

Analogy between  $k[x]$  &  $\mathbb{Z}$ ; EDs  $\Rightarrow \dim = 1$ ,  
UFD.

We can extend this to some ring extensions. We compare

$$B = \mathbb{Z}[\sqrt{3}] \supseteq \mathbb{Z} = A \quad \& \quad B = \frac{k[x,y]}{y^2 - x^3} \cong k[x][\sqrt{x^3}]$$

or

$$k[x] = A .$$

In both cases, we investigate  $\text{Spec } B$  via the map

$$\varphi^*: \text{Spec } B \rightarrow \text{Spec } A , \text{ induced by } \varphi: A \hookrightarrow B .$$

$$p \longmapsto \varphi^{-1}(p) = p \cap A$$

1st  $B = \frac{k[x,y]}{y^2 - x^3} \cong k[x] = A$  Assume  $k = \bar{k}$ .

Then  $\text{Spec } k[x] = \{(x-a) \mid a \in k\} \cup \{(0)\}$

$$\mathbb{A}_k^1$$

$$\overbrace{\hspace{10cm}}^k \quad \begin{matrix} \mathbb{A}_k^2 \\ (0) \end{matrix}$$

Then correspondence theorem says that

$$\{m \subseteq B\} \longleftrightarrow \{\tilde{m} = k[x,y] \mid (y^2 - x^3) \subseteq \tilde{m}\}$$

note since the correspondence respects inclusion, it follows that maximals correspond to maximals. One also shows easily primes correspond to primes.

Thus

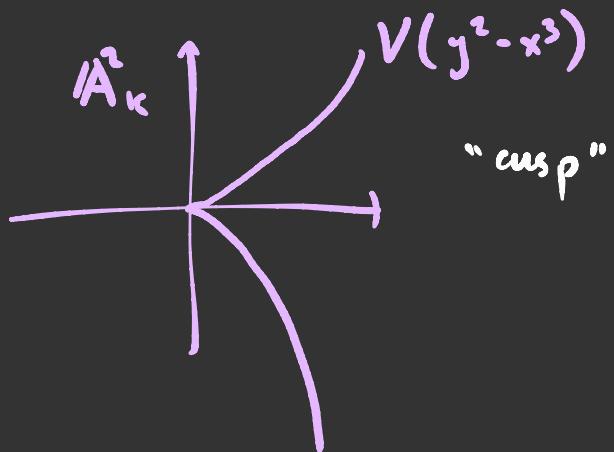
$$\text{mspec } B = \left\{ m_{a,b} = (x-a, y-b) \mid (y^2 - x^3) \subseteq m_{a,b} \right\}.$$

Then thinking of  $m_{a,b} = \ker(k[x,y] \xrightarrow{\varphi_{a,b}} k)$ , if

$$\begin{array}{ccc} & \varphi_{a,b} & \\ x & \longmapsto & a \\ y & \longmapsto & b \end{array}$$

is clear that  $(y^2 - x^3) \subseteq m_{a,b} \iff \varphi_{a,b}(y^2 - x^3) = b^2 - a^3 = 0$ .

$$\begin{aligned} \mathbb{A}_k^2 &= \{(a,b) \in k^2\} & \xleftrightarrow{1:1} & \text{mspec } k[x,y] \\ V(y^2 - x^3) &= \{(a,b) \mid b^2 = a^3\} & \xleftrightarrow{1:1} & \text{mspec } B \end{aligned}$$



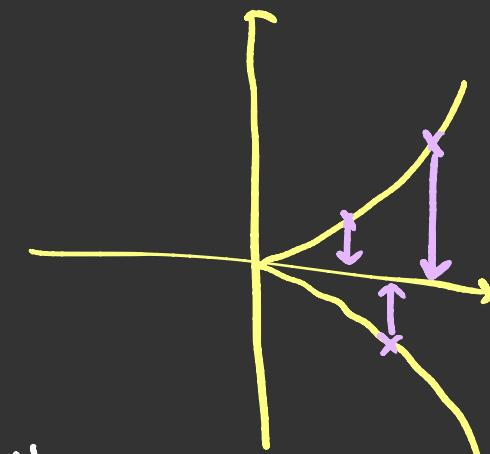
Now consider  $\varphi: k[x] \hookrightarrow \frac{k[x,y]}{(y^2 - x^3)}$

