



### CSCE 771: Computer Processing of Natural Language

Lecture 4: NLP Tasks, Evaluation

PROF. BIPLAV SRIVASTAVA, AI INSTITUTE 2<sup>ND</sup> SEPTEMBER, 2020

Carolinian Creed: "I will practice personal and academic integrity."

# Organization of Lecture 4

- Opening Segment
  - Recap of Lecture 3
  - Class rules
- Main Lecture



#### **Main Section**

- NLP Tasks
- Case Study: NLP for Business

- Concluding Segment
  - Course project
  - About next lecture Lecture 5

### Recap of Lecture 3

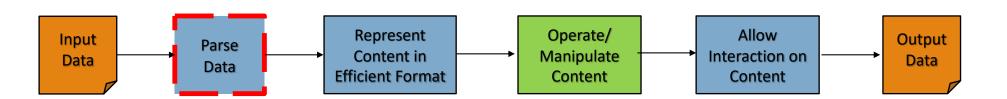
- We looked at structure of building block of text, i.e., words, from an English and non-English perspective
- We reviewed morphology
- We also looked at useful lexicons that can help simplify language tasks
- Read paper #1-1 Contextual Word Representations: Putting Words into Computers (Full list: https://github.com/biplav-s/course-nl-f22/tree/main/reading-list)
- Contranyms
  - Sanction : allow / prevent
  - Bomb : success / failure
  - Break : opportunity / problem
  - Dust: remove or put dust
  - More examples: https://en.wiktionary.org/wiki/Appendix:English contranyms

### Class Rules

- Absence policy
  - Excused absence: If you have a reason to miss, please send me a note BEFORE the class.
  - Non-excused absence: Will be noted in the excel sheet: <a href="https://docs.google.com/spreadsheets/d/1xBOs4loR">https://docs.google.com/spreadsheets/d/1xBOs4loR</a> iagqzj6Eo4vrhpY3Mm1e smXXN3Q8Q5vIg/edit?usp=sharing in column E.
  - More than two non-excused absences will lead to a 10% penalty on cumulative score.
- Honor code and plagiarism policy
  - Go through: <a href="https://sc.edu/about/offices">https://sc.edu/about/offices</a> and divisions/student conduct and academic integrity/instructors/promoting academic integrity/index.php
  - On plagiarism
    - Acknowledging / giving credits is essential when reusing material
    - Your work cannot be complete reuse by someone else; especially project
    - Will lead to being reported to corresponding office and a D or failed grade

### Main Lecture

### **NLP Basic Tasks**



### Tasks Depends on Applications

- Tokenization getting tokens for processing
- Normalization making into canonical form
- Case folding handling cases
- Lemmatization handling variants (shallow)
- Stemming handling variants (deep)

### Task - Tokenization: Some Examples

#### Example 1

- 'Output: ['At', 'eight', "o'clock", 'on', 'Thursday', 'morning', ',', 'we', 'will', 'go', 'to', 'school', '.', 'There', 'isNo', 'one', 'there', 'but', 'myself', '.']

#### Example 2

- Input: "CSCE 771: Computer Processing of Natural Language " + \
   " Lecture 3: Words, Morphology, Lexicons" + \
   " Prof. Biplav Srivastava, Al Institute 31st Aug 2020"
- Output: ['CSCE', '771', ':', 'Computer', 'Processing', 'of', 'Natural', 'Language', 'Lecture', '3', ':', 'Words', ',', 'Morphology', ',', 'Lexicons', 'Prof.', 'Biplav', 'Srivastava', ',', 'AI', 'Institute', '31st', 'Aug', '2020']

#### • Comments:

Does not capture compound words

### Tokenization Issue and Practices

- Penn Treebank Tokenization
  - Separates clitics doesn't becomes does plus n't
  - Keeps hyphenated words together
  - Separates out all punctuation

Input: "The San Francisco-based restaurant," they said,

"doesn't charge \$10".

 $\textbf{Output: $$^\bot$The\_San\_Francisco-based\_restaurant\_,\_$$$\_'\_they\_said\_,\_$$$ 

"\_does\_n't\_charge\_\$\_10\_"\_.

