

# The need for a taphonomic perspective in spatial analysis: formation processes at the Early Pleistocene site of Pirro Nord (P13), Apricena, Italy

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## Abstract

Ever since their percolation from neighbour disciplines, archaeology has employed spatial statistics to unravel, at different scales, past human behaviors from scatters of material culture. However, in the interpretation of the archaeological record, particular attention must be given to disturbance factors that operate in post-depositional processes. In this paper, we answer the need for a specific taphonomic perspectives in spatial analysis by applying point pattern analysis of taphonomic alterations on the faunal and lithic assemblages from the Early Pleistocene site of Pirro Nord 13, Italy. The site, biochronologically dated between 1.3 and 1.6 Ma BP, provides evidence for an early hominin presence in Europe. The archaeological and paleontological deposit occurs as filling of a karst structure that is currently exposed. We investigated the distribution of the archaeological and paleontological assemblage, as well as the distribution of identified taphonomic features, in order to evaluate degree and reliability of the spatial association of the lithic artifacts with the faunal remains. Our results contribute to the interpretation of the diagenetic history of Pirro Nord 13 and support the stratigraphic integrity of the site.

**Keywords:** Spatial analysis, Point pattern analysis, Site formation processes,

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**1. Introduction**

2 Studies of site formation processes and spatial analyses have long recognized  
3 the role of post-depositional factors in affecting the integrity of archaeological  
4 assemblages (Hodder and Orton, 1976; Petraglia and Nash, 1987; Schick, 1984,  
5 1986; Schiffer, 1972, 1983, 1987; Wood and Johnson, 1978). More recently, a  
6 number of scholars have stressed the importance of establishing the degree of  
7 disturbance to archaeological deposits to fully comprehend the archaeological  
8 record (Dibble et al., 1997; Djindjian, 1999; Texier, 2000).

9 Beside geoarchaeological techniques, several archaeological and paleontolog-  
10 ical methods are widely applied to characterize the processes involved in the  
11 formation of an archaeological site and to assess any post-depositional ‘back-  
12 ground noise’. Taphonomy moves from its original definition (Efremov, 1940)  
13 to a wider conceptual framework, targeting vertebrate assemblages, as well as  
14 taphonomic entities produced by human behaviour (Domínguez-Rodrigo et al.,  
15 2011). Moreover and often in joint effort, from different spatial perspectives,  
16 fabric analysis (Benito-Calvo and de la Torre, 2011; Bernatchez, 2010; Bertran  
17 et al., 1997; Bertran and Texier, 1995; Domínguez-Rodrigo et al., 2014c; Leno-  
18 ble and Bertran, 2004; McPherron, 2005; de la Torre and Benito-Calvo, 2013);  
19 refitting analysis (López-Ortega et al., 2011; Sisk and Shea, 2008; Villa, 1982);  
20 vertical (Anderson and Burke, 2008) and size distribution analysis (Bertran  
21 et al., 2006, 2012; Petraglia and Potts, 1994) offer meaningful contributions in  
22 the unraveling of site formation and modification processes.

23 The importance of spatial statistics in the interpretation of archaeological  
24 sites has long been recognized (Hodder and Orton, 1976; Whallon, 1974). How-  
25 ever, studies of spatial patterning mostly focus on the behaviour of past popula-  
26 tions, assuming that scatters of material culture (if not disturbed) are reflections  
27 of prehistoric activities. Moreover, distribution maps still rely mainly on visual  
28 examinations and subjective interpretations (Bevan et al., 2013). On the other

29 hand, quantitative methods, adopted from neighbor disciplines since the early  
30 1970s (see Hodder and Orton (1976); Orton (1982); and references therein),  
31 continue to promote new impulses to archaeological spatial analyses and allow  
32 for the characterization of spatial patterns by adopting a more formal, induc-  
33 tive approach. Recent studies (Bevan and Conolly, 2006, 2009, 2013; Bevan  
34 et al., 2013; Bevan and Wilson, 2013; Crema, 2015; Crema et al., 2010; Crema  
35 and Bianchi, 2013; Eve and Crema, 2014; Orton, 2004), even acknowledging  
36 post-depositional effects or research biases, have continued to adopt at different  
37 scales (from intra-site to regional scales) improvements in spatial statistics to  
38 unravel past human behaviors from scatters of material culture. Yet, only a  
39 relatively limited number of scholars have applied spatial statistics to site for-  
40 mation and modification processes analysis (Carrer, 2015; Domínguez-Rodrigo  
41 et al., 2014b,a).

42 In this paper, we adopt a taphonomic perspective to spatial point pattern  
43 analysis of the lithic and faunal assemblages from the Early Pleistocene site of  
44 Pirro Nord 13, Italy (Arzarello et al., 2007, 2009, 2012; Arzarello and Peretto,  
45 2010).

46 The site (P13) provides important contributions to the ongoing debate about  
47 the first hominin occurrence in Europe (Carbonell et al., 2008; Crochet et al.,  
48 2009; Despriée et al., 2006, 2009, 2010; Lumley et al., 1988; Parés et al., 2006;  
49 Toro-Moyano et al., 2011, 2009, 2013). A ‘Mode 1’ lithic assemblage has been  
50 identified in stratigraphic association with late Villafranchian/early Biharian  
51 paleontological remains. Furthermore, the presence of the Arvicoline species  
52 *Allophaiomys ruffoi* correlated to the *Mymomis savini* - *Mymomis pusillus* bio-  
53 zone, allows for a biochronologically refined age of between 1.3 and 1.6 Ma,  
54 making P13 one of the most ancient localities with human evidence currently  
55 known in Western Europe (Lopez-García et al., 2015).

56 The paleontological and archaeological remains are preserved inside a com-  
57 plex karst system, exposed and partially destroyed by mining activities of a  
58 Mesozoic limestone quarry. The fissure P13 is a vertical fracture located at  
59 the stratigraphic boundary between the Mesozoic limestone and the Pleistocene

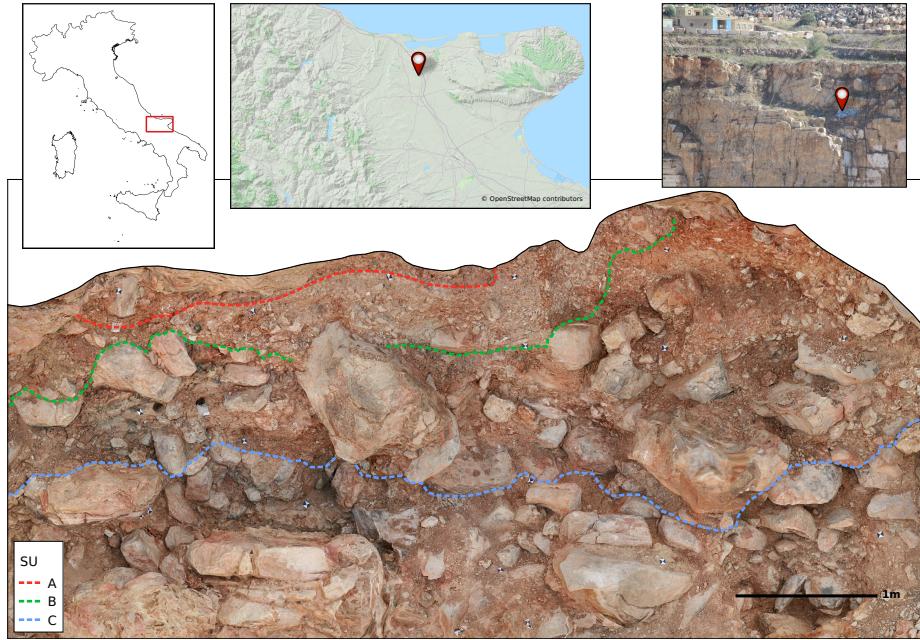


Figure 1: Location of the Pirro Nord (P13) site inside the Cave Dell'Erba quarry and view of the excavated area (2013), with marked bases of the sedimentary units.

60 calcarenite formation. The deposit of the fissure is, at the time of writing, more  
 61 than 4 meters thick. Four Sedimentary Units (SU's) have been distinguished on  
 62 lithological basis. From the top to the bottom of the section, units A to D are  
 63 characterized by sediments of clayey-sand of increasing thickness (Fig. 1). Unit  
 64 A includes few coarse gravels and a very low number of paleontological and ar-  
 65 chaeological remains. Unit B contains more gravels, while an abrupt increase in  
 66 the number and dimension of clasts and large blocks of Pleistocene calcarenite is  
 67 evident within units C and D. These last units show poor size sorting of angular  
 68 and sub-rounded gravels, probably correlating to a low degree of reworking that  
 69 took place during a short interval of time. We also record a significant increase  
 70 in the number of fossils and artifacts.

71 As a residual component of a wider karst system, it is worthwhile to assess  
 72 the degree of any potential post-depositional reworking of the archaeological  
 73 and paleontological remains and to evaluate the stratigraphic integrity of the

<sup>74</sup> site.

<sup>75</sup> The main goal of our study is to use a taphonomic perspective in spatial  
<sup>76</sup> data analysis, in order to evaluate degree and reliability of the spatial asso-  
<sup>77</sup> ciation of the lithic artifacts with the faunal remains that were used for the  
<sup>78</sup> biochronological dating of the site.

<sup>79</sup> By applying point pattern analysis of the spatial distribution of the lithic  
<sup>80</sup> and faunal assemblages, we aim to

<sup>81</sup> 1. investigate the processes involved in the formation of the Pirro Nord (P13)  
<sup>82</sup> deposit.

