# Process Sequences



#### Recurrent Neural Networks

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A lot of the ideas in this lecture come from Andrej Karpathy's blog post on the Unreasonable Effectiveness of RNNs (http://karpathy.github.io/2015/05/21/rnn-effectiveness/). Many of the images and animations were made by Adam Prügel-Bennett.

## Recurrent Neural Networks - Motivation

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#### Recurrent Neural Networks - Motivation

$$x: \quad x^{(1)} \quad \dots \quad x^{(t)} \quad \dots \quad x^{(T_x)}$$

$$y: y^{(1)} \dots y^{(t)} \dots y^{(T_y)}$$

In this example,  $T_x = T_y = 7$  but  $T_x$  and  $T_y$  can be different.

#### Recurrent Neural Networks

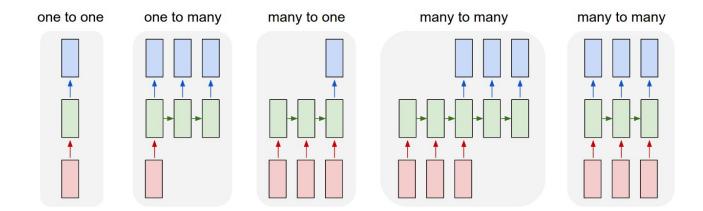


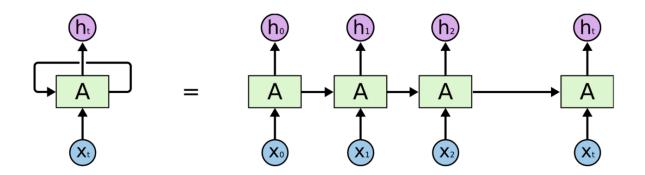
Image from http://karpathy.github.io/2015/05/21/rnn-effectiveness/

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## Why Not a Standard Feed Forward Network?

- For a task such as "Named Entity Recognition" a MLP would have several disadvantages
  - The inputs and outputs may have varying lengths
  - The features wouldn't be shared across different temporal positions in the network
    - Note that 1-D convolutions can be (and are) used to address this, in addition to RNNs - more on this in a later lecture
- To interpret a sentence, or to predict tomorrows weather it is necessary to remember what happened in the past
- To facilitate this we would like to add a feedback loop delayed in time

#### Recurrent Neural Networks



- RNNs are a family of ANNs for processing sequential data
- RNNs have directed cycles in their computational graphs

Image taken from https://towardsdatascience.com

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#### Recurrent Neural Networks

RNNs combine two properties which make them very powerful.

- ① Distributed hidden state that allows them to store a lot of information about the past efficiently. This is because several different units can be active at once, allowing them to remember several things at once.
- Non-linear dynamics that allows them to update their hidden state in complicated ways<sup>1</sup>.

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<sup>&</sup>lt;sup>1</sup>Often said to be difficult to train, but this is not necessarily true - dropout can help with overfitting for example

#### Recurrent Neural Networks

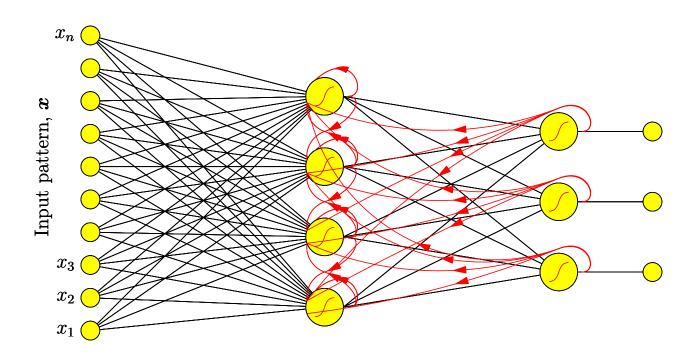
RNNs are Turing complete in the sense they can simulate arbitrary programs<sup>2</sup>.

If training vanilla neural nets is optimisation over functions, training recurrent nets is optimisation over programs.

<sup>2</sup>Don't read too much into this - like universal approximation theory, just because they

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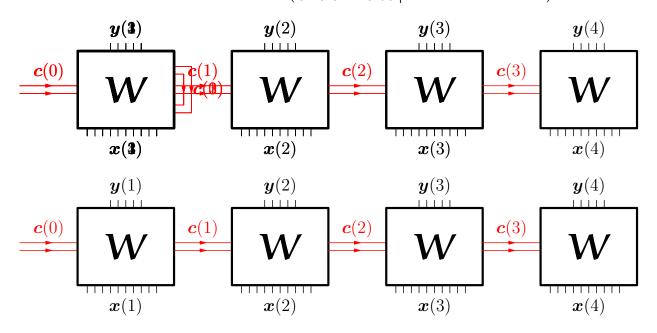
#### Recurrent Network



can doesn't mean its necessarily learnable! Jonathon Hare RNNs

#### Training Recurrent Networks

• Given a set of inputs  $\mathcal{D} = ((\mathbf{x}(t), \mathbf{y}(t)) | t = 1, 2, ..., T)$ 



