

# Introduction to NumPy arrays

Gert-Ludwig Ingold



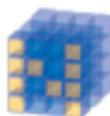
<https://github.com/gertingold/euroscipy-numpy-tutorial.git>

# Python comes with batteries included

→ extensive Python standard library

What about batteries for scientists (and others as well)?

→ scientific Python ecosystem



NumPy

Base N-dimensional  
array package



SciPy library

Fundamental  
library for scientific  
computing



Matplotlib

Comprehensive 2D  
Plotting



IPython

Enhanced  
Interactive Console



Sympy

Symbolic  
mathematics



pandas

Data structures &  
analysis

from: [www.scipy.org](http://www.scipy.org)

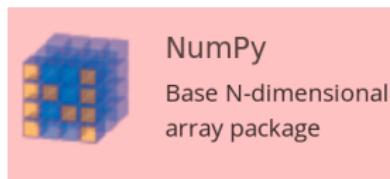
+ SciKits and many other packages

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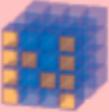
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[www.scipy-lectures.org](http://www.scipy-lectures.org)



Gaël Varoquaux • Emmanuelle Gouillart • Olaf Vahters  
Christopher Burns • Adrian Chauve • Robert Cimrman • Christophe Combelles  
Pierre de Buyl • Ralf Gommers • André Espaze • Zbigniew Jędrzejewski-Szmczak  
Valentin Haenel • Gert-Ludwig Ingold • Fabian Pedregosa • Didrik Pinte  
Nicolas P. Rougier • Pauli Virtanen  
and many others...

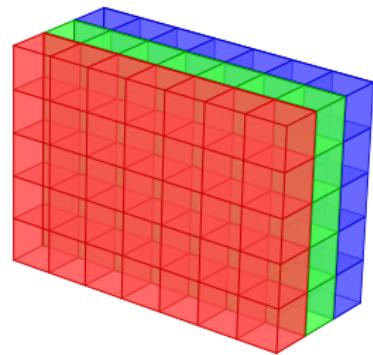
[docs.scipy.org/doc/numpy/](http://docs.scipy.org/doc/numpy/)

The screenshot shows the homepage of the NumPy v1.15 Manual. At the top, there's a header with the SciPy.org logo and a "Sponsored by ENTHOUGHT" badge. Below the header, there are two buttons: "SciPy.org" and "Docs". The main title is "NumPy v1.15 Manual". A sub-header says "Welcome! This is the documentation for NumPy 1.15.0, last updated Jul 24, 2018." A section titled "Parts of the documentation:" lists several links: "NumPy User Guide" (with a "start here" link), "NumPy Reference" (with a "reference documentation" link), "F2Py Guide" (with a "f2py documentation" link), "NumPy Developer Guide" (with a "contributing to NumPy" link), and "Building and Extending the Documentation" (with a "about this documentation" link). Below these, under "Indices and tables:", are links for "General Index" (with a "all functions, classes, terms" link), "Glossary" (with a "the most important terms explained" link), and "Meta Information:" which includes "Reporting bugs", "About NumPy", "NumPy Enhancement Proposals", "Release Notes", and "License of NumPy".

## A wish list

- ▶ we want to work with vectors and matrices

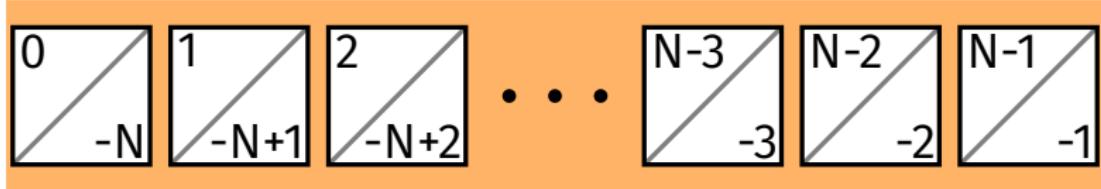
$$\begin{pmatrix} a_{11} & a_{12} & \cdots & a_{1n} \\ a_{21} & a_{22} & \cdots & a_{2n} \\ \vdots & \vdots & \ddots & \vdots \\ a_{n1} & a_{n2} & \cdots & a_{nn} \end{pmatrix}$$



colour image as  $N \times M \times 3$ -array

- ▶ we want our code to run fast
- ▶ we want support for linear algebra
- ▶ ...