<sup>83</sup> A positive spatial association of the two types of find whould support the as-  
<sup>84</sup> sumption, base on field observations, that the deposition of the archaeological  
<sup>85</sup> and paleontological materials occured simultaneously, as result of subsequent  
<sup>86</sup> mass wasting events.

<sup>87</sup> With the application of point pattern analysis to identified taphonomic fea-  
<sup>88</sup> tures on the lithic and faunal assemblages, our ultimate objective is to

<sup>89</sup> 2. evaluate the degree of post-depositional disturbance of the site.

<sup>90</sup> Indeed, reworking and re-deposition processes could put in stratigraphic contact  
<sup>91</sup> materials from diverse provenience. The identification of taphonomic spatial  
<sup>92</sup> patterns allow us to model the spatial processes that produced them and thus  
<sup>93</sup> propose a reconstruction of the agents involved in the formation and modifica-  
<sup>94</sup> tion of the deposit.

## <sup>95</sup> 2. Background

<sup>96</sup> With the authors' permission, we integrate in our study unpublished (Bag-  
<sup>97</sup> nus, 2011) and published (Arzarello et al., 2012, 2014) data from previous tapho-  
<sup>98</sup> nomic studies. A brief report is presented here.

### <sup>99</sup> 2.1. *Taphonomy of macro vertebrate fossils*

<sup>100</sup> Taphonomic analysis (Bagnus, 2011) on macro vertebrate fossils evaluated  
<sup>101</sup> biostratinomic and diagenic processes and grouped faunal remains into different

102 sub-categories: three main taphorecords (TR's, *sensu* Fernández-López, 1987)  
103 are defined according to different stages of bone surface modifications by phys-  
104 ical and chemical agents (Tab. 1). Grouping was based mainly on weathering  
105 (Behrensmeyer, 1978; Díez et al., 1999; Kos, 2003; Torres et al., 2003), abra-  
106 sion (Behrensmeyer, 1991) and oxidation (Hill, 1982; López-González et al.,  
107 2006; White, 1976; White et al., 2009), because these alterations prevail and are  
108 widespread across all the sedimentary units.

109 Based on macroscopic observations of these main taphonomic features, fossils  
110 from TR2 and TR3 are interpreted as re-deposited fossils: displaced bones along  
111 the sedimentary surface before burial; whereas fossils from TR1 are considered  
112 re-elaborated (*sensu* Fernández-López, 1991, 2007, 2011). The higher degree  
113 of abrasion and the presence in the latter sub-group of multiple generations  
114 of oxides, non uniformly distributed on the fossil, are explained with repeated  
115 exhumations and dislocations of previously buried elements (López-González  
116 et al., 2006).

117 Therefore, a hypothetical model of site formation processes has been pro-  
118 posed: animals died close to the karst sinkhole and the action of heavy rains  
119 transported sediments and partially articulated carcasses into the fissure. The  
120 rapid burial of fossils is confirmed by the general low degree of weathering. Karst  
121 erosional processes are responsible for the very large percentage of fractured fos-  
122 sils, as a result of the collapse of rock blocks from the vault. The TR1 group of  
123 fossils points to internal water-flows, reworking and transportation of already  
124 fossilized bones. Finally, manganese oxides that give the external widespread  
125 black color to all the fossils, stones and part of the lithic artifacts are products  
126 of the phreatic water fluctuation.

127 Although the taphonomic analysis definitely improved the interpretation of  
128 the P13 fossiliferous deposit, the interactions between bones and karst water flow  
129 have not been studied in relation to the spatial distribution and orientations of  
130 the skeletal elements.

131 Taking into account the inherent spatial properties of taphonomic processes,  
132 we assume that taphogenic products (*sensu* Fernández-López, 2000) in space are

Table 1: Contingency table of taphorecords (reproduced from Bagnus, 2011).

SU	TR1	TR2	TR3	Total by SU
A	10	30	45	85
B	26	86	114	226
C	34	69	179	282
Total by TR	70	185	338	593

<sup>133</sup> not mutually independent and that entities which are close to each other, are  
<sup>134</sup> likely to have followed the same genesis.

<sup>135</sup> Thus, in order to tackle our second objective, we analyze the spatial distribution  
<sup>136</sup> of Fe-Mn oxides on the fossils, since the cause of their formation may derive  
<sup>137</sup> from the action of circulating waters. Three ordinal degrees of oxidation (low,  
<sup>138</sup> medium and high) are recognized, based on its aspect, intensity and extension.  
<sup>139</sup> We assume that spatial aggregation of heavily-coated faunal remains (and con-  
<sup>140</sup> sequently segregation from non-oxidized ones) is an indication of interactions  
<sup>141</sup> with karst water flow.

## <sup>142</sup> 2.2. *Taphonomy of lithic artifacts*

<sup>143</sup> The degree of natural alterations (thermal, tribological and chemical) of the  
<sup>144</sup> lithic artifact surface, as a result of contact with the sediments, is a valuable  
<sup>145</sup> index of integrity of the depositional context and it can usefully support spatial  
<sup>146</sup> analysis in reconstructing both the past environmental conditions and the site  
<sup>147</sup> formation processes (Burroni et al., 2002).

<sup>148</sup> According to a recent review of preliminary technological analyses (Arzarello  
<sup>149</sup> et al., 2012, 2014), the lithic assemblage shows a general good state of preserva-  
<sup>150</sup> tion. If we consider the degree of patination as a good indicator of the intensity,  
<sup>151</sup> and not necessary of the duration, of chemical processes to which the deposit  
<sup>152</sup> has been subjected (Burroni et al., 2002), artifacts undergo non-homogeneous  
<sup>153</sup> interactions with chemical agents. Besides fresh artifacts, many of the speci-  
<sup>154</sup> ments (35%) bear Fe-Mn coatings (Fig. 2a). Iron-manganese, as well as white

155 superficial patina (5%), seems to equally affect artifacts of different flint raw  
156 materials, more readily on those with a porous structure (Fig. 2b).

157 Macroscopic observations of tribological features on the assemblage reveal  
158 mint to sharp, not rounded, artifact ridges and edges. Post-depositional frac-  
159 tures affect 20% of the lithic material (Fig. 2c).

160 No refittings were found, as it is reasonable to expect for materials in a  
161 secondary context.

162 As particle size distribution of lithic assemblages has great implications in  
163 interpreting site formation processes (Bertran et al., 2012), systematic screen-  
164 washing of sediments was carried out in order to guarantee recovery of lithic  
165 debris, even though a very low percentage of small-size specimens has been  
166 noted. This result can be initially explained either as a function of the mode of  
167 knapping, which did not produce a lot of debris, or is more likely due to natural  
168 post depositional processes (winnowing of low energy agents), prior to final  
169 burial, possibly outside the karst fissure. Moreover, the dimensional analysis of  
170 the complete lithic assemblage (Fig. 2d) does not show sorting effects.

171 We analyse the spatial distribution of taphonomic features on the lithic  
172 assemblage, considering that various natural mechanisms, disturbing the spa-  
173 tial arrangement of artifacts and sediments, will produce distinctive combina-  
174 tions of wear features on the surfaces of lithic artifacts (Burroni et al., 2002).

175 As for the faunal assemblage, we focus the analysis on the distribution of Fe-  
176 Mn patinae. Three ordinal degrees of patination (absent, spotted and covering)  
177 are recognized, based on its presence and extension. In order to evaluate the  
178 impact of post-depositional processes at the site, we conduct independent and  
179 comparative taphonomic spatial analyses with the fossils remains.

### 180 **3. Spatial data collection and sampling**

181 Since 2007, systematic field investigations of the P13 fissure have been carried  
182 out by the University of Ferrara (in collaboration with the Universities of Torino  
183 and Roma Sapienza, until 2010).

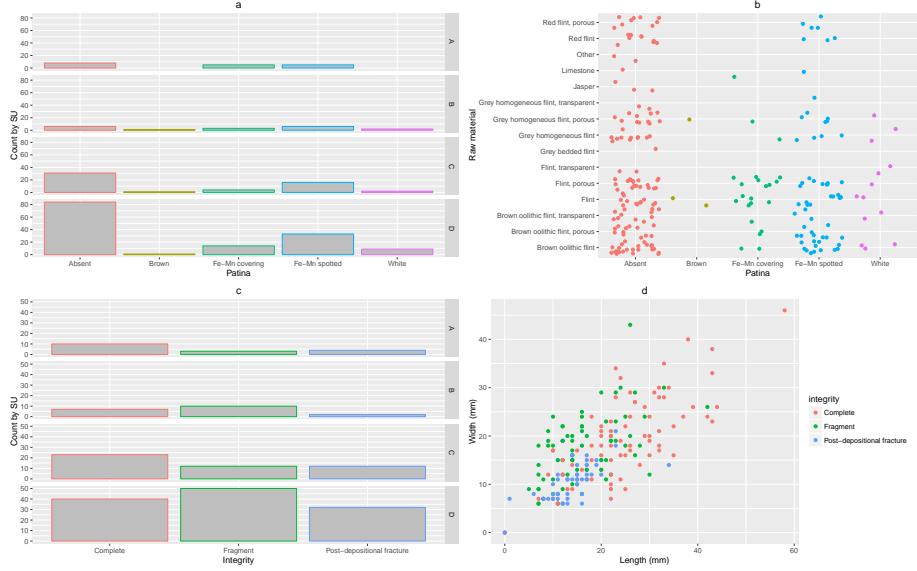


Figure 2: Frequency of patinae on the lithic assemblage, grouped by SU's (a) and their distribution on raw materials (b); frequency of fractures on the lithic assemblage, grouped by SU's (c); scatterplot of artifact dimensions (d).