- Ambiguity while tokenization
  - the book's cover => the book's cover
  - they're => they are
- Practice
  - Deterministic algorithms based on regular expressions compiled into very efficient finite state automata
  - Breaks ambiguity along conventions

### Tokenization in Other Languages

- French
  - *L'ensemble* → one token or two?
    - L?L'?Le?
    - Want *l'ensemble* to match with *un ensemble*
- German noun compounds are not segmented
  - Lebensversicherungsgesellschaftsangestellter
  - 'life insurance company employee'
  - German information retrieval needs compound splitter

# Tokenization in Other Languages

- Chinese and Japanese no spaces between words:
  - 莎拉波娃现在居住在美国东南部的佛罗里达。
  - 莎拉波娃 现在 居住 在 美国 东南部 的 佛罗里达
  - Sharapova now lives in US southeastern Florida
- Japanese has alphabets intermingled from other "languages"
  - Dates/amounts in multiple formats



### Word Tokenization in Chinese

#### Also called Word Segmentation

Chinese words are composed of characters

- Characters are generally 1 syllable and 1 morpheme.
- Average word is 2.4 characters long.

Standard baseline segmentation algorithm:

Maximum Matching (also called Greedy)

Source: Jurafsky & Martin

(2.5) 姚明 进入 总决赛 YaoMing reaches finals

or as 5 words ('Peking University' segmentation):

(2.6) 姚 明 进入 总 决赛 Yao Ming reaches overall finals

Finally, it is possible in Chinese simply to ignore words altogether and use characters as the basic elements, treating the sentence as a series of 7 characters:

2.7) 姚 明 进 入 总 决 赛
Yao Ming enter enter overall decision game

### Task - Normalization

- Have words/ word phrases in canonical form
- Examples
  - UoSC and USC
  - U.S.A. and USA
  - Window and windows
  - "Dr. Martin Luther King Jr." and "Dr. M. L. King"
- Why do it
  - Improve match between query and index
  - Recognize duplicates
- Standards
  - Physical Address USPS

# Task - Case Folding

- Handle case(s) of letter(s)
- Examples
  - Force words to lower case
  - Retain cases of words as entered
    - **US** versus **us** is important
- · Case can have important meaning
  - Acronym
  - Useful in sentiment analysis

# Task - Parts of Speech (POS) Tagging with Homonym

#### **Data**

"They refuse to permit us to obtain the refuse permit"

#### **POS**

[('They', 'PRP'), ('refuse', 'VBP'), ('to', 'TO'), ('permit', 'VB'), ('us', 'PRP'), ('to', 'TO'), ('obtain', 'VB'), ('the', 'DT'), ('refuse', 'NN'), ('permit', 'NN')]

#### Table Source:

https://www.ling.upenn.edu/courses/Fall\_2003/ling001/penn\_treebank\_pos.html

| Number | Tag   | Description                              |  |  |
|--------|-------|--|--|--|
| 1.     | CC    | Coordinating conjunction                 |  |  |
| 2.     | CD    | Cardinal number                          |  |  |
| 3.     | DT    | Determiner                               |  |  |
| 4.     | EX    | Existential there                        |  |  |
| 5.     | FW    | Foreign word                             |  |  |
| 6.     | IN    | Preposition or subordinating conjunction |  |  |
| 7.     | IJ    | Adjective                                |  |  |
| 8.     | JJR   | Adjective, comparative                   |  |  |
| 9.     | JJS   | Adjective, superlative                   |  |  |
| 10.    | LS    | List item marker                         |  |  |
| 11.    | MD    | Modal                                    |  |  |
| 12.    | NN    | Noun, singular or mass                   |  |  |
| 13.    | NNS   | Noun, plural                             |  |  |
| 14.    | NNP   | Proper noun, singular                    |  |  |
| 15.    | NNPS  | Proper noun, plural                      |  |  |
| 16.    | PDT   | Predeterminer                            |  |  |
| 17.    | POS   | Possessive ending                        |  |  |
| 18.    | PRP   | Personal pronoun                         |  |  |
| 19.    | PRP\$ | Possessive pronoun                       |  |  |
| 20.    | RB    | Adverb                                   |  |  |
| 21.    | RBR   | Adverb, comparative                      |  |  |
| 22.    | RBS   | Adverb, superlative                      |  |  |
| 23.    | RP    | Particle                                 |  |  |
| 24.    | SYM   | Symbol                                   |  |  |
| 25.    | то    | to                                       |  |  |
| 26.    | UH    | Interjection                             |  |  |
| 27.    | VB    | Verb, base form                          |  |  |
| 28.    | VBD   | Verb, past tense                         |  |  |
| 29.    | VBG   | Verb, gerund or present participle       |  |  |
| 30.    | VBN   | Verb, past participle                    |  |  |
| 31.    | VBP   | Verb, non-3rd person singular present    |  |  |
| 32.    | VBZ   | Verb, 3rd person singular present        |  |  |
| 33.    | WDT   | Wh-determiner                            |  |  |
| 34.    | WP    | Wh-pronoun                               |  |  |
| 35.    | WP\$  | Possessive wh-pronoun                    |  |  |
| 36.    | WRB   | Wh-adverb                                |  |  |

