

Computing the Riemann Constant Vector

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Kadomtsev–Petviashvili Equation



$u(x, y, t)$ = surface height of a 2D periodic shallow water wave.

$$\frac{3}{4}u_{yy} = \frac{\partial}{\partial x} \left(u_t - \frac{1}{4} (6uu_x + u_{xxx}) \right)$$



Figure: Île de Ré, France

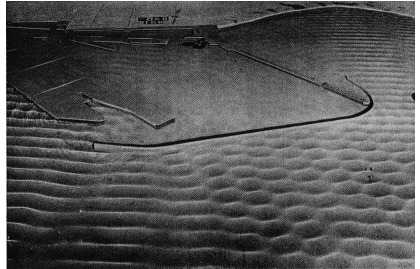


Figure: Model of San Diego Bay

Kadomtsev–Petviashvili Equation



“Finite-genus solutions:”

$$u = c + 2\partial_x^2 \log \theta \left(\mathbf{U}x + \mathbf{V}y + \mathbf{W}t + \mathbf{A}(P^\infty, \mathcal{D}) - \mathbf{K}(P^\infty), \Omega \right)$$

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► Riemann theta function $\theta : \mathbb{C}^g \times \mathfrak{h}_g \rightarrow \mathbb{C}$

$$\theta(z, \Omega) = \sum_{n \in \mathbb{Z}^g} e^{2\pi i \left(\frac{1}{2} n \cdot \Omega n + n \cdot z \right)}$$

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- Quantities come from compact, connected, Riemann surfaces built from algebraic curves.

Whirlwind Background - Curves



Given $f \in \mathbb{C}[x, y]$ construct an *algebraic curve*,

$$C = \{(\alpha, \beta) \in \mathbb{C}^{*2} \mid f(\alpha, \beta) = 0\}.$$

Whirlwind Background - Curves



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C as a y -*covering* of \mathbb{C}_x^* :

- For each $x \in \mathbb{C}$, what are all possible y -roots to $f(x, y) = 0$?

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Question

As $x \in \mathbb{C}_x^*$ varies on what surface is y single-valued?

Whirlwind Background - Riemann Surfaces



(Compact) Riemann Surfaces X :

- ▶ connected, 1-dimensional complex manifold,



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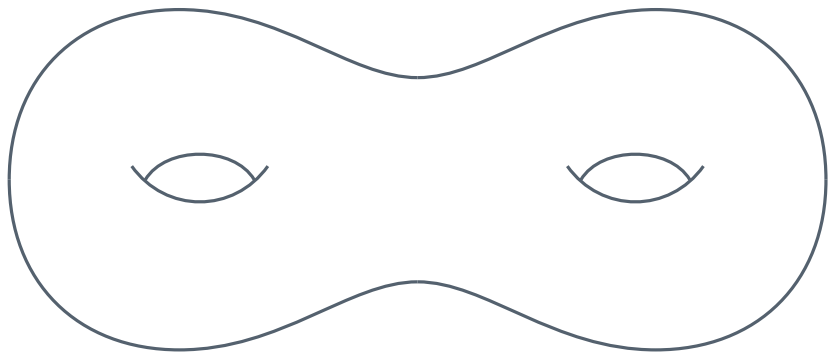
Whirlwind Background - Riemann Surfaces



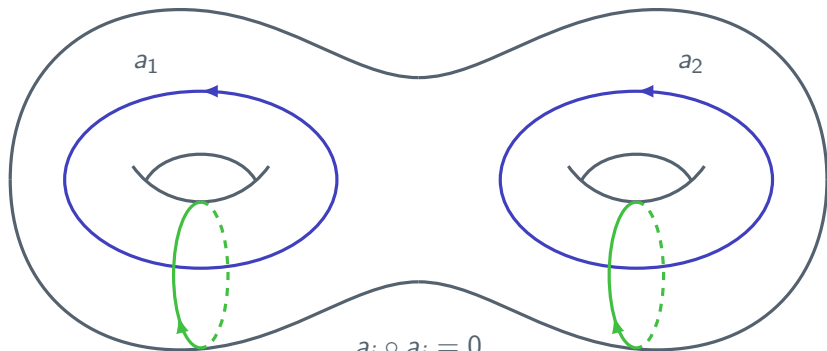
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 - ▶ (optional board demo for undergraduates)

Whirlwind Background - Homology



Whirlwind Background - Homology



$$a_i \circ a_j = 0$$

$$b_i \circ b_j = 0$$

$$a_i \circ b_j = \delta_{ij}$$

$$H_1(X, \mathbb{Z}) = \text{span}\{a_1, \dots, a_g, b_1, \dots, b_g\}$$



Demo

Homology Basis

Whirlwind Background - One-Forms



Question

What do we usually do with paths?

