

**Definition 1.** A subset  $\mathcal{U} \subseteq \mathcal{F}$  is **minimal unsatisfiable subset (MUS)** if  $\mathcal{U}$  is unsatisfiable and  $\forall \mathcal{U}' \subset \mathcal{U}$ ,  $\mathcal{U}'$  is satisfiable. An MUS of  $\mathcal{F}$  with an optimal value w.r.t an objective function  $f$  is called an **optimal MUS (OMUS)**.

**Definition 2.** A subset  $\mathcal{C}$  of  $\mathcal{F}$  is an **minimal correction subset (MCS)** if  $\mathcal{F} \setminus \mathcal{C}$  is satisfiable and  $\forall \mathcal{C}' \subseteq \mathcal{C} \wedge \mathcal{C}' \neq \emptyset$ ,  $(\mathcal{F} \setminus \mathcal{C}) \cup \mathcal{C}'$  is unsatisfiable.

**Definition 3.** A satisfiable subset  $\mathcal{S} \subseteq \mathcal{F}$  is a **Maximal Satisfiable Subset (MSS)** if  $\forall \mathcal{S}' \subseteq \mathcal{F}'$  s.t  $\mathcal{S} \subseteq \mathcal{S}'$ ,  $\mathcal{S}'$  is unsatisfiable.

An MSS can also be defined as the complement of an MCS (and vice versa). If  $\mathcal{C}$  is a MCS then  $\mathcal{S} = \mathcal{F} \setminus \mathcal{C}$  is a MSS. On the other hand, MUSes and MCSes are related by the concept of minimal hitting set.

**Definition 4.** A minimal correction subset  $\mathcal{C}$  of  $\mathcal{F}$  is an **Optimal Correction Subset** of  $\mathcal{F}$ ,  $OCS(\mathcal{F})$  if  $\forall \mathcal{C}' \in MCSes(\mathcal{F}') : f(\mathcal{C}) \leq f(\mathcal{C}')$ .

**Definition 5.** A maximal satisfiable subset  $\mathcal{S} \subseteq \mathcal{F}$  is an **Optimal Satisfiable Subset (OSS)** if  $\forall \mathcal{S}' \in MSSes(\mathcal{F}') : f(\mathcal{S}) \leq f(\mathcal{S}')$ .

*Proof.* Let  $\mathcal{C}$  be the collection of  $MCSes(\mathcal{F})$ ,  $\mathcal{S}$  be the collection of  $MSSes(\mathcal{F})$ , we know that

- $|\mathcal{C}| = |\mathcal{S}|$  and,
- $\forall i \in \{1..|\mathcal{C}|\} : \mathcal{S}_i = \mathcal{F} \setminus \mathcal{C}_i$

Let  $\mathcal{S}^*$  be the OSS,

Let  $\mathcal{C}^*$  be the OCS, □

**Lemma 1.** Given a CNF formula  $\mathcal{F}$ , let  $OMUSes(\mathcal{F})$  and  $OCSes(\mathcal{F})$  be the set of all OMUSes and OCSes of  $\mathcal{F}$  respectively. Then the following holds:

1. A subset  $\mathcal{U}$  of  $\mathcal{F}$  is an OMUS iff  $\mathcal{U}$  is an optimal hitting set of  $OCSes(\mathcal{F})$
2. A subset  $\mathcal{C}$  of  $\mathcal{F}$  is an OCS iff  $\mathcal{C}$  is an optimal hitting set of  $OMUSes(\mathcal{F})$

**Definition 6.** Let  $\Gamma$  be a collection of sets and  $MHS(\Gamma)$  the set of all minimal hitting sets on  $\Gamma$  and let  $f$  be an objective function with input a set of constraints. Then a hitting set  $h \in \Gamma$  is said to be an **optimal hitting set** if  $\forall h' \in OHS(\Gamma)$  we have that  $f(h) \leq f(h')$  [Davies and Bacchus, 2011].

**Property 1.** The **optimal** hitting set of a collection of sets  $\Gamma$  is denoted by  $OHS(\Gamma)$ .

**Proposition 1.** A set  $\mathcal{U} \subseteq \mathcal{F}$  is an OMUS of  $\mathcal{F}$  if and only if  $\mathcal{U}$  is an optimal hitting set of  $MCSes(\mathcal{F})$

**Lemma 2.** Let  $\mathcal{K} \subseteq MCSes(\mathcal{F})$ . Then a subset  $\mathcal{U}$  of  $\mathcal{F}$  is an OMUS if  $\mathcal{U}$  is a optimal hitting set on  $\mathcal{K}$  and  $\mathcal{U}$  is unsatisfiable

**Proof Lemma 2**

- Is an OCS obligatory an MCS ?
- Does an OCS have to be optimal and minimal ?
- How is MSS affected ?