### Task - Parts of Speech (POS) Tagging

#### **Data**

"Hola Class! Dost kya kar rahe ho? Let us pay attention."

#### **POS**

[('Hola', 'NNP'), ('Class', 'NN'), ('!', '.'), ('Dost', 'NNP'), ('kya', 'VBD'), ('kar', 'JJ'), ('rahe', 'NN'), ('ho', 'NN'), ('?', '.'), ('Let', 'VB'), ('us', 'PRP'), ('pay', 'VB'), ('attention', 'NN'), ('.', '.')]

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| 2.       | CD         | Cardinal number                          |  |  |  |
| 3.       | DT         | Determiner                               |  |  |  |
| 4.       | EX         | Existential there                        |  |  |  |
| 4.<br>5. | FW         |  |  |  |  |
|          | J          | Foreign word                             |  |  |  |
| 6.<br>7. | IN<br>JJ   | Preposition or subordinating conjunction |  |  |  |
|          | 100        | Adjective                                |  |  |  |
| 8.<br>9. | JJR<br>JJS | Adjective, comparative                   |  |  |  |
|          |            | Adjective, superlative                   |  |  |  |
| 10.      | LS         | List item marker                         |  |  |  |
| 11.      | MD         | Modal                                    |  |  |  |
| 12.      | NN         | Noun, singular or mass                   |  |  |  |
| 13.      | NNS        | Noun, plural                             |  |  |  |
| 14.      | NNP        | Proper noun, singular                    |  |  |  |
| 15.      | NNPS       | Proper noun, plural                      |  |  |  |
| 16.      | PDT        | Predeterminer                            |  |  |  |
| 17.      | POS        | Possessive ending                        |  |  |  |
| 18.      | PRP        | Personal pronoun                         |  |  |  |
| 19.      | PRP\$      | Possessive pronoun                       |  |  |  |
| 20.      | RB         | Adverb                                   |  |  |  |
| 21.      | RBR        | Adverb, comparative                      |  |  |  |
| 22.      | RBS        | Adverb, superlative                      |  |  |  |
| 23.      | RP         | Particle                                 |  |  |  |
| 24.      | SYM        | Symbol                                   |  |  |  |
| 25.      | ТО         | to                                       |  |  |  |
| 26.      | UH         | Interjection                             |  |  |  |
| 27.      | VB         | Verb, base form                          |  |  |  |
| 28.      | VBD        | Verb, past tense                         |  |  |  |
| 29.      | VBG        | Verb, gerund or present participle       |  |  |  |
| 30.      | VBN        | Verb, past participle                    |  |  |  |
| 31.      | VBP        | Verb, non-3rd person singular present    |  |  |  |
| 32.      | VBZ        | Verb, 3rd person singular present        |  |  |  |
| 33.      | WDT        | Wh-determiner                            |  |  |  |
| 34.      | WP         | Wh-pronoun                               |  |  |  |
| 35.      | WP\$       | Possessive wh-pronoun                    |  |  |  |
| 36.      | WRB        | Wh-adverb                                |  |  |  |

### Task - Lemmatization

- Reduce inflections or variant forms to base form
  - am, are, is  $\rightarrow$  be
  - car, cars, car's, cars'  $\rightarrow$  car
- Examples
  - churches : church
  - rocks : rock
  - children : child
  - focii : focii

See sample code on how to perform

# Code Sample - NLTK

#### Notebook:

https://github.com/biplav-s/course-nl-f22/blob/main/sample-code/l4-nlp-basictasks/NLTK%20Basic%20Tasks.ipynb

### Task - Stemming

- Reduce terms to their stems in information retrieval
- Stemming is crude chopping of affixes
  - language dependent
  - e.g., *automate(s)*, *automatic*, *automation* all reduced to *automat*.