Whirlwind Background - One-Forms



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Integrate “things” on them.

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Meromorphic one-forms on X

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On C they look like

$$\nu = \nu(x, y) dx$$

Whirlwind Background - One-Forms



Consider only $\omega \in \Omega_X^1$ *holomorphic* on X

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"Abelian differentials of the first kind"

$$\Gamma(X, \Omega_X^1)$$

Theorem

$$\Gamma(X, \Omega_X^1) = \text{span}_{\mathbb{C}[x,y]} \{\omega_1, \dots, \omega_g\}$$



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- “normalized” basis $\{\omega_i\}$ is such that

$$\oint_{a_j} \omega_i = \delta_{ij}$$



Theorem

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- ▶ “normalized” basis $\{\omega_i\}$ is such that

$$\oint_{a_j} \omega_i = \delta_{ij}$$

- ▶ algorithm returns a non-normalized basis

$$\{\tilde{\omega}_1, \dots, \tilde{\omega}_g\}$$



Demo

Abelian differentials of the first kind

Whirlwind Background - Period Matrix



Culmination of the theory: construct matrices

$$\oint_{a_j} \omega_i = \delta_{ij}, \quad \oint_{b_j} \omega_i = \Omega_{ij}$$

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The matrix $\Omega \in \mathbb{C}^{g \times g}$ is a “*Riemann matrix*”

- symmetric

Whirlwind Background - Period Matrix



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Non-normalized differentials

$$\oint_{a_j} \tilde{\omega}_i = A_{ij}, \quad \oint_{b_j} \tilde{\omega}_i = B_{ij}$$

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$$\text{Fact: } \Omega = A^{-1}B$$

Whirlwind Background - Period Matrix



Period matrix

$$\tau = \begin{bmatrix} I & \Omega \end{bmatrix} \in \mathbb{C}^{g \times 2g}$$

Whirlwind Background - Period Matrix



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Jacobian of the Riemann surface

$$J(X) = \mathbb{C}^g / \Lambda$$

where

$$\Lambda = \mathbb{Z}^g \times \Omega \mathbb{Z}^g$$

Demo

Period matrix



A *place* $P \in X$ can be represented locally by a “Puiseux series”

$$P = \begin{cases} x_P(t) = \alpha + \lambda t^e, \\ y_P(t) = \sum_{k=0}^{\infty} \beta_k t^{n_k}, \end{cases}$$



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- ▶ places *lie above* the curve C

$$P|_{t=0} = (\alpha, \beta) \in C$$



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$$P|_{t=0} = (\alpha, \beta) \in C$$

- ▶ there can be distinct places with same projection on C .

Whirlwind Background - Places and Divisors



A *divisor* \mathcal{D} on X is a finite formal linear comb. of places

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Valuation Divisors

Given $\nu \in \Omega_X^1$,

$$(\nu)_{\text{val}} = \sum_{i=1}^m p_i P_i - \sum_{j=1}^n q_j Q_j$$

is called the *valuation divisor* of ν

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$\mathcal{C} \in \text{Div}(X)$ is *canonical* if \mathcal{C} is a valuation divisor



Demo

Places and divisors

The Abel Map



Let $P \in X$ be a fixed place. The Abel Map

$$\mathbf{A} : X \rightarrow J(X)$$

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is defined by

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where

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Abel Map on Divisors

If $\mathcal{D} = \sum_i n_i P_i$ then

$$\mathbf{A}(P, \mathcal{D}) = \sum_i n_i \mathbf{A}(P, P_i)$$



Demo

The Abel Map

Riemann Constant Vector



The Riemann constant vector

$$K : X \rightarrow J(X)$$

Riemann Constant Vector



The Riemann constant vector

$$\mathbf{K} : X \rightarrow J(X)$$

is defined as

$$\mathbf{K}(P) = (K_1(P), \dots, K_g(P)),$$

where

$$K_j(P) = \frac{1 + \Omega_{jj}}{2} - \sum_{k \neq j}^g \oint_{a_k} \omega_k(Q) A_j(P, Q) \, dQ.$$