184 From the first excavation season, a grid of 1 square meter units has been set.  
 185 Since 2010, the three-dimensional coordinates of the finds are recorded with a  
 186 Total Station, which replaced the use of a water level. Orientation (dip and  
 187 strike) of coordinated faunal remains (length  $\geq 2$  cm), geological clasts (length  
 188  $\geq 5$  cm) and all the lithic artifacts is estimated with a 45 degree of accuracy,  
 189 which is not precise enough for detailed fabric analysis.

190 In order to avoid possible sampling issues in spatial data analysis due to the  
 191 variation in the recording methods, we select subsets of the lithic and faunal  
 192 collection, excluding SU's A and B, because they have been excavated prior the  
 193 use of the Total Station.

194 Focusing on SU's C and D, we scale the windows of analysis according to  
 195 the extension of excavated areas for each SU, excluding the presence of the  
 196 large blocks of rock. We reduce in this way the impact of the Modifiable Area  
 197 Unit Problem (MAUP) in point pattern analysis (Openshaw, 1996), especially

198 insidious in this study due to the particular geological setting of the site. The  
199 analyzed areas of SU's C and D are respectively  $4.34\text{ m}^2$  and  $5.82\text{ m}^2$ .

200 During 6 years of excavations, more than 1600 of 2152 macro vertebrate  
201 fossils have been spatially recorded: 471 from SU C and 916 from SU D. How-  
202 ever, Bagnus (2011) conducted taphonomical analysis on fossils recovered dur-  
203 ing the 2007 to 2010 field seasons and only 593 of these are classified in one  
204 of the three taphorecords (Tab. 1). Our sample includes 135 coordinated el-  
205 ements of the 282 analyzed fossils from SU C. From the total number of 366  
206 lithic artifacts collected until the 2014 field season, 147 have been recorded with  
207 three-dimensional coordinates. Our sample includes 34 lithics from SU C and  
208 84 from SU D. From the micro mammal assemblage, we include in this study  
209 only the *Allophaiomys ruffoi* species. Of the 53 arvicoline teeth collected from  
210 the screen-washed sediments, 49 have secure provenance attribution from SU B  
211 ( $n = 2$ ), C ( $n = 14$ ) and D ( $n = 33$ ) (Lopez-García et al., 2015). However, the  
212 *A. ruffoi* point pattern does not represent the exact distribution of the remains.  
213 Indeed, we randomly displaced ( $r = 0.5$ ) each point indicating the provenience  
214 of the sieved sediment.

215 **4. Vertical distribution**

216 The vertical distribution of finds is a key factor in the analysis of site forma-  
217 tion processes. Many processes can be well approximated by a ‘nearly’ normal  
218 distribution. However, testing the appropriateness of this assumption is an es-  
219 sential step in spatial data analysis. Strongly right skewed distribution would  
220 occur in case of a non-uniform vertical distribution of finds; thus requiring the  
221 analysis to acknowledge the covariate effect of gravity in the observed spatial  
222 pattern.

223 The vertical distribution of finds within SU C is globally unimodal, roughly  
224 symmetric (slightly left skewed), in spite of some outliers (Fig. 3a). It ‘nearly’  
225 approximates the maximum-likelihood fitting of a normal curve (red line) with  
226 mean ( $\mu$ ) =  $-1.53\text{ m}$  and standard deviation ( $\sigma$ ) =  $0.27\text{ m}$ . However the Shapiro-

227 Wilk normality test rejects the null hypothesis of a gaussian distribution ( $p -$   
228  $value = 0.0005213$ ). On the other hand, the Q-Q plot (Fig. 3b) shows deviations  
229 from the theoretical normal distribution (red line) between one (68.27% of the  
230 sample) and two (95.45%) standard deviations from the mean. The S-shaped  
231 empirical distribution recalls its left skew.

232 The global vertical distribution of finds resemble that of the faunal assem-  
233 blage, due to the weight of the latter on the sample data ( $n = 471$ ). The  
234 distribution of the lithic artifacts is more left skewed, while the small sample  
235 of micromammals follows a multimodal distribution with a prominent peak at  
236  $-1.2$  m (Fig. 3a). Although the difference in size of the two samples, it is worth  
237 notice that the mean value of the vertical distribution of *A. ruffoi* is very close  
238 to that of the lithic artifacts (Welch Two Sample t-test  $p - value = 0.5803$ ).

239 The vertical distribution of finds in SU D is globally unimodal, slightly left  
240 skewed, with one peak at  $-2.10$  m and no outliers (Fig. 3c). Although the dis-  
241 tribution is close to the best fitting normal curve (red line) with  $\mu = -2.34$  m  
242 and  $\sigma = 0.33$  m, the Shapiro-Wilk test rejects the hypothesis of normality  
243 ( $p - value = 2.497e - 12$ ). The Q-Q plot (Fig. 3d) shows a more dispersed dis-  
244 tribution with respect to the former one. Its steeper line follows the theoretical  
245 normal distribution within one standard deviation from the mean (68.27% of  
246 the sample).

247 Compared with the global distribution, the vertical distribution of lithic  
248 artifacts slightly skews to the right. Nevertheless, the Shapiro-Wilk test fails  
249 to reject the normality hypothesis ( $p - value = 0.2742$ ). On the other hand,  
250 the micromammals distribution is multimodal and slightly shifted to the right  
251 (Fig. 3c). Its mean ( $-2.284$  m) is quite close to the mean of the lithic sample  
252 ( $-2.423$  m). However, the Welch t-test rejects the hypothesis of two equal sam-  
253 ple means ( $p - value = 0.01414$ ). If we cannot state that the two distributions  
254 have the same mean, we remark the highest density of both the assemblages at  
255 around  $-2.5$  m.

256 As for the vertical distribution of the identified taphonomic features on the  
257 lithic and faunal assemblages, figures 4a,b illustrate the overall distribution of

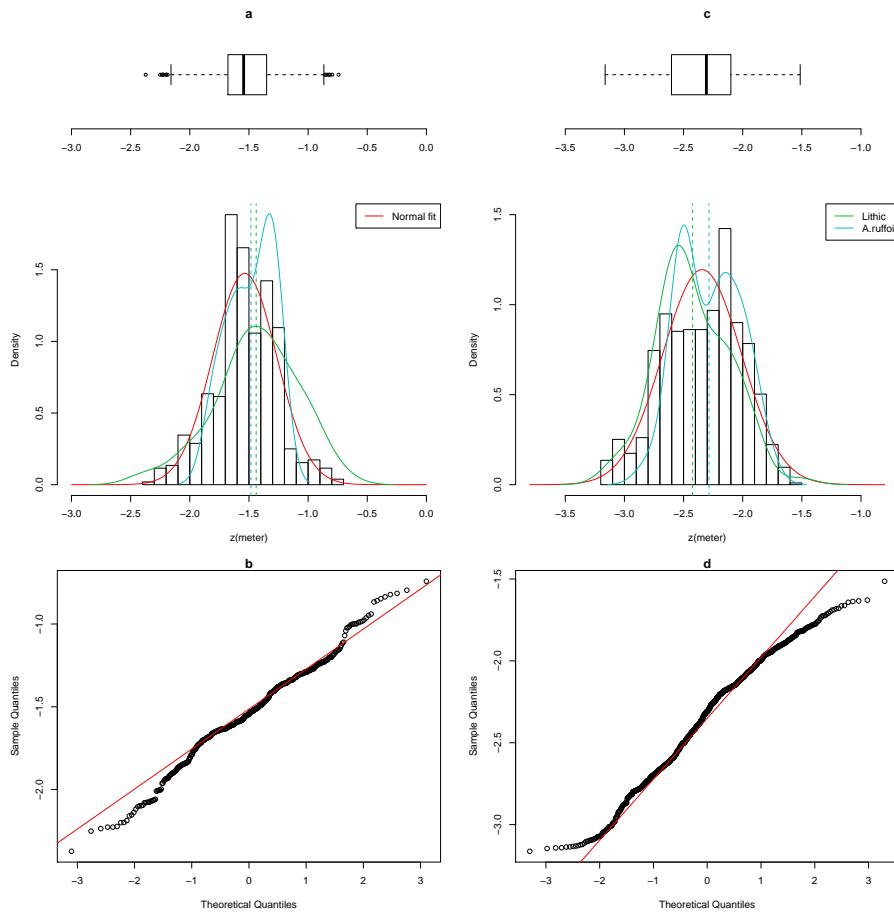


Figure 3: Vertical distribution of finds in SU's C (a,b) and D (c,d).

258 patinae on the lithic artifacts, across SU's C and D. The histogram shows the  
259 increasing number of finds between the two sedimentary units. This trend is  
260 reflected as well in the rise of Fe-Mn patinated artifacts (41% in SU C and 45% in  
261 SU D), compared to non-patinated ones (respectively 50% and 48%). The kernel  
262 density estimation (blue and green lines) shows a slightly higher occurrence of  
263 patinated artifacts at the lower part of the sequence (below -2.5 m), whereas in  
264 SU C (up to -2 m) there is no evident preference in the vertical distribution of  
265 patinae. The higher density of patinated artifacts, linked to the concentration  
266 of lithics observed in figure 3c at about -2.5 m, can be localized in a restricted  
267 spot at the bottom right corner of the excavated area (Fig. 4a).