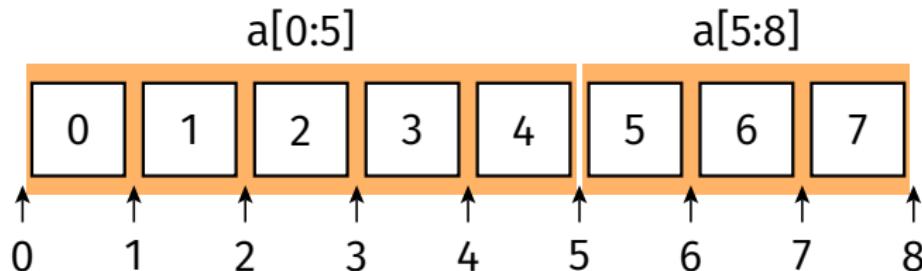
## List indexing



- ▶ indexing starts at 0
- ▶ negative indices count from the end of the list to the beginning

## List slicing

basic syntax: [start:stop:step]



- ▶ if  $\text{step}=1$ 
  - ▶ slice contains the elements  $\text{start}$  to  $\text{stop}-1$
  - ▶ slice contains  $\text{stop}-\text{start}$  elements
- ▶  $\text{start}$ ,  $\text{stop}$ , and also  $\text{step}$  can be negative
- ▶ default values:
  - ▶  $\text{start} = 0$ , i.e. starting from the first element
  - ▶  $\text{stop} = N$ , i.e up to and including the last element
  - ▶  $\text{step} = 1$

**Let's do some slicing**



## Matrices and lists of lists

Can we use lists of lists to work with matrices?

$$\begin{pmatrix} 0 & 1 & 2 \\ 3 & 4 & 5 \\ 6 & 7 & 8 \end{pmatrix}$$

```
matrix = [[0, 1, 2],  
          [3, 4, 5],  
          [6, 7, 8]]
```

- ▶ How can we extract a row?
- ▶ How can we extract a column?

## Matrices and lists of lists

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```

- ▶ How can we extract a row?
- ▶ How can we extract a column?

**Let's do some experiments**



# Matrices and lists of lists

Can we use lists of lists to work with matrices?

$$\begin{pmatrix} 0 & 1 & 2 \\ 3 & 4 & 5 \\ 6 & 7 & 8 \end{pmatrix}$$

```
matrix = [[0, 1, 2],  
          [3, 4, 5],  
          [6, 7, 8]]
```

- ▶ How can we extract a row? 😊
- ▶ How can we extract a column? 😞

Lists of lists do not work like matrices

## Problems with lists as matrices

- ▶ different axes are not treated on equal footing
- ▶ lists can contain arbitrary objects  
matrices have a homogeneous structure
- ▶ list elements can be scattered in memory

Applied to matrices ...

