# Process Sequences

#### Jonathon Hare

Vision, Learning and Control University of Southampton

A lot of the ideas in this lecture come from Andrej Karpathy's blog post on the Unreasonable Effectiveness of RNNs (http://karpathy.github.io/2015/05/21/rnn-effectiveness/). Many of the images and animations were made by Adam Prügel-Bennett.

#### Recurrent Neural Networks - Motivation

<i>x</i> :	Jon	and	Dani	gave	deep	learning	lectures
<i>y</i> :	1	0	1	0	0	0	0

#### Recurrent Neural Networks - Motivation

$$x: \quad x^{(1)} \quad \dots \quad x^{(t)} \quad \dots \quad x^{(T_x)}$$
 $x: \quad \text{Jon} \quad \dots \quad \text{Dani} \quad \dots \quad \text{lectures}$ 
 $y: \quad y^{(1)} \quad \dots \quad y^{(t)} \quad \dots \quad y^{(T_y)}$ 

y: 1 ... 1 ... 0

In this example,  $T_x = T_y = 7$  but  $T_x$  and  $T_y$  can be different.

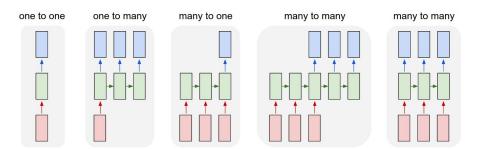


Image from http://karpathy.github.io/2015/05/21/rnn-effectiveness/

## Aside: One Hot Encoding

How can we represent individual words (or other discrete tokens)?

"a" "abbreviations"

"zoology" "zoom"

Image from https://ayearofai.com

 For a task such as "Named Entity Recognition" a MLP would have several disadvantages

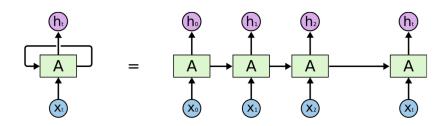
- For a task such as "Named Entity Recognition" a MLP would have several disadvantages
  - The inputs and outputs may have varying lengths

- For a task such as "Named Entity Recognition" a MLP would have several disadvantages
  - The inputs and outputs may have varying lengths
  - The features wouldn't be shared across different temporal positions in the network

- For a task such as "Named Entity Recognition" a MLP would have several disadvantages
  - The inputs and outputs may have varying lengths
  - The features wouldn't be shared across different temporal positions in the network
    - Note that 1-D convolutions can be (and are) used to address this, in addition to RNNs - more on this in a later lecture

- For a task such as "Named Entity Recognition" a MLP would have several disadvantages
  - The inputs and outputs may have varying lengths
  - The features wouldn't be shared across different temporal positions in the network
    - Note that 1-D convolutions can be (and are) used to address this, in addition to RNNs - more on this in a later lecture
- To interpret a sentence, or to predict tomorrows weather it is necessary to remember what happened in the past

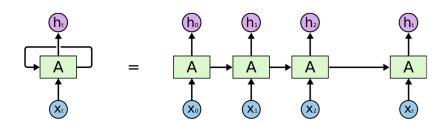
- For a task such as "Named Entity Recognition" a MLP would have several disadvantages
  - The inputs and outputs may have varying lengths
  - The features wouldn't be shared across different temporal positions in the network
    - Note that 1-D convolutions can be (and are) used to address this, in addition to RNNs - more on this in a later lecture
- To interpret a sentence, or to predict tomorrows weather it is necessary to remember what happened in the past
- To facilitate this we would like to add a feedback loop delayed in time



• RNNs are a family of ANNs for processing sequential data

Image taken from https://towardsdatascience.com

Jonathon Hare RNNs 8 / 21



- RNNs are a family of ANNs for processing sequential data
- RNNs have directed cycles in their computational graphs

Image taken from https://towardsdatascience.com

RNNs combine two properties which make them very powerful.

- Distributed hidden state that allows them to store a lot of information about the past efficiently. This is because several different units can be active at once, allowing them to remember several things at once.
- Non-linear dynamics that allows them to update their hidden state in complicated ways<sup>1</sup>.

Jonathon Hare RNNs 9 / 21

Often said to be difficult to train, but this is not necessarily true - dropout can help with overfitting for example

RNNs are Turing complete in the sense they can simulate arbitrary programs<sup>2</sup>.

Jonathon Hare RNNs 10 / 21

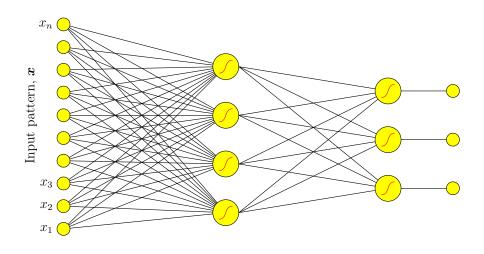
<sup>&</sup>lt;sup>2</sup>Don't read too much into this - like universal approximation theory, just because they can doesn't mean its necessarily learnable!