268 Restricting the analysis to SU C, the vertical distribution of coordinated  
269 macro vertebrate fossils analized by Bagnus (2011) spans 71% of the elevation  
270 range of the complete assemblage from the same SU. However, beeing only the  
271 29% of the population, we acknowledge that our sample cannot be considered  
272 representative.

273 The densities of the low and medium rate of oxides resemble the general dis-  
274 tribution (Fig. 4c,b). Low values follow a 'nearly' normal distribution (Shapiro-  
275 Wilk normality test  $p-values = 0.2186$ ). The density of high oxidized remains  
276 (54% of the sample) draws a left skewed distribution, with a peak at about  
277 -1.3 m; whereas fossils with a medium degree of oxides are skewed to the right.  
278 However there is no clear preference for oxides to occur deeper in the sequence.  
279 The mean values are very close to each other and lower values of oxides are  
280 more dense at the bottom of the SU.

281 As for the distribution of the three taphorecords, the prominent peak of TR1  
282 at -1.6 m (Fig. 4f) contrasts with a more distributed and mixed distribution of  
283 the second and third group of fossils. However, the very low frequency of TR1  
284 ( $n = 9$ ) limits further analyses.

285 Although our study is constrained by the small sample of fossils and by its  
286 limited spatial extension to SU C, the analysis of the vertical distribution of Fe-  
287 Mn oxides in the faunal and lithic assemblages does not show any clear global  
288 pattern. Indeed, even taking into account the localized cluster of artifacts at the

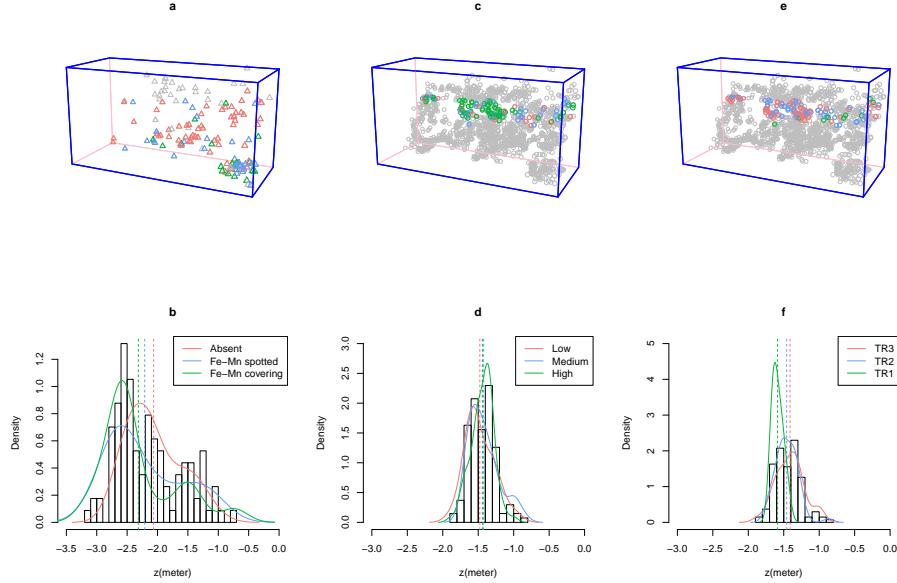


Figure 4: 3D and vertical distributions of Fe-Mn patinae on the lithic assemblage from SU's C and D (a,b); oxides (c,d) and taphorecords (e,f) from the faunal sample.

very bottom of SU D (Fig. 4a), the process responsible for the distribution of Fe-Mn oxides seems to operate indistinctly through the complete stratigraphic sequence, with no explicit preference for lower elevations.

With no evidence for strong right skewed distributions of finds in SU's C and D, we have reasons to exclude the covariate effect of gravity in the observed spatial pattern. The subsequent point pattern analyses are directed to the study of the 2D spatial distribution of fossils, lithics and their taphonomic status.

## 5. Point pattern analysis

The observed patterns of the archaeological and paleontological remains within SU's C and D, as well as the patterns of taphonomic features recognized on them, have been treated as realizations of spatial point processes, i.e. site formation and modification processes.

Indeed, a spatial point pattern is generally defined as the location of events

302 generated by a point process, operating simultaneously at different scales: a  
303 first-order global scale and a second-order local scale (Bailey and Gatrell, 1995).  
304 The former results from the frequency (density) of events within a bounded  
305 region; the latter results from spatial dependency between points, e.g. from  
306 a tendency for values of the process at nearby locations to interact with each  
307 other. Three different types of interpoint interaction are possible: random (or  
308 Poisson); regular and cluster. Regular patterns are assumed to be the result of  
309 inhibition processes, while cluster patterns are the result of attraction processes.  
310 Therefore, two main issues of interest are explored by spatial point pattern  
311 analyses: the distribution (density) of entities in space and the existence of  
312 possible interactions between them (Ord, 1972).

313 First-order effect in the observed point-pattern is generally non-parametrically  
314 evaluated by means of kernel density estimation (Diggle, 1985). As an average  
315 density of points in the study region, intensity informs about uniform or inho-  
316 mogeneous distribution of events.

317 Multiple scales of second-order patterning and the probability of a stochastic  
318 occurrence are explored by the Ripley's  $K$  summary function (Ripley, 1976,  
319 1977) and derivates, for both univariate and bivariate point patterns. The  $K$   
320 function is designed to identify the relative aggregation and segregation of point  
321 data at different scales. The univariate  $K(r)$  function measures the expected  
322 number of events found up to a given distance  $r$  around an arbitrary event.  
323 By comparing the estimated value  $\hat{K}(r)$  to its theoretical Complete Spatial  
324 Randomness (CSR) value, it is possible to assess what kind of interaction exists  
325 between events. The bivariate function, or cross-type  $K_{ij}(r)$  function seeks to  
326 evaluate, at each distance  $r$ , the spatial relation between two types  $ij$  of observed  
327 events. In this case, the definition of the null hypothesis uses a randomization  
328 technique of either the location of one of the types (random shift hypothesis),  
329 or the type itself of the event at each point, preserving the original location  
330 (random labeling hypothesis) (Goreaud and Pélissier, 2003). The former aims to  
331 evaluate the spatial relationship between patterns of two independent processes,  
332 while the latter assumes the same process in determining the pattern for different

333 types.

334 Especially in small dataset, the estimation of correlations between points is  
335 biased by edge effects, arising from the unobservability of points outside the  
336 window of analysis. In order to reduce that bias, we implement here Ripley's  
337 isotropic edge correction (Ripley, 1988; Ohser, 1983).

338 Monte Carlo simulations (Robert and Casella, 2004) are used to generate  
339 pointwise critical envelopes of random expected values for the null hypothe-  
340 ses, providing an adequate level of statistical significance. We choose a small  
341 significance level ( $\alpha = 0.01$  obtained with 199 simulations), due to the higher  
342 possibility of committing a Type 1 error by testing our hypotheses. Values of  
343 the empirical distribution (black solid line) are plotted against the theoretical  
344 Poisson distribution (red dotted line) and the simulated global envelope of sig-  
345 nificance (grey area). For  $K(r)$ , when the solid line of the observed distribution  
346 is above or below the shaded grey area, the pattern is significantly clustered  
347 (points are closer together than would be expected for a complete random pat-  
348 tern) or dispersed. For  $K_{ij}(r)$ , the benchmark value  $\pi r^2$  is consistent with  
349 independence between the points of type  $i$  and  $j$ , and does not imply a Poisson  
350 distribution.

351 *5.1. Formation processes*

352 In order to investigate the processes involved in the formation of the Pirro  
353 Nord deposit, we provisionally assume the deposition of each sedimentary unit  
354 to be the result of mass wasting events filling the fissure and resulting in the  
355 distribution of fossils and artifacts independently of each other.

356 To test the appropriateness of our working assumption, we first analyse the  
357 overall distributions of finds, treated as univariate point patterns. Applying a  
358 set of exploratory statistics, we aim to determine the nature of the depositional  
359 processes, e.g. if they raise in- or homogeneous distributions. Then, we analyse  
360 the relative patterns of the faunal and lithic assemblages from SU's C and D.  
361 In this case, we treat the two distributions as multitype point patterns.

362     The intensity of the lithic and faunal assemblages is non-parametrically es-  
363     timated by first performing a Gaussian smoothing kernel of their distributions,  
364     for both SU's. Likelihood cross-validation bandwidth, which assumes an in-  
365     homogeneous process, is selected for each pattern. Edge correction is applied  
366     using the method of Diggle (1985). Then, Berman's  $Z_2$  test is used to deter-  
367     mine whether or not the intensity depends on a spatial covariate  $Z$ , assuming  
368     that the spatially varying (inhomogeneous) intensity is a function of  $Z$ . Thus,  
369     in order to measure the strength of dependence on the covariate, we use the  
370     Receiver Operating Characteristic (ROC) curve. Spatially adaptive smoothing,  
371     nearest-neighbour density and scan tests have been used in order to assess for the  
372     evidence of hot spots in the intensities of the unmarked point patterns. Estima-  
373     tions of the  $K(r)$  and the Kaplan-Meier corrected empty-space  $F(r)$  functions  
374     provide further methods for the interpretation of the distributions.