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**Algorithm 1:** OMUS( $\mathcal{F}, f_o, \mathcal{H}_0$ ) [Ignatiev et al., 2015]

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input           :  $\mathcal{F}$  a CNF formula
input           :  $f$  a cost function
optional input:  $\mathcal{H}_0$  initial set of disjoint Minimum Correction Sets
1 begin
2    $\mathcal{H} \leftarrow \mathcal{H}_0$  ;
3   while true do
4     // cost minimal hitting set w.r.t cost function f
4      $h \leftarrow \text{OHS}(\mathcal{H}, f)$ ;
4     // set with all clauses from hitting set
5      $\mathcal{F}' \leftarrow \{c_i | e_i \in h\}$  ;
6     if not SAT( $\mathcal{F}'$ ) then
7       | return OMUS  $\leftarrow \mathcal{F}'$  ;
8     end
8     // written as grow( $\mathcal{F}'$ ) which is Minimum Correction Set of  $\mathcal{F}'$ 
8     // find the biggest one with the biggest cost
9      $\mathcal{C} \leftarrow \mathcal{F} \setminus \text{grow}(\mathcal{F}', \mathcal{F}, f)$  ;
10     $\mathcal{H} \leftarrow \mathcal{H} \cup \{\mathcal{C}\}$  ;
11  end
12 end

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**Proof 1.**

- use the cost of the constraints
- if cost  $\leq \dots$  and cost  $\geq \dots$  then it means cost ....
- Use the min cost of OCS and OMUS

**Proof 1.1**  $\Rightarrow$  A subset  $\mathcal{U}$  of  $\mathcal{F}$  is an OMUS  $\Rightarrow \mathcal{U}$  is an optimal hitting set of OCSes( $\mathcal{F}$ )

**Proof 1.2**  $\Leftarrow$  A subset  $\mathcal{U}$  of  $\mathcal{F}$  is an OMUS  $\Leftarrow \mathcal{U}$  is an optimal hitting set of OCSes( $\mathcal{F}$ )

**Proof 2.**

**Proof 2.1**  $\Rightarrow$  A subset  $\mathcal{C}$  of  $\mathcal{F}$  is an OCS  $\Rightarrow \mathcal{U}$  is an optimal hitting set of OMUSes( $\mathcal{F}$ )

**Proof 2.2**  $\Leftarrow$  A subset  $\mathcal{C}$  of  $\mathcal{F}$  is an OCS  $\Leftarrow \mathcal{U}$  is an optimal hitting set of OMUSes( $\mathcal{F}$ )

For the set of clauses  $\{c_1, \dots, c_{|C|}\}$  in the collection of sets  $\mathcal{H}$ . For Example:

$$\{c_3\}, \{c_2, c_4\}, \{c_1, c_4\}, \{c_1, c_5, c_7\}$$

The optimal hitting set can be formulated as an integer linear program.

$$\min_x \sum_{i \in \{1..|C|\}} c_i \cdot x_i \quad (1)$$

$$\sum_{i \in \{1..|C|\}} x_i \cdot w_{ij} \geq 1, \forall j \in \{1..|hs|\} \quad (2)$$

$$x_i = \{0, 1\} \quad (3)$$

$$w_{ij} = \{0, 1\} \quad (4)$$

- $c_i$  is the cost associated with clause  $i$  in
- $x_i$  is a boolean decision variable if constraint/clause  $c_i$  is chosen or not.
- Equation 2 is a boolean decision variable if constraint/clause  $i$  is in hitting set  $j$ .

## References

- [Davies and Bacchus, 2011] Davies, J. and Bacchus, F. (2011). Solving maxsat by solving a sequence of simpler sat instances. In *International conference on principles and practice of constraint programming*, pages 225–239. Springer.
- [Ignatiev et al., 2015] Ignatiev, A., Previti, A., Liffiton, M., and Marques-Silva, J. (2015). Smallest mus extraction with minimal hitting set dualization. In *International Conference on Principles and Practice of Constraint Programming*, pages 173–182. Springer.