- ... lists are conceptually inappropriate
- ... lists have less performance than possible

We need a new object

# **ndarray**

multidimensional, homogeneous array of fixed-size items

## Getting started

Import the NumPy package:

```
from numpy import *
```

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Import the NumPy package:

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import numpy
import numpy as np ←
```

## Getting started

Import the NumPy package:

```
from numpy import *
from numpy import array, sin, cos
import numpy
import numpy as np
```



Check the NumPy version:

```
np.__version__
```



## Data types

Some important data types:

integer            int8, int16, int32, int64, uint8, ...

float            float16, float32, float64, ...

complex        complex64, complex128, ...

boolean        bool8

Unicode string

default type: float64

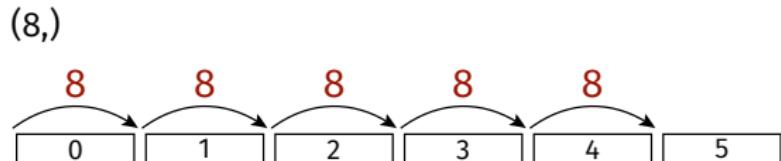


Beware of overflows!

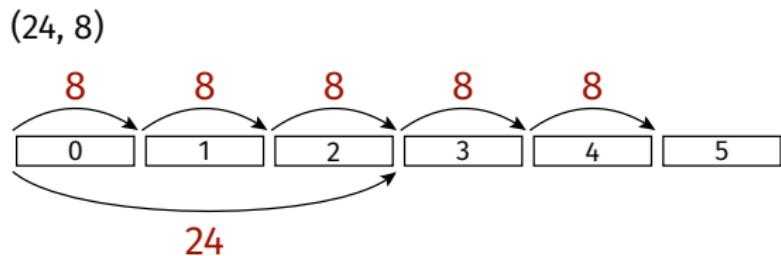
Your turn

# Strides

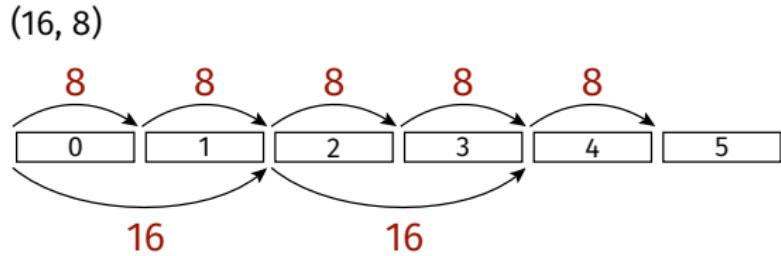
(0 1 2 3 4 5)



(0 1 2  
3 4 5)



(0 1  
2 3  
4 5)



## Views

For the sake of efficiency, NumPy uses views if possible.

- ▶ Changing one or more matrix elements will change it in all views.
- ▶ Example: transposition of a matrix `a.T`  
No need to copy the matrix and to create a new one



## Some array creation routines

- ▶ numerical ranges: `arange`, `linspace`, `logspace`
- ▶ homogeneous data: `zeros`, `ones`
- ▶ diagonal elements: `diag`, `eye`
- ▶ random numbers: `rand`, `randint`



Numpy has an `append()`-method. Avoid it if possible.

Your turn

## Indexing and slicing in one dimension

1d arrays: indexing and slicing as for lists

- ▶ first element has index 0
- ▶ negative indices count from the end
- ▶ slices: [start:stop:step]
  - without the element indexed by stop
- ▶ if values are omitted:
  - ▶ start: starting from first element
  - ▶ stop: until (and including) the last element
  - ▶ step: all elements between start and stop-1



## Indexing and slicing in higher dimensions

- ▶ usual slicing syntax
- ▶ difference to lists:  
slices for the various axes separated by comma

$a[2, -3]$

0	1	2	3	4	5	6	7
8	9	10	11	12	13	14	15
16	17	18	19	20	21	22	23
24	25	26	27	28	29	30	31
32	33	34	35	36	37	38	39

## Indexing and slicing in higher dimensions

- ▶ usual slicing syntax
- ▶ difference to lists:  
slices for the various axes separated by comma

`a[:3, :5]`

0	1	2	3	4	5	6	7
8	9	10	11	12	13	14	15
16	17	18	19	20	21	22	23
24	25	26	27	28	29	30	31
32	33	34	35	36	37	38	39

## Indexing and slicing in higher dimensions

Your turn

0	1	2	3	4	5	6	7
8	9	10	11	12	13	14	15
16	17	18	19	20	21	22	23
24	25	26	27	28	29	30	31
32	33	34	35	36	37	38	39

## Indexing and slicing in higher dimensions

Your turn

$a[-3:, -3:]$