375     Multitype summary functions are used in the analysis of the dependence  
376     between points of the two assemblages. In this case, our main research question  
377     is whether different types of finds have the same spatial distribution. The cross-  
378     type  $K_{ij}(r)$  function and the Kaplan-Meier corrected nearest-neighbour  $G_{ij}(r)$   
379     function are used to estimate the association between points of type  $i$  and  $j$ ,  
380     for any pair of types of finds. Positive spatial correlation between the two  
381     types of finds would suggest that lithic artifacts are more likely to be found  
382     close to fossils than would be expected for the hypothesis of *independence*. It  
383     would confirm the field observations about their close stratigraphic association  
384     and further support our hypothesis that both patterns are the realization of  
385     one depositional process. On the other hand, segregation of the two patterns  
386     is equivalent to variation in the probability distribution of types. Segregation  
387     could be interpreted as the expression of preferential/differential depositional  
388     processes. In this case, more detailed analyses would be necessary.

389     5.2. Post-depositional processes

390     In order to evaluate the degree of post-depositional disturbance of the de-  
391     posit, the spatial dependence of observed taphonomic features is assumed to

392 be the expression of a related diagenetic process. Measured phenomena that  
393 are closer together in space, tend to be more related than those further apart  
394 (Tobler, 1970).

395 Like in applications of point pattern analysis in spatial epidemiology (Diggle,  
396 2003; Gatrell et al., 1996), we distinguish between *cases* and *controls*. The  
397 distribution of cases of a certain taphonomic alteration can be regarded as the  
398 realization of a diagenetic point process, whereas controls points refer to non-  
399 altered remains. In a conditional analysis of a spatial case-control study the  
400 locations are fixed covariates, and the taphonomic status is treated as a random  
401 variable. The simplest null model (*random labelling*) is that the taphonomic  
402 status of each find is random, independent and with constant risk of occurrence.

403 Spatial correlations of diagenetic alterations on the lithic and faunal assem-  
404 blage are explored by the  $K_{ij}(r)$  function, random labelling the pair case/control  
405 of Fe-Mn oxidation. We assume in this case that an independent process (karst  
406 water circulation), subsequent to the initial event responsible for the accumu-  
407 lation of the finds in each SU, determined their preservation status. Positive  
408 deviations from the null hypothesis, suggest that cases are more likely to be  
409 found close to controls than would be expected if their status was randomly de-  
410 terminated. On the other hand, negative deviations would indicate segregation  
411 between cases and controls. Thus, it would suggest that the action of post-  
412 depositional water-related processes could have locally reworked the original  
413 distribution, determining the altered status of the remains.

414 All the spatial analyses were performed using the *spatstat* package (Baddeley  
415 et al., 2015) in R statistical software (R Core Team, 2015).

416 A repository containing a compendium of data, source code and text is  
417 archived at the DOI: 10.5281/zenodo.54778

418 **6. Results**

419 *6.1. Formation processes*

420 Figures 5c,d show the smoothing kernel estimation of the faunal assemblage  
421 intensity respectively in SU's C and D. Lithic artifacts and micromammals re-  
422 mains of *A. ruffoi* are superimposed on it. The visual assessment of the plot  
423 suggests positive spatial association between the three types of finds. Higher  
424 intensities in the distributions are evident at specific values of the  $x$  coordinate  
425 ( $6 < x < 7$  and  $8 < x < 9$ ), in both the sedimentary units. Yet, a concentration  
426 of artifacts, already observed in figure 3c and 4a,b, is evident at the lower right  
427 corner of SU D (Fig. 5e). Such higher densities of finds are clearly showed as  
428 well in the scatterplots of the projected third coordinate (Fig. 5a,b). Notably,  
429 the thickness of the sedimentary unit cannot be accounted to be responsible for  
430 those hot spots with higher density of finds. Neither the apparent inhomoge-  
431 neous intensities along the  $x$  axes is supported by the ROC curves (Fig. 5e,f).  
432 Even if Bermans's  $Z_2$  tests suggest significant evidence of dependence on the  
433  $x$  covariate, the ROC curves show that it does not have strong discriminatory  
434 power.

435 Figures 6a,d show the resulting p-values of likelihood ratio scan test statistic.  
436 The test detects differences in the densities of the distributions, showing zones  
437 with high abundance of finds. The estimated homogeneous  $\hat{K}(r)$  and  $\hat{F}(r)$   
438 functions are consistent with this result. For both SU's C (Fig. 6b,c) and D  
439 (Fig. 6e,f) they suggest strong deviation from the null hypothesis of CSR towards  
440 aggregation, at any scale.

441 In analysing a point pattern, it is confounding and it may be impossible  
442 to distinguish between clustering and spatial inhomogeneity (Baddeley et al.,  
443 2015). Given the context of the site, and the results of our non-parametric  
444 analyses, we proceed considering the distributions of finds as the results of clus-  
445 ter homogeneous processes. The bivariate version of the homogeneous  $K_{ij}(r)$   
446 and  $G_{ij}(r)$  function allow us to statistically test the hypothesis of aggregation  
447 between the types of remains.

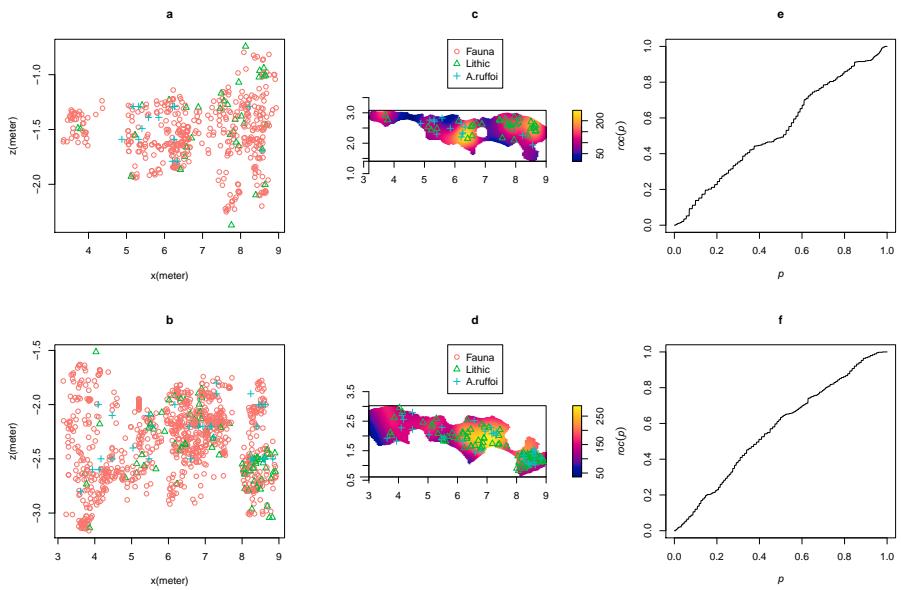


Figure 5: Scatterplot of finds from SU's C (a) and D (b); Smooth density estimation of the faunal assemblage and distribution of lithic artifacts and *A.ruffoi* remains in SU's C (c) and D (d); ROC curves for the covariate  $x$  coordinate in SU's C (e) and D (f).

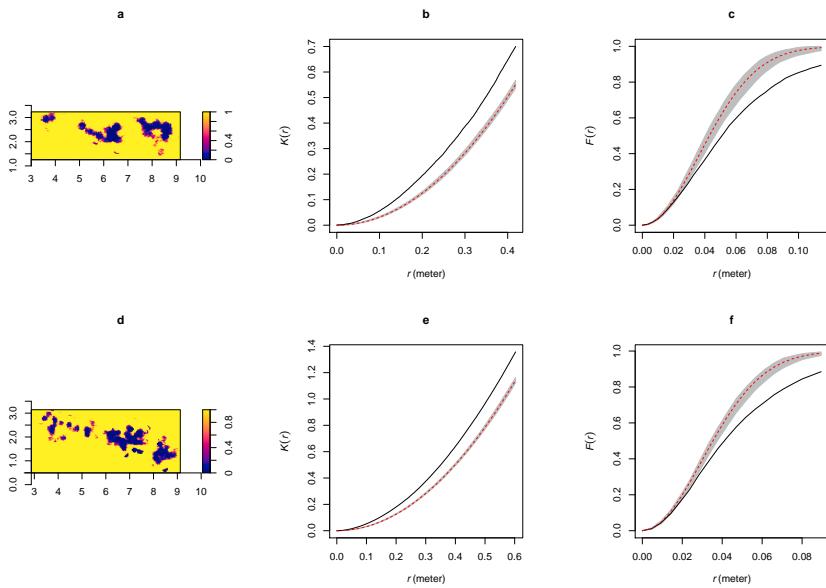


Figure 6: p-values of the likelihood ratio scan test, with logarithmic colour scale, for SU's C (a) and D (d); pointwise envelopes of the homogeneous  $K(r)$  and  $F(r)$  functions for unmarked finds from SU's C (b,c) and D (e,f).

448 In figure 7, the top line of panels (a,b,c) shows the ordinary estimations of  
449 the  $K$  function for the three types of finds (Fauna, Lithic and *A. ruffoi*) from  
450 SU C. Panel 7a resembles figure 6b and indicates statistical significant clustering  
451 of the faunal remains for any values of  $r$ . The lithic assemblage shows as well a  
452 significant cluster tendency, for  $r > 0.1$ , while it fails to reject the null hypothesis  
453 of CSR for lower values. Instead, the estimated  $\hat{K}(r)$  for the micromammals  
454 shows aggregation, but, for all values of  $r$ , we cannot state that the distribution  
455 is not random. This result might reflect the random displacement applied to  
456 the micromammal point pattern.

