

# Functional pearl: zero-knowledge testing for module interfaces

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## Abstract

In spite of recent advances in full program certification, testing remains a widely-used component of the software development cycle. Various flavors of testing exist: popular ones include *unit testing*, which consists in manually crafting test cases for specific parts of the code base, as well as *quickcheck-style* testing, where instances of a type are automatically generated to serve as test inputs.

These classical methods of testing can be thought of as *internal* testing: the test routines access the internal representation of whatever module should be checked. We propose a new method of *external* testing where test code checks an *abstract* data structure. Our new testing method takes a description of a *module signature*, then builds sequences of function calls that generate elements of the abstract type just like any other client code. Counter-examples, if any, are then presented to the user.

**Categories and Subject Descriptors** CR-number [subcategory]: third-level

**Keywords** functional programming, testing, quickcheck

## 1. Introduction

Software development is hard. Industry practices still rely, for the better part, on tests to ensure the functional correctness of programs. Even in more sophisticated circles, such as the programming language research community, not everyone has switched to writing all their programs in Coq. Testing is thus a cornerstone of the development cycle. Moreover, even if the end goal is to fully certify a program using a proof assistant, it is still worthwhile to eliminate bugs early by running a cheap, efficient test framework.

Testing boils down to two different processes: generating test cases for test suites; and then verifying that user-written assertions and specifications of program parts are not falsified by the test suites.

QuickCheck is a popular, efficient tool for that purpose. First, it provides a combinator library based on type-classes to build test case generators. Second, it provides a principled way for the users to specify properties over functions. For instance, users may write predicates such as “reverse is an involution”. Then, the QuickCheck framework is able to create *instances* of the type being tested, e.g.,

lists of integers. The predicate is tested against these test cases, and any counter-example is reported to the user.

Our novel approach is motivated by some limitations of the QuickCheck framework. When users create trees, for instance, not only do they have to specify that leaves should be generated more often than nodes (for otherwise the tree generation would not terminate), but they also have to rely on a global size measure to stop generating new nodes after a while. It is thus up to the user of the library to implement their own logic for generating the right instances, within a reasonable size limit, combining the various base cases.

We argue that these low-level manipulations should be taken care of by the library. When generating binary search tree instances, one ends up re-implementing a series of random additions and deletions, which are precisely the function that the code to be tested for exports. What if the testing framework could, by itself, combine functions exported by the module we wish to test, in order to build instances of the desired type? As long as the module exports a correctness predicate, all the testing library needs is functions that *return t*'s.

In the present document, we describe a library that does precisely that, dubbed “artichek”. The library is written in OCaml. While QuickCheck uses a combination internal testing and type classes, our library performs external testing and relies on GADTs.

## 2. An example

Consider the following signature, which describes the type of *sorted* integer lists.

```
module type SList = sig
  type t

  val empty: t
  val add: t -> int -> t
  val invar: t -> bool
end
```

The *invar* function dynamically checks that an element of type *t* maintains the internal invariant. The module admits a straightforward implementation, as follows.

```
module SList = struct
  type t = int list

  let empty = []

  let rec add x = function
    | [] -> [x]
    | t::q -> if t < x then t::add x q else x::t::q

  let rec invar = function
    | [] -> true
    | [_] -> true
```

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```

    | t1::(t2::_ as q) -> t1 <= t2 && invar q
end

```

### 3. Describing a type

In the context of our testing framework, we wish to describe a type using a set of *instances*, that is, of inhabitants of the type. The following data structure makes up what we call a *type descriptor*.

```

type 'a ty = {
  (* other implementation details omitted *)
  mutable enum: 'a PSet.t;
  fresh: ('a PSet.t -> 'a) option;
}

```

Type descriptors record a persistent set of instances via the `enum` field. Descriptors for ground types, such as `int`, are equipped with a generator of `fresh` instances that are guaranteed to be different from the others.

### 4. Bits

Bernardy et al. [1] describe a systematic way of reducing the testing of polymorphic functions to the testing of specific monomorphic instances of these functions. Given a polymorphic property, the correctness of the reduced (monomorphic) property entails the correctness of all other instantiations. This yields a significant reduction in the necessary test cases. They informally argue that their technique is efficient compared to the standard praxis of substituting `int` for polymorphic types. Note however that both solutions to the problem of testing polymorphic functions must be applied at the meta-level. That is, the user has to pick the right instantiation of polymorphic type variables; this cannot be done automatically inside the host language.

### References

- [1] Jean-Philippe Bernardy, Patrik Jansson, and Koen Claessen. Testing polymorphic properties. In Andrew D. Gordon, editor, *ESOP*, volume 6012 of *Lecture Notes in Computer Science*, pages 125–144. Springer, 2010.