0	1	2	3	4	5	6	7
8	9	10	11	12	13	14	15
16	17	18	19	20	21	22	23
24	25	26	27	28	29	30	31
32	33	34	35	36	37	38	39

## Indexing and slicing in higher dimensions

Your turn

0	1	2	3	4	5	6	7
8	9	10	11	12	13	14	15
16	17	18	19	20	21	22	23
24	25	26	27	28	29	30	31
32	33	34	35	36	37	38	39

## Indexing and slicing in higher dimensions

Your turn

$a[:, 3]$

0	1	2	3	4	5	6	7
8	9	10	11	12	13	14	15
16	17	18	19	20	21	22	23
24	25	26	27	28	29	30	31
32	33	34	35	36	37	38	39

## Indexing and slicing in higher dimensions

Your turn

0	1	2	3	4	5	6	7
8	9	10	11	12	13	14	15
16	17	18	19	20	21	22	23
24	25	26	27	28	29	30	31
32	33	34	35	36	37	38	39

## Indexing and slicing in higher dimensions

Your turn

$a[1, 3:6]$

0	1	2	3	4	5	6	7
8	9	10	11	12	13	14	15
16	17	18	19	20	21	22	23
24	25	26	27	28	29	30	31
32	33	34	35	36	37	38	39

## Indexing and slicing in higher dimensions

Your turn

0	1	2	3	4	5	6	7
8	9	10	11	12	13	14	15
16	17	18	19	20	21	22	23
24	25	26	27	28	29	30	31
32	33	34	35	36	37	38	39

## Indexing and slicing in higher dimensions

Your turn

$a[1::2, ::3]$

0	1	2	3	4	5	6	7
8	9	10	11	12	13	14	15
16	17	18	19	20	21	22	23
24	25	26	27	28	29	30	31
32	33	34	35	36	37	38	39

## Fancy indexing – Boolean mask

`a[a % 3 == 0]`

0	1	2	3	4	5	6	7
8	9	10	11	12	13	14	15
16	17	18	19	20	21	22	23
24	25	26	27	28	29	30	31
32	33	34	35	36	37	38	39

## Fancy indexing – array of integers

`a[(1, 1, 2, 2, 3, 3), (3, 4, 2, 5, 3, 4)]`

0	1	2	3	4	5	6	7
8	9	10	11	12	13	14	15
16	17	18	19	20	21	22	23
24	25	26	27	28	29	30	31
32	33	34	35	36	37	38	39

# Application: sieve of Eratosthenes

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
25	26	27	28	29	30	31	32	33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48	49

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
25	26	27	28	29	30	31	32	33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48	49

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
25	26	27	28	29	30	31	32	33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48	49

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
25	26	27	28	29	30	31	32	33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48	49

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
25	26	27	28	29	30	31	32	33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48	49

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
25	26	27	28	29	30	31	32	33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48	49

## Axes

$$\begin{pmatrix} a_{11} & a_{12} & a_{13} \\ a_{21} & a_{22} & a_{23} \\ a_{31} & a_{32} & a_{33} \end{pmatrix}$$

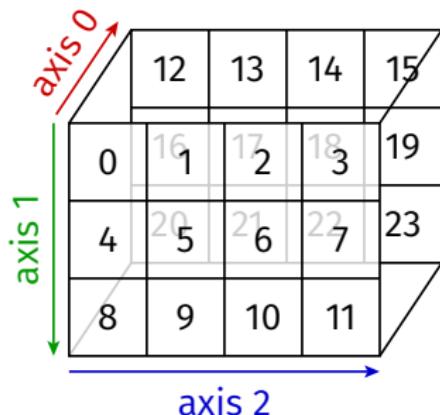
axis 1

$$\left| \begin{array}{ccc} a[0, 0] & a[0, 1] & a[0, 2] \\ a[1, 0] & a[1, 1] & a[1, 2] \\ a[2, 0] & a[2, 1] & a[2, 2] \end{array} \right|$$

Your turn

`np.sum(a)`  
`np.sum(a, axis=...)`

## Axes in more than two dimensions