457 The middle and bottom lines of panels in figures 7 show estimations of the  
458 homogeneous cross-type  $K$  and  $G$  functions for all pairs of type  $i$  and  $j$ . Inter-  
459 estingly, figure 7d suggests positive spatial correlation between lithic and faunal  
460 remains at any values of  $r > 0.05$ . The corresponding  $G_{ij}(r)$  function mea-  
461 sured the cumulative distance from each point of type  $i$  (Lithic) to the nearest  
462 point of type  $j$  (Fauna). It shows (Fig. 7g) that the nearest-neighbour distances  
463 are significantly shorter than expected, but we cannot reject the hypothesis of  
464 independence between fossils and artifacts. However, the short scale of the func-  
465 tion suggests that any artifact is surrounded by fossils. This result statistically  
466 confirms the stratigraphic association of artifacts and fossils, previously based  
467 on field observations. On the other hand, deviations between the  $\hat{K}_{ij}(r)$  func-  
468 tion and the benchmark  $\pi r^2$  suggest segregation between lithics and *A. ruffoi*  
469 specimens, but the hypothesis of independence between the two types is more  
470 significant (Fig. 7e,h). Conversely, the small mammal assemblage is closer to  
471 the rest of the fossils than expected for independent distributions, for  $r > 0.2$ .  
472 For lower values of  $r$ , the  $K$  and  $G$  functions fail to reject the hypothesis of  
473 independence.

474 The top line of panels in figure 8 (a,b,c) shows estimations of the  $K(r)$  func-  
475 tion for the three types of finds from SU D. Panel 8a confirms the same clustering  
476 trend of the faunal assemblage. Analogous to the distribution of finds from SU  
477 C, the global pattern is mostly weighted on the faunal assemblage (Fig. 6e).  
478 Conversely, in SU D the distribution of lithics shows stronger significant clus-

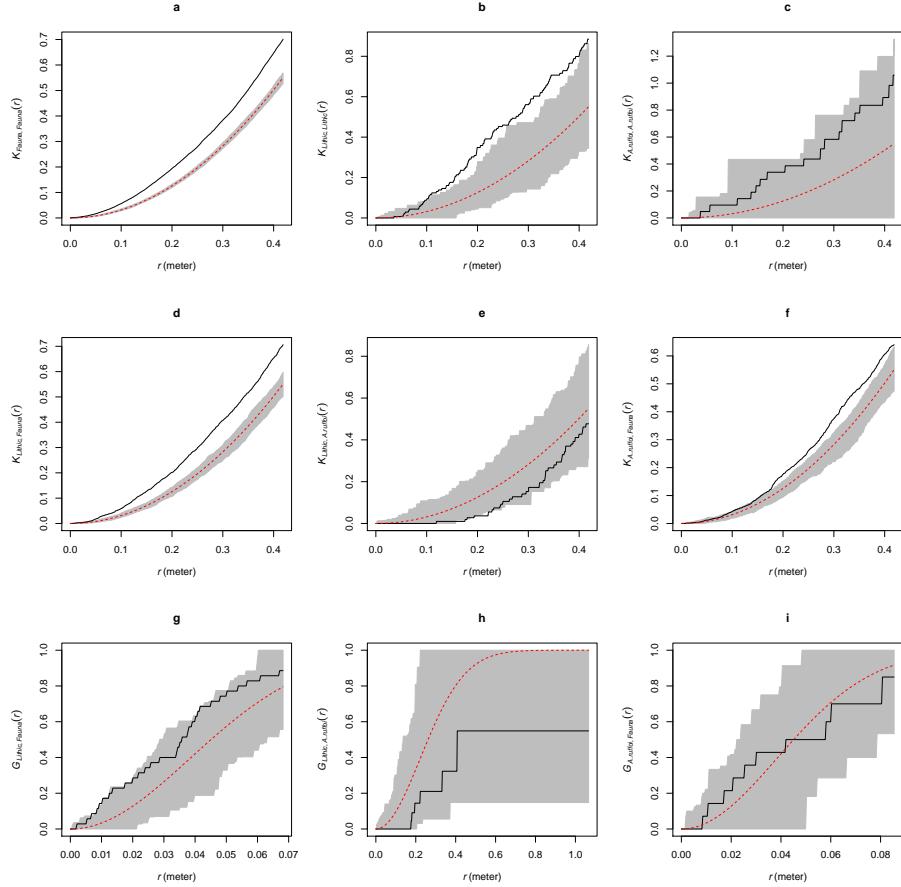


Figure 7: Pointwise envelopes of the homogeneous cross-type  $K_{ij}(r)$  and  $G_{ij}(r)$  functions for all pair of type  $i$  and  $j$  in SU C.

479 tering for  $r > 0.1$ . Again, the resulting  $\hat{K}(r)$  for the micromammal assemblage  
480 suggests a statistically insignificant aggregation tendency for all values of  $r$ , but  
481  $0.4 < r < 0.5$ . In contrast to the previous result, estimations of the  $K_{ij}(r)$   
482 function support significant positive correlation between the lithic artifacts and  
483 the *A. ruffoi* remains (Fig. 8e). Thus, they occur closer than expected in the  
484 case of independent distributions. Panel 8f shows the same positive correlation  
485 also between micro and macro mammals for  $r > 0.2$ . The panels 8d,g show  
486 as well significant positive aggregation between lithics and fossils for values of  
487  $r > 0.1$ . In addition, the estimated  $\hat{G}_{ij}(r)$  function offers a closer view of the  
488 distribution. For values of  $r < 0.1$ , it fails to reject the hypothesis of indepen-  
489 dence.

490 *6.2. Post-depositional processes*

491 To achieve our second objective, namely to evaluate the degree of post-  
492 depositional disturbance of the deposit, we first analyzed spatial distribution of  
493 oxides on the lithic and faunal assemblages independently, then we moved to a  
494 comparative analysis. We are particularly interested in the spatial distribution  
495 of Fe-Mn oxides (cases) compared with the absence of them (controls).

496 Figure 4a does not suggest segregation of patinated and non-patinated lithics.  
497 If we perform random labeling of the presence of Fe-Mn (spotted and covering)  
498 with its absence in both the stratigraphic units, the outputs of the cross-type  
499 function (Fig. 9a, b) show that the observed altered artifacts are, with a 0.01  
500 level of significance, randomly and independently located in SU C. The posi-  
501 tive discrepancy between the estimated  $\hat{K}_{ij}(r)$  and the benchmark  $\pi r^2$  indicate  
502 aggregation of cases and controls, but it lies within the grey envelope of the  
503 *random labeling* hypothesis. Conversely, patinated and non-patinated lithics in  
504 SU D appear to be closer to each other than expected for the null hypothesis.  
505 In this unit the observed  $\hat{K}_{ij}(r)$  function over-exceeds the envelope at values of  
506  $r > 0.4m$ , hence it indicates statistically significant aggregation. Such pattern  
507 statistically confirms the visual assessment of figure 4a. Consequently, oxidized  
508 and non-oxidized artifacts most probably occur in SU D well aggregated in

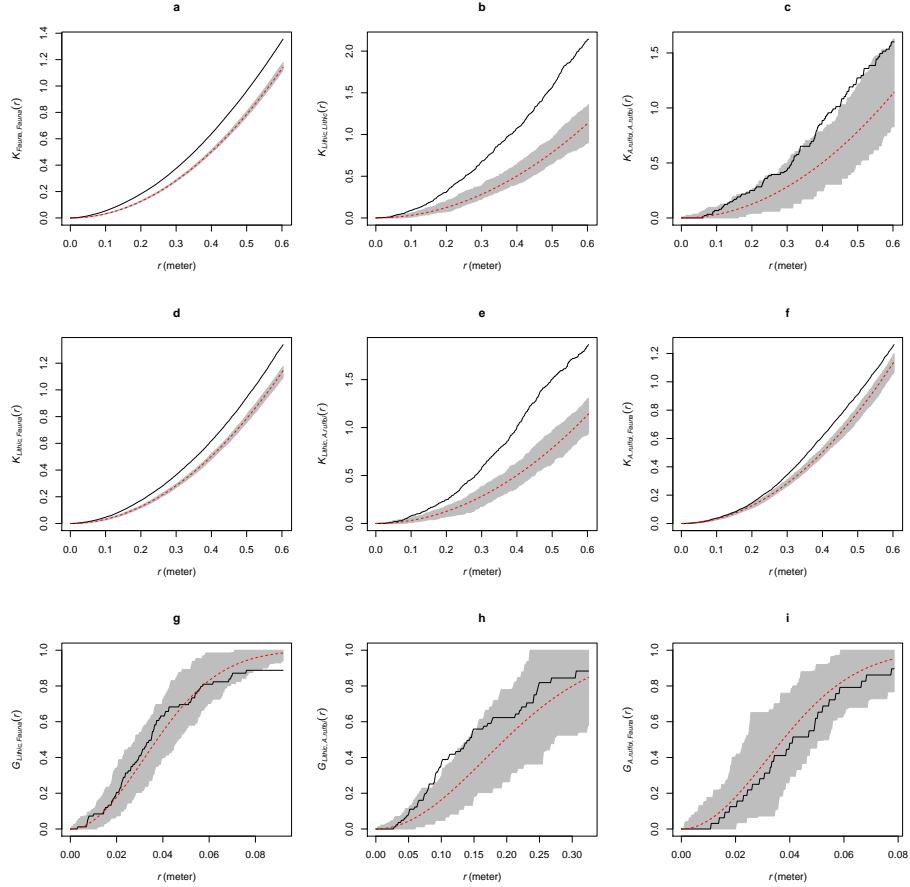


Figure 8: Pointwise envelopes of the homogeneous cross-type  $K_{ij}(r)$  and  $G_{ij}(r)$  functions for all pair of type  $i$  and  $j$  in SU D.