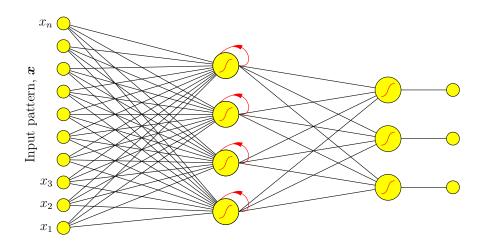
RNNs are Turing complete in the sense they can simulate arbitrary programs<sup>2</sup>.

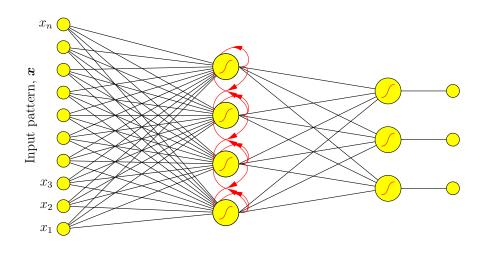
If training vanilla neural nets is optimisation over functions, training recurrent nets is optimisation over programs.

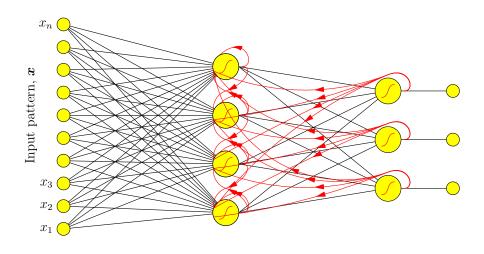
Jonathon Hare RNNs 10/21

<sup>&</sup>lt;sup>2</sup>Don't read too much into this - like universal approximation theory, just because they can doesn't mean its necessarily learnable!



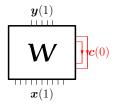






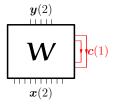
• Given a set of inputs  $\mathcal{D} = ((\mathbf{x}(t), \mathbf{y}(t))|t=1, 2, ..., T)$ 

• Given a set of inputs  $\mathcal{D} = ((\mathbf{x}(t), \mathbf{y}(t))|t=1, 2, ..., T)$ 



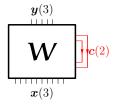
$$E(\boldsymbol{W}) = \sum_{t=1}^{T} \|\boldsymbol{y}(t) - \boldsymbol{f}(\boldsymbol{x}(t), \boldsymbol{c}(t-1)|\boldsymbol{W})\|^{2}$$

• Given a set of inputs  $\mathcal{D} = ((\mathbf{x}(t), \mathbf{y}(t))|t=1, 2, ..., T)$ 



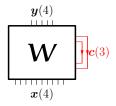
$$E(\boldsymbol{W}) = \sum_{t=1}^{T} \|\boldsymbol{y}(t) - \boldsymbol{f}(\boldsymbol{x}(t), \boldsymbol{c}(t-1)|\boldsymbol{W})\|^{2}$$

• Given a set of inputs  $\mathcal{D} = ((\mathbf{x}(t), \mathbf{y}(t))|t=1, 2, ..., T)$ 



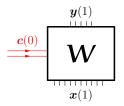
$$E(\mathbf{W}) = \sum_{t=1}^{T} \|\mathbf{y}(t) - \mathbf{f}(\mathbf{x}(t), \mathbf{c}(t-1) \|\mathbf{W})\|^{2}$$

• Given a set of inputs  $\mathcal{D} = ((\mathbf{x}(t), \mathbf{y}(t))|t=1, 2, ..., T)$ 



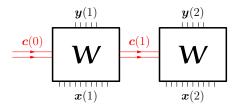
$$E(\boldsymbol{W}) = \sum_{t=1}^{T} \|\boldsymbol{y}(t) - \boldsymbol{f}(\boldsymbol{x}(t), \boldsymbol{c}(t-1)|\boldsymbol{W})\|^{2}$$

• Given a set of inputs  $\mathcal{D} = ((\mathbf{x}(t), \mathbf{y}(t))|t=1, 2, ..., T)$ 



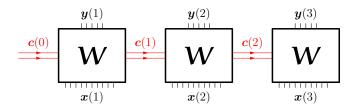
$$E(\mathbf{W}) = \sum_{t=1}^{T} \|\mathbf{y}(t) - \mathbf{f}(\mathbf{x}(t), \mathbf{c}(t-1) \|\mathbf{W})\|^{2}$$