```
array([[ [ 0,  1,  2,  3],  
        [ 4,  5,  6,  7],  
        [ 8,  9, 10, 11]],  
  
       [[12, 13, 14, 15],  
        [16, 17, 18, 19],  
        [20, 21, 22, 23]])
```

**Your turn**

create this array and produce 2d arrays by cutting perpendicular to the axes 0, 1, and 2

## Matrix multiplication

$$\begin{pmatrix} 0 & 1 \\ 2 & 3 \end{pmatrix} \begin{pmatrix} 4 & 5 \\ 6 & 7 \end{pmatrix} = \begin{pmatrix} 6 & 7 \\ 26 & 31 \end{pmatrix}$$

$$\begin{pmatrix} 0 & 1 \\ 2 & 3 \end{pmatrix} \begin{pmatrix} 4 & 5 \\ 6 & 7 \end{pmatrix} = \begin{pmatrix} 6 & 7 \\ 26 & 31 \end{pmatrix}$$

$$\begin{pmatrix} 0 & 1 \\ 2 & 3 \end{pmatrix} \begin{pmatrix} 4 & 5 \\ 6 & 7 \end{pmatrix} = \begin{pmatrix} 6 & 7 \\ 26 & 31 \end{pmatrix}$$

$$\begin{pmatrix} 0 & 1 \\ 2 & 3 \end{pmatrix} \begin{pmatrix} 4 & 5 \\ 6 & 7 \end{pmatrix} = \begin{pmatrix} 6 & 7 \\ 26 & 31 \end{pmatrix}$$



try `np.dot(•, •)`  
`•.dot(•)`  
`• @ •`<sup>\*)</sup>

---

<sup>\*)</sup> Python ≥3.5, NumPy ≥1.10

# Mathematical functions in NumPy

Universal functions (ufuncs) take ndarrays as argument

## Trigonometric functions

`sin, cos, tan, arcsin, arccos, arctan, hypot, arctan2, degrees, radians, unwrap, deg2rad, rad2deg`

## Hyperbolic functions

`sinh, cosh, tanh, arcsinh, arccosh, arctanh`

## Rounding

`around, round_, rint, fix, floor, ceil, trunc`

## Sums, products, differences

`prod, sum, nansum, cumprod, cumsum, diff, ediff1d, gradient, cross, trapz`

## Exponents and logarithms

`exp, expm1, exp2, log, log10, log2, log1p, logaddexp, logadddexp2`

## Other special functions

`i0, sinc`

## Floating point routines

`signbit, copysign, frexp, ldexp`

## Arithmetic operations

`add, reciprocal, negative, multiply, divide, power, subtract, true_divide, floor_divide, fmod, mod, modf, remainder`

## Handling complex numbers

`angle, real, imag, conj`

## Miscellaneous

`convolve, clip, sqrt, square, absolute, fabs, sign, maximum, minimum, fmax, fmin, nan_to_num, real_if_close, interp`

Many more special functions are provided as ufuncs by SciPy

## Rules for broadcasting

Arrays can be broadcast to the same shape if one of the following points is fulfilled:

1. The arrays all have exactly the same shape.