<sup>509</sup> space, while their aggregation is not statistically significant in the above unit.

<sup>510</sup> We could not compare the oxidation patterns between lithics and fossils from  
<sup>511</sup> SU D, because the taphonomic analysis of Bagnus (2011) did not include fossils  
<sup>512</sup> from this unit. Thus, we focused our analysis on SU C.

<sup>513</sup> The distribution map (Fig. 4c) does not suggest any evident pattern. When  
<sup>514</sup> we apply random labelling of the absence of oxidation with the medium and high  
<sup>515</sup> degrees of its presence, the output of the bivariate  $K_{ij}(r)$  function shows a seg-  
<sup>516</sup> regation tendency between them, but it is not statistically significant. (Fig. 9c).  
<sup>517</sup> A random and independent distribution of oxides is more plausible.

<sup>518</sup> Finally, figure 9d shows the result of the  $K_{ij}(r)$  function, random labeling  
<sup>519</sup> the cases (medium and high degrees) and controls (absent or low degree) of  
<sup>520</sup> Fe-Mn oxides on the lithic and faunal assemblages from SU C. The empirical  
<sup>521</sup> values of the cross-type function are balanced on the theoretical expectation  
<sup>522</sup> for complete spatial independence (red line). It clearly lies inside the grey  
<sup>523</sup> envelope of significance. Therefore, our analysis shows an independent spatial  
<sup>524</sup> distribution of Fe-Mn patinated and non-patinated lithic artifacts and fossils  
<sup>525</sup> from SU C. In the lower unit (SU D), where figure 4b indicates higher density  
<sup>526</sup> of oxidized artifacts, estimations of the cross-type  $K$  function suggests that they  
<sup>527</sup> occur closer than expected to fresh ones.

## <sup>528</sup> 7. Discussion

<sup>529</sup> The Early Pleistocene site of Pirro Nord (fissure P13) has yielded evidence  
<sup>530</sup> for one of the earliest occurrences of hominins in Europe. The importance of the  
<sup>531</sup> evidence calls for a multivariate taphonomic analysis in order to establish the  
<sup>532</sup> nature of the processes involved in the formation of the deposit and the degree  
<sup>533</sup> of its post-depositional disturbance. We address that need by investigating the  
<sup>534</sup> spatial association of the archaeological and paleontological remains, as well as  
<sup>535</sup> the spatial distribution of artifacts and fossils with diagenetic alterations. We  
<sup>536</sup> focused our analysis on the lower stratigraphic units C and D, since they provide  
<sup>537</sup> the most significant corpus of finds and they have been studied with the same

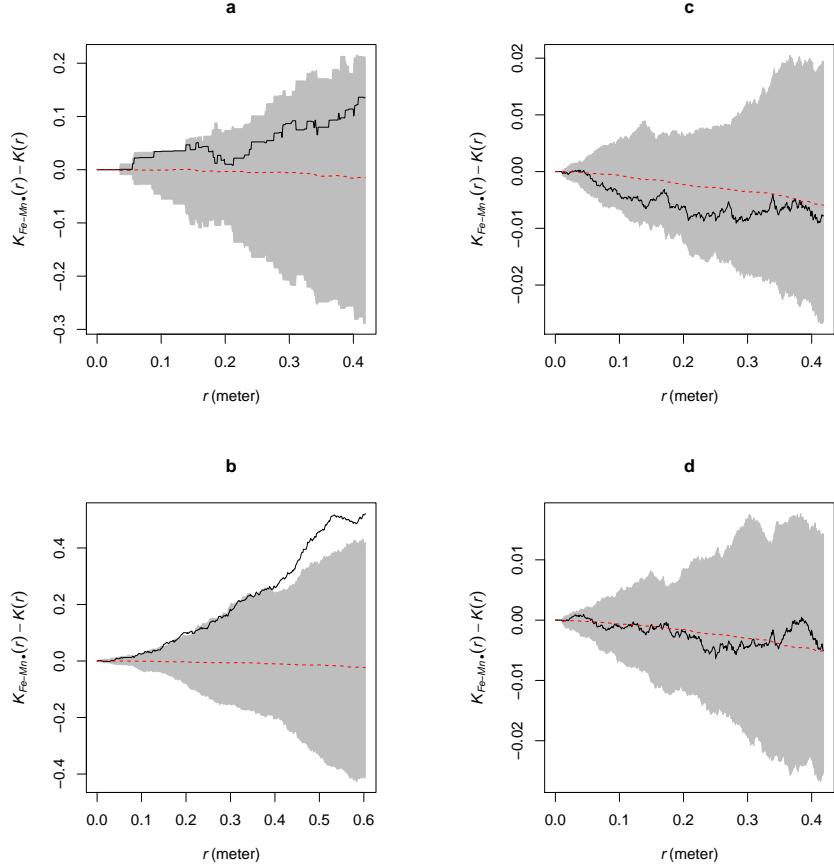


Figure 9: Pointwise envelopes of the homogeneous bivariate  $K_{ij}(r)$  function, random labeling cases/*controls* of patinated lithics in SU's C (a) and D (b); oxidized fossils in SU C (c); Fe-Mn oxides on the lithic and the faunal assemblage from SU C (d).

538 research protocol.

539 *7.1. Formation processes*

540 Non-parametric analyses have been carried out in order to characterize the  
541 processes responsible for the formation of the deposit. Then, we accounted for  
542 the relative spatial pattern of the different types of finds.

543 The vertical distribution of the archaeological and paleontological assem-  
544 blages does not appear to be affected by strong gravitational effects. On the  
545 other hand, it resembles a 'nearly' normal distribution and suggests a very close  
546 mean occurrence of lithic artifacts and *A. ruffoi* remains, despite the small sam-  
547 ple of micromammals (Fig. 3). A visual interpretation of the projected third  
548 coordinate (Fig. 5a,b) also suggests that the intensity of finds is not a function  
549 of the covariate  $z$ . Moreover, higher densities are not linked to the thickness of  
550 the stratigraphic units. They are clearly localized at values of  $6 < x < 7$  and  
551  $8 < x < 9$  in both the SU's, as showed also by figures 5c,d and 6a,d. Indeed,  
552 the Berman's  $Z_2$  test for the dependence of the point process on the spatial co-  
553 variate  $x$  failed to reject the null hypothesis for SU C ( $p-value = 0.00445$ ) and  
554 D ( $p-value = 1.913e - 14$ ). However, even if it suggests significant evidence  
555 that the intensity depends on some covariate, the effect of that covariate could  
556 still be weak. ROC curves (Fig. 5e,f) indicate that the  $x$  coordinate does not  
557 have discriminatory power.

558 Bartlett (1963) showed that it is possible to formulate a point pattern which  
559 can be equally interpreted as a Poisson inhomogeneous process, or a homoge-  
560 neous cluster process. According to our non-parametric analyses, we proceeded  
561 under the assumption that the processes involved in the formation of the Pirro  
562 Nord (P13) deposit are homogeneous and clustered. The scan tests in figures  
563 6a,d show hot spots of points, mostly localized between  $6 < x < 7$  and  $8 < x < 9$ .  
564 The cluster correlation between all the finds is significantly confirmed by the  
565 estimations of the  $K$  and  $F$  functions (Fig. 6). The first lines of panels in figures  
566 7 and 8 offer a type-based view of these patterns. Indeed, the estimated  $\hat{K}(r)$   
567 functions of the faunal assemblage (Fig. 7a and 8a), which constitute the big-

568 ger part of the analyzed sample of data, resemble the results for the complete  
569 populations (Fig. 6b,e). The lithic assemblage also show significat aggregation;  
570 while the small sample of *A. ruffoi* falls inside the envelope of CSR.

571 Faunal remains and lithic artifacts show some overlapping when evaluated  
572 by means of Gaussian smoothing kernel (Fig. 5c,d). Positive spatial association  
573 between fossils and lithics is statistically confirmed by the cross-type  $K$  and  $G$   
574 functions for the examined SU's (Fig. 7 and 8). Fossils and artifacts tend  
575 then to occur aggregated with each other (they are closer than expected for  
576 a independent process). Significant spatial proximity is also showed between  
577 artifacts and micromammal remains, especially in SU D.

578 According to the results of our analyses, the stratigraphic and spatial asso-  
579 ciation between the types of remains should be considered as the result of the  
580 same formation process. Finds occur in the clayey-sand sediment together with  
581 a high number of angular to sub-rounded gravels and boulder-sized rock clasts.  
582 Such a stratigraphic setting suggests repeated mass-wasting processes (at least  
583 two events, represented by SU's C and D, which were included in this study)  
584 with a low degree of reworking in a relatively short span of time (Arzarello  
585 et al., 2012). Rapid-moving and chaotic water-laden masses, such as mud-flows  
586 or earth-flows, of soilwash and rock rubble with fossils and artifacts (Butzer,  
587 1982, p. 46), could have been triggered by intense rainfalls and became trapped  
588 in the karst sink-hole directly opening to the outside. The sedimentary fill would  
589 have derived from the top, by gravity, directed into the empty space between  
590 the large limestone blocks that made up the internal structure of the fissure.  
591 The thickness of the layers is likely correlated to the intensity of such events.