• Given a set of inputs  $\mathcal{D} = ((\mathbf{x}(t), \mathbf{y}(t))|t=1, 2, ..., T)$ 



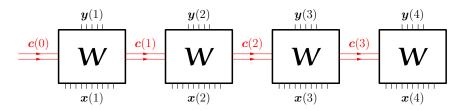
$$E(\boldsymbol{W}) = \sum_{t=1}^{T} \|\boldsymbol{y}(t) - \boldsymbol{f}(\boldsymbol{x}(t), \boldsymbol{c}(t-1)|\boldsymbol{W})\|^{2}$$

• Given a set of inputs  $\mathcal{D} = ((\mathbf{x}(t), \mathbf{y}(t))|t=1, 2, ..., T)$ 



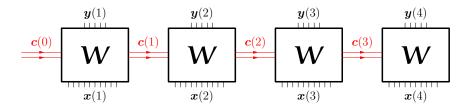
$$E(\boldsymbol{W}) = \sum_{t=1}^{T} \|\boldsymbol{y}(t) - \boldsymbol{f}(\boldsymbol{x}(t), \boldsymbol{c}(t-1)|\boldsymbol{W})\|^{2}$$

• Given a set of inputs  $\mathcal{D} = ((\mathbf{x}(t), \mathbf{y}(t))|t=1, 2, ..., T)$ 



$$E(\mathbf{W}) = \sum_{t=1}^{T} \|\mathbf{y}(t) - \mathbf{f}(\mathbf{x}(t), \mathbf{c}(t-1) \|\mathbf{W})\|^{2}$$

• Given a set of inputs  $\mathcal{D} = ((\mathbf{x}(t), \mathbf{y}(t))|t=1, 2, ..., T)$ 



Minimise an error (here MSE, but your choice):

$$E(\mathbf{W}) = \sum_{t=1}^{T} \|\mathbf{y}(t) - \mathbf{f}(\mathbf{x}(t), \mathbf{c}(t-1) \|\mathbf{W})\|^{2}$$

• This is known as back-propagation through time

• 
$$y(t) = f(x(t), c(t-1)|W)$$

- y(t) = f(x(t), c(t-1)|W)
- ullet and the state  $oldsymbol{c}(t) = oldsymbol{g}(oldsymbol{x}(t), oldsymbol{c}(t-1) | oldsymbol{W})$

- y(t) = f(x(t), c(t-1)|W)
- ullet and the state  $oldsymbol{c}(t) = oldsymbol{g}(oldsymbol{x}(t), oldsymbol{c}(t-1) | oldsymbol{W})$
- If the output  $\mathbf{y}(t)$  depends on the input  $\mathbf{x}(t-2)$ , then prediction will be

$$f(x(t), g(x(t-1), g(x(t-2), g(x(t-3)|W)|W)|W)|W)$$

- y(t) = f(x(t), c(t-1)|W)
- ullet and the state  $oldsymbol{c}(t) = oldsymbol{g}(oldsymbol{x}(t), oldsymbol{c}(t-1) | oldsymbol{W})$
- If the output y(t) depends on the input x(t-2), then prediction will be

$$f(x(t), g(x(t-1), g(x(t-2), g(x(t-3)|W)|W)|W)|W)$$

 it should be clear that the gradients of this with respect to the weights can be found with the chain rule

# What is the state update g()?

- It depends on the variant of the RNN!
  - Elman
  - Jordan
  - LSTM
  - GRU

# Elman Networks ("Vanilla RNNs")

$$egin{aligned} m{h}_t &= \sigma_h (m{W}_{ih} m{x}_t + m{b}_{ih} + m{W}_{hh} m{h}_{t-1} + m{b}_{hh}) \ m{y}_t &= \sigma_y (m{W}_y m{h}_t + m{b}_y) \end{aligned}$$

# Elman Networks ("Vanilla RNNs")

$$egin{aligned} m{h}_t &= \sigma_h(m{W}_{ih}m{x}_t + m{b}_{ih} + m{W}_{hh}m{h}_{t-1} + m{b}_{hh}) \ m{y}_t &= \sigma_y(m{W}_ym{h}_t + m{b}_y) \end{aligned}$$

- $\sigma_h$  is usually tanh
- $\sigma_y$  is usually identity (linear) the y's could be regressed values or logits
- ullet the state  $oldsymbol{h}_t$  is referred to as the "hidden state"
- the output at time t is a projection of the hidden state at that time

# Elman Networks ("Vanilla RNNs")

$$egin{aligned} m{h}_t &= \sigma_h (m{W}_{ih} m{x}_t + m{b}_{ih} + m{W}_{hh} m{h}_{t-1} + m{b}_{hh}) \ m{y}_t &= \sigma_y (m{W}_y m{h}_t + m{b}_y) \end{aligned}$$