2. The arrays all have the same number of dimensions and the length of each dimension is either a common length or 1.
3. The arrays that have too few dimensions can have their shapes prepended with a dimension of length 1 to satisfy property 2.

## Broadcasting

shape=(3, 4)

0	1	2	3
4	5	6	7
8	9	10	11

shape=(1,)

1	1	1	1
1	1	1	1
1	1	1	1

shape=(4,)

1	1	1	1
1	1	1	1
1	1	1	1

shape=(3,)

1	1	1	

shape=(3, 1)

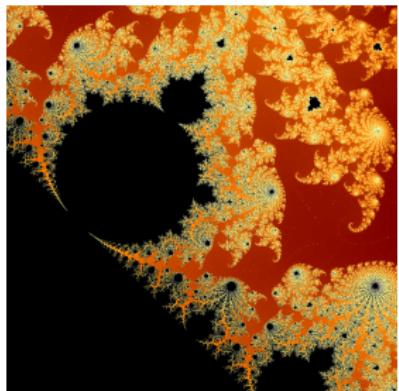
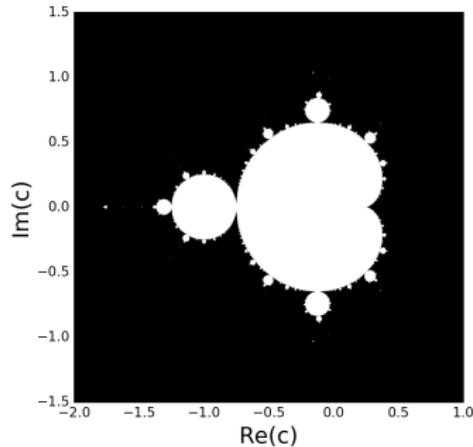
1	1	1	1
1	1	1	1
1	1	1	1



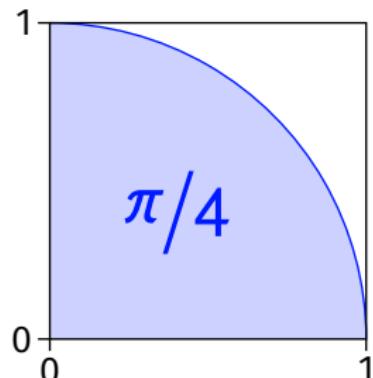
## Application: Mandelbrot set

$$z_{n+1} = z_n^2 + c, \quad z_0 = 0$$

Mandelbrot set contains the points for which  $z$  remains bounded.



## Application: $\pi$ from random numbers

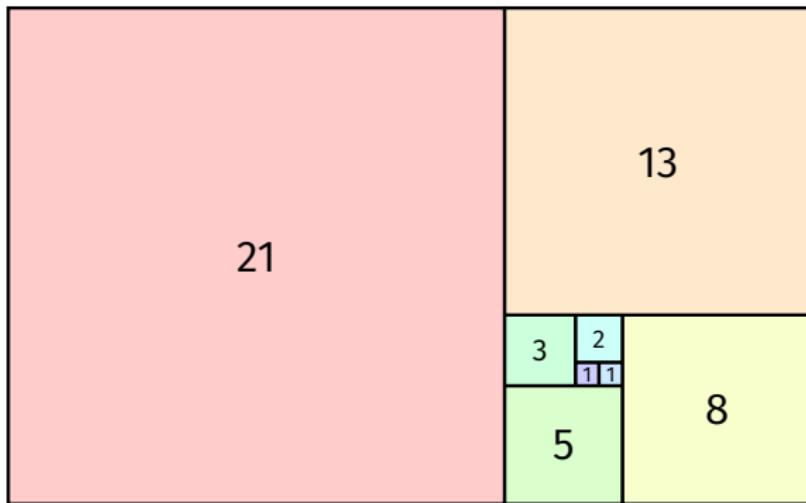


1. Create pairs of random numbers and determine the fraction of pairs which has a distance from the origin less than one.
2. Multiply the result by four to obtain an approximation of  $\pi$ .

hint: `count_nonzero(a)` counts the number of non-zero values in the array `a` and also works for Boolean arrays. Remember that `np.info(...)` can be helpful.



## Fibonacci series and linear algebra



Fibonacci series:

1, 1, 2, 3, 5, 8, 13, 21, ...

$$F_{n+1} = F_n + F_{n-1}, \quad F_1 = F_2 = 1$$

$$\text{or : } \begin{pmatrix} 1 & 1 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} F_n \\ F_{n-1} \end{pmatrix} = \begin{pmatrix} F_{n+1} \\ F_n \end{pmatrix}$$

What is the limit of  $F_{n+1}/F_n$  for large  $n$ ?

## Eigenvalue problems

$$\begin{pmatrix} a_{11} & \cdots & a_{1n} \\ \vdots & \ddots & \vdots \\ a_{n1} & \cdots & a_{nn} \end{pmatrix} \begin{pmatrix} v_1^{(k)} \\ \vdots \\ v_n^{(k)} \end{pmatrix} = \lambda^{(k)} \begin{pmatrix} v_1^{(k)} \\ \vdots \\ v_n^{(k)} \end{pmatrix} \quad k = 1, \dots, n$$

eigenvalue  $\lambda^{(k)}$       eigenvector  $\begin{pmatrix} v_1^{(k)} \\ \vdots \\ v_n^{(k)} \end{pmatrix}$

for our Fibonacci problem:

$$\begin{pmatrix} 1 & 1 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} F_n \\ F_{n-1} \end{pmatrix} = \lambda \begin{pmatrix} F_{n+1} \\ F_n \end{pmatrix}$$

We are looking for the eigenvalue larger than one.

# Linear algebra in NumPy