592 On the other hand, the clustered distribution of all the finds cannot be linked  
593 to the thickness of the statigraphic units. The big blocks of calcarenite, which in  
594 some places transect the stratigraphic units (Fig. 1), created a complex internal  
595 structure and might have influenced the direction of sediment accumulation.  
596 However, sedimentation rate, driven by the rugged topography of the site, does  
597 not seem to be spatially associated with the localized hot spots (Fig. 6a,d).  
598 Thus, clustering might have been a correlated effect of the formation process.

599      The presence of partially articulated vertebrate skeletal elements and their  
600 general low degree of weathering indicate fast burial and transport of bones from  
601 nearby locations (Bagnus, 2011). A close spatial proximity between the original  
602 location of the finds and the karst fissure, as well as a relatively fast burial, is  
603 also corroborated by the unrounded ridges and edges of the lithic artifacts and  
604 by the technological consistency of the assemblage (Arzarello et al., 2014).

605      In conclusion, our spatial statistics analyses confirm the field observations  
606 about the spatial association of archaeological and paleontological remains.

607      *7.2. Post-depositional processes*

608      After dealing with our first research question (to examine the spatial dis-  
609 tribution of finds in the context of the site formation processes), our analyses  
610 were particularly directed to test the hypothesis of post-depositional processes  
611 reworking the deposit. We assume that the spatial aggregation of taphonomic  
612 surface alterations, and their relative segregation compared to non-altered finds,  
613 would indicate the localized activity of diagenetic agents.

614      We focused more on the spatial distribution of oxides, because traditional  
615 explanations for the development of Fe-Mn patinas on the surface of flint refer to  
616 the deposition of various iron and manganese oxides and hydroxides out of soil  
617 water (Stapert, 1976). Similarly, the origin of manganese coatings on fossils, in  
618 karst environments, may derive from circulating water, or from the manganese  
619 present in the surrounding limestone rock dissolved by groundwater (Hill, 1982).

620      The vertical distribution of oxides on the lithic artifacts and the sample of  
621 faunal remains (Fig. 4) spans the complete stratigraphic sequence and appar-  
622 ently shows a gradual increase through the lower layers, especially in the lithics  
623 assemblage. Intensity of oxides is indeed more likely proportional to the density  
624 of finds and not related to the depth.

625      By applying a set of spatial statistics (namely cross-type  $K_{ij}(r)$  function)  
626 to the archaeological and paleontological remains, we searched for evidence of  
627 localized areas, which might have been subjected to the presence of water, es-  
628 pecially water-flows.

629 In SU C, the spatial distribution of Fe-Mn patinas on lithics and fossils  
630 is, with a certain degree of significance, the result of independent processes  
631 (Fig. 9a,c,d). We cannot state that there is aggregation (spatial proximity)  
632 between oxidized finds and fresh ones. Neither the results of the bivariate  $K$   
633 function, random labeling the cases/controls of Fe-Mn coating, show segregation  
634 which indicative of spatially defined diagenetic processes. In contrast, in  
635 SU D (Fig. 9b), patinated and fresh artifacts occur significantly spatially aggre-  
636 gated to each other for values of  $r > 0.4m$ . They occur closer than expected by  
637 an independent process at bigger scale. However, the pattern is, with a certain  
638 confidence level, independent.

639 Rottländer (1975) identified a possible different cause of Fe-Mn coatings in  
640 the iron that is already present in the flint. In this light, the spatial association  
641 of flint artifacts with and without patination also depends on the chemical and  
642 microstructural composition of the raw material itself. On the other hand,  
643 the same oxides affecting a good percentage of finds, have been equally found  
644 broadly scattered on the numerous clasts of calcarenite that are included in the  
645 matrix, thus supporting an external origin of the Fe-Mn coating process.

646 The content of water and organic matter in the sedimentary body could be  
647 responsible for the randomly diffuse Fe-Mn patinations. In presence of organic  
648 matter, indeed, it is likely that the release of organic acids will accelerate pati-  
649 nation on chert (Burroni et al., 2002). Moisture of the sedimentary body could  
650 also be accounted for the wide random spread of Fe-Mn coatings.

651 We did not find statistically significant evidence of aggregation of oxidized  
652 records compared to non-oxidized ones (Fig. 9c); thus, we can exclude the as-  
653 sumption of localized concentration of water, which is included in the hypothesis  
654 advanced by Bagnus (2011) for the presence of interstitial flows reworking the  
655 deposit.

656 Due to the small sample size, we did not apply spatial analysis to the distri-  
657 bution of the three taphorecords. However, figure 4e,f suggests that fossils from  
658 the TR1 group occur spatially aggregated with fossils from the TR2 and TR3  
659 groups. Considering the distribution of Fe-Mn oxides on the lithic and faunal

660 assemblages, the re-elaborated TR1 (*sensu* Fernández-López, 1991, 2007, 2011)  
661 might not be associated with the reworking action of water-flows and might  
662 be more likely correlated to random and limited rearrangement of parts of the  
663 sedimentary matrix.

664 A possible cause of some localized movement of sediments could be the rock  
665 falls from the vault of the karst fissure, during the deposition of SU's C and  
666 D. As showed in figure 1, an abrupt increase in the number of boulder-sized  
667 rocks is observed within the lower layers. Moreover, rock falls caused most of  
668 the post-depositional fractures on the faunal assemblage (Bagnus, 2011). Such  
669 intense erosional process could most likely be correlated to the seismic activity  
670 of the region (Bertok et al., 2013).

671 Results of our analyses suggest that post-depositional taphonomic alter-  
672 ations occurred with a certain significance as result of independent processes.  
673 However, keeping a cautious approach to spatial analysis, a documented point  
674 pattern can be most realistically thought of as the result of multiple processes  
675 heterogeneously working at different scales (Bevan and Wilson, 2013). Multi-  
676 ple or repeated post-depositional processes could obliterate contemporaneous or  
677 preceding patterns, resulting in a final random distribution of the record.

678 Moreover, karst site formation processes are highly dependent on the struc-  
679 ture and extension of the overall karstic system, as well on the surrounding  
680 environment. The lack of information about the original characteristics of the  
681 system and the reduced area of excavation strongly limit the analysis.

682 Furthermore, although the need for considering three dimensional distribu-  
683 tions in site formation processes study, spatial point pattern statistics are at  
684 the moment not fully equipped to analyze three-dimensional patterns, espe-  
685 cially when the study-area corresponds to a three-dimensional volume with a  
686 complex shape such as a karstic structure.

687 On the other hand, "one must look to non-spatial evidence to corroborate or  
688 disprove theories about spatial processes" (Hodder and Orton, 1976, p. 8). The  
689 integration with other taphonomic disciplines reinforces the results of spatial  
690 analyses and outline new opportunities for point pattern analyses. As recently

691 remarked (Cobo-Sánchez et al., 2014), taphonomic research should be multi-  
692 variate (Domínguez-Rodrigo and Pickering, 2010) and it should include spatial  
693 analysis as a heuristic tool in the interpretation of site integrity. This is espe-  
694 cially demanding when the research questions deal with past human behaviour  
695 and even more so when site dating is based on the stratigraphic association of  
696 artifacts and fossils.

697 **8. Conclusions**

698 The Early Pleistocene site of Pirro Nord 13 provides evidence of the earliest  
699 human presence in Western Europe. Lithic artifacts have been found in a karst  
700 fissure filling, together with late Villafranchian/early Biharian paleontological  
701 remains.

702 The main goals of our study were:

- 703 1. to investigate the depositional processes involved in the formation of the  
704 deposit and
- 705 2. to assess the degree of any potential post-depositional reworking of the  
706 archaeological and paleontological remains.

707 The integration of spatial point pattern analyses with previous taphonomic  
708 studies on the faunal and lithic assemblages allowed us to test different hypothe-  
709 ses of site formation and modification processes.

710 On the basis of our analyses,

- 711 1. we consider the deposit to be the result of subsequent events of some  
712 type of mass-wasting process, such as a mud-flow or earth-flow, carrying  
713 rock rubble with fossils and artifacts. The applied set of spatial analyses  
714 confirm, with an adequate level of statistical significance, this assumption,  
715 based on field observations, regarding the spatial association between the  
716 finds.
- 717 2. Based on our taphonomic point pattern analyses of several diagenetic fea-  
718 tures on the lithic and faunal assemblages, we reject the hypothesis of a

<sup>719</sup> substantial post-depositional reworking and mixture of the sedimentary  
<sup>720</sup> deposit and we corroborate the stratigraphic integrity of the Pirro Nord  
<sup>721</sup> 13 site.

<sup>722</sup> Finally, the present study answers the need for a taphonomic perspective  
<sup>723</sup> in spatial analysis, by applying well developed quantitative methods in spatial  
<sup>724</sup> statistics. Point pattern analysis can be very flexible and useful in the investiga-  
<sup>725</sup> tion of both cultural and taphonomic processes. Until now it has found limited  
<sup>726</sup> application on taphonomic studies, but, as our study demonstrates, it offers new  
<sup>727</sup> analytical opportunities to the multidisciplinary study of the complex processes  
<sup>728</sup> that operate in the formation and modification of archaeological sites. It allows  
<sup>729</sup> analysts to test multiscale patterns and to model the taphonomic processes  
<sup>730</sup> underlying archaeological distributions, which are otherwise difficult to identify  
<sup>731</sup> from the simple visualization of maps, especially for those sites characterized by  
<sup>732</sup> complex geo-stratigraphic settings.

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