- $\sigma_h$  is usually tanh
- $\sigma_y$  is usually identity (linear) the y's could be regressed values or logits
- the state  $h_t$  is referred to as the "hidden state"
- ullet the output at time t is a projection of the hidden state at that time
- the hidden state at time t is a summation of a projection of the input and a projection of the previous hidden state

• RNNs can be trivially stacked into deeper networks

- RNNs can be trivially stacked into deeper networks
- It's just function composition:

$$y(t) = f_2(f_1(x(t), c_2(t-1)|W_1), c_2(t-1)|W_2)$$

- RNNs can be trivially stacked into deeper networks
- It's just function composition:

$$y(t) = f_2(f_1(x(t), c_2(t-1)|W_1), c_2(t-1)|W_2)$$

 The output of the inner RNN at time t is fed into the input of the outer RNN which produces the prediction y

- RNNs can be trivially stacked into deeper networks
- It's just function composition:

$$y(t) = f_2(f_1(x(t), c_2(t-1)|W_1), c_2(t-1)|W_2)$$

- The output of the inner RNN at time t is fed into the input of the outer RNN which produces the prediction y
- Also note: RNNs are most often not used in isolation it's quite common to process the inputs and outputs with MLPs (or even convolutions)

#### Example: Character-level language modelling

 We'll end with an example: an RNN that learns to 'generate' English text by learning to predict the next character in a sequence

#### Example: Character-level language modelling

- We'll end with an example: an RNN that learns to 'generate' English text by learning to predict the next character in a sequence
- This is "Character-level Language Modelling"

#### Example: Character-level language modelling

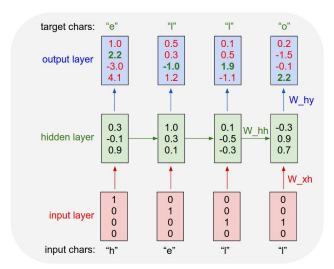


Image from http://karpathy.github.io/2015/05/21/rnn-effectiveness/

#### Training a Char-RNN

- The training data is just text data (e.g. sequences of characters)
- The task is unsupervised (or rather self-supervised): given the previous characters predict the next one
  - All you need to do is train on a reasonable sized corpus of text

#### Training a Char-RNN

- The training data is just text data (e.g. sequences of characters)
- The task is unsupervised (or rather self-supervised): given the previous characters predict the next one
  - All you need to do is train on a reasonable sized corpus of text
  - Overfitting could be a problem: dropout is very useful here

- Once the model is trained what can you do with it?
- if you feed it an initial character it will output the logits of the next character

- Once the model is trained what can you do with it?
- if you feed it an initial character it will output the logits of the next character
- you can use the logits to select the next character and feed that in as the input character for the next timestep

- Once the model is trained what can you do with it?
- if you feed it an initial character it will output the logits of the next character
- you can use the logits to select the next character and feed that in as the input character for the next timestep
- how do you 'sample' a character from the logits?

- Once the model is trained what can you do with it?
- if you feed it an initial character it will output the logits of the next character
- you can use the logits to select the next character and feed that in as the input character for the next timestep
- how do you 'sample' a character from the logits?
  - you could pick the most likely (maximum-likelihood solution), but this
    might lead to generated text with very low variance (it might be boring
    and repetitive)

- Once the model is trained what can you do with it?
- if you feed it an initial character it will output the logits of the next character
- you can use the logits to select the next character and feed that in as the input character for the next timestep
- how do you 'sample' a character from the logits?
  - you could pick the most likely (maximum-likelihood solution), but this
    might lead to generated text with very low variance (it might be boring
    and repetitive)
  - you could treat the softmax probabilities defined by the logits as a categorical distribution and sample from them
    - you might increase the 'temperature', T, of the softmax to make the distribution more diverse (less 'peaky'):  $q_i = \frac{\exp{(z_i/T)}}{\sum_i \exp{(z_j/T)}}$

A lot of the ideas in this lectu on the input c(t-1), g(x i (x(t-2), g(t-1) - W) lged snllhomitpon" ares Mnt Net) th pl

Onaafed a tre the sidisicters of to prediction couponet on the logits its venvows usts sevouvd be this in as useuled at on in the pan Lerate'atectsrray to paet inputs D = Pxxpraition the rople, the next

vog the state atite

- Sampled from a single layer RNN<sup>3</sup>.

Jonathon Hare RNNs 21 / 21

<sup>&</sup>lt;sup>3</sup>LSTM, 128 dim hidden size, with linear input projection to 8-dimensions and output to the number of characters (84). Trained on the text of these slides for 50 epochs.