#### Complexity

- Multiple senses of words
  - •Sense ambiguities: *ceil-* is not the stem of *ceiling*
- Complicated morphological rules
- Part-of-speech
- •Irregular words

for example compressed and compression are both accepted as equivalent to compress.



for exampl compress and compress ar both accept as equival to compress

See sample code on how to perform

# Complexity of Stemming

- Why affixes are added: Grammatical role, tense, derivational morphology
- Complexity reasons
  - Multiple senses of words
    - Sense ambiguities: ceil- is not the stem of ceiling
  - Complicated morphological rules
  - Part-of-speech
  - Irregular words

Source: NLTK Documentation

# Lemmatization and/ or Stemming

- Lemmatization is usually a good idea; reduces variants
- •Stemming can cause issues over and under stemming
  - Use it when doing careful word analysis
- Issue more complicated when doing multi-lingual analysis

# Code Sample - Spacy

#### Notebook:

https://github.com/biplav-s/course-nl-f22/blob/main/sample-code/l4-nlp-basictasks/Spacy%20Basic%20Tasks.ipynb

### Case Study: NLP for Business - Market Intelligence

### Clarity: Data-Driven Competitive Analysis

- 1. Sheema Usmani, Mariana Bernagozzi, Yufeng Huang, Michelle Morales, Amir Sabet Sarvestani, Biplav Srivastava, Clarity: Data-driven Automatic Assessment of Product Competitiveness, IAAI/AAAI 2020, **Deployed Application Award**
- 2. (Demo paper) Data-driven ranking and visualization of products by competitiveness, Sheema Usmani, Mariana Bernagozzi, Yufeng Huang, Michelle Morales, Amir Sabet Sarvestani, Biplav Srivastava, AAAI 2020
- 3. <u>Yufeng Huang, Mariana Bernagozzi, Michelle Morales, Sheema Usmani</u>, Biplav Srivastava, <u>Michelle Mullins</u>, Clarity 2.0: Improved Assessment of Product Competitiveness from Online Content. Al Mag. 42(2): 59-70 (2021)

# Competitive Analysis: Before & After

#### Today's Manual Process

Identify top competitor(s) for product X, e.g. product Y

Read through thousands of reviews on product X and Y

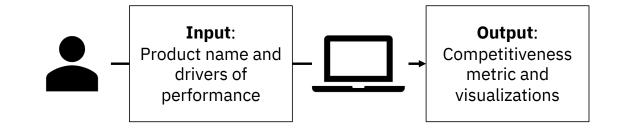
Keep track of topics/themes of interest for each product

Decide whether a mention represented positive/negative feedback by manually annotating each mention

Use the gathered data to make a decision on whether or not product X is more competitive than product Y, along the dimensions/themes considered.

**Repeat:** for every data source, theme, and timeframe

#### **New Process**



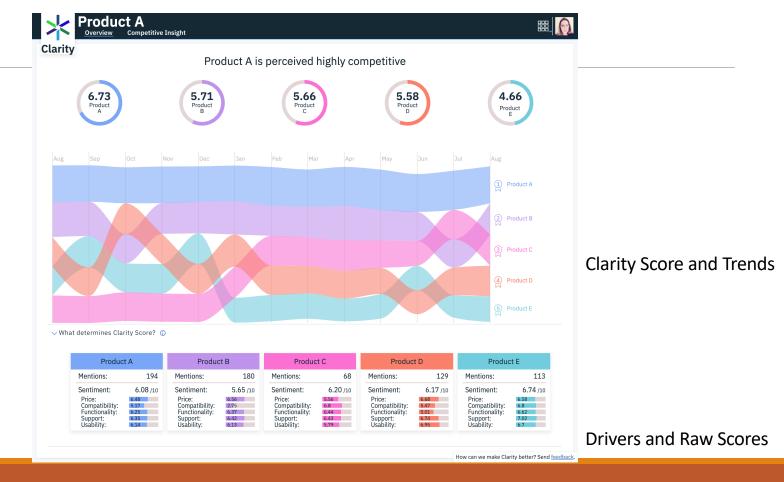
#### **Steps**

- 1. Prepare review data of products  $p_1$  to  $p_N$  from sources  $d_1$  to  $d_M$  (offline)
- 2. Process request for analysis for product p. (online)
- 3. Visualize analysis results (online, optional)



