

linear in the
replicas

linear view-change
+
optimistic responsiveness

Hotstuff

leader-based Byzantine fault-tolerant
replication protocol for the partially synchronous
model

→ 3 phases → allow leader to pick the highest QC
it knows of.

→ supports frequent succession of leaders

Algorithm 1 Utilities (for replica r).

```

1: function Msg(type, node, qc)
2:   m.type ← type
3:   m.viewNumber ← curView
4:   m.node ← node
5:   m.justify ← qc
6:   return m
7: function VOTEMsg(type, node, qc)
8:   m ← Msg(type, node, qc)
9:   m.partialSig ← tsign, ((m.type, m.viewNumber, m.node))
10:  return m
11: procedure CREATELEAF(parent, cmd)
12:   b.parent ← parent
13:   b.cmd ← cmd
14:   return b
15: function QC(V)
16:   qc.type ← m.type : m ∈ V
17:   qc.viewNumber ← m.viewNumber : m ∈ V
18:   qc.node ← m.node : m ∈ V
19:   qc.sig ← tcombine((qc.type, qc.viewNumber, qc.node),
20:                     {m.partialSig | m ∈ V})
21:  return qc
22: function MATCHINGMsg(m, t, v)
23:   return (m.type = t) ∧ (m.viewNumber = v)
24: function MATCHINGQC(qc, t, v)
25:   return (qc.type = t) ∧ (qc.viewNumber = v)
26: function SAFENODE(node, qc)
27:   return (node extends from lockedQC.node) ∨ // safety rule
28:         (qc.viewNumber > lockedQC.viewNumber) // liveness rule

```

Algorithm 2 Basic HotStuff protocol (for replica r).

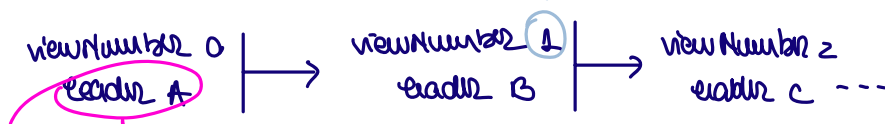
```

1: for curView ← 1, 2, 3, ... do
2:   ▶ PREPARE phase
3:   as a leader // r = LEADER(curView)
4:     // we assume special NEW-VIEW messages from view 0
5:     wait for (n - f) NEW-VIEW messages:
6:       M ← {m | MATCHINGMsg(m, NEW-VIEW, curView - 1)}
7:       highQC ← (arg max_{m ∈ M} {m.justify.viewNumber}).justify
8:       curProposal ← CREATELEAF(highQC.node,
9:                                client's command)
10:      broadcast Msg(PREPARE, curProposal, highQC)
11:   as a replica
12:     wait for message m from LEADER(curView)
13:     m : MATCHINGMsg(m, PREPARE, curView)
14:     if m.node extends from m.justify.node ∧
15:        SAFENODE(m.node, m.justify) then
16:       send VOTEMsg(PREPARE, m.node, ⊥) to LEADER(curView)
17:   ▶ PRE-COMMIT phase
18:   as a leader
19:     wait for (n - f) votes:
20:       V ← {v | MATCHINGMsg(v, PREPARE, curView)}
21:       prepareQC ← QC(V)
22:       broadcast Msg(PRE-COMMIT, ⊥, prepareQC)
23:   as a replica
24:     wait for message m from LEADER(curView)
25:     m : MATCHINGQC(m.justify, PREPARE, curView)
26:     prepareQC ← m.justify
27:     send to LEADER(curView)
28:     VOTEMsg(PRE-COMMIT, m.justify.node, ⊥)
29:   ▶ COMMIT phase
30:   as a leader
31:     wait for (n - f) votes:
32:       V ← {v | MATCHINGMsg(v, PRE-COMMIT, curView)}
33:       precommitQC ← QC(V)
34:       broadcast Msg(COMMIT, ⊥, precommitQC)
35:   as a replica
36:     wait for message m from LEADER(curView)
37:     m : MATCHINGQC(m.justify, PRE-COMMIT, curView)
38:     lockedQC ← m.justify
39:     send to LEADER(curView)
40:     VOTEMsg(COMMIT, m.justify.node, ⊥)
41:   ▶ DECIDE phase
42:   as a leader
43:     wait for (n - f) votes:
44:       V ← {v | MATCHINGMsg(v, COMMIT, curView)}
45:       commitQC ← QC(V)
46:       broadcast Msg(DECIDE, ⊥, commitQC)
47:   as a replica
48:     wait for message m from LEADER(curView)
49:     m : MATCHINGQC(m.justify, COMMIT, curView)
50:     execute new commands through m.justify.node,
51:     respond to clients
52:   ▶ Finally
53:   NEXTVIEW interrupt: goto this line if NEXTVIEW(curView) is
54:   called during "wait for" in any phase
55:   send Msg(NEW-VIEW, ⊥, prepareQC) to LEADER(curView + 1)

```

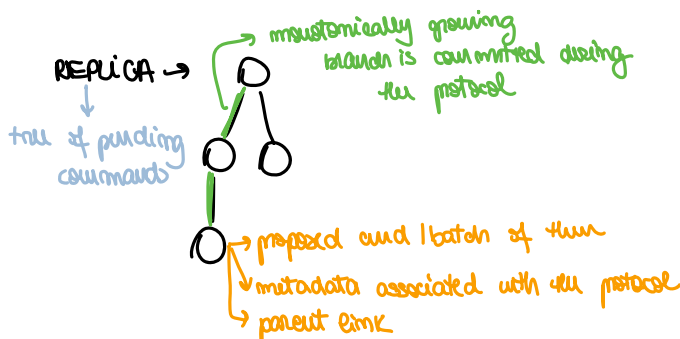
→ successive views with monotonically increasing numbers

each time we have
↑ a new leader + ↑ viewNumber



collect votes from (n-f) replicas → quorum certificate

↓
associated with a particular node
and viewNumber



HOT STUFF 2 → 2 phases
instead of 3