the map  $\varphi^*: \text{Spec } B \rightarrow \text{Spec } A$

$$\mathfrak{p} \longmapsto \varphi^{-1}(\mathfrak{p}) = \mathfrak{p} \cap A$$

which on maximals:  $(x-a, y-b) \cap k[x] = (x-a)$ ,

$$\begin{aligned} \text{Thus } \varphi^*: \text{maximals } &\longrightarrow \text{maximals } \\ V(y^2 - x^3) &\longrightarrow \mathbb{A}_k \\ (a,b) &\longmapsto a \end{aligned}$$



Is just the projection  $\text{pr}_1: \mathbb{A}^2 \rightarrow \mathbb{A}^1$

$$\text{Note, } \frac{B = k[x,y]}{y^2 - x^3} \approx k[t^2, t^3] \subseteq k[t],$$

$\hookrightarrow \phi$

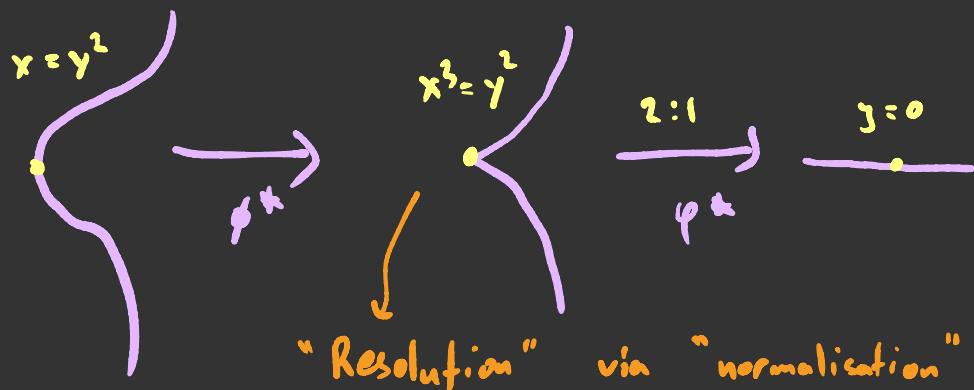
which we can see as  $k[x][\sqrt{x}] \supseteq k[x][\sqrt{x}]$  &  
 $\approx \frac{k[x,y]}{(x-y^2)}$   
letting  $t = \frac{x}{y}$ .

Then similar to the calculation above with have

$$\phi^*: \text{mSpec } k[t] \longrightarrow \text{mSpec } B$$

$$a \longmapsto (a^2, a^3).$$

We get



Now we do the number theoretic example.

Let  $B := \mathbb{Z}[\sqrt{-3}] \supseteq \mathbb{Z} \subset A$ . Call the inclusion  $u: \mathbb{Z} \hookrightarrow \mathbb{Z}[\sqrt{-3}]$ . Then consider

the map  $q^*: \text{Spec } \mathbb{Z}[\sqrt{-3}] \rightarrow \text{Spec } \mathbb{Z}$

$$p \longmapsto p \cap \mathbb{Z}$$

As  $\text{Spec } \mathbb{Z} = \{(2), (3), (5), \dots\} \cup \{(0)\}$ , we

see that  $\overset{\circ}{p} \cap \mathbb{Z} = (p)$  for some prime  $p \in \mathbb{Z}$ , it's easy to see  $(0) \subseteq \mathbb{Z}[\sqrt{-3}]$  is the only ideal s.t.  $q^*(p) = (0)$ .

We say  $p$  lies over  $p$ .

From the appendix we know  $p = (\underbrace{b + a\sqrt{-3}}_b)(\underbrace{b - a\sqrt{-3}}_c)$

$\Leftrightarrow p \equiv 1 \pmod{6}$ .

For example,  $7 = (2 + \sqrt{-3})(2 - \sqrt{-3})$ .