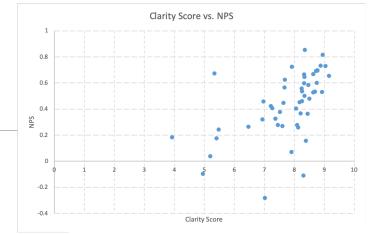
### Illustrative Output

Video demo



### Evaluation and Impact

- The system has been running for over two years and used by over 4500 people performing over 200 competitive analyses involving over 1000 products Clarity has been running
- In-field evaluation
  - High user satisfaction
    - Net Promoter Score (NPS) of 52; Scale -100 to 100
- In-lab evaluation
  - Scores consistent with Gartner's Magic Quadrant
    - Products v/s Vendor ranking
  - Clarity scores consistent with Net Promoter Score (NPS) of 50 products



| LEADERS     |  |
|-------------|--|
| ● Vendor A  |  |
|             |  |
|             |  |
| ● Vendor E  |  |
| ● Vendor C  |  |
|             |  |
| ● Vendor G  |  |
|             |  |
| VISIONARIES |  |
|             |  |

 $CS(p_L) > CS(p_C) > CS(p_N)$ 

 $\mathcal{CS}(p_L) > \mathcal{CS}(p_V) > \mathcal{CS}(p_N)$ 

### Clarity 2.0

#### We still consider:

- the sentiments on different topics
- the overall rating,
- and the content volume

But change calculation from statistical measures to Bayesian statistics

| Model                             | Precision | Recall | F1 Score |
|-----------------------------------|-----------|--------|----------|
| Neural network on sentence        | 0.40      | 0.32   | 0.36     |
| Neural network on words           | 0.56      | 0.40   | 0.47     |
| Neural network based on attention | 0.56      | 0.56   | 0.56     |
| Human performance                 | 0.75      | 0.56   | 0.64     |

Table 1: Model performance on one of the topics, *product* features and functionalities. Precision, recall, and F1 score are compared for the models described in the Improved NLP Model in Clarity 2.0 section. It should be noted that the human performance is relative low compared to typical academic datasets. The reason is that practical NLP applications are typically ambiguous, which results in more noise in the results and leads to the overall lower score compared to well-refined academic datasets.

Much easier to use than the competition and you will see nearly immediate results . (Ease of use - 0.98308)

Much easier to use than the competition and you will see nearly immediate results . (Performance and efficiency - 0.53858)

Much easier to use than the competition and you will see nearly immediate results . (Product competitiveness - 0.50202)

Figure 6: Example of topic classification using the attention mechanism. The example sentence is classified into three topics, ease of use, performance and efficiency, and product competitiveness, with corresponding probabilities, respectively. The darkness of the highlighted keyword is calculated by multiplying the attention weight with the classification probability, which reflects the contribution of the keyword to the classification of a certain topic.

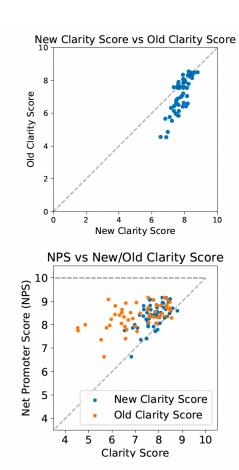


Figure 7: Comparison between NPS, *Clarity Score 1.0*, and *Clarity Score 2.0*. Top: comparison between the old and new *Clarity Scores*. Bottom: comparison between the *Clarity Scores* and NPS.

# Improved Performance

Yufeng Huang,
Mariana Bernagozzi,
Michelle Morales,
Sheema Usmani,
Biplav Srivastava,
Michelle Mullins,
Clarity 2.0: Improved
Assessment of
Product
Competitiveness
from Online Content.
Al Mag. 42(2): 59-70
(2021)

