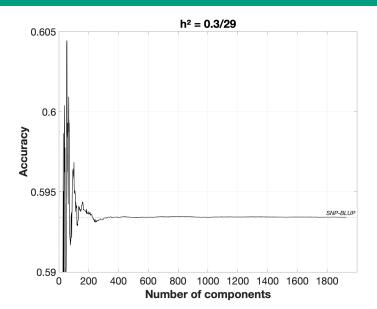












#### Discussion

- reduction of statistical noise
- accuracy not always an increasing function of the number of SVD components
- higher accuracy using APY (e.g., 0.5% gain)
- higher accuracy using PCRR/PCIG (e.g., 1.8% gain)

#### Conclusions

- SVD is useful for data reduction of the genotype matrix
- PCRR can be used for genomic prediction
  - good accuracies obtained with few components
- PCRR can provide higher accuracies than SNP-BLUP with certain numbers components
  - within replicates, maximum accuracy at 50–250 components
  - across replicates, maximum mean accuracy at 400–600 components

#### References



Ødegård, J., Indahl, U., Strandén, I., & Meuwissen, T. 2018. Large-scale genomic prediction using singular value decomposition of the genotype matrix. *Genetics Selection Evolution*, 50, 6.



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