Now I claim  $p \neq 2, 3$ , then either

$p = f_+ \cdot f_-$ ,  $f_{\pm}$  prime elements, or  $p$  prime in  $B$ . That is :

$$|(\ell^*)^{-1}(p)| \leq 2.$$

Now let  $p \equiv 1 \pmod{6} \Rightarrow p = f_+ \cdot f_-$ . Consider

$$\begin{array}{ccccc}
 & \alpha & & & \\
 \mathbb{Z}[x] & \xrightarrow{\quad} & \mathbb{Z}[\sqrt{-3}] = B & \xrightarrow{\quad} & \frac{B}{(f_{\pm})} \\
 & \downarrow & \text{is} & & \\
 & \frac{\mathbb{Z}[x]}{(x^2 + 3)} & & & \\
 & \downarrow & & \beta & \\
 \mathbb{F}_p[x] & \xrightarrow{\quad} & \frac{\mathbb{F}_p[x]}{(x^2 + 3)} & & \text{induced by the isom.} \\
 & & & & \text{theorem since} \\
 & & & & \alpha(p) = \alpha(x^2 + 3) = 0
 \end{array}$$

Since  $p = 3a^2 + b^2 = 0$  in  $\mathbb{F}_p$ ,  $\ker(\beta) = \left(x \neq \frac{b^2}{a^2}\right)$

Thus (count elements)  $\frac{B}{f_{\pm}} \cong \mathbb{F}_p$ .

Now assume  $p \equiv 5 \pmod{6}$ , then  $p$  does not factor &  $x^2 + 3 \in \mathbb{F}_p[x]$  is irreducible, thus

$\frac{\mathbb{F}_p[x]}{x^2 + 3} \cong \mathbb{F}_{p^2}$ . As above we get a

$$\text{map } \beta: \frac{\mathbb{F}_p[x]}{x^2 + 3} \xrightarrow{\cong} \frac{B}{(p)} .$$

$\Rightarrow p \text{ prime}$

Lastly,  $p = 3 \Rightarrow \frac{B}{(3)} \cong \mathbb{F}_3 \Rightarrow 3 \text{ is prime.}$

$p = 2$  is bad:  $2 \neq (b + a\sqrt{-3})(b - a\sqrt{-3}) \quad \forall a, b \in \mathbb{Z}$

thus is irreducible, but not prime! Indeed,

$$2^2 = (1 + \sqrt{-3})(1 - \sqrt{-3})$$

(if we know factorisation  
in primes has to be unique.)

It follows  $(4^\times)^{-1}(12) = (2, 1 + \sqrt{-3})$  is unique  
over  $(2)$  & needs 2 generators.

As for the cusp we can enlarge this ring:

$$\mathcal{B}' := \mathbb{Z}\left[\frac{1+\sqrt{-3}}{2}\right], \quad \omega \text{ primitive root of unity}.$$

Then  $\mathcal{B}'$  is an ED  $\Rightarrow$  uFD.

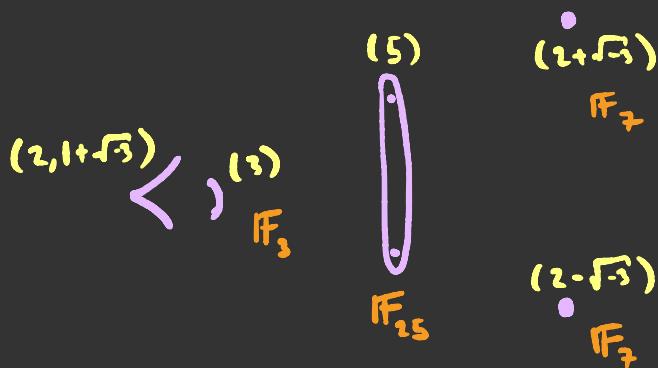
Now prime analysis is the same as  $\mathcal{B}$

except over  $(2)$  we have  $\frac{\mathcal{B}'}{(2)} \cong \frac{\mathbb{F}_2[x]}{x^2+x+1} \cong \mathbb{F}_4$

As before we can draw a spec picture of

$$A \subseteq B \subseteq B'$$

$$\text{Spec } B' \xrightarrow{\phi^*} \text{Spec } B \xrightarrow{\psi^*} \text{Spec } A$$



[Reid] says "we draw bubble w/ 2 pts  
over (5) to have two conjugate pts  
 $X = \pm\sqrt{-3}$  of X-line defined over  $\mathbb{F}_{p^2}$ ".

I don't get this yet.