```
import numpy.linalg as LA
```

## Matrix and vector products

dot, vdot, inner, outer, matmul, tensordot, einsum, LA.matrix\_power, kron

## Decompositions

LA.cholesky, LA.qr, LA.svd

## Matrix eigenvalues

LA.eig, LA.eigh, LA.eigvals, LA.eigvalsh

## Norms and other numbers

LA.norm, LA.cond, LA.det, LA.matrix\_rank, LA.slogdet, trace

## Solving equations and inverting matrices

LA.solve, LA.tensorsolve, LA.lstsq,  
LA.inv, LA.pinv, LA.tensorinv



hint: see also the methods for linear algebra in SciPy

# Statistics in NumPy

## Order statistics

amin, amax, nanmin, nanmax, ptp, percentile, nanpercentile

## Averages and variances

median, average, mean, std, var, nanmedian, nanmean, nanstd, nanvar

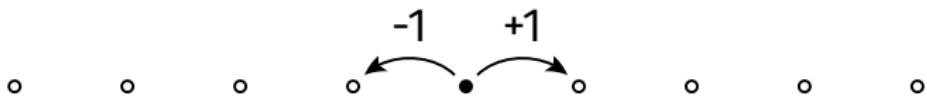
## Correlating

corrcoef, correlate, cov

## Histograms

histogram, histogram2d, histogramdd, bincount, digitize

## Application: Brownian motion



1. Simulate several trajectories for a one-dimensional Brownian motion  
hint: `np.random.choice`
2. Plot the mean distance from the origin as a function of time
3. Plot the variance of the trajectories as a function of time

**Your turn**

# Sorting, searching, and counting in NumPy

## Sorting

`sort, lexsort, argsort, ndarray.sort, msort, sort_complex, partition, argpartition`

## Searching

`argmax, nanargmax, argmin, nanargmin, argwhere, nonzero, flatnonzero, where, searchsorted, extract`

## Counting

`count_nonzero`

## Application: identify entry closest to 1/2

$$\begin{pmatrix} 0.05344164 & \textcolor{red}{0.37648768} & 0.80691163 & 0.71400815 \\ \textcolor{red}{0.60825034} & 0.35778938 & 0.37393356 & 0.32615374 \\ 0.83118547 & 0.33178711 & 0.21548027 & \textcolor{red}{0.42209291} \end{pmatrix}$$