Riemann Constant Vector



$$K_j(P) = \frac{1 + \Omega_{jj}}{2} - \sum_{k \neq j}^g \oint_{a_k} \omega_k(Q) A_j(P, Q) dQ$$

- Double integral: difficult to compute.

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Theorem

Let $P_0, P \in X$. Then

$$K(P) = K(P_0) + (g - 1) A(P_0, P).$$

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- Idea: most work from computing $K(P_0)$ once.

Computing the RCV



Algorithm to compute $K(P_0)$ inspired by two theorems:



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Theorem

Let \mathcal{C} be a divisor of degree $2g - 2$. Then \mathcal{C} is a canonical divisor if and only if

$$2K(P_0) \equiv -A(P_0, \mathcal{C}).$$

Algorithm to compute $\mathbf{K}(P_0)$ inspired by two theorems:

Theorem

A vector $\mathbf{W} \in J(X)$ satisfies

$$\theta(\mathbf{W}, \Omega) = 0,$$

if and only if $\exists \mathcal{D} = P_1 + \cdots + P_{g-1}$ such that

$$\mathbf{W} = \mathbf{A}(P_0, \mathcal{D}) + \mathbf{K}(P_0).$$

Computing the RCV



Combining the theorems:

1. compute a canonical divisor \mathcal{C} ,



Combining the theorems:

1. compute a canonical divisor \mathcal{C} ,
2. solve the equation

$$2\mathbf{K}(P_0) \equiv -\mathbf{A}(P_0, \mathcal{C}),$$

1. Computing a Canonical Divisor



Meromorphic differential:

$$\nu = \frac{p(x, y) dx}{q(x, y)}$$

1. Computing a Canonical Divisor



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Valuation divisor:

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1. Computing a Canonical Divisor



Given $P \in X$,

$$P = (x_P(t), y_P(t)),$$

a necessary condition for $P \in (\nu)_{\text{val}}$ is

$$p(x_P(t), y_P(t)) \Big|_{t=0} = 0,$$

$$q(x_P(t), y_P(t)) \Big|_{t=0} = 0, \quad \text{or}$$

$$\frac{dx_P}{dt}(0) = x'_P(t)dt \Big|_{t=0} = 0.$$

Demo

Localizing Differentials at Places

1. Computing a Canonical Divisor



Goal: find \mathcal{P} containing the places in $(\nu)_{\text{val}}$.

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Use “resultant sets”:

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- ▶ roots of R are $\alpha \in \mathbb{C}_x$ such that

$$f(\alpha, y) = 0 \quad \text{and} \quad p(\alpha, y) = 0$$

have simultaneous solutions,

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- ▶ **The point:** $P = (x_P(t), y_P(t))$ is a zero of $p(x, y)$ only if $x_P(0)$ is a root of $R(f, p)$,

1. Computing a Canonical Divisor



Given

$$\nu = \frac{p(x, y) dx}{q(x, y)}$$

Define

$$\mathcal{X}_\nu^{(1)} = \{\alpha \in \mathbb{C} \mid R(f, p)(\alpha) = 0\}$$

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$$\mathcal{X}_\nu = \mathcal{X}_\nu^{(1)} \cup \mathcal{X}_\nu^{(2)} \cup \mathcal{X}_\nu^{(3)} \cup \{\infty\}$$

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$$\mathcal{P} = \{P \in X \mid x_P(0) \in \mathcal{X}_\nu\}$$

1. Computing a Canonical Divisor



Optimization

Use the Abelian differentials of the 1st kind:

$$\tilde{\omega}_i = \frac{p_i(x, y) dx}{\partial_y f(x, y)}$$

- ▶ already computed the $\tilde{\omega}_i$'s,

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- ▶ p_i 's tend to be simple monomials,