| Market  | Vendor          | Product         | Gartner Q    | Clarity score |
|---|-----------------|-----------------|--------------|---------------|
|   | $V_{1}^{M_{1}}$ | $P_1^{V_1 M_1}$ | Visionary    | 8.79          |
| bata<br>ee<br>ne<br>ng<br>m   | $V_2^{M_1}$     | $P_1^{V_2M_1}$  | Visionary    | 8.48          |
| $M_1 = Data$ Science Machine Learning Platform  | $V_{3}^{M_{1}}$ | $P_1^{V_3M_1}$  | Challenger   | 7.93          |
| $M_1$<br>$N_2$<br>$N_3$<br>$N_1$  | $V_4^{M_1}$     | $P_1^{V_4M_1}$  | Challenger   | 7.91          |
|   | $V_5^{M_1}$     | $P_1^{V_5 M_1}$ | Leader       | 7.34          |
|   | $V_{1}^{M_{2}}$ | $P_1^{V_1 M_2}$ | Leader       | 8.58          |
| ata<br>nent<br>for<br>cs  | $V_2^{M_2}$     | $P_1^{V_2M_2}$  | Leader       | 8.46          |
| = D<br>agen<br>ions<br>alytic   | $V_{3}^{M_{2}}$ | $P_1^{V_3M_2}$  | Leader       | 8.19          |
| $M_2 = Data$ Management Solutions for Analytics                                       | $V_4^{M_2}$     | $P_1^{V_4 M_2}$ | Leader       | 7.96          |
|   | $V_{5}^{M_{2}}$ | $P_1^{V_5 M_2}$ | Leader       | 7.88          |
| Data<br>nent<br>ns<br>ics   | $V_{1}^{M_{3}}$ | $P_1^{V_1 M_3}$ | Leader       | 7.62          |
| M <sub>3</sub> = Data Aanagemen Solutions for Analytics                               | $V_{2}^{M_{3}}$ | $P_1^{V_2M_3}$  | Leader       | 7.53          |
| $M_4 = M_3 = Data$ Derational Management Database Solutions for for Systems Analytics | $V_{3}^{M_{3}}$ | $P_1^{V_3M_3}$  | Leader       | 7.36          |
| =<br>onal<br>ise<br>nent<br>ns  | $V_{1}^{M_{4}}$ | $P_1^{V_1 M_4}$ | Leader       | 8.58          |
| $M_4 =$ Operational Database Management Systems                                       | $V_2^{M_4}$     | $P_1^{V_2M_4}$  | Leader       | 8.46          |
| Ope<br>Da<br>Man<br>Sy  | $V_{3}^{M_{4}}$ | $P_1^{V_3M_4}$  | Leader       | 8.19          |
| nd se   | $V_1^{M_5}$     | $P_1^{V_1 M_5}$ | Leader       | 8.79          |
| ics a<br>ness<br>genc<br>orms   | $V_1^{M_5}$     | $P_2^{V_1 M_5}$ | Leader       | 8.25          |
| $M_5 =$ Analytics and Business Intelligence Platforms                                 | $V_2^{M_5}$     | $P_1^{V_2M_5}$  | Niche Player | 7.92          |
| Ar<br>Ir  | $V_{3}^{M_{5}}$ | $P_1^{V_3M_5}$  | Niche Player | 7.85          |

Table 2: Comparison of ratings provided by Gartner vs. Clarity Score

# Lecture 4: Concluding Comments

- •We looked at a variety of NLP tasks
- •We looked at evaluation methods
  - For NLP tasks (Precision, Accuracy, Recall)
  - For ML tasks (AUC)

# Concluding Segment

### Choosing a Project – Some Considerations

- Scope: what is the problem?
- Current-state: what happens in the problem today?
- Who cares: who will benefit with the problem being solved?
- Desired-state: what will be the future situation if your project succeeds?
- Resources/ dataset: do you have reasonable data and compute resources to do the work?
- Evaluation: how will we measure goodness of the work?

Review project spreadsheet

### Discussion: Course Project

#### Expectations

- Apply methods learned in class or of interest to a problem of interest
- Be goal oriented: aim to finish, be proactive, be innovative
- Do top-class work: code, writeup, presentation

#### Typical pitfalls

- Not detailing out the project, assuming data
- Not spending enough time

**Review Current List of Selections** 

#### What will be awarded

- Results and efforts (balance)
- Challenge level of problem

# Discussion: Reading Material

•Paper: "Contextual Word Representations: Putting Words into Computers", by Noah Smith, CACM June 2020

- Key Points
  - Different representations of words
    - Characters, one-hot encoding, vectors
  - Determining contexts of words is important
    - Contextual word vectors
    - Bi-, tri-, N-gram representations

### About Next Lecture – Lecture 5

### Lecture 5:

- Discussion on reading material Paper:
   "Contextual Word Representations: Putting Words into Computers", by Noah Smith, CACM June 2020
- Parsing
- Projects
  - Review project topics in class