$$\begin{pmatrix} \textcolor{red}{0.37648768} \\ \textcolor{red}{0.60825034} \\ \textcolor{red}{0.42209291} \end{pmatrix}$$

Your turn

hint: use `np.argsort`

# Polynomials in NumPy

Power series: `numpy.polynomial.polynomial`

## Polynomial Class

`Polynomial`

## Basics

`polyval`, `polyval2d`, `polyval3d`, `polygrid2d`, `polygrid3d`, `polyroots`, `polyfromroots`

## Fitting

`polyfit`, `polyvander`, `polyvander2d`, `polyvander3d`

## Calculus

`polyder`, `polyint`

## Algebra

`polyadd`, `polysub`, `polymul`, `polymulx`, `polydiv`, `polypow`

## Miscellaneous

`polycompanion`, `polydomain`, `polyzero`, `polyone`, `polyx`, `polytrim`, `polyline`

also: Chebyshev, Legendre, Laguerre, Hermite polynomials

## Some examples

P.Polynomial([24, -50, 35, -10, 1])

$$p_4(x) = x^4 - 10x^3 + 35x^2 - 50x + 24 = (x - 1)(x - 2)(x - 3)(x - 4)$$

p4.deriv()

$$\frac{dp_4(x)}{dx} = 4x^3 - 30x^2 + 70x - 50$$

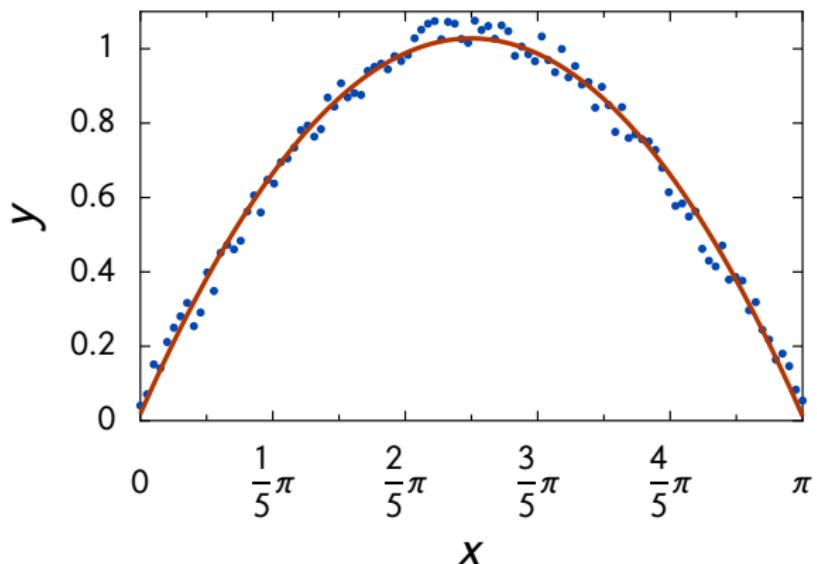
p4.integ()

$$\int p_4(x)dx = \frac{1}{5}x^5 - \frac{5}{2}x^4 + \frac{35}{3}x^3 - 25x^2 + 24x + C$$

p4.polydiv()

$$\frac{p_4(x)}{2x + 1} = \frac{1}{2}x^3 - \frac{21}{4}x^2 + \frac{161}{8}x - \frac{561}{16} + \frac{945}{16p_4(x)}$$

## Application: polynomial fit

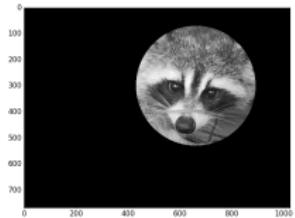


add some noise to a function and fit it to a polynomial

see `scipy.optimize.curve_fit` for general fit functions

# Application: image manipulation

```
from scipy import misc  
face = misc.face(gray=True)
```



Your turn