Minimise an error (here MSE, but your choice):

$$E(oldsymbol{W}) = \sum_{ ext{NNs}}^{ ext{T}} \|oldsymbol{y}(t) - oldsymbol{f}(oldsymbol{x}(t), oldsymbol{c}(t-1) \|oldsymbol{W})\|^2}$$
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This is lesson as book assessmention through time

## An RNN is just a recursive function invocation

- y(t) = f(x(t), c(t-1)|W)
- $oldsymbol{\circ}$  and the state  $oldsymbol{c}(t) = oldsymbol{g}(oldsymbol{x}(t), oldsymbol{c}(t-1) | oldsymbol{W})$
- If the output y(t) depends on the input x(t-2), then prediction will be

$$f(x(t), g(x(t-1), g(x(t-2), g(x(t-3)|W)|W)|W)|W)$$

• it should be clear that the gradients of this with respect to the weights can be found with the chain rule

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## What is the state update g()?

- It depends on the variant of the RNN!
  - Elman
  - Jordan
  - LSTM
  - GRU

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## Elman Networks ("Vanilla RNNs")

$$egin{aligned} m{h}_t &= \sigma_h (m{W}_{ih}m{x}_t + m{b}_{ih} + m{W}_{hh}m{h}_{t-1} + m{b}_{hh}) \ m{y}_t &= \sigma_y (m{W}_ym{h}_t + m{b}_y) \end{aligned}$$

- $\bullet$   $\sigma_h$  is usually tanh
- $\sigma_y$  is usually identity (linear) the y's could be regressed values or logits
- ullet the state  $oldsymbol{h}_t$  is referred to as the "hidden state"
- ullet the output at time t is a projection of the hidden state at that time
- the hidden state at time t is a summation of a projection of the input and a projection of the previous hidden state

## Going deep: Stacking RNNs

- RNNs can be trivially stacked into deeper networks
- It's just function composition:

$$y(t) = f_2(f_1(x(t), c_2(t-1)|W_1), c_2(t-1)|W_2)$$

- The output of the inner RNN at time t is fed into the input of the outer RNN which produces the prediction y
- Also note: RNNs are most often not used in isolation it's quite common to process the inputs and outputs with MLPs (or even convolutions)

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# Example: Character-level language modelling

- We'll end with an example: an RNN that learns to 'generate' English text by learning to predict the next character in a sequence
- This is "Character-level Language Modelling"

## Example: Character-level language modelling

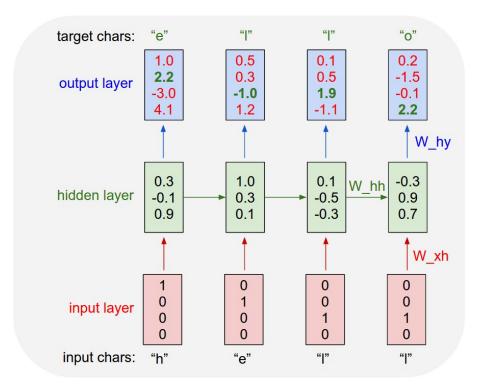


Image from http://karpathy.github.io/2015/05/21/rnn-effectiveness/

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# Training a Char-RNN

- The training data is just text data (e.g. sequences of characters)
- The task is unsupervised (or rather self-supervised): given the previous characters predict the next one
  - All you need to do is train on a reasonable sized corpus of text
  - Overfitting could be a problem: dropout is very useful here

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## Sampling the Language Model

- Once the model is trained what can you do with it?
- if you feed it an initial character it will output the logits of the next character
- you can use the logits to select the next character and feed that in as the input character for the next timestep
- how do you 'sample' a character from the logits?
  - you could pick the most likely (maximum-likelihood solution), but this
    might lead to generated text with very low variance (it might be boring
    and repetitive)
  - you could treat the softmax probabilities defined by the logits as a categorical distribution and sample from them
    - you might increase the 'temperature', T, of the softmax to make the distribution more diverse (less 'peaky'):  $q_i = \frac{\exp{(z_i/T)}}{\sum_j \exp{(z_j/T)}}$

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A lot of the ideas in this lectu on the input c(t - 1), g(x i (x(t - 2), g(t - 1) - W)lged snllhomitpon" ares Mnt Net) th pl

Onaafed a tre the sidisicters of to prediction couponet on the logits its venvows usts sevouvd be this in as useuled at on in the pan Lerate'atectsrray to paet inputs D = Pxxpraition the rople, the next

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- Sampled from a single layer RNN<sup>3</sup>.

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<sup>&</sup>lt;sup>3</sup>LSTM, 128 dim hidden size, with linear input projection to 8-dimensions and output to the number of characters (84). Trained on the text of these slides for 50 epochs.