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- ▶ already computed roots of $R(f, \partial_y f)(x)$, (branch points)
- ▶ p_i 's tend to be simple monomials,
- ▶ no poles \rightarrow terminate when deg reaches $2g - 2$,



Demo

Computing a Canonical Divisor

2. Finding the Half-Lattice Vector



Solve for $\mathbf{K}(P_0)$

$$2 \mathbf{K}(P_0) \equiv -\mathbf{A}(P_0, \mathcal{C})$$

2. Finding the Half-Lattice Vector



30

Solve for $K(P_0)$

$$2K(P_0) \equiv -A(P_0, C)$$

Embed into \mathbb{C}^g

$$2K(P_0) + A(P_0, C) = \lambda$$

where

$$\lambda \equiv \mathbf{0} \bmod \Lambda$$

Embed in \mathbb{C}^g

λ is one of the 2^{2g} lattice vectors in the fundamental region of Λ .

2. Finding the Half-Lattice Vector



Division by two is legal in \mathbb{C}^g

$$\mathbf{K}(P_0) = \mathbf{h} - \frac{1}{2} \mathbf{A}(P_0, \mathcal{C})$$

where $\mathbf{h} = \boldsymbol{\lambda}/2$ is one of 2^{2g} *half-lattice vectors*

2. Finding the Half-Lattice Vector



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Goal

Find an appropriate half-lattice vector \mathbf{h} .

2. Finding the Half-Lattice Vector



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Theorem

For any effective, degree $g - 1$ divisor $\mathcal{D} = P_1 + \cdots + P_{g-1}$,

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2. Finding the Half-Lattice Vector



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2. Finding the Half-Lattice Vector



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2. Finding the Half-Lattice Vector



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2. Finding the Half-Lattice Vector



The Point

It is necessary that

$$\theta(\mathbf{h}_j - \frac{1}{2} \mathbf{A}(P_0, \mathcal{C}), \Omega) = 0$$

for *at least one* of the 2^{2g} half lattice vectors \mathbf{h}_j .

2. Finding the Half-Lattice Vector



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Basic Strategy

Evaluate above expression with each \mathbf{h}_j to find a zero.

2. Finding the Half-Lattice Vector



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Basic Strategy

Evaluate above expression with each \mathbf{h}_j to find a zero.

Conjecture

This only works for *exactly one* \mathbf{h}_j .

2. Finding the Half-Lattice Vector



Numerical considerations:

- ▶ θ computation accurate to order ϵ (at best machine precision)

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$$\left| \theta(\mathbf{h}_j - \frac{1}{2} \mathbf{A}(P_0, \mathcal{C}), \Omega) \right| > \epsilon$$

2. Finding the Half-Lattice Vector



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- ▶ θ computation accurate to order ϵ (at best machine precision)
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- ▶ filter out any \mathbf{h}_j such that

$$\left| \theta(\mathbf{h}_j - \tfrac{1}{2} \mathbf{A}(P_0, \mathcal{C}), \Omega) \right| > \epsilon$$

- ▶ continue filtering by shifting by $\mathbf{A}(P_0, \mathcal{D})$

$$\left| \theta(\mathbf{h}_j - \tfrac{1}{2} \mathbf{A}(P_0, \mathcal{C}) + \mathbf{A}(P_0, \mathcal{D}), \Omega) \right| > \epsilon$$

(for any degree $g - 1$ effective divisor \mathcal{D})

Demo

Finding a half-lattice vector. Verifying results.

Concluding Remarks and Next Steps



Solutions to KP:

$$u = c + 2\partial_x^2 \log \theta \left(\mathbf{U}x + \mathbf{V}y + \mathbf{W}t + \mathbf{A}(P^\infty, \mathcal{D}) - \mathbf{K}(P^\infty), \Omega \right),$$



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Concluding Remarks and Next Steps



Solutions to KP:

$$u = c + 2\partial_x^2 \log \theta \left(\underbrace{Ux + Vy + Wt + A(P^\infty, \mathcal{D})}_{\text{remaining work}} - \underbrace{K(P^\infty)}_{\text{now have this}}, \Omega \right),$